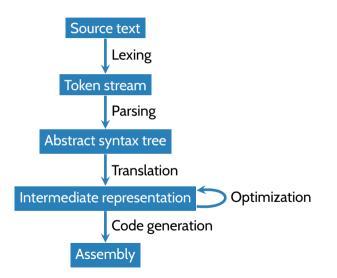
COS320: Compiling Techniques

Zak Kincaid

April 2, 2020

Optimization

Compiler phases (simplified)



Optimization

- Optimization operates as a sequence of IR-to-IR transformations. Each transformation is expected to:
 - *improve performance* (time, space, power)
 - not change the high-level (defined) behavior of the program
- Each optimization pass does something small and simple.
 - Combination of passes can yield sophisticated transformations

Optimization

- Optimization operates as a sequence of IR-to-IR transformations. Each transformation is expected to:
 - *improve performance* (time, space, power)
 - not change the high-level (defined) behavior of the program
- Each optimization pass does something small and simple.
 - Combination of passes can yield sophisticated transformations
- Optimization simplifies compiler writing
 - More modular: can translate to IR in a simple-but-inefficient way, then optimize
- Optimization simplifies programming
 - Programmer can spend less time thinking about low-level performance issues
 - More portable: compiler can take advantage of the characteristics of a particular machine

Algebraic simplification

Idea: replace complex expressions with simpler / cheaper ones

 $e * 1 \rightarrow e$ $0 + e \rightarrow e$ $2 * 3 \rightarrow 6$ $-(-e) \rightarrow e$ $e * 4 \rightarrow e \ll 2$

Loop unrolling

Idea: avoid branching by trading space for time.

```
long array_sum (long *a, long n) {
    long i;
    long sum = 0;
    for (i = 0; i < n; i++) {
        sum += *(a + i);
     }
    return sum;
}</pre>
```

```
long array_sum (long *a, long n) {
 long i;
  long sum = 0;
  for (i = 0; i < n \% 4; i++) {
   sum += *(a + i):
  for (; i < n; i += 4) {
    sum += *(a + i):
    sum += *(a + i + 1):
    sum += *(a + i + 2);
    sum += *(a + i + 3):
  }
  return sum;
```

Strength reduction

Idea: replace expensive operation (e.g., multiplication) w/ cheaper one (e.g., addition).

```
long trace (long *m, long n) {
    long i;
    long result = 0;
    for (i = 0; i < n; i++) {
        result += *(m + i*n + i); →
     }
    return result;
}</pre>
```

```
long trace (long *m, long n) {
    long i;
    long result = 0;
    long *next = m;
    for (i = 0; i < n; i++) {
        result += *next;
        next += n + i + 1;
    }
    return result;
}</pre>
```

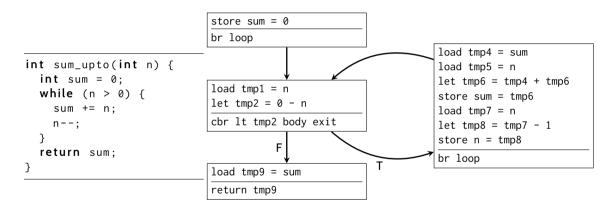
Optimization and Analysis

- *Program analysis*: conservatively approximate the run-time behavior of a program at compile time.
 - Type inference: find the type of value each expression will evaluate to at run time. *Conservative* in the sense that the analysis will abort if it cannot find a type for a variable, even if one exists.
 - Constant propagation: if a variable only holds on value at run time, find that value. *Conservative* in the sense that analysis may fail to find constant values for variables that have them.

Optimization and Analysis

- *Program analysis*: conservatively approximate the run-time behavior of a program at compile time.
 - Type inference: find the type of value each expression will evaluate to at run time. *Conservative* in the sense that the analysis will abort if it cannot find a type for a variable, even if one exists.
 - Constant propagation: if a variable only holds on value at run time, find that value. *Conservative* in the sense that analysis may fail to find constant values for variables that have them.
- Optimization passes are typically informed by analysis
 - Analysis lets us know which transformations are safe
 - Conservative analysis ⇒ never perform an unsafe optimization, but may miss some safe optimizations.

