# COS320: Compiling Techniques 

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Analysis and Optimization

## Compiler phases (simplified)



## Optimization

- Optimization operates as a sequence of IR-to-IR transformations. Each transformation is expected to:
- improve performance (time, space, power)
- not change the high-level (defined) behavior of the program
- Each optimization pass does something small and simple.
- Combination of passes can yield sophisticated transformations


## Optimization

- Optimization operates as a sequence of IR-to-IR transformations. Each transformation is expected to:
- improve performance (time, space, power)
- not change the high-level (defined) behavior of the program
- Each optimization pass does something small and simple.
- Combination of passes can yield sophisticated transformations
- Optimization simplifies compiler writing
- More modular: can translate to IR in a simple-but-inefficient way, then optimize
- Optimization simplifies programming
- Programmer can spend less time thinking about low-level performance issues
- More portable: compiler can take advantage of the characteristics of a particular machine


## Algebraic simplification

Idea: replace complex expressions with simpler / cheaper ones

$$
\begin{gathered}
e * 1 \rightarrow e \\
0+e \rightarrow e \\
2 * 3 \rightarrow 6 \\
-(-e) \rightarrow e \\
e * 4 \rightarrow e « 2
\end{gathered}
$$

## Loop unrolling

- Idea: avoid branching by trading space for time.
- Can expose opportunities for using SIMD instructions

```
long array_sum (long *a, long n) {
    long i;
    long sum = 0;
    for (i=0; i< n; i++) {
        sum += * (a+i);
    }
    return sum;
}
```

```
long array_sum (long *a, long n) {
    long i;
    long sum = 0;
    for (i=0; i<n % 4; i++) {
        sum += *(a + i);
    }
    for (; i< n; i += 4) {
        sum += *(a + i);
        sum += *(a+i+1);
        sum += *(a+i+2);
        sum += *(a+i+3);
    }
    return sum;
}
```

Strength reduction

Idea: replace expensive operation (e.g., multiplication) w/ cheaper one (e.g., addition).

```
long trace (long *m, long n) {
long trace (long *m, long n) {
    long i;
    long result = 0;
    long *next = m;
    long result = 0;
    for (i=0; i< n; i++) {
    for (i=0;i<n;i++) { , for (i=0;i<n;
    }
    return result;
        next += n + 1;
    }
    return result;
}
```


## Optimization and Analysis

- Program analysis: conservatively approximate the run-time behavior of a program at compile time.
- Type inference: find the type of value each expression will evaluate to at run time. Conservative in the sense that the analysis will abort if it cannot find a type for a variable, even if one exists.
- Constant propagation: if a variable only holds on value at run time, find that value. Conservative in the sense that analysis may fail to find constant values for variables that have them.


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- Constant propagation: if a variable only holds on value at run time, find that value. Conservative in the sense that analysis may fail to find constant values for variables that have them.
- Optimization passes are typically informed by analysis
- Analysis lets us know which transformations are safe
- Conservative analysis $\Rightarrow$ never perform an unsafe optimization, but may miss some safe optimizations.


## Control Flow Graphs (CFG)



- Control flow graphs are one of the basic data structures used to represent programs in many program analyses
- Recall: A control flow graph (CFG) for a procedure $P$ is a directed, rooted graph $G=(N, E, r)$ where
- The nodes are basic blocks of $P$
- There is an edge $n_{i} \rightarrow n_{j} \in E$ iff $n_{j}$ may execute immediately after $n_{i}$
- There is a distinguished entry block $r$ where the execution of the procedure begins


## Simple imperative language

- Suppose that we have the following language:

$$
\begin{aligned}
& \text { <instr> }::=<\text { var }>=\text { add<opn>, <opn> } \\
& \mid \text { <var> }=\text { mul<opn>, <opn> } \\
& \mid \text { <var> }=\text { opn } \\
& \text { <opn> }::=\text { <int> |<var> } \\
& \text { <block> }::=<\text { instr><block> |<term> } \\
& \text { <term> }::=\text { blez<opn>, <label>, <label> } \\
& \mid \text { return <opn> } \\
& \text { <program> }::=<\text { program> <label> : <block> |<block> }
\end{aligned}
$$

- Note: no uids, no SSA
- We'll take a look at how SSA affects program analysis later


## Constant propagation

- The goal of constant propagation: determine at each instruction I a constant environment
- A constant environment is a symbol table mapping each variable $x$ to one of:
- an integer $n$ (indicating that $x$ 's value is $n$ whenever the program is at $l$ )
- $\top$ (indicating that $x$ might take more than one value at $I$ )
- $\perp$ (indicating that $x$ may take no values at run-time $-I$ is unreachable)
- Motivation: can evaluate expressions at compile time to save on run time

$$
\begin{aligned}
& \mathrm{x}=\operatorname{add} 1,2 \\
& \mathrm{y}=\operatorname{mul} \mathrm{x}, 11 \\
& \mathrm{z}=\operatorname{add} \mathrm{x}, \mathrm{y}
\end{aligned}
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$\{\mathrm{x} \mapsto \mathrm{T}, \mathrm{y} \mapsto \mathrm{T}, \mathrm{z} \mapsto \mathrm{T}\}$

