

# COS433/Math 473: Cryptography

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# Announcements/Reminders

HW6 due Nov 24

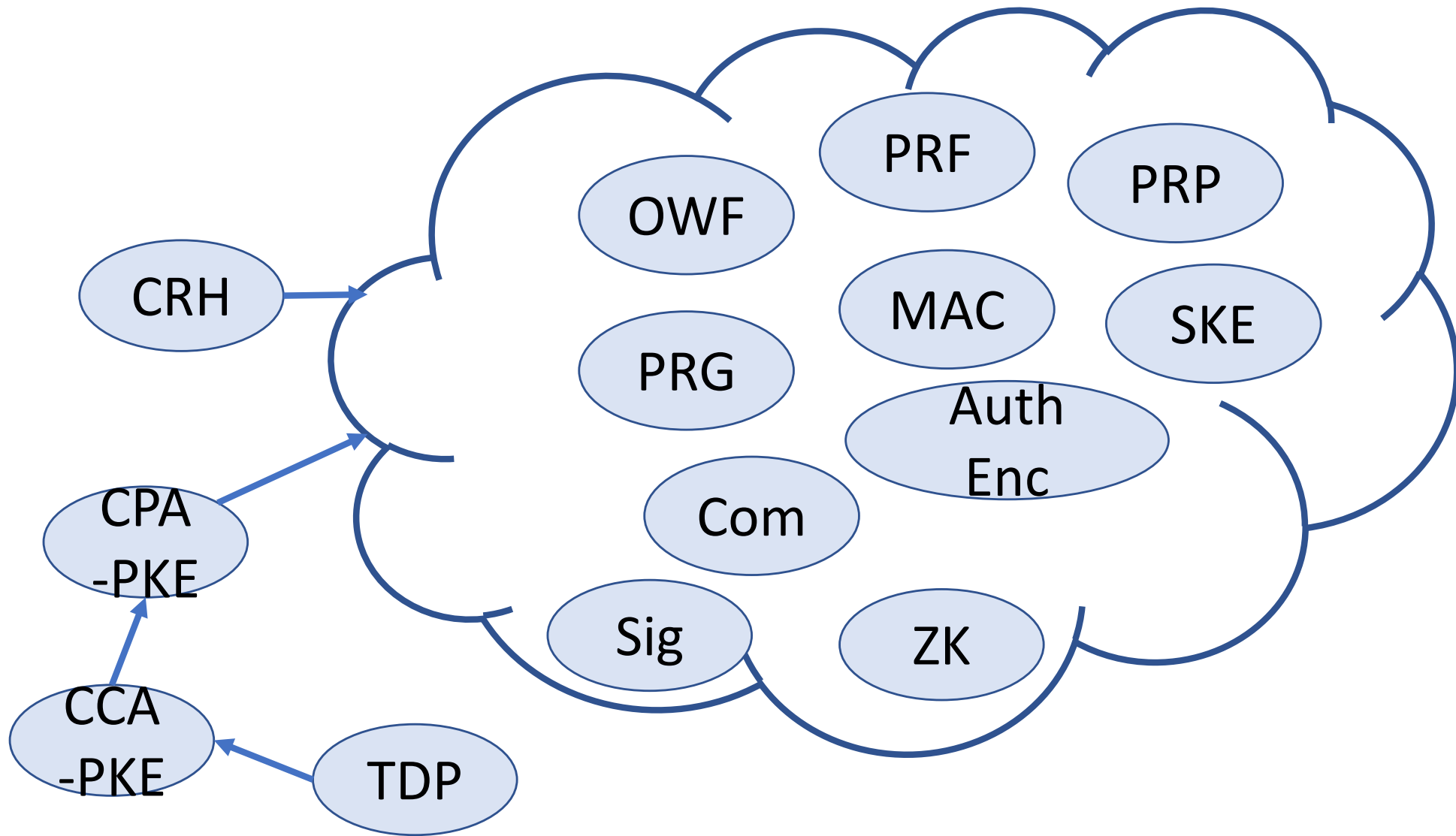
PR2 due Dec 5

No lecture on Thursday (Nov 19)

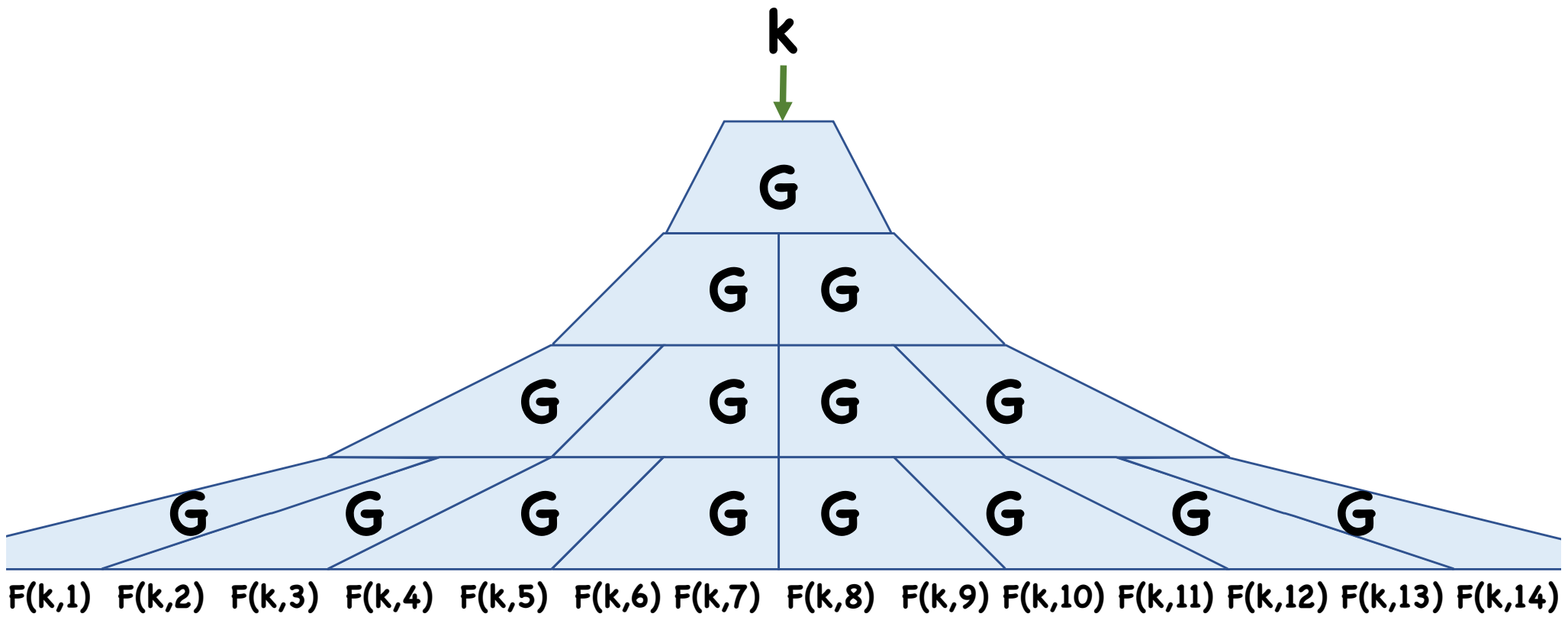
Previously on COS 433...