Control Flow Graphs (CFG)



- Control flow graphs are one of the basic data structures used to represent programs in many program analyses
- Recall: A *control flow graph* (CFG) for a procedure P is a directed, rooted graph G = (N, E, r) where
 - The nodes are basic blocks of *P*
 - There is an edge $n_i \rightarrow n_j \in E$ iff n_j may execute immediately after n_i
 - There is a distinguished entry block *r* where the execution of the procedure begins

Simple imperative language

• Suppose that we have the following language:

<program> ::=<program> <label> : <block> | <brock> | <br

• Note: no uids, no SSA

We'll take a look at how SSA affects program analysis later

- The goal of constant propagation: determine at each instruction I a constant environment
 - A constant environment is a symbol table mapping each variable x to one of:
 - an integer *n* (indicating that *x*'s value is *n* whenever the program is at *1*)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time I is unreachable)
- Motivation: can compute expressions at compile time to save on run time

x = add 1, 2 y = mul x, 11 z = add x, y

- The goal of constant propagation: determine at each instruction I a constant environment
 - A constant environment is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time I is unreachable)
- Motivation: can compute expressions at compile time to save on run time



- The goal of constant propagation: determine at each instruction I a constant environment
 - A constant environment is a symbol table mapping each variable x to one of:
 - an integer *n* (indicating that *x*'s value is *n* whenever the program is at *1*)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time I is unreachable)
- Motivation: can compute expressions at compile time to save on run time



- The goal of constant propagation: determine at each instruction I a constant environment
 - A constant environment is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x's value is n whenever the program is at 1)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time I is unreachable)
- Motivation: can compute expressions at compile time to save on run time



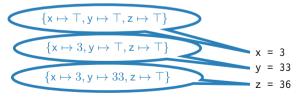
- The goal of constant propagation: determine at each instruction I a constant environment
 - A constant environment is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x's value is n whenever the program is at 1)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time I is unreachable)
- Motivation: can compute expressions at compile time to save on run time



- The goal of constant propagation: determine at each instruction I a constant environment
 - A constant environment is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x's value is n whenever the program is at I)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time I is unreachable)
- Motivation: can compute expressions at compile time to save on run time



- The goal of constant propagation: determine at each instruction I a constant environment
 - A constant environment is a symbol table mapping each variable x to one of:
 - an integer n (indicating that x's value is n whenever the program is at 1)
 - \top (indicating that x might take more than one value at I)
 - \perp (indicating that x may take no values at run-time I is unreachable)
- Motivation: can compute expressions at compile time to save on run time



Propagating constants through instructions

- Goal: given a constant environment C and an instruction
 - $x = add, opn_1, opn_2$
 - $x = mul, opn_1, opn_2$
 - x = opn

Assuming that constant environment *C* holds *before* the instruction, what is the constant environment *after* the instruction?

Propagating constants through instructions

- Goal: given a constant environment C and an instruction
 - $x = add, opn_1, opn_2$
 - $x = mul, opn_1, opn_2$
 - x = opn

Assuming that constant environment *C* holds *before* the instruction, what is the constant environment *after* the instruction?

• Define an evaluator for operands:

$$eval(opn, C) = \begin{cases} C(opn) & \text{if opn is a variable} \\ opn & \text{if opn is an int} \end{cases}$$

Propagating constants through instructions

- Goal: given a constant environment C and an instruction
 - $x = \operatorname{add}, opn_1, opn_2$
 - $x = mul, opn_1, opn_2$
 - x = opn

Assuming that constant environment *C* holds *before* the instruction, what is the constant environment *after* the instruction?

• Define an evaluator for operands:

$$eval(opn, C) = \begin{cases} C(opn) & \text{if opn is a variable} \\ opn & \text{if opn is an int} \end{cases}$$

Define an evaluator for instructions

$$post(instr, C) = \begin{cases} \bot & \text{if } C \text{ is } \bot \\ C\{x \mapsto eval(opn, C)\} & \text{if instr is } x = opn \\ C\{x \mapsto \top\} & \text{if } eval(opn_1, C) = \top \lor eval(opn_2, C) = \top \\ C\{x \mapsto eval(opn_1, C) + eval(opn_2, C)\} & \text{if instr is } x = \text{add } opn_1, opn_2 \\ C\{x \mapsto eval(opn_1, C) * eval(opn_2, C)\} & \text{if instr is } x = \text{mul } opn_1, opn_2 \end{cases}$$

Propagating constants through basic blocks

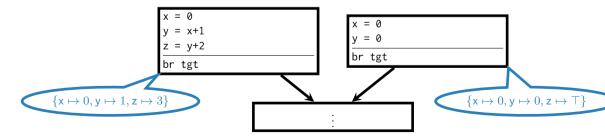
• How do we propagate a constant environment through a basic block?

