

# *COS320: Compiling Techniques*

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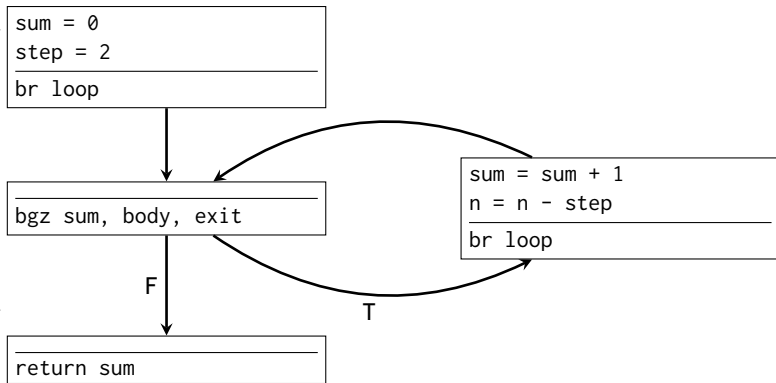
January 29, 2026

## *Data flow analysis*

## Recall: constant propagation

- A **constant environment** is a symbol table mapping each variable  $x$  to one of:
  - an integer  $n$  (indicating that  $x$ 's value is  $n$  whenever the program is at  $I$ )
  - $\top$  (indicating that  $x$  might take more than one value at  $I$ )
  - $\perp$  (indicating that  $x$  may take no values at run-time -  $I$  is unreachable)
- An *assignment* **IN**, **OUT** :  $N \rightarrow \text{ConstEnv}$  for a CFG  $(N, E, s)$  maps each vertex to
  - **IN**[ $bb$ ]: a constant environment that holds immediately *before*  $bb$
  - **OUT**[ $bb$ ]: a constant environment that holds immediately *after*  $bb$
- Say that an assignment **IN**, **OUT** is **conservative** if
  - 1 **IN**[ $s$ ] assigns each variable  $\top$
  - 2 For each node  $bb \in N$ ,
$$\text{OUT}[bb] \supseteq \text{post}(bb, \text{IN}[bb])$$
  - 3 For each edge  $src \rightarrow dst \in E$ ,
$$\text{IN}[dst] \supseteq \text{OUT}[src]$$

```
int sum2(int n) {  
    int sum = 0;  
    int step = 2;  
    while (n > 0) {  
        sum = sum + 1;  
        n = n - step;  
    }  
    return sum;  
}
```



## High-level constant propagation algorithm

- Initialize  $\mathbf{IN}[s]$  to the constant environment that sends every variable to  $\top$  and  $\mathbf{OUT}[s]$  to the constant environment that sends every variable to  $\perp$ .
- Initialize  $\mathbf{IN}[bb]$  and  $\mathbf{OUT}[bb]$  to the constant environment that sends every variable to  $\perp$  for every other basic block

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- Initialize  $\text{IN}[bb]$  and  $\text{OUT}[bb]$  to the constant environment that sends every variable to  $\perp$  for every other basic block
- Choose a constraint that is *not* satisfied by  $\text{IN}$ ,  $\text{OUT}$ 
  - If there is basic block  $bb$  with  $\text{OUT}[bb] \not\sqsupseteq \text{post}(bb, \text{IN}[bb])$ , then set

$$\text{OUT}[bb] := \text{post}(bb, \text{IN}[bb])$$

- If there is an edge  $\text{src} \rightarrow \text{dst} \in E$  with  $\text{IN}[\text{dst}] \not\sqsupseteq \text{OUT}[\text{src}]$ , then set

$$\text{IN}[\text{dst}] := \text{IN}[\text{dst}] \sqcup \text{OUT}[\text{src}]$$

- Terminate when all constraints are satisfied.