# Crypto from Minimal Assumptions

# What's Known



# A PRF



# Today

OWP → PRGs

OWF → One-time Signature

Black box separations

If time, cryptocurrencies

# One-way *permutation* $\rightarrow$ PRGs

OWP = OWF that is also a permutation

- **$F:D \rightarrow D$**  is a permutation

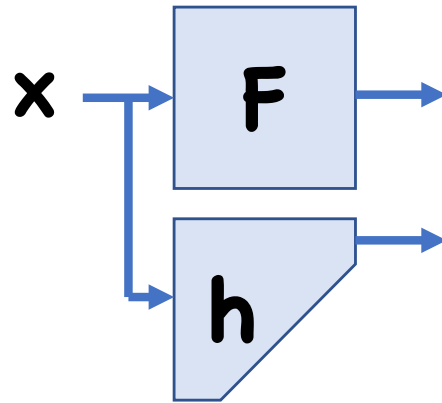
Examples:

- RSA function
- Discrete exponentiation



One-way *permutation*  $\rightarrow$  PRGs

Let  $\mathbf{h}$  be a hardcore bit for  $\mathbf{F}$



Hardcore bit equivalent to PRG security

# Hardcore bits for OWPs?

Known OWPs have hardcore bits

- E.g. **LSB**, **Half** for RSA, **Half** for Dlog

What about general OWPs?

# Yao's Method

Let  $\mathbf{F}$  be a OWP with domain  $\{0,1\}^n$

**Claim:**  $\exists i$  such that  $\forall$  PPT  $\mathbf{A}$   
 $\Pr[\mathbf{A}(\mathbf{F}(\mathbf{x})) = x_i] < 1 - 1/2n$

Proof: otherwise,  $\forall i, \exists \mathbf{A}_i$  s.t.  
 $\Pr[\mathbf{A}_i(\mathbf{F}(\mathbf{x})) = x_i] \geq 1 - 1/2n$

Adversary  $\mathbf{A}(\mathbf{y}) = \mathbf{A}_1(\mathbf{y}) \parallel \mathbf{A}_2(\mathbf{y}) \parallel \dots$   
 $\Pr[\mathbf{A}(\mathbf{F}(\mathbf{x})) = \mathbf{x}] \geq 1/2$

# Yao's Method

Let  $\mathbf{F}$  be a OWP with domain  $\{0,1\}^n$

**Claim:**  $\exists i$  such that  $\forall$  PPT  $\mathbf{A}$   
 $\Pr[\mathbf{A}(\mathbf{F}(\mathbf{x})) = x_i] < 1 - 1/2n$

Let  $\mathbf{F}'(\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(t)}) = (\mathbf{F}(\mathbf{x}^{(1)}), \dots, \mathbf{F}(\mathbf{x}^{(t)}))$   
 $\mathbf{h}(\mathbf{x}^{(1)}, \dots, \mathbf{x}^{(t)}) = x^{(1)}_i \oplus x^{(2)}_i \oplus \dots \oplus x^{(t)}_i$

Yao's XOR lemma  $\Rightarrow \mathbf{h}$  is hardcore for  $\mathbf{F}'$

# Goldreich Levin

Let  $\mathbf{F}$  be a OWP with domain  $\{0,1\}^n$  and range  $Y$

Let  $\mathbf{F}':\{0,1\}^{2n} \rightarrow \{0,1\}^n \times Y$  be:

$$\mathbf{F}'(r,x) = r, \mathbf{F}(x)$$

Define  $\mathbf{h}(r,x) = \langle r,x \rangle = \sum r_i x_i \pmod 2$

**Theorem (Goldreich-Levin):** If  $\mathbf{F}$  is one-way, then  $\mathbf{h}$  is a hc bit for  $\mathbf{F}'$

# OWF $\rightarrow$ PRGs

Yao, Goldreich-Levin also work for general OWFs

However,  $(F(x), h(x))$  may not be a PRG for a general OWF

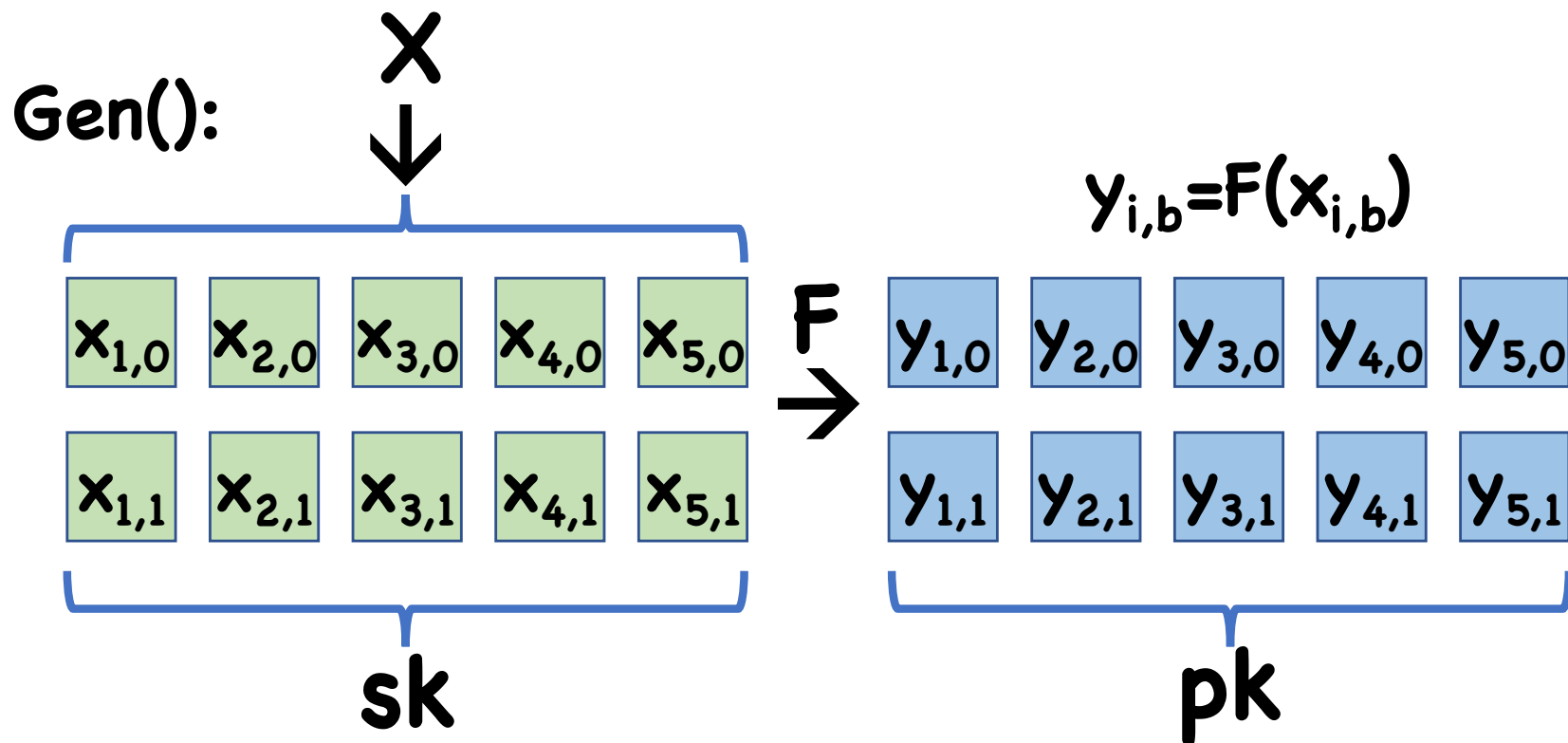
- Output may be shorter than input
- $F$  may be biased

