

# Approximation algorithms

Let  $P$  be an optimization problem, and  $I$  be an input.

- $P$  is computationally hard (e.g. NP-hard) to solve optimally.
- aim to design efficient algorithms that output a "good" solution for  $P$ .

General idea:

Identify a different optimization problem  $P'$ , s.t.

- $P' \approx P$  on every input  $I$
- $P'$  can be solved efficiently

Then solve  $P'$  instead of  $P$ .

How do we define " $P' \approx P$ "?

- Suppose  $P$  is a minimization problem.  $OPT(I)$  be the value of the optimal solution to input  $I$  for  $P$ . Then the value of the optimal solution for  $P'$ ,  $OPT'(I)$

satisfies

$$\text{OPT}(I) \leq \text{OPT}'(I) \leq C \cdot \text{OPT}(I).$$

then this is a  $C$ -approximation.

## Vertex Cover

Given  $G=(V,E)$ , find the smallest  $S \subseteq V$  st. every edge is incident on a vertex in  $S$ .

(NP-hard)

$P'$ : matching.

$$\text{VC} \geq |\text{matching}|$$

Lemma: Let  $M$  be any matching of  $G$ ,  $S$  be a vertex cover.  $|S| \geq |M|$ .

Proof. Every edge in  $M$  must be covered by a different vertex in  $S$ . □

Def. A matching  $M$  is called maximal if no edge  $e$  can be added to  $M$  st.  $M \cup \{e\}$  is also a matching.

A maximal matching can be computed in  $O(m+n)$  time.

- Greedily add edges, as long as the new edge

does not share a vertex with the previous edges.

Lemma: Let  $M$  be a maximal matching.  $S$  be the set of vertices consisting both endpoints of edges in  $M$ . Then  $S$  is a vertex cover.

Proof. If  $e$  is not covered by  $S$ , then  $e$  doesn't share vertices with all edges in  $M$ .  $M \cup \{e\}$  would be a matching. Contradiction.  $\square$

Two lemmas  $\Rightarrow$

$$OPT \leq 2 \cdot |M| \leq 2 \cdot OPT.$$

Outputting endpoints of a maximal matching is 2-approximation.

Travelling Salesman Problem

Given an undirected  $G=(V,E)$  with edge

cost  $c: E \rightarrow \mathbb{R}^{\geq 0}$ , find a min cost Hamiltonian Cycle.

Metric TSP

Further assume  $c$  satisfies the triangle inequality.

$$c(x, y) \leq c(x, z) + c(z, y)$$

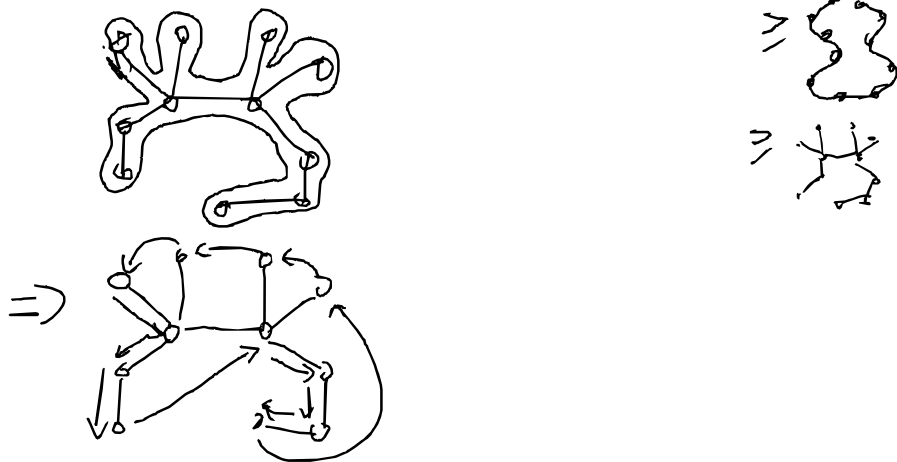
$P'$ : MST

Lemma:  $|MST| \leq |TSP|$ .

Proof. Any TSP solution is a Hamiltonian path + one edge.

A Hamiltonian Path is a spanning tree.  $\geq$  MST.  $\square$

Consider a DFS tour of the MST.



Shortcut the tour by skipping visited vertices.

- Triangle inequality  $\Rightarrow$  shortcutting reduces the total cost.
- Turn into a Hamiltonian Cycle.

The DFS tour visits each edge exactly twice  
of MST

$$2|MST| = |\text{DFS tour}| \geq |\text{short cut DFS tour}|.$$

$\approx$

2-TSP.

This gives a 2-approximation.

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Christofides Algo.

DFS tour  $\Leftrightarrow$  double each edge &  
find an Eulerian tour.

It suffices to add some edges to MST s.t.  
an Eulerian tour exists.

Add a matching to all odd deg vertices!

Approx-TSP

$T$  = the MST of  $G$

$S$  = the set of odd deg vertices in  $T$ .

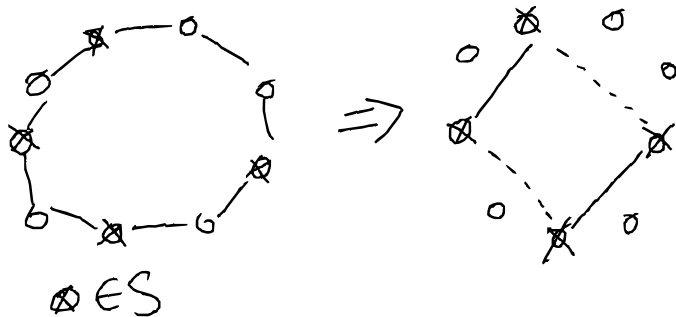
$M$  = the min cost perfect matching between vertices in  $S$ .

Compute an Eulerian tour of  $T \cup M$ .

Return the shortcut Eulerian tour.

Lemma:  $|M| \leq \frac{1}{2} \cdot |TSP|$ .

Proof sketch: A Hamiltonian Cycle  
 $\Rightarrow$  two matchings.



$|Approx-TSP| \leq |T| + |M| \leq 1.5 |TSP|$ .

1.5-approximation.