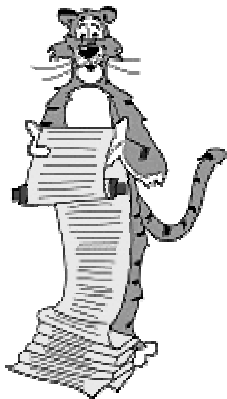


# Competitive Analysis



Princeton University • COS 423 • Theory of Algorithms • Spring 2001 • Kevin Wayne

## Beyond Worst Case Analysis

Worst-case analysis.

- Analyze running time as function of worst input of a given size.

Average case analysis.

- Analyze average running time over some distribution of inputs.
- Ex: quicksort.

Amortized analysis.

- Worst-case bound on sequence of operations.
- Ex: splay trees, union-find.

Competitive analysis.

- Make quantitative statements about online algorithms.
- Ex: paging, load balancing.

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## Online Algorithm and Competitive Analysis

**Paging problem:** Given two-level store consisting of fast memory (cache) that can hold  $k$  pages, and slow memory that can store infinitely many pages.

- Sequence of page requests  $p$ :
  - if page  $p$  already in cache, no cost incurred
  - otherwise, eject some other page  $q$  from cache and replace with  $p$ , and pay unit cost for page fault.
- If  $p$  not in cache, which page  $q$  should you evict?
- Most fundamental and practically important online problem in CS.

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## Online Algorithm and Competitive Analysis

Competitive analysis. (Sleator-Tarjan)

- Algorithm  $A$  is  $\rho$ -competitive if there exists some constant  $b$  such that for every sequence of inputs  $\sigma$ :

$$\text{cost}_A(\sigma) \leq \rho \text{cost}_{OPT}(\sigma) + b.$$

where  $OPT$  is optimal offline algorithm.

- $OPT = \text{MIN}$ : evict page whose next access is furthest away.
- $A = \text{LRU}$ : evict page whose most recent access was earliest
  - Traditional analysis completely uninformative.
  - We show LRU is  $k$ -competitive.
- $A = \text{LIFO}$ : evict page brought in most recently.
  - LIFO can have arbitrarily bad competitive ratio.
- Fact: no online paging algorithm is better than  $k$ -competitive.

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# Online Algorithm and Competitive Analysis

**Theorem.** LRU is  $k$ -competitive.

**Proof:** Let  $\tau$  be a subsequence of  $\sigma$  on which LRU faults exactly  $k$  times, and  $\tau$  does not contain first access in  $\sigma$ . Let  $p$  denote page requested just before  $\tau$ .

- **Case 1:** LRU faults in sequence  $\tau$  on  $p$ .
  - $\tau$  requests at least  $k+1$  different pages  $\Rightarrow$  MIN faults at least once
- **Case 2:** LRU faults on some page, say  $q$ , at least twice in  $\tau$ .
  - $\tau$  requests at least  $k+1$  different pages  $\Rightarrow$  MIN faults at least once

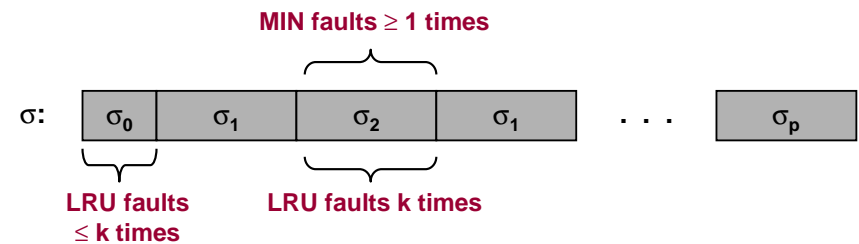
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- **Case 3:** LRU does not fault on  $p$ , nor on any page more than once.
  - $k$  different pages are accessed and faulted on, none of which is  $p$
  - $p$  is in MIN's cache at start of  $\tau \Rightarrow$  MIN faults at least once



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