With some effort, can build PRF from any one-way function using similar ideas

# Lamport Signatures

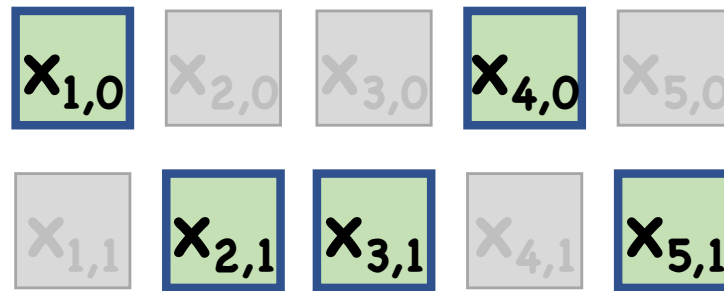
Let  $F: X \rightarrow Y$  be a one-way function

Let  $M = \{0,1\}^n$  be message space

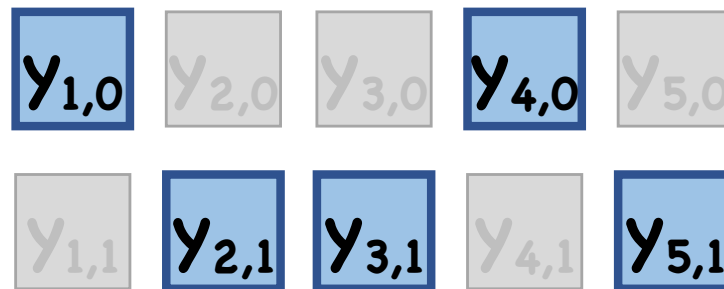


# Lamport Signatures

**Sign(sk, m):**  $(x_{i,m_i})_{i=1,\dots,n}$



**Ver(pk,m,σ):**  $F(x_{i,m_i}) = y_{i,m_i}$





# Lamport Signatures

**Theorem:** If  $\mathbf{F}$  is a secure OWF, then **(Gen, Sign, Ver)** is a (weakly) secure one-time signature scheme

# Proof



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# Proof

Since  $\mathbf{m}^* \neq \mathbf{m}$ ,  $\exists i$  s.t.  $m_i^* \neq m_i$

Suppose we know  $i$ ,  $m_i = 1-b$ ,  $m_i^* = b$

Construct adversary that inverts OWF

# Proof



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$y^*$


$\nwarrow F$

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| $x_{1,0}$ | $x_{2,0}$ | $i, b$ | $x_{4,0}$ | $x_{5,0}$ |
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$x^*$

# Proof

View of  exactly as in 1-time CMA experiment, assuming

- $i$ th bit of  $\mathbf{m} = \mathbf{b}$
- $i$ th bit of  $\mathbf{m}^* = 1 - \mathbf{b}$

If  always chooses  $\mathbf{m}, \mathbf{m}^*$  with these properties, and forges with probability  $\epsilon$ , then  inverts with probability  $\epsilon$

# Proof

In general,  may choose  $\mathbf{m}, \mathbf{m}^*$  to differ at arbitrary places

- May be randomly chosen, may depend on  $\mathbf{pk}$ , may even depend on  $\sigma$
- May never be at certain places

How do we make  still succeed?

# Proof



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| $y_{1,0}$ | $y_{2,0}$ | $y^*$ | $y_{4,0}$ | $y_{5,0}$ |
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| $x_{1,0}$ | $x_{2,0}$ | $x^*$ | $x_{4,0}$ | $x_{5,0}$ |
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| $x_{1,1}$ | $x_{2,1}$ | $x_{3,1}$ | $x_{4,1}$ | $x_{5,1}$ |
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$i, b \leftarrow [n] \times \{0, 1\}$   $y^*$



$\nwarrow F$

|           |           |        |           |           |
|-----------|-----------|--------|-----------|-----------|
| $x_{1,0}$ | $x_{2,0}$ | $i, b$ | $x_{4,0}$ | $x_{5,0}$ |
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If need  $x_{i,b}$ , abort

If no  $x_{i,b}$ , abort  $x^*$

# Proof

**pk** independent of **(i,b)**

- **m** independent of **(i,b)**
- Therefore,  **$\Pr[m_i=1-b]=\frac{1}{2}$**

Conditioned on  **$m_i=1-b$** ,

- Signing succeeds
- **$\sigma$**  independent of **i**
-  forges with probability  **$\epsilon$** , independent of **i**