Some vocabulary:

- Define  $\text{pred}(n) = \{m \in N : m \rightarrow n \in E\}$  (control flow predecessors)
- Define  $\text{succ}(n) = \{m \in N : n \rightarrow m \in E\}$  (control flow successors)
- Path = sequence of nodes  $n_1, \dots, n_k$  such that for each  $i$ , there is an edge from  $n_i \rightarrow n_{i+1} \in E$

## Workset algorithm

**Input** : Control flow graph  $(N, E, s)$ , with variables  $x_1, \dots, x_n$

**Output**: Least conservative assignment of constant environments



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$work \leftarrow N$ ;

/\* Set of nodes that may violate spec \*/

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**while**  $work \neq \emptyset$  **do**

**return** **IN**, **OUT**

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**if**  $\text{old} \neq \text{OUT}[n]$  **then**

$\text{work} \leftarrow \text{work} \cup \text{succ}(n)$

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## Common subexpression elimination

- Common subexpression elimination searches for expressions that
  - appear at multiple points in a program
  - evaluate to the same value at those pointsand (possibly) save the cost of re-evaluation by storing that value.

---

```
void print (long *m, long n) {  
    long i,j;  
    for (i = 0; i < n*n; i += n) {  
        for (j = 0; j < n; j += 1) {  
            printf(' %ld', *(m + i + j));  
        }  
        if (i + n < n*n) {  
            printf(' \n');  
        }  
    }  
}
```

