

COS320: Compiling Techniques

Zak Kincaid

April 13, 2022

Compiling functional languages

Functional languages

- First class functions: functions are values just like any other
 - can be passed as parameters (e.g., `map`)
 - can be returned (e.g. `(+) 1`)

Functional languages

- First class functions: functions are values just like any other
 - can be passed as parameters (e.g., `map`)
 - can be returned (e.g. `(+) 1`)
- Functions that take functions as parameters or return functions are called *higher-order*

Functional languages

- First class functions: functions are values just like any other
 - can be passed as parameters (e.g., `map`)
 - can be returned (e.g. `(+) 1`)
- Functions that take functions as parameters or return functions are called *higher-order*
- A higher-order functional language is one with *nested functions* with *lexical scope*

Scoping

- $(\text{fun } x \rightarrow e)$ is an expression that evaluates to a function
 - x is the function's parameter
 - e is the function's body
- Occurrences of x within e are said to be *bound* in $(\text{fun } x \rightarrow e)$
 - Variables are resolved to most closely containing **fun**.
- Occurrences of variables that are not bound are called *free*

$(\text{fun } x \rightarrow (\text{fun } y \rightarrow (x \ z) (\text{fun } x \rightarrow x) \ y))$

Closures

- Consider $((\mathbf{fun} \ x \ \rightarrow (\mathbf{fun} \ y \ \rightarrow x)) \ 0) \ 1$
 - ① Apply the function $(\mathbf{fun} \ x \ \rightarrow \mathbf{fun} \ y \ \rightarrow x)$ to the argument $0 \rightsquigarrow (\mathbf{fun} \ y \ \rightarrow x)$

Closures

- Consider $((\text{fun } x \rightarrow (\text{fun } y \rightarrow x)) 0) 1$
 - ① Apply the function $(\text{fun } x \rightarrow \text{fun } y \rightarrow x)$ to the argument 0 $\rightsquigarrow (\text{fun } y \rightarrow x)$
 - ② Apply the function $(\text{fun } y \rightarrow x)$ to the argument 1 $\rightsquigarrow ???$
 - x is free in $(\text{fun } y \rightarrow x)$!

Closures

- Consider $((\text{fun } x \rightarrow (\text{fun } y \rightarrow x)) 0) 1$
 - ① Apply the function $(\text{fun } x \rightarrow \text{fun } y \rightarrow x)$ to the argument 0 $\rightsquigarrow (\text{fun } y \rightarrow x)$
 - ② Apply the function $(\text{fun } y \rightarrow x)$ to the argument 1 $\rightsquigarrow ???$
 - x is free in $(\text{fun } y \rightarrow x)$!
- In higher-order functional languages, a function value is a *closure*, which consists of a function pointer *and* an environment
 - Environment is used to interpret variables from enclosing scope

```
let compose =  
  fun (f : int -> int) ->  
    (fun (g : int -> int) ->  
      (fun (x : int) ->  
        f (g x)))  
let add10 = fun (x : int) -> x + 10  
let mul2 = fun (x : int) -> 2 * x  
let result = compose add10 mul2 100
```

compose →

```
(fun f ->  
  (fun g ->  
    (fun x ->  
      f (g x))))
```

```
let compose =  
  fun (f : int -> int) ->  
    (fun (g : int -> int) ->  
      (fun (x : int) ->  
        f (g x)))  
let add10 = fun (x : int) -> x + 10  
let mul2 = fun (x : int) -> 2 * x  
let result = compose add10 mul2 100
```

compose →

```
(fun f ->  
  (fun g ->  
    (fun x ->  
      f (g x))))
```

add10 →

```
(fun x -> x + 10)
```

```
let compose =  
  fun (f : int -> int) ->  
    (fun (g : int -> int) ->  
      (fun (x : int) ->  
        f (g x)))  
let add10 = fun (x : int) -> x + 10  
let mul2 = fun (x : int) -> 2 * x  
let result = compose add10 mul2 100
```