# Proof

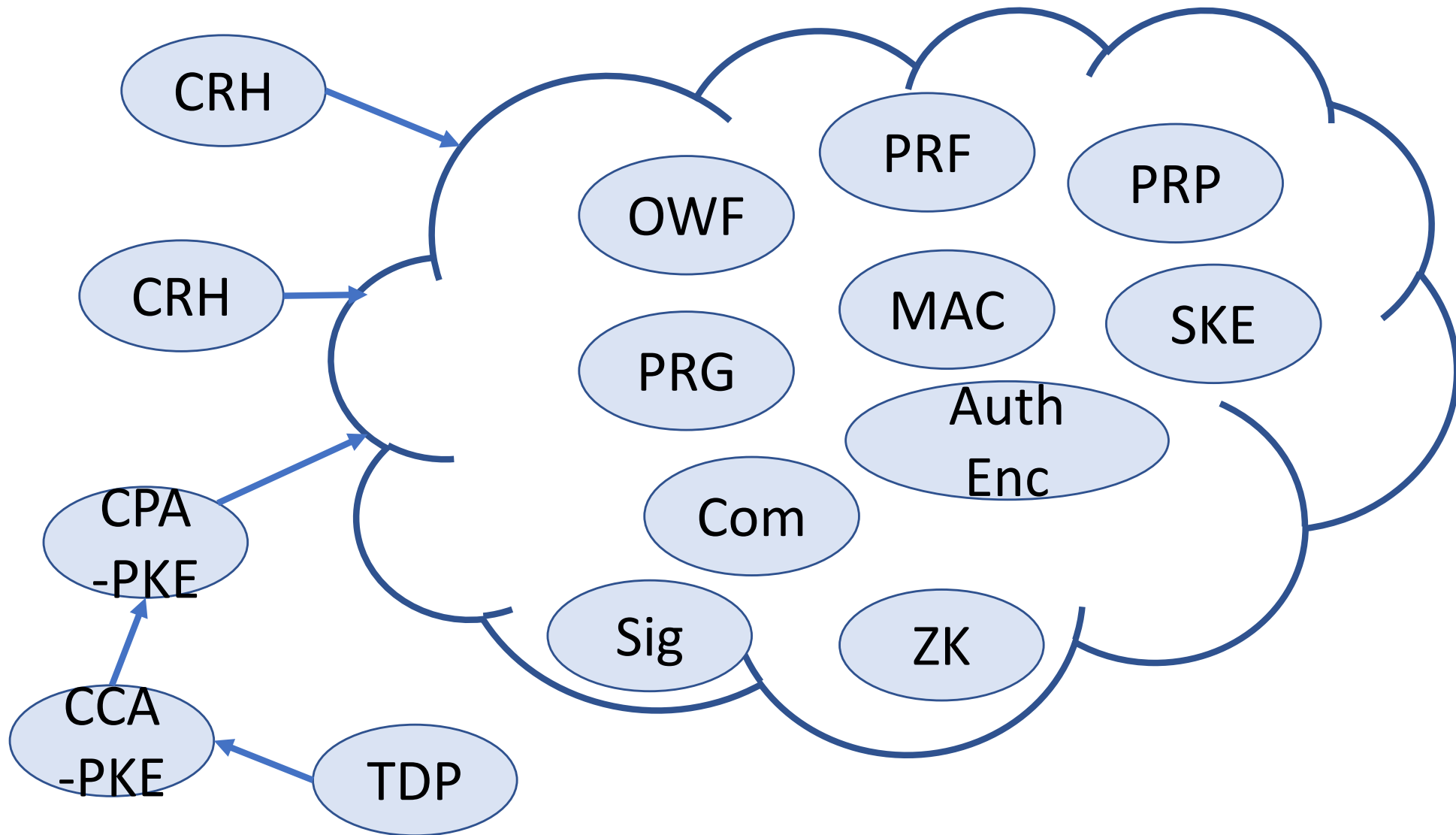
We know if  forges, then  $\mathbf{m}^* \neq \mathbf{m}$

Since  $\mathbf{m}^*$  independent of  $\mathbf{i}$ , have prob at least  $1/n$   
that  $\mathbf{m}^*_{i=1-m_i} = \mathbf{b}$

In this case,  succeeds in inverting  $\mathbf{y}^*$

• Prob =  $\frac{1}{2} \times \epsilon \times \frac{1}{n} = \epsilon/2n$

# What's Known



# Generally Believed That...

OWF  $\Rightarrow$  CRHF, OWP, PKE

CRHF  $\Rightarrow$  OWP, PKE

OWP  $\Rightarrow$  CRHF, PKE

PKE  $\Rightarrow$  CRHF

# Black Box Separations

How do we argue that you cannot build collision resistance from one-way functions?

- We generally believe both exist!

Observation: most natural constructions treat underlying objects as black boxes (don't look at code, just input/output)

Maybe we can rule out such natural constructions

# Black Box Separations

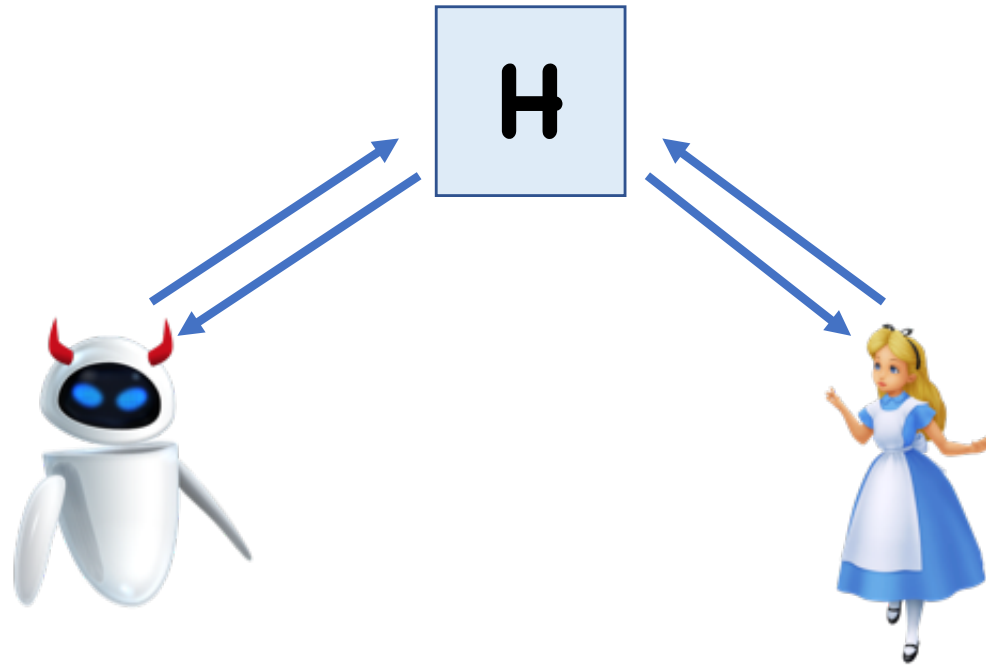
Present a world where one-way functions exist, but collision resistance does not

Hopefully, natural (black box) constructions make sense in this world

- Can construct PRGs, PRFs, PRPs, Auth-Enc, etc

# Separating PKE from OWF, CRHF

Recall: random oracle model



Computation power is unlimited, but number of calls to random oracle is polynomial

# Separating PKE from OWF

In ROM, despite unlimited computational power, one-way functions, CRHF exist

- **$F(x) = H(x)$**
- Can only invert oracle by brute-force search (exponentially many queries)
- Can only find collisions by birthday attack (also exponentially many queries)

# Separating PKE from OWF

**Theorem:** If  $H$  is a random oracle, then for any PKE in which Alice and Bob make at most  $n$  queries, there is an (inefficient) adversary that makes at most  $O(n^2)$  queries

Intuition: if Alice can send message to Bob, then either  
(1) Message can be learned from communication alone, or  
(2) Alice and Bob must have a common RO query

In case (2), Alice and Bob's RO queries can't have too much entropy  $\rightarrow$  Adversary can learn with few queries



Cryptocurrency/Blockchain

# Features of Physical Cash

Essentially anonymous

Hard to counterfeit

Easy to verify

# Limitations of Physical Cash

Cannot be used online

- Instead, need to involve banks
- Banks see all transactions
- Merchants can also track you