---

→

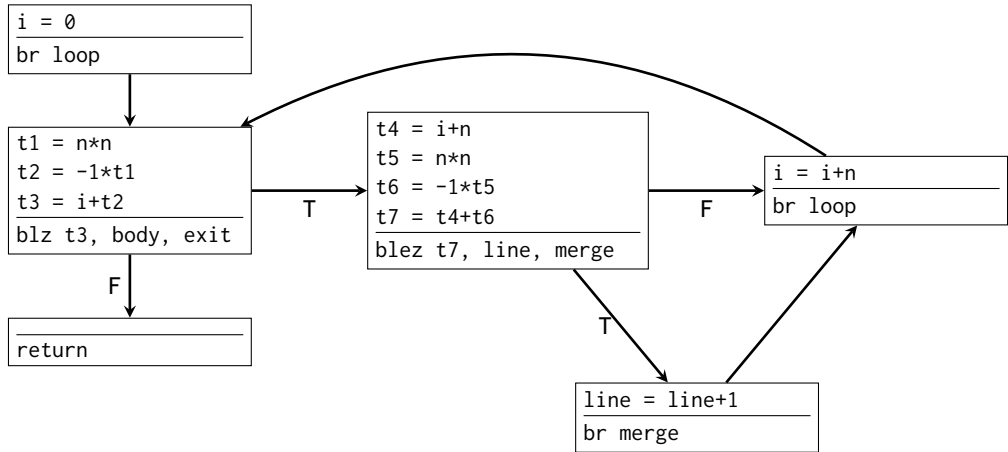
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```
void print (long *m, long n) {  
    long i,j;  
    long n_times_n = n*n;  
    for (i = 0; i < n_times_n; ) {  
        for (j = 0; j < n; j += 1) {  
            printf(' %ld', *(m + i + j));  
        }  
        long i_plus_n = i+n;  
        if (i_plus_n < n_times_n) {  
            printf(' \n');  
        }  
        i = i_plus_n;  
    }  
}
```

---

## Available expressions

- An *expression* in our simple imperative language has one of the following forms:
  - add <opn> <opn>
  - mul <opn> <opn>
- Fix control flow graph  $G = (N, E, s)$
- An expression  $e$  is **available** at basic block  $n \in N$  if for every path from  $s$  to  $n$  in  $G$ :
  - 1 the expression  $e$  is evaluated along the path
  - 2 after the *last* evaluation of  $e$  along the path, no variables in  $e$  are overwritten
- Idea: if expression  $e$  is available at node  $n$ , then we can eliminate redundant computations of  $e$  within  $n$





## Propagating available expressions

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*Assuming* the set of expressions  $E$  is available *before* the instruction, what expressions are available *after* the instruction?

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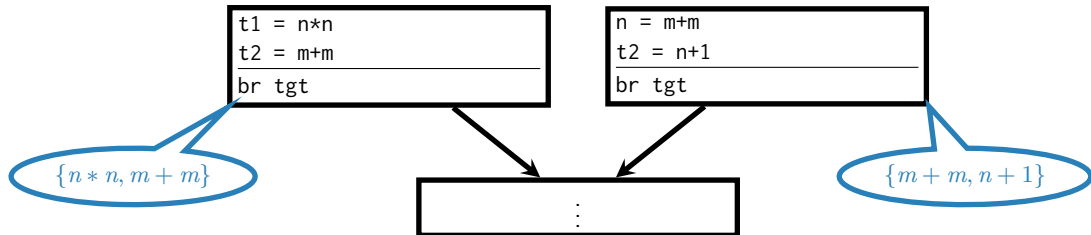
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- How do we propagate available expressions through a basic block?
  - Block takes the form  $instr_1, \dots, instr_n, term.$   
take  $post_{AE}(block, E) = post_{AE}(instr_n, \dots post_{AE}(instr_1, E))$

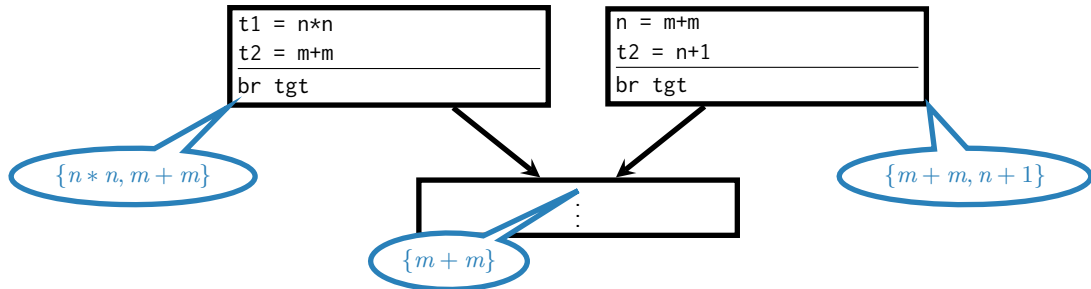
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- How do we combine information from multiple predecessors? *Intersection*



## Available expressions as a constraint system

- Let  $G = (N, E, s)$  be a control flow graph.
- For each basic block  $bb \in N$ , associate two sets of expressions,  $\text{IN}[bb]$  and  $\text{OUT}[bb]$ 
  - $\text{IN}[bb]$  is the set of expressions available at the *entry* of  $bb$
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$$\text{IN}[\text{dst}] \subseteq \text{OUT}[\text{src}]$$
- Fact: if  $\text{IN}, \text{OUT}$  is a conservative assignment, then:
  - If  $e \in \text{IN}[bb]$ , then  $e$  is available at entry of  $bb$
  - Similarly for  $\text{OUT}$

## Workset algorithm

**Input** : Control flow graph  $(N, E, s)$ , with expressions  $U$

**Output**: Greatest conservative assignment of available expressions

$\text{IN}[s] = \emptyset$ ;

$\text{OUT}[s] = U$ ;

$\text{IN}[n] = \text{OUT}[n] = U$  for all other nodes  $n$ ;

$\text{work} \leftarrow N$ ;

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**return**  $\text{IN}, \text{OUT}$

## Constant propagation

## Available expressions

Want *smallest* assignment **IN**, **OUT** such that

- $\mathbf{IN}[s] = \{x_1 \mapsto \top, \dots, x_n \mapsto \top\}$
- For each  $n \in N$ ,  
 $\mathbf{OUT}[n] \supseteq \text{post}_{\text{CP}}(n, \mathbf{IN}[n])$
- For each  $p \rightarrow n \in E$ ,  $\mathbf{OUT}[p] \subseteq \mathbf{IN}[n]$

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- **Commonality:** constant propagation and available expressions are characterized by **optimal solutions** to a system of local constraints