compose →

```
(fun f ->  
  (fun g ->  
    (fun x ->  
      f (g x))))
```

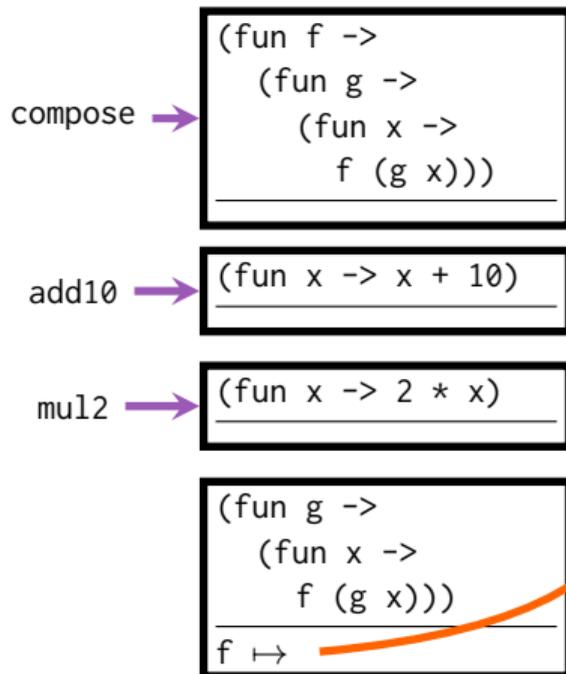
add10 →

```
(fun x -> x + 10)
```

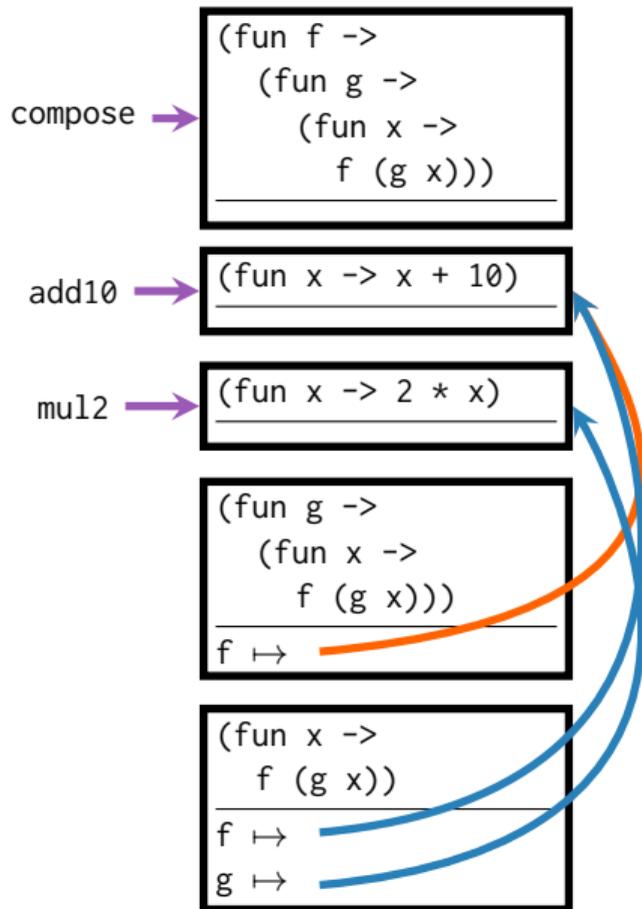
mul2 →

```
(fun x -> 2 * x)
```

```
let compose =  
  fun (f : int -> int) ->  
    (fun (g : int -> int) ->  
      (fun (x : int) ->  
        f (g x)))  
let add10 = fun (x : int) -> x + 10  
let mul2 = fun (x : int) -> 2 * x  
let result = compose add10 mul2 100
```



```
let compose =  
  fun (f : int -> int) ->  
    (fun (g : int -> int) ->  
      (fun (x : int) ->  
        f (g x)))  
let add10 = fun (x : int) -> x + 10  
let mul2 = fun (x : int) -> 2 * x  
let result = compose add10 mul2 100
```



Compiling closures

- Strategy: translate a language with closures to one with (just) function pointers
- *Closure conversion* transforms a program so that no function accesses free variables
- *Hoisting* transforms a closure-converted program so that all function expressions appear at the top-level
 - Function expressions can be implemented as functions