Requires central government to issue

- Ok for most people in US, but maybe you don't trust the government

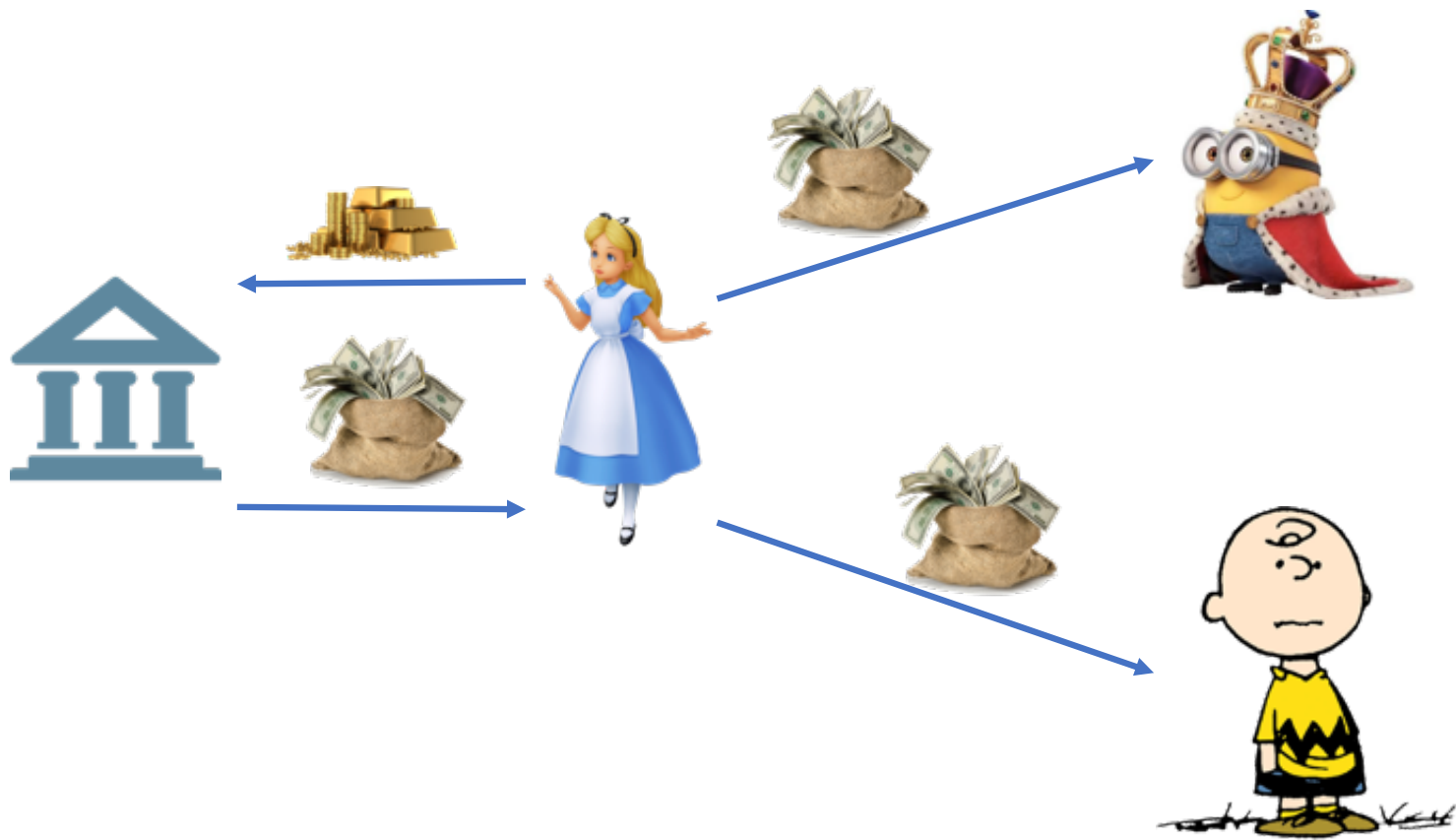