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- **Commonality:** constant propagation and available expressions are characterized by **optimal solutions** to a system of local constraints

- “Local”: defined in terms of *edges*; contrast with “global”, which depends on the structure of the whole graph (e.g., paths)
- The algorithms for constant propagation & available expressions are *essentially the same*

## Dataflow analysis

- *Dataflow analysis* is an approach to program analysis that unifies the presentation and implementation of many different analyses
  - **Formulate** problem as a system of constraints
  - **Solve** the constraints iteratively (using some variation of the workset algorithm)

# Dataflow analysis

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# Dataflow analysis

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- What now:
  - General theory & algorithms
  - Conditions under which the approach works
  - Guarantees about the solution
- Not covered: *abstract interpretation* – a general theory for relating program analysis to program semantics
  - What does it mean for a constraint system to be correct?
  - How do we prove it?

A (forward) dataflow analysis consists of:

- An **abstract domain**  $\mathcal{L}$ 
  - Defines the space of program “properties” that we are interested in
- An **abstract transformer**  $post_{\mathcal{L}}$ 
  - Determines how each basic block transforms properties
  - i.e., if property  $p$  holds *before*  $n$ , then  $post_{\mathcal{L}}(n, p)$  is a property that holds *after*  $n$



## Abstract domains

An **abstract domain** is a set  $\mathcal{L}$  equipped with:

- A partial order  $\sqsubseteq$ 
  - $x \sqsubseteq y$  means that  $x$  represents more precise information about the program than  $y$ <sup>1</sup>
  - $\sqsubset$  denotes corresponding *irreflexive* relation

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- A *least upper bound* (“join”) operator,  $\sqcup$ 
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- A *least element* (“bottom”),  $\perp$ 
  - $\perp \sqsubseteq x$  for all  $x$
  - $\perp \sqcup x = x \sqcup \perp = x$  for all  $x$

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# Abstract domains

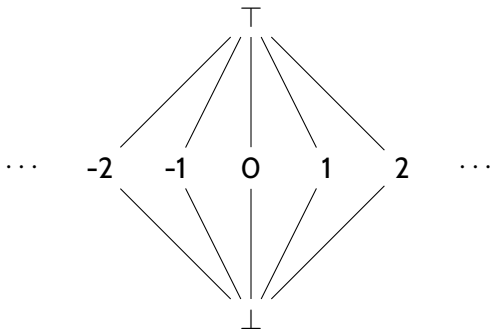
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- A *greatest element* (“top”),  $\top$ 
  - $x \sqsubseteq \top$  for all  $x$
  - $\top \sqcup x = x \sqcup \top = \top$  for all  $x$

---

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- Often convenient to depict partial order as *Haase diagram*
  - Draw a line from  $x$  to  $y$  if  $x \sqsubset y$  and there is no  $z$  with  $x \sqsubset z \sqsubset y$  ( $y$  **covers**  $x$ )
  - $x \sqsubseteq y$  iff there is a upwards path from  $x$  to  $y$



## Function spaces

- Constant environments are functions mapping *Variables*  $\rightarrow \mathbb{Z} \cup \{\perp, \top\}$

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  - Environments inherit *pointwise ordering*  $\sqsubseteq^*$  from the ordering  $\sqsubseteq$  on  $\mathbb{Z} \cup \{\perp, \top\}$ :  
 $f \sqsubseteq^* g$  iff  $f(x) \sqsubseteq g(x)$  for all  $x \in \text{Variables}$
  - There is a least and greatest environment