Nameless representation

- Idea (de Bruijn): use a representation of expressions without named bound variables
 - Each variable is replaced by a number: # of enclosing scopes between occurrence & the scope it is resolved to
 - $(\mathbf{fun} \ x \ \rightarrow \ x) \rightsquigarrow (\mathbf{fn} \ 0)$
 - $(\mathbf{fun} \ x \ \rightarrow (\mathbf{fun} \ y \ \rightarrow \ x)) \rightsquigarrow (\mathbf{fn}(\mathbf{fn} \ 1))$

Nameless representation

- Idea (de Bruijn): use a representation of expressions without named bound variables
 - Each variable is replaced by a number: # of enclosing scopes between occurrence & the scope it is resolved to
 - $(\mathbf{fun} \ x \ \rightarrow \ x) \rightsquigarrow (\mathbf{fn} \ 0)$
 - $(\mathbf{fun} \ x \ \rightarrow (\mathbf{fun} \ y \ \rightarrow \ x)) \rightsquigarrow (\mathbf{fn}(\mathbf{fn} \ 1))$
 - $(\mathbf{fun} \ x \ \rightarrow (\mathbf{fun} \ y \ \rightarrow \ y)) \rightsquigarrow$

Nameless representation

- Idea (de Bruijn): use a representation of expressions without named bound variables
 - Each variable is replaced by a number: # of enclosing scopes between occurrence & the scope it is resolved to
 - $(\mathbf{fun} \ x \ \rightarrow \ x) \rightsquigarrow (\mathbf{fn} \ 0)$
 - $(\mathbf{fun} \ x \ \rightarrow (\mathbf{fun} \ y \ \rightarrow \ x)) \rightsquigarrow (\mathbf{fn}(\mathbf{fn} \ 1))$
 - $(\mathbf{fun} \ x \ \rightarrow (\mathbf{fun} \ y \ \rightarrow \ y)) \rightsquigarrow (\mathbf{fn}(\mathbf{fn} \ 0))$

Nameless representation

- Idea (de Bruijn): use a representation of expressions without named bound variables
 - Each variable is replaced by a number: # of enclosing scopes between occurrence & the scope it is resolved to
 - $(\text{fun } x \rightarrow x) \rightsquigarrow (\text{fn } 0)$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow x)) \rightsquigarrow (\text{fn}(\text{fn } 1))$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow y)) \rightsquigarrow (\text{fn}(\text{fn } 0))$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow x) x) \rightsquigarrow$

Nameless representation

- Idea (de Bruijn): use a representation of expressions without named bound variables
 - Each variable is replaced by a number: # of enclosing scopes between occurrence & the scope it is resolved to
 - $(\text{fun } x \rightarrow x) \rightsquigarrow (\text{fn } 0)$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow x)) \rightsquigarrow (\text{fn}(\text{fn } 1))$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow y)) \rightsquigarrow (\text{fn}(\text{fn } 0))$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow x) x) \rightsquigarrow (\text{fn}(\text{fn } 1) 0)$

Nameless representation

- Idea (de Bruijn): use a representation of expressions without named bound variables
 - Each variable is replaced by a number: # of enclosing scopes between occurrence & the scope it is resolved to
 - $(\text{fun } x \rightarrow x) \rightsquigarrow (\text{fn } 0)$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow x)) \rightsquigarrow (\text{fn}(\text{fn } 1))$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow y)) \rightsquigarrow (\text{fn}(\text{fn } 0))$
 - $(\text{fun } x \rightarrow (\text{fun } y \rightarrow x) x) \rightsquigarrow (\text{fn}(\text{fn } 1) 0)$
- Environments can be implemented as lists
 - Each environment has a pointer to parent environment

Closure conversion

- Invariant: translated expressions involve a single variable, say p
 - p represents an *environment* (as a list)
- Variable x (with index i) \rightsquigarrow look-up i th element of p

Closure conversion

- Invariant: translated expressions involve a single variable, say p
 - p represents an *environment* (as a list)
- Variable x (with index i) \rightsquigarrow look-up i th element of p

$(\text{fun } x \rightarrow e) \rightsquigarrow (\text{fun } p \rightarrow e', p)$ where $e \rightsquigarrow e'$

$(f a) \rightsquigarrow (\text{fst } f) (a' :: (\text{snd } f))$ where $f \rightsquigarrow f, a \rightsquigarrow a'$