# Digital Cash

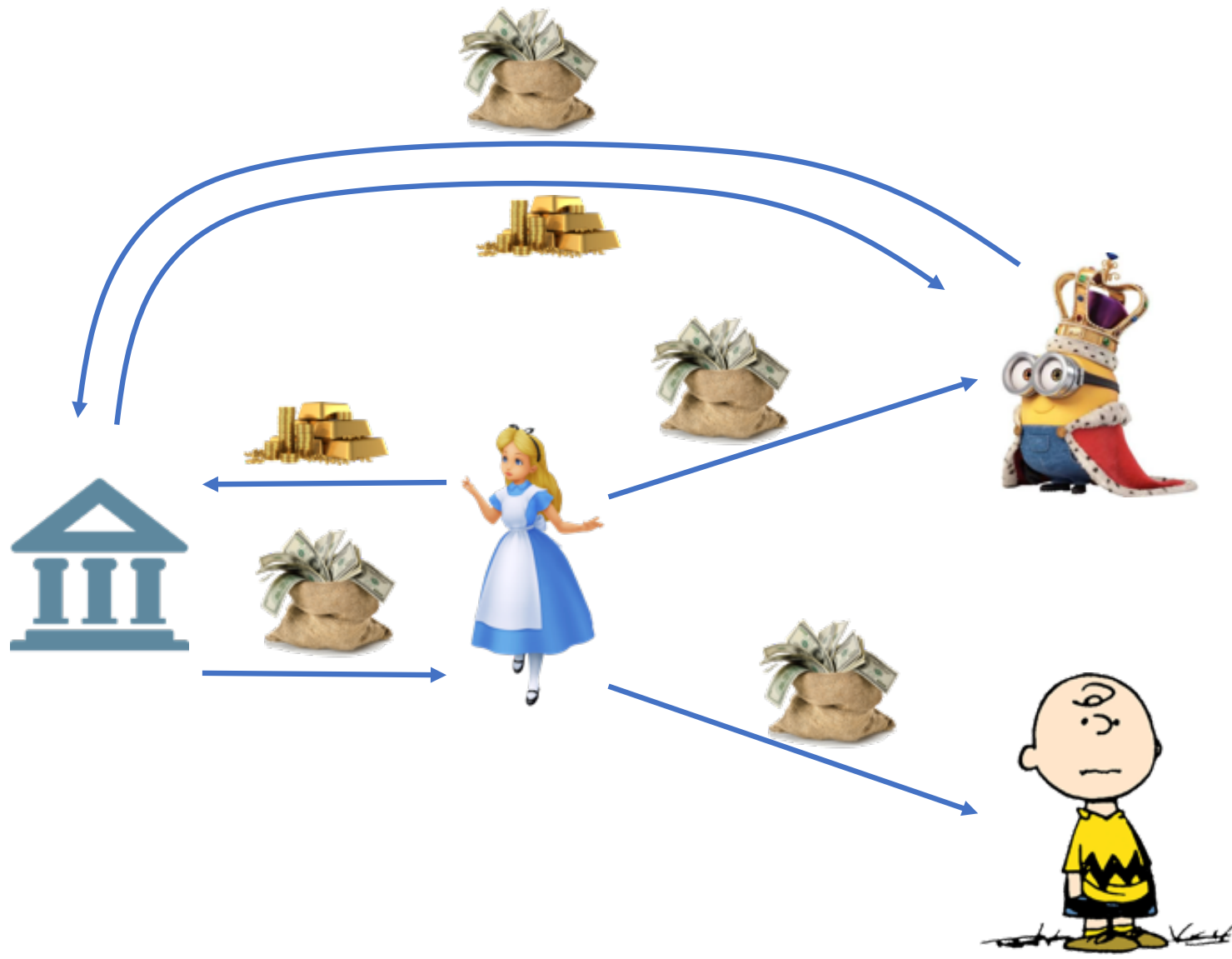
Currency is now 1s and 0s

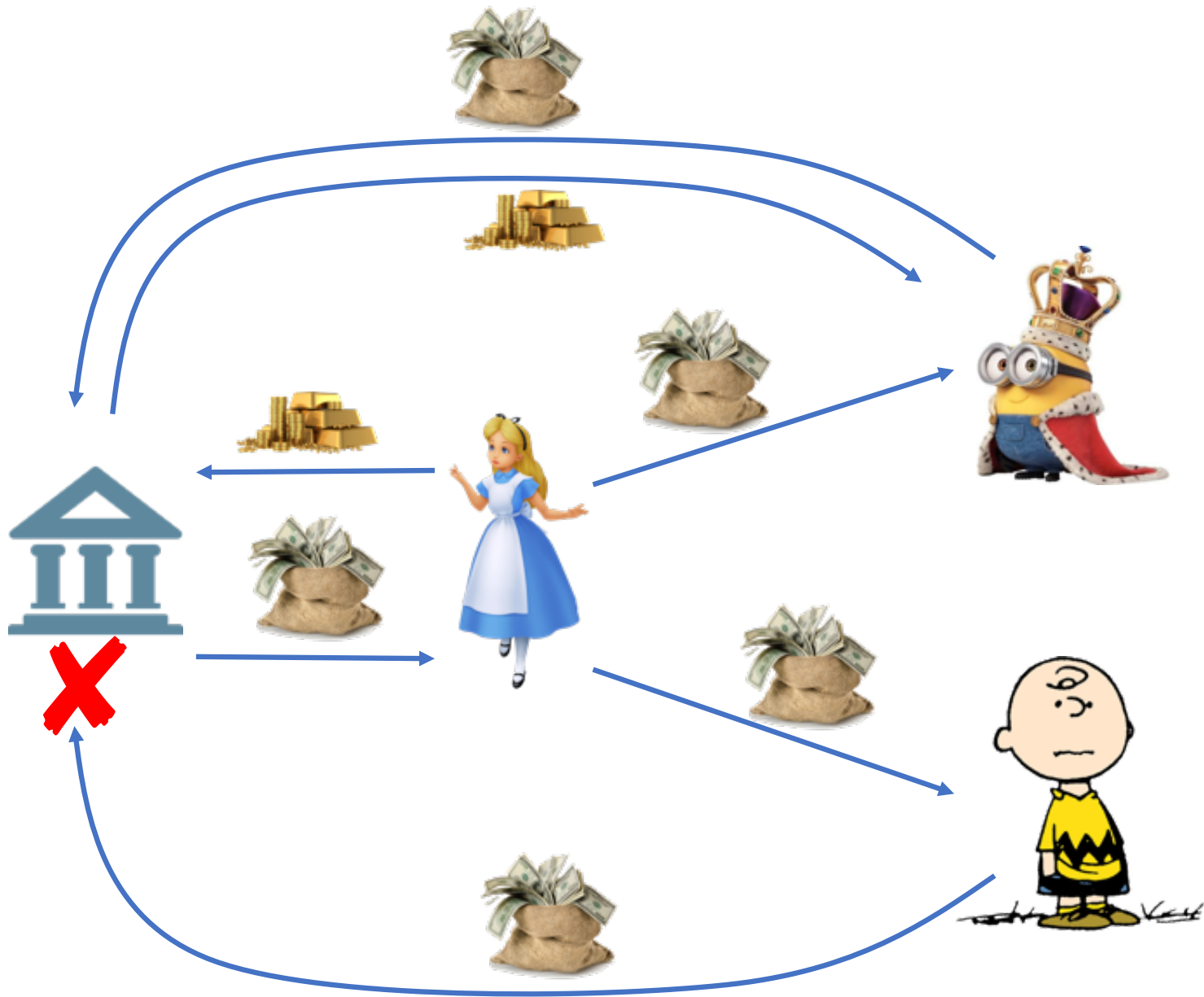
Crypto can make digital currency easy to verify, hard to mint