Propagating constants through basic blocks

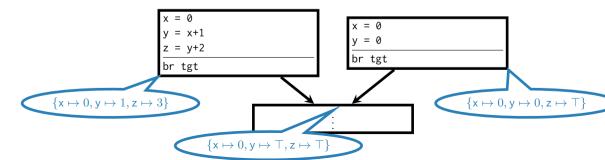
- How do we propagate a constant environment through a basic block?
- Block takes the form *instr*₁, ..., *instr*_n, *term*.
 take *post*(*block*, *C*) = *post*(*instr*_n, ...*post*(*instr*₁, *C*))

• If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor

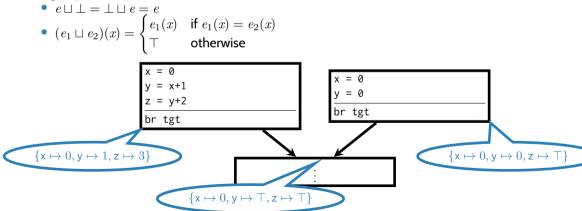
- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor
- If a block has multiple predecessors, must combine constant environments of both:



- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor
- If a block has multiple predecessors, must combine constant environments of both:



- If a block has exactly one predecessor: constant environment at entry is constant environment at exit of predecessor
- If a block has multiple predecessors, must combine constant environments of both:
- Merge operator \sqcup defined as:



Propagating constants through control flow graphs

- For *acyclic graphs*: topologically sort basic blocks, propagate constant environments forward
 - Constant environment for entry node maps each variable to op

Propagating constants through control flow graphs

- For *acyclic graphs*: topologically sort basic blocks, propagate constant environments forward
 - Constant environment for entry node maps each variable to op
- What about loops?

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies a = b
- Examples: the subset relation, the divisibility relation on the integers, ...

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies a = b
- Examples: the subset relation, the divisibility relation on the integers, ...
- Place a partial order on $\mathbb{Z} \cup \{\bot, \top\}$: $\bot \sqsubseteq n \sqsubseteq \top$ (most information to least information)

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies a = b
- Examples: the subset relation, the divisibility relation on the integers, ...
- Place a partial order on $\mathbb{Z} \cup \{\bot, \top\}$: $\bot \sqsubseteq n \sqsubseteq \top$ (most information to least information)
- Lift the ordering to constant environments: $f \sqsubseteq g$ iff $f(x) \sqsubseteq g(x)$ for all x
 - $f \sqsubseteq g$. f is a "better" constant environment than g
 - f sends x to \top implies g sends x to \top

- Recall: a partial order \sqsubseteq is a binary relation that is
 - Reflexive: $a \sqsubseteq a$
 - Transitive: $a \sqsubseteq b$ and $b \sqsubseteq c$ implies $a \sqsubseteq c$
 - Antisymmetric: $a \sqsubseteq b$ and $b \sqsubseteq a$ implies a = b
- Examples: the subset relation, the divisibility relation on the integers, ...
- Place a partial order on $\mathbb{Z} \cup \{\bot, \top\}$: $\bot \sqsubseteq n \sqsubseteq \top$ (most information to least information)
- Lift the ordering to constant environments: $f \sqsubseteq g$ iff $f(x) \sqsubseteq g(x)$ for all x
 - $f \sqsubseteq g$: f is a "better" constant environment than g
 - f sends x to \top implies g sends x to \top
- The merge operation \sqcup is the *least upper bound* in this order:
 - $t_1 \sqsubseteq (t_1 \sqcup t_2)$ and $t_2 \sqsubseteq (t_1 \sqcup t_2)$
 - For any type t' such that $t_1 \sqsubseteq t'$ and $t_2 \sqsubseteq t'$, we have $(t_1 \sqcup t_2) \sqsubseteq t'$

Constant propagation as a constraint system

- Let G = (N, E, s) be a control flow graph.
- For each basic block $bb \in N$, associate two constant environments IN[bb] and OUT[bb]
 - IN[bb] is the constant environment at the entry of bb
 - **OUT**[*bb*] is the constant environment at the *exit* of *bb*

Constant propagation as a constraint system

- Let G = (N, E, s) be a control flow graph.
- For each basic block $bb \in N$, associate two constant environments IN[bb] and OUT[bb]
 - IN[bb] is the constant environment at the entry of bb
 - **OUT**[*bb*] is the constant environment at the *exit* of *bb*
- Say that the assignment IN, OUT is conservative if
 - **1** $\mathbf{IN}[s]$ assigns each variable \top
 - **2** For each node $bb \in V$,