Closure conversion

- Invariant: translated expressions involve a single variable, `save n`

- p represents an *environment* (as a list) **Save evaluation environment**

- Variable x (with index i) \rightsquigarrow look-up i th element of p

$(\text{fun } x \rightarrow e) \rightsquigarrow (\text{fun } p \rightarrow e', p)$ where $e \rightsquigarrow e'$

$(f a) \rightsquigarrow (\text{fst } f) (a' :: (\text{snd } f))$ where $f \rightsquigarrow f', a \rightsquigarrow a'$

Closure conversion

- Invariant: translated expressions involve a single variable, `save n`

- p represents an *environment* (as a list) **Save evaluation environment**

- Variable x (with index i) \rightsquigarrow look-up i th element of p

$(\text{fun } x \rightarrow e) \rightsquigarrow (\text{fun } p \rightarrow e', p)$ where $e \rightsquigarrow e'$

$(f a) \rightsquigarrow (\text{fst } f) (a' :: (\text{snd } f))$ where $f \rightsquigarrow f', a \rightsquigarrow a'$

Evaluation environment: index 0 $\mapsto a$, other indices shifted

Practical closure conversion

- Following a chain of pointers for each variable access is expensive

Practical closure conversion

- Following a chain of pointers for each variable access is expensive
- Partially flattened representation: environment is represented as a list of arrays
 - List stores bindings for entire *activation frames* rather than single variables

Practical closure conversion

- Following a chain of pointers for each variable access is expensive
- Partially flattened representation: environment is represented as a list of arrays
 - List stores bindings for entire *activation frames* rather than single variables
- Flattened representation: environment is represented as an array
 - Fast accesses
 - Greater space requirement (no sharing with parent environment)

Practical closure conversion

- Following a chain of pointers for each variable access is expensive
- Partially flattened representation: environment is represented as a list of arrays
 - List stores bindings for entire *activation frames* rather than single variables
- Flattened representation: environment is represented as an array
 - Fast accesses
 - Greater space requirement (no sharing with parent environment)
 - Can reduce space by storing only variables that are actually free

Hoisting

- After closure-conversion, every function expression is closed (no free variables)
 - No free variables \Rightarrow no need for closures
 - Function expressions simply evaluate to (C-style) function pointers

Hoisting

- After closure-conversion, every function expression is closed (no free variables)
 - No free variables \Rightarrow no need for closures
 - Function expressions simply evaluate to (C-style) function pointers
- *Hoisting*:
 - Gives globally unique identifiers each function expression
 - Replaces function expressions with their identifiers
 - Places definitions for the identifiers as top-level scope

Functional optimizations

- **Tail call elimination**: functional languages favor recursion over loops, but loops are more efficient (need to allocate stack frame, push return address, save registers, ...)
 - Tail call elimination searches for the pattern

```
%x = call foo ...; ret %x
```

and compiles the call as a jump instead of a callq

Functional optimizations

- **Tail call elimination**: functional languages favor recursion over loops, but loops are more efficient (need to allocate stack frame, push return address, save registers, ...)
 - Tail call elimination searches for the pattern

```
    %x = call foo ...; ret %x
```

and compiles the call as a jump instead of a callq
- **Function inlining**: functional programs tend to have lots of small functions, which incurs the cost of more function calls than there may be in an imperative language
 - *Inlining* replaces function calls with their definitions to alleviate some of this burden

Functional optimizations

- **Tail call elimination**: functional languages favor recursion over loops, but loops are more efficient (need to allocate stack frame, push return address, save registers, ...)

- Tail call elimination searches for the pattern

```
%x = call foo ...; ret %x
```

and compiles the call as a jump instead of a callq

- **Function inlining**: functional programs tend to have lots of small functions, which incurs the cost of more function calls than there may be in an imperative language

- *Inlining* replaces function calls with their definitions to alleviate some of this burden

- **Uncurrying**: in some functional languages (e.g., OCaml), functions always take a single argument at a time

- E.g., in `let f x y = ...`, `f` takes one argument `x`, and returns a closure which takes a second argument `y` and produces the result
- A single OCaml-level function call may result in *several* function calls and closure allocations
- *Uncurrying* is an optimization that determines when a function is always called with more than one parameter (`f 3 4`), and compiles it as a multi-parameter function.