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$\{\mathrm{x} \mapsto \mathrm{\top}, \mathrm{y} \mapsto \mathrm{T}, \mathrm{z} \mapsto \mathrm{\top}\}$
$\{\mathrm{x} \mapsto 3, \mathrm{y} \mapsto \mathrm{\top}, \mathrm{z} \mapsto \mathrm{\top}\}$
$\mathrm{x}=\operatorname{add} 1,2$
$\mathrm{y}=\operatorname{mul} \mathrm{x}, 11$
z = add $\mathrm{x}, \mathrm{y}$


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$\{\mathrm{x} \mapsto 3, \mathrm{y} \mapsto \mathrm{\top}, \mathrm{z} \mapsto \top\}$
$\mathrm{x}=$ add 1,2
$\{\mathrm{x} \mapsto 3, \mathrm{y} \mapsto 33, \mathrm{z} \mapsto \top\}$
$y=m u l x, 11$
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$x=3$
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$y=33$
$z=\operatorname{add} x, y$


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$y=33$
$z=36$


## Propagating constants through instructions

- Goal: given a constant environment $C$ and an instruction
- $x=$ add $o p n_{1}, o p n_{2}$
- $x=$ mul $o p n_{1}, o p n_{2}$
- $x=o p n$

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- Define an evaluator for operands:

$$
\operatorname{eval}(\mathrm{opn}, C)= \begin{cases}C(\mathrm{opn}) & \text { if opn is a variable } \\ \text { opn } & \text { if opn is an int }\end{cases}
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- Define an evaluator for instructions:

$$
\operatorname{post}(\text { instr }, C)= \begin{cases}\perp & \text { if } C \text { is } \perp \\ C\{x \mapsto \operatorname{eval}(\text { opn }, C)\} & \text { if instr is } x=\text { opn } \\ C\{x \mapsto \top\} & \text { if } \operatorname{eval}\left(o p n_{1}, C\right)=\top \vee \operatorname{eval}\left(o p n_{2}, C\right)=\top \\ C\left\{x \mapsto \operatorname{eval}(\text { opn } 1, C)+\operatorname{eval}\left(\text { opn }_{2}, C\right)\right\} & \text { if instr is } x=\text { add opn }{ }_{1}, \mathrm{opn}_{2} \\ C\left\{x \mapsto \operatorname{eval}\left(o p n_{1}, C\right) * \operatorname{eval}\left(o p n_{2}, C\right)\right\} & \text { if instr is } x=\text { mul opn }{ }_{1}, \text { opn }_{2}\end{cases}
$$

## Propagating constants through basic blocks

- How do we propagate a constant environment through a basic block?


## Propagating constants through basic blocks

- How do we propagate a constant environment through a basic block?
- Block takes the form instr $_{1}, \ldots$, instr $_{n}$, term.
take $\operatorname{post}($ block, $C)=\operatorname{post}\left(\right.$ instr $_{n}, \ldots \operatorname{post}\left(\right.$ instr $\left.\left._{1}, C\right) \ldots\right)$


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- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor
- If a block has multiple predecessors, must combine constant environments of both:
- Merge operator $\sqcup$ defined as:
- $e \sqcup \perp=\perp \sqcup e=e$
- $\left(e_{1} \sqcup e_{2}\right)(x)= \begin{cases}e_{1}(x) & \text { if } e_{1}(x)=e_{2}(x) \\ \top & \text { otherwise }\end{cases}$



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- For acyclic graphs: topologically sort basic blocks, propagate constant environments forward
- Constant environment for entry node maps each variable to $T$


## Propagating constants through control flow graphs

- For acyclic graphs: topologically sort basic blocks, propagate constant environments forward
- Constant environment for entry node maps each variable to $T$
- What about loops?
- Recall: a partial order $\sqsubseteq$ is a binary relation that is
- Reflexive: $a \sqsubseteq a$
- Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
- Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies $a=b$
- Examples: the subset relation, the divisibility relation on the naturals, ...
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- Place a partial order on $\mathbb{Z} \cup\{\perp, \top\}: \perp \sqsubseteq n \sqsubseteq \top$ (most information to least information)
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- Place a partial order on $\mathbb{Z} \cup\{\perp, \top\}: \perp \sqsubseteq n \sqsubseteq \top$ (most information to least information)
- Lift the ordering to constant environments: $f \sqsubseteq g$ iff $f(x) \sqsubseteq g(x)$ for all $x$
- $f \sqsubseteq g$ : $f$ is a "better" constant environment than $g$
- $f$ sends $x$ to $\top$ implies $g$ sends $x$ to $\top$
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- $f$ sends $x$ to T implies $g$ sends $x$ to $\top$
- The merge operation $\sqcup$ is the least upper bound in this order:
- $f_{1} \sqsubseteq\left(f_{1} \sqcup f_{2}\right)$ and $f_{2} \sqsubseteq\left(f_{1} \sqcup f_{2}\right)$
- For any $f^{\prime}$ such that $f_{1} \sqsubseteq f^{\prime}$ and $f_{2} \sqsubseteq f^{\prime}$, we have $\left(f_{1} \sqcup f_{2}\right) \sqsubseteq f^{\prime}$


