

COS320: Compiling Techniques

Zak Kincaid

January 29, 2026

Today: OCaml cont'd

OCaml review session **today** 6-8pm, room TBD

OCaml is an *expression-oriented language*

- An expression is something that evaluates to a value
 - Contrast to a *statement*, which expresses an action
- Example: In OCaml, variables are immutable
 - There is no statement can be used to over-write the value of a variable

OCaml is an *expression-oriented language*

- An expression is something that evaluates to a value
 - Contrast to a *statement*, which expresses an action
- Example: In OCaml, variables are immutable
 - There is no statement can be used to over-write the value of a variable
- Example: conditionals
 - In Java: **if** is a statement

```
if (x < 0) { x = -x; }
```

- In OCaml: **if** is an expression

```
if (x < 0) then -x else x
```

This is a matter of taste:

- OCaml has *reference cells*
 - `let x = ref 0 in exp (ref ~ malloc in C)`
 - Can over-write contents of reference cells: `x := e`
 - Can over-write fields of mutable records (~ C structs): `rec.field <- e`
 - Can over-write arrays: `array.(i) <- e`

This is a matter of taste:

- OCaml has *reference cells*
 - `let x = ref 0 in exp` (`ref` ~ `malloc` in C)
 - Can over-write contents of reference cells: `x := e`
 - Can over-write fields of mutable records (~ C structs): `rec.field <- e`
 - Can over-write arrays: `array.(i) <- e`
- OCaml has statements: `ref` cell assignment, `for` and `while` loops, sequencing
 - statements are expressions, which evaluate to () “unit”

```
let x = ref exp in (if (!x < 0) then x := -(!x) else (); !x)
```

This is a matter of taste:

- OCaml has *reference cells*
 - `let x = ref 0 in exp` (`ref` ~ `malloc` in C)
 - Can over-write contents of reference cells: `x := e`
 - Can over-write fields of mutable records (~ C structs): `rec.field <- e`
 - Can over-write arrays: `array.(i) <- e`
- OCaml has statements: `ref` cell assignment, `for` and `while` loops, sequencing
 - statements are expressions, which evaluate to () “unit”

```
let x = ref exp in (if (!x < 0) then x := -(!x) else (); !x)
```

Use sparingly

Imperative BST

```
type 'a node =
| Node of (int * 'a ref * 'a tree * 'a tree)
| Leaf
and 'a tree = ('a node) ref
let insert key value tree =
  let current = ref tree in
  let continue = ref true in
  while !continue do
    match !(current) with
    | Leaf ·>
      (!current) := Node (key, ref value, ref Leaf, ref Leaf)
    | Node (k, v, left, right) ·>
      if k = key then begin
        v := value;
        continue := false;
      end else if k < key then
        current := left
      else
        current := right
    done
```

Functional BST

```
type 'a tree =
| Node of (int * 'a * 'a tree * 'a tree)
| Leaf
let rec insert key value tree =
  match tree with
  | Leaf -> Node (key, value, Leaf, Leaf)
  | Node (k, v, left, right) ->
    if k = key then
      Node (k, value, left, right)
    else if k < key then
      Node (k, v, insert key value left, right)
    else
      Node (k, v, left, insert key value right)
```

Functions

- $(\text{fun } v \rightarrow e)$ is an expression, which evaluates to a value (closure)
- $\text{let } f \ x \ y \ z = e$ is syntactic sugar for $\text{let } f = \text{fun } x \rightarrow (\text{fun } y \rightarrow (\text{fun } z \rightarrow e))$
- E.g., the type of $*$ is not $\text{int} * \text{int} \rightarrow \text{int}$, it's $\text{int} \rightarrow (\text{int} \rightarrow \text{int})$

```
let rec iterate =
  fun (f:int->int) ->
    fun (n:int) ->
      if n = 0 then
        (fun (x:int) -> x)
      else
        (fun (x:int) -> f(iterate f (n-1) x))
let exp base n = iterate ((*) base) n 1
let two_to_five = exp 2 5
```

Algebraic data types

Simplest use-case: C-style enums

```
type color = Red | Green | Blue
(* This type definition defines three constructors (Red, Green, and Blue),
   which evaluate to values of type color *)
```

```
let mycolor:color = Green
```

(* Can deconstruct using pattern matching (~ switch in C) *)

```
let to_string (c:color) =
  match c with
  | Red ↶ "red"
  | Green ↶ "green"
  | Blue ↶ "blue"
```

Unlike enums, each variant may contain a payload:

```
type point = float * float
type shape =
| Rectangle of point * point
| Circle of point * float
```

- Can be parameterized:

```
type 'a option = None | Some of 'a
```

- Can be recursive:

```
type expr = Var of string | Add of expr * expr | Mul of expr * expr
```

- Can be both:

```
type 'a list = Nil | Cons ('a * 'a list)
```

Pattern matching binds variables to payload

```
type point = float * float
type shape =
| Rectangle of point * point
| Circle of point * float

let area (s:shape) =
  match s with
  | Rectangle (topleft, bottomright) ->
    (match topleft with
    | (tlx, tly) -> match bottomright with
      | (brx, bry) -> (brx -. tlx) *. (tly -. bry))
  | Circle (center, radius) -> pi *. radius *. radius
```

Pattern matching binds variables to payload

```
type point = float * float
type shape =
| Rectangle of point * point
| Circle of point * float

let area (s:shape) =
  match s with
  | Rectangle (topleft, bottomright) ->
    match topleft with
    | (tlx, tly) -> match bottomright with
        | (brx, bry) -> (brx -. tlx) *. (tly -. bry)
  | Circle (center, radius) -> pi *. radius *. radius
```



Ambiguous!

Patterns can be nested

```
type point = float * float
type shape =
| Rectangle of point * point
| Circle of point * float

let area (s:shape) =
match s with
| Rectangle ((tlx,tly), (brx,bry)) -> (brx -. tlx) *. (tly -. bry))
| Circle (_, radius) -> pi *. radius *. radius
```

Modules

A module groups together a collection of types and values

```
module IntSet = struct
  type elt = int
  type t = Leaf | Node of int * t * t
  let empty = Leaf
  let rec insert (e:elt) (s:t) = ...
end

module StringSet = struct
  type elt = string
  type t = Leaf | Node of string * t * t
  let empty = Leaf
  let rec insert (e:elt) (s:t) = ...
end

(* IntSet.empty != StringSet.empty *)
```

Modules

A module groups together a collection of types and values

```

module IntSet = struct
  type elt = int
  type t = Leaf | Node of int * t * t
  let empty = Leaf
  let rec insert (e:elt) (s:t) = ...
end

module StringSet = struct
  type elt = string
  type t = Leaf | Node of string * t * t
  let empty = Leaf
  let rec insert (e:elt) (s:t) = ...
end

(* IntSet.empty != StringSet.empty *)

```

- Each `filename.ml` file defines a module `Filename`
- Each `filename.mli` file defines the interface of `Filename`
- Some useful modules in the standard library: `Text`, `TextIO`, `Print`, `PrintS`, `PrintF`

Functors

A functor is a module that is parameterized by another module.

- `Set.Make`
 - **Input:** `OrderedType` module `Ord`, containing a type `t` and a function `compare` for comparing them
 - **Output:** Data structure representing sets of `Ord.t`'s
- `Map.Make`
 - **Input:** `OrderedType` module `Ord`, containing a type `t` and a function `compare` for comparing them
 - **Output:** Data structure representing maps with `Ord.t` keys