$$\perp^* = (\text{fun } x \rightarrow \perp)$$

$$\top^* = (\text{fun } x \rightarrow \top)$$

- Environments have least upper bounds

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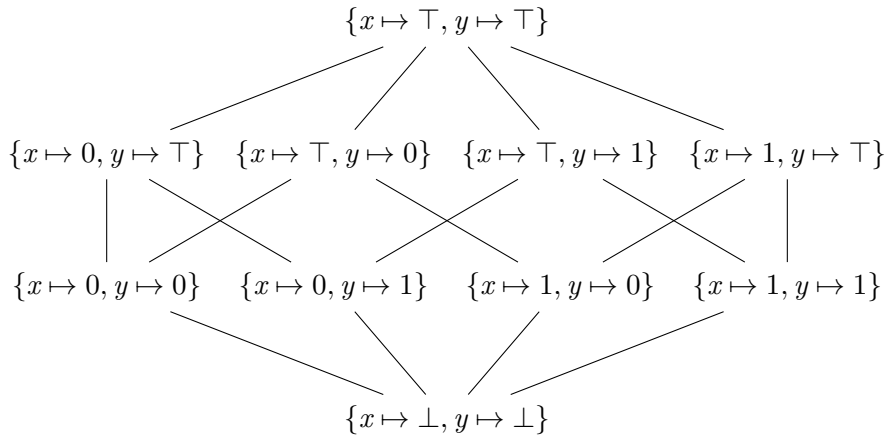
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- *This holds more generally:* If  $\mathcal{L}$  is an abstract domain and  $X$  is any set, the set of functions  $X \rightarrow \mathcal{L}$  is an abstract domain under the pointwise ordering.



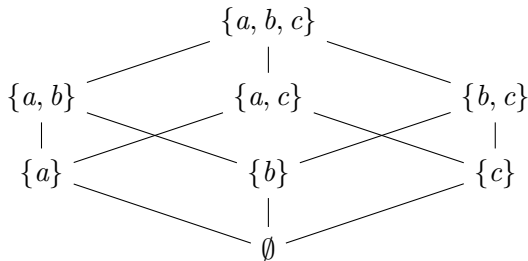


(Identifying  $\{x \mapsto \perp, y \mapsto \perp\}$  with all functions that map either  $x$  or  $y$  to  $\perp$ )

## Powersets

For any set  $X$ , the set  $2^X$  of subsets of  $X$  is an abstract domain:

- Order  $\subseteq$ , least element  $\emptyset$ , greatest element  $X$ , join  $\cup$
- Order  $\supseteq$ , least element  $X$ , greatest element  $\emptyset$ , join  $\cap$  (*Available Expressions*)



## Transfer functions

A transfer function  $post_{\mathcal{L}} : Basic\ Block \times \mathcal{L} \rightarrow \mathcal{L}$  maps each basic block & “pre-state” value to a “post-state” value

- Technical requirement:  $post_{\mathcal{L}}$  is **monotone**

$$x \sqsubseteq y \Rightarrow post_{\mathcal{L}}(n, x) \sqsubseteq post_{\mathcal{L}}(n, y)$$

(“more information in  $\Rightarrow$  more information out”)

- Note: monotonicity is *not* the same as  $x \sqsubseteq f(x)$  for all  $x$

## Generic (forward) dataflow analysis algorithm

- Given:
  - Abstract domain  $(\mathcal{L}, \sqsubseteq, \sqcup, \perp, \top)$
  - Transfer function  
 $post_{\mathcal{L}} : \text{Basic Block} \times \mathcal{L} \rightarrow \mathcal{L}$
  - Control flow graph  $G = (N, E, s)$
- Compute: *least* annotation **IN**, **OUT** such that
  - 1  $\mathbf{IN}(s) = \top$
  - 2 For all  $n \in N$ ,  $post_{\mathcal{L}}(n, \mathbf{IN}[n]) \sqsubseteq \mathbf{OUT}[n]$
  - 3 For all  $p \rightarrow n \in E$ ,  $\mathbf{OUT}[p] \sqsubseteq \mathbf{IN}(n)$

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- Given:
  - Abstract domain  $(\mathcal{L}, \sqsubseteq, \sqcup, \perp, \top)$
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- Compute: *least* annotation **IN**, **OUT** such that
  - $\mathbf{IN}(s) = \top$
  - For all  $n \in N$ ,  $post_{\mathcal{L}}(n, \mathbf{IN}[n]) \sqsubseteq \mathbf{OUT}[n]$
  - For all  $p \rightarrow n \in E$ ,  $\mathbf{OUT}[p] \sqsubseteq \mathbf{IN}(n)$

```
IN[s] =  $\top$ , OUT[s] =  $\perp$ ;  
IN[n] = OUT[n] =  $\perp$   
  for all other nodes n;  
work  $\leftarrow N$ ;  
while work  $\neq \emptyset$  do  
  | Pick some n from work;  
  | work  $\leftarrow work \setminus \{n\}$  ;  
  | old  $\leftarrow \mathbf{OUT}[n]$ ;  
  | IN[n]  $\leftarrow \bigsqcup_{p \in pred(n)} \mathbf{OUT}[p]$ ;  
  | OUT[n]  $\leftarrow post_{\mathcal{L}}(n, \mathbf{IN}[n])$ ;  
  | if old  $\neq \mathbf{OUT}[n]$  then  
  |   | work  $\leftarrow work \cup succ(n)$   
return IN, OUT
```

## Summary

- Program analyses share common structure
  - Can implement a single workset algorithm and get multiple analyses by “plugging in” different abstract domains and transfer functions
  - Can prove correctness of workset algorithm once-and-for-all in an abstract setting
- Next time: correctness of the general worklist algorithm