**Major challenge: prevent double spending  
(Also decentralizing minting process)**











# Solution: Public Ledger

Bank transfers \$\$ to Alice

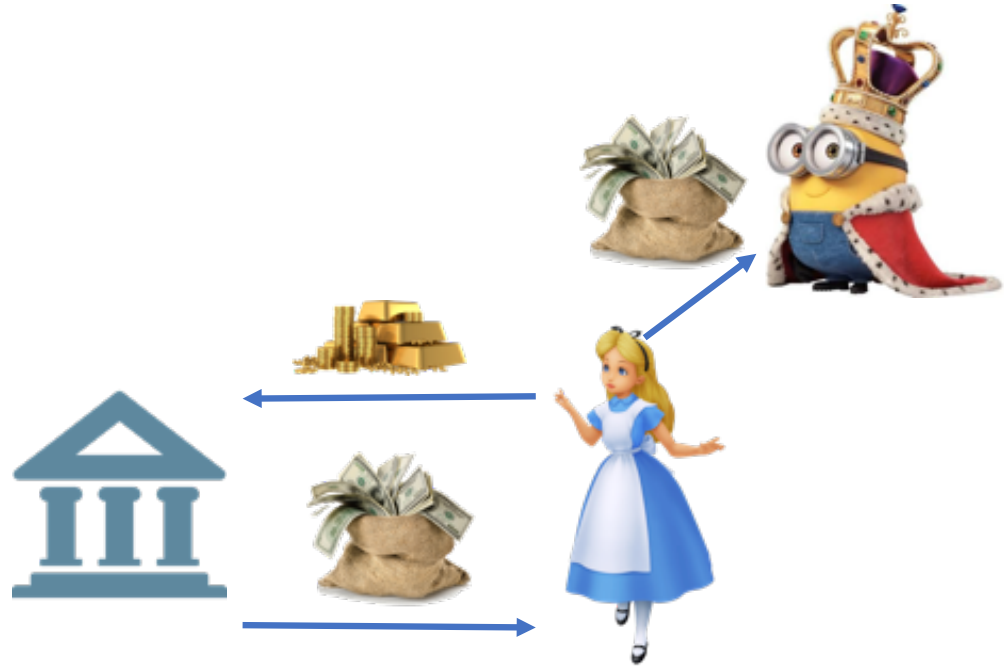
Each bill has unique serial number



# Solution: Public Ledger

Bank transfers \$\$ to Alice

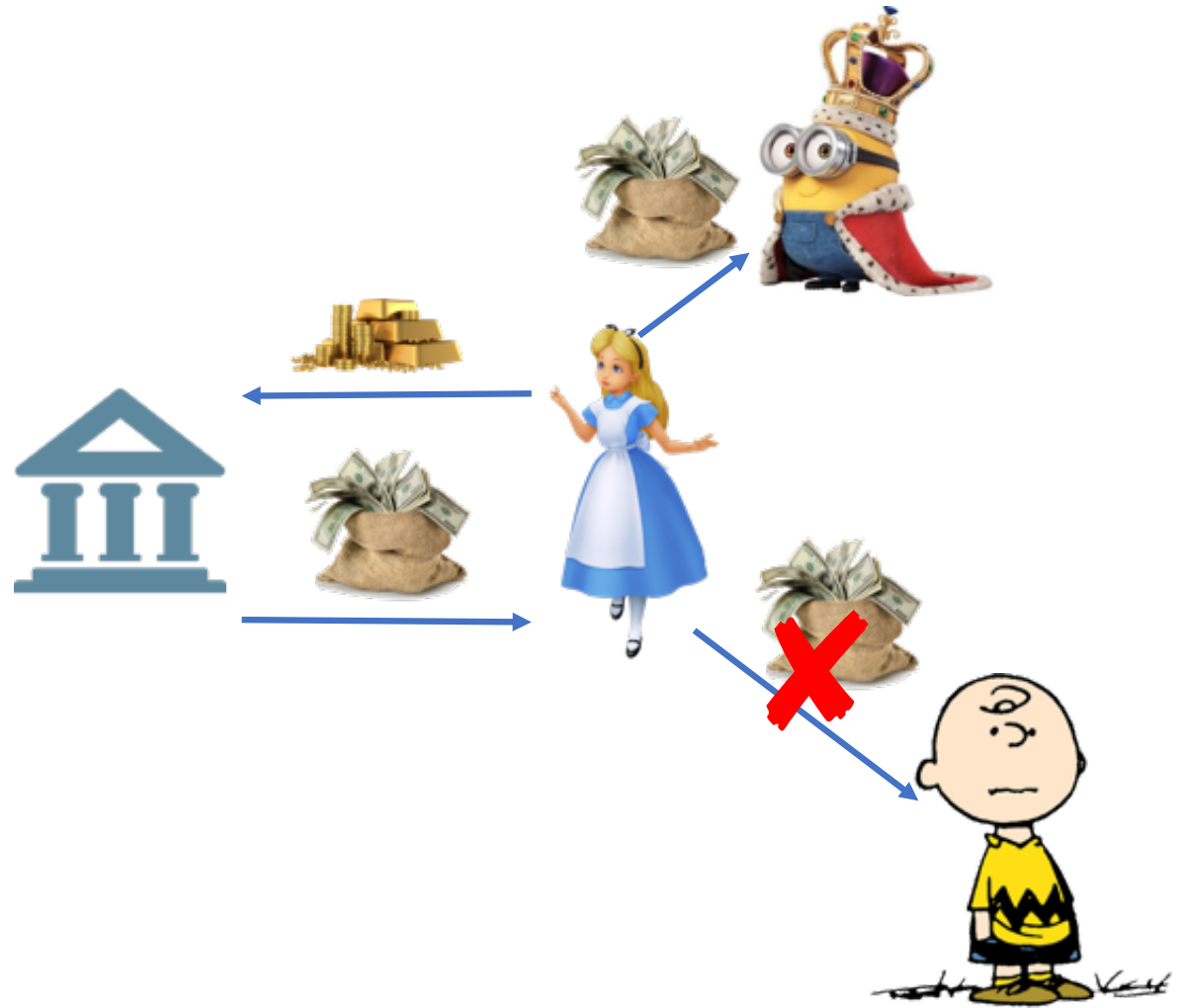
Alice transfers \$\$ to Bob



# Solution: Public Ledger

Bank transfers \$\$ to Alice

Alice transfers \$\$ to Bob



# Solution: Public Ledger

Bank maintain ledger?

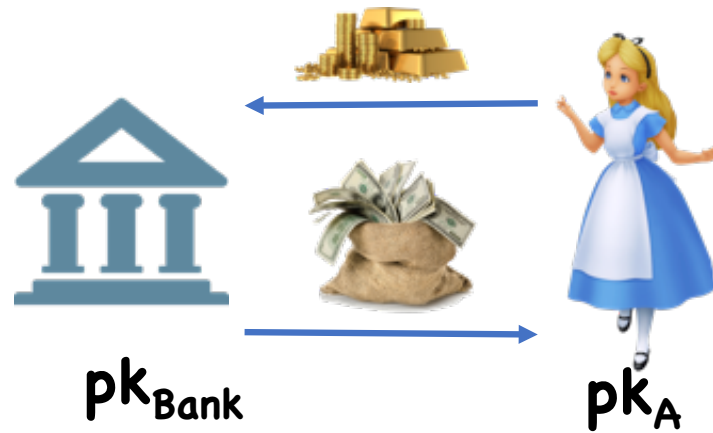
- But then bank must be involved in every transaction
- How does bank prevent malicious Bob from claiming Alice transferred money to him?

Anonymity also lost, since all transactions public

# Solution: Use Signatures

$pk_{\text{Bank}}$  transfers \$\$ to  $pk_A$ ,  $\sigma_1$

$\sigma_1 = \text{Sign}(sk_{\text{Bank}}, \text{"}pk_{\text{Bank}} \text{ transfers } \$\$ \text{ to } pk_A\text{"})$

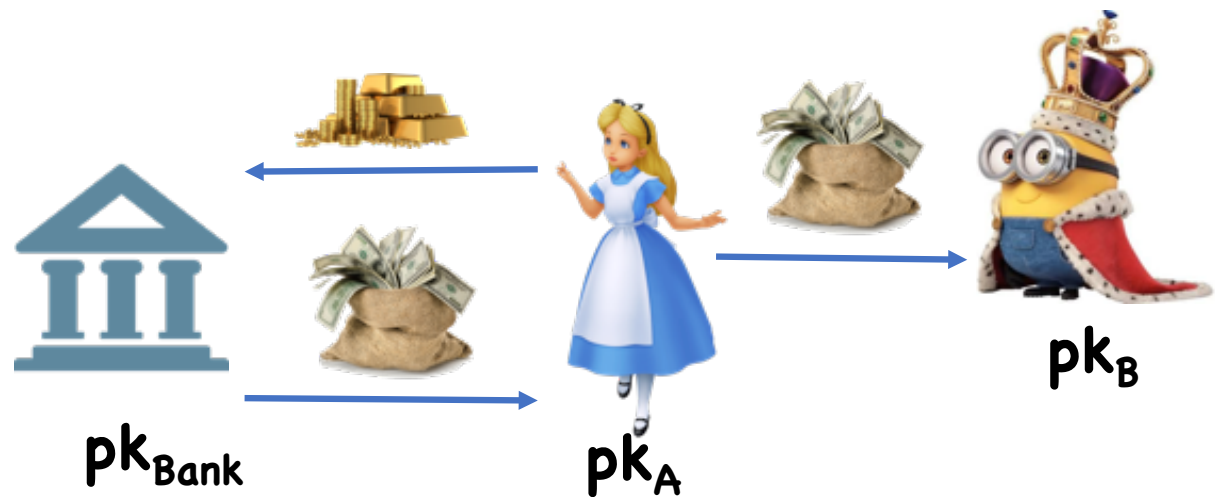


# Solution: Use Signatures

$pk_{\text{Bank}}$  transfers \$\$ to  $pk_A$ ,  $\sigma_1$

$pk_A$  transfers \$\$ to  $pk_B$ ,  $\sigma_2$

$\sigma_2 = \text{Sign}(sk_A, \text{"pk}_A \text{ transfers \$\$ to pk}_B \text{"})$