## Constant propagation as a constraint system

- Let $G=(N, E, s)$ be a control flow graph.
- For each basic block $b b \in N$, associate two constant environments IN [bb] and OUT[bb]
- $\mathbf{I N}[b b]$ is the constant environment at the entry of $b b$
- OUT $[b b]$ is the constant environment at the exit of $b b$


## Constant propagation as a constraint system

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- $\mathbf{I N}[b b]$ is the constant environment at the entry of $b b$
- OUT $[b b]$ is the constant environment at the exit of $b b$
- Say that the assignment IN, OUT is conservative if
(1) $\mathrm{IN}[s]$ assigns each variable $T$
(2. For each node $b b \in N$,

$$
\mathbf{O U T}[b b] \sqsupseteq \operatorname{post}(b b, \mathbf{I N}[b b])
$$

(3) For each edge src $\rightarrow d s t \in E$,

$$
\mathbf{I N}[d s t] \sqsupseteq \mathbf{O U T}[s r c]
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## Constant propagation as a constraint system

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- Fact: if IN, OUT is conservative, then
- If $\operatorname{IN}[b b](x)=n$, then whenever program execution reaches $b b$ entry, the value of $x$ is $n$
- If $\operatorname{IN}[b b](x)=\perp$, then program execution cannot reach $b b$
- Similarly for OUT
- Think of $\operatorname{IN}[b b]$ and $\operatorname{OUT}[b b]$ as variables in a constraint system.
- The constraints may have multiple solutions
- Recall: when constant environment sends a variables $x$ to a constant (not $\top$ ), can replace reads to $x$ with that constant
- More constant assigments $\Rightarrow$ more optimization
- Think of IN[bb] and OUT[bb] as variables in a constraint system.
- The constraints may have multiple solutions
- Recall: when constant environment sends a variables $x$ to a constant (not $\top$ ), can replace reads to $x$ with that constant
- More constant assigments $\Rightarrow$ more optimization
- Want least conservative assignment
(1) IN, OUT is conservative

2. If $\mathbf{I N}^{\prime}$, OUT $^{\prime}$ is a conservative assignment, then for any $b b$ we have

- $\mathbf{I N}[b b] \sqsubseteq \mathbf{I N}^{\prime}[b b]$
- OUT $[b b] \sqsubseteq \mathbf{O U T}^{\prime}[b b]$


## Computing the least conservative assignment of constant environments

- Initialize $\operatorname{IN}[s]$ to the constant environment that sends every variable to $\top$ and $\mathbf{O U T}[s]$ to the constant environment that sends every variable to $\perp$.
- Initialize IN $[b b]$ and OUT $[b b]$ to the constant environment that sends every variable to $\perp$ for every other basic block


## Computing the least conservative assignment of constant environments

- Initialize $\operatorname{IN}[s]$ to the constant environment that sends every variable to $T$ and $\operatorname{OUT}[s]$ to the constant environment that sends every variable to $\perp$.
- Initialize IN $[b b]$ and $\operatorname{OUT}[b b]$ to the constant environment that sends every variable to $\perp$ for every other basic block
- Choose a constraint that is not satisfied by IN, OUT
- If there is basic block $b b$ with $\operatorname{OUT}[b b] \nexists \operatorname{post}(b b, \mathbf{I N}[b b])$, then set

$$
\operatorname{OUT}[b b]:=\operatorname{post}(b b, \operatorname{IN}[b b])
$$

- If there is an edge src $\rightarrow d s t \in E$ with $\mathbf{I N}[d s t] \nexists \mathbf{O U T}[s r c]$, then set

$$
\mathbf{I N}[d s t]:=\mathbf{I N}[d s t] \sqcup \mathbf{O U T}[s r c]
$$

- Terminate when all constraints are satisfied.


## Computing the least conservative assignment of constant environments

- Initialize $\operatorname{IN}[s]$ to the constant environment that sends every variable to $\top$ and $\mathbf{O U T}[s]$ to the constant environment that sends every variable to $\perp$.
- Initialize IN[bb] and OUT[bb] to the constant environment that sends every variable to $\perp$ for every other basic block
- Choose a constraint that is not satisfied by IN, OUT
- If there is basic block $b b$ with OUT $[b b] \nexists \operatorname{post}(b b, \mathbf{I N}[b b])$, then set

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$$

- Terminate when all constraints are satisfied.
- This algorithm always converges on the least conservative assignment of constant environments


## Next week: dataflow analysis

- Framework for conservative analysis of program behavior
- Worklist algorithm: general algorithm for solving dataflow analysis problems