 $\mathbf{OUT}[bb] \sqsupseteq \textit{post}(bb, \mathbf{IN}[bb])$

3 For each edge $src \rightarrow dst \in E$,

 $\mathbf{IN}[\textit{dst}] \sqsupseteq \mathbf{OUT}[\textit{src}]$

Constant propagation as a constraint system

- Let G = (N, E, s) be a control flow graph.
- For each basic block $bb \in N$, associate two constant environments IN[bb] and OUT[bb]
 - IN[bb] is the constant environment at the entry of bb
 - **OUT**[*bb*] is the constant environment at the *exit* of *bb*
- Say that the assignment IN, OUT is conservative if
 - **1** $\mathbf{IN}[s]$ assigns each variable \top
 - **2** For each node $bb \in V$,

 $\mathbf{OUT}[\textit{bb}] \sqsupseteq \textit{post}(\textit{bb}, \mathbf{IN}[\textit{bb}])$

3 For each edge $src \rightarrow dst \in E$,

 $\mathbf{IN}[\textit{dst}] \sqsupseteq \mathbf{OUT}[\textit{src}]$

- Fact: if IN, OUT is conservative, then
 - If IN[bb](x) = n, then whenever program execution reaches bb entry, the value of x is n
 - If $IN[bb](x) = \bot$, then program execution cannot reach bb
 - Similarly for OUT

- Payoff: when constant environment sends a variables *x* to a constant (not ⊤), can replace reads to *x* with that constant
- More constant assigments \Rightarrow more optimization

- Payoff: when constant environment sends a variables x to a constant (not \top), can replace reads to x with that constant
- More constant assigments \Rightarrow more optimization
- Want *least* conservative assignment
 - **1 IN**, **OUT** is conservative
 - $\overline{\mathbf{2}}$ If $\mathbf{IN}', \mathbf{OUT}'$ is a conservative assignment, then for any bb we have
 - $IN[bb] \sqsubseteq IN'[bb]$
 - $\mathbf{OUT}[bb] \sqsubseteq \mathbf{OUT}'[bb]$

Computing the least conservative assignment of constant environments

- Initialize IN[s] to the constant environment that sends every variable to ⊤ and OUT[s] to the constant environment that sends every variable to ⊥.
- Initialize IN[bb] and OUT[bb] to the constant environment that sends every variable to
 ⊥ for every other basic block

Computing the least conservative assignment of constant environments

- Initialize IN[s] to the constant environment that sends every variable to ⊤ and OUT[s] to the constant environment that sends every variable to ⊥.
- Initialize IN[bb] and OUT[bb] to the constant environment that sends every variable to
 ⊥ for every other basic block
- Choose a constraint that is *not* satisfied by $\mathbf{IN}, \mathbf{OUT}$
 - If there is basic block *bb* with $\mathbf{OUT}[bb] \not\supseteq \textit{post}(bb, \mathbf{IN}[bb])$, then set

 $\mathbf{OUT}[bb] := post(bb, \mathbf{IN}[bb])$

• If there is an edge $src \rightarrow dst \in E$ with $IN[dst] \not\supseteq OUT[src]$, then set

 $IN[dst] := IN[dst] \sqcup OUT[src]$

• Terminate when all constraints are satisfied.

Computing the least conservative assignment of constant environments

- Initialize IN[s] to the constant environment that sends every variable to ⊤ and OUT[s] to the constant environment that sends every variable to ⊥.
- Initialize IN[bb] and OUT[bb] to the constant environment that sends every variable to
 ⊥ for every other basic block
- Choose a constraint that is *not* satisfied by $\mathbf{IN}, \mathbf{OUT}$
 - If there is basic block *bb* with $\mathbf{OUT}[bb] \not\supseteq \textit{post}(bb, \mathbf{IN}[bb])$, then set

 $\mathbf{OUT}[bb] := post(bb, \mathbf{IN}[bb])$

• If there is an edge $src \rightarrow dst \in E$ with $IN[dst] \not\supseteq OUT[src]$, then set

 $\mathbf{IN}[dst] := \mathbf{IN}[dst] \sqcup \mathbf{OUT}[src]$

- Terminate when all constraints are satisfied.
- This algorithm always converges on the least conservative assignment of constant environments