# Solution: Use Signatures

By using public key as identity, transactions not immediately traced to individual

- Though can still trace sequences of transactions

By signing, prevents Bob from claiming Alice gave him money when she didn't

# Decentralized Currency

Removing the bank is hard:

- How is ledger maintained?
- How to prevent ledger from being tampered with
- Who mints new currency?
- How do we limit supply?



# Proofs of Work

Prove that some amount of computation has been performed

Ex:

- Let  $H$  be a hash function (modeled as a RO)
- An input  $x$  such that  $H(x) = 0^{t*****}$  is a “proof” that you computed approximately  $2^t$  hashes

# Proofs of Work and Cryptocurrency

Idea: currency is a proof of work

- Limits supply of money, so keeps inflation in check
- Now, anyone can mint new money

Proofs of work not the only option

- Proofs of stake
- Proofs of space

# Blockchain

Immutable public ledger

Block:

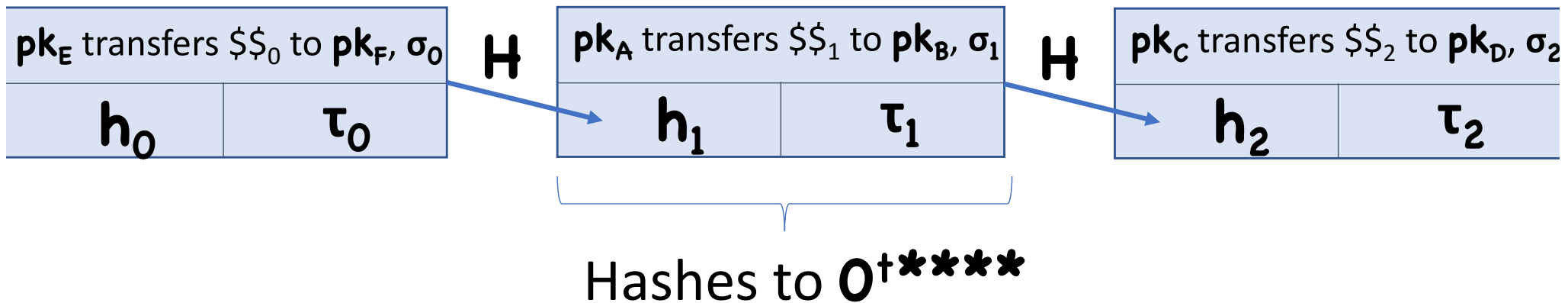
|  |          |
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| $pk_A$ transfers $\$ \$_1$ to $pk_B, \sigma_1$ |          |
| $h_1$  | $\tau_1$ |

Hashes to  $0^{t****}$

# Blockchain

Immutable public ledger

Block:



# Blockchain

By making each block a proof of work, hard to modify blockchain

So proofs of work used to:

- Mint new money
- Add transactions to blockchain

Why would anyone go through the effort of adding transactions to the blockchain?

# Blockchain

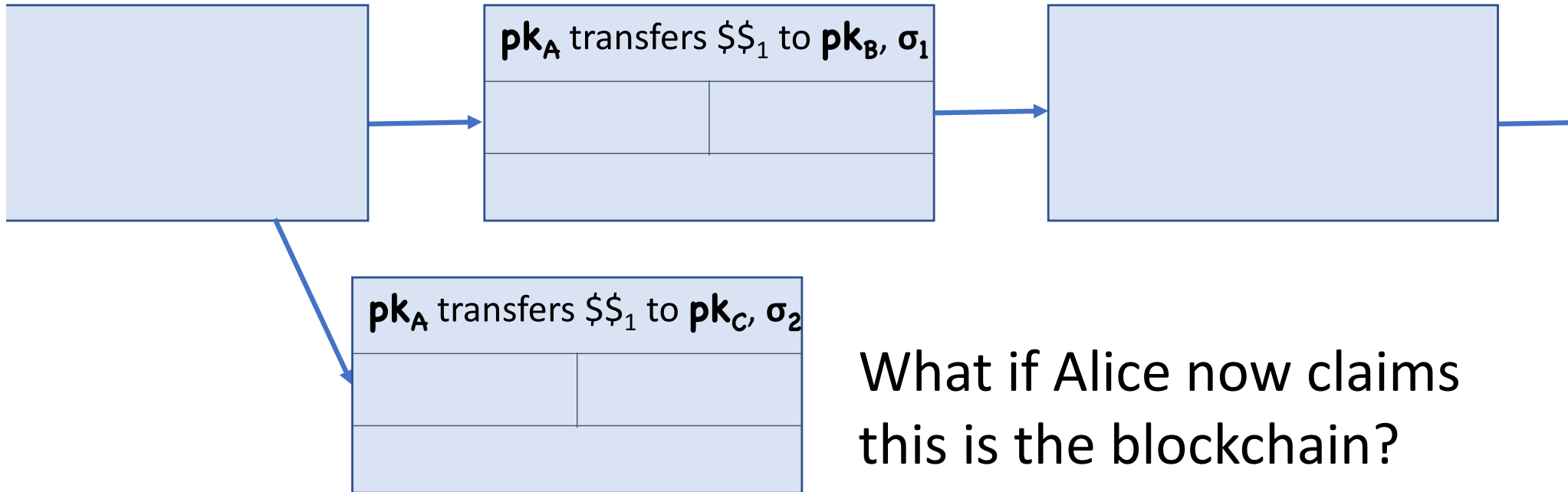
Idea: combine minting and adding blocks

Block:

|  |          |
|--|----------|
| $pk_A$ transfers $\$ \$_1$ to $pk_B, \sigma_1$ |          |
| $h_1$  | $\tau_1$ |
| $pk_M$ mined $\$ \$_M$                         |          |

Hashes to  $0^{*****}$

# Double Spending



What if Alice now claims this is the blockchain?

# Double Spending

To prevent double spending, everyone always uses longest chain as the blockchain

If Alice tries to double spend, she will need to create a separate chain that is as long as the main chain

- As long as she has  $\ll 50\%$  of computing power of mining power, will not be possible