

COS320: Compiling Techniques

Zak Kincaid

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Welcome!

- Instructor: Zak Kincaid
- TA:



Shaowei Zhu

- **Website:** <http://www.cs.princeton.edu/courses/archive/spring20/cos320/>
- **Piazza:** <https://piazza.com/princeton/spring2020/cos320>
- **Office hours:** Monday 4:30-6:30pm (Shaowei), Wednesday 3-5pm (Zak)
or by appointment

What is a compiler?

- A **compiler** is a program that takes a program written in a *source language* and translates it into a functionally equivalent program in a *target language*.
 - Source languages: C, Java, OCaml, ...
 - Target languages: x86 Assembly, Java bytecode, C, ...

What is a compiler?

- A **compiler** is a program that takes a program written in a *source language* and translates it into a functionally equivalent program in a *target language*.
 - Source languages: C, Java, OCaml, ...
 - Target languages: x86 Assembly, Java bytecode, C, ...
- A compiler can also
 - Report errors & potential problems
 - Uninitialized variables, type errors, ...
 - Improve (“optimize”) the program

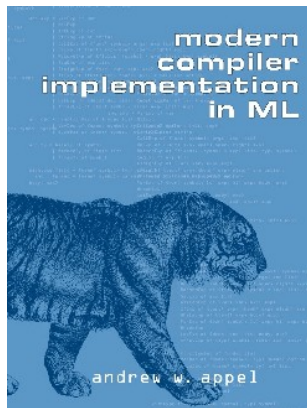
Why take COS320?

You will learn:

- **How high-level languages are translated to machine language**
- How to be a better programmer
 - What can a compiler do?
 - What can a compiler *not* do?
- Lexing & Parsing
- (Some) functional programming in OCaml
- A bit of programming language theory
- A bit of computer architecture

Course resources

- Recommended textbook:
Modern compiler implementation in ML (Appel)
- Real World OCaml (Minsky, Madhavapeddy, Hickey)
realworldocaml.org



Grading

Homework teaches the practice of building a compiler; midterm & final skew towards theory.

- 60% Homework
 - 5 assignments, not evenly weighted
 - HW1: Build an x86 simulator
 - HW2-5: Build a compiler
 - Expect homework to be time consuming!
- 20% Midterm
 - Thursday March 12, in class
- 20% Final

Homework policies

- Homework can be done individually or in pairs
- Late assignments will be penalized 1% per hour past the deadline.
- Five late passes, can submit up to 24 hours late without penalty (at most 3/HW).

Feel free to discuss with others at **conceptual** level.

Submitted work should be your own.

Lecture expectations

- Lecture 1: Intro
- Lecture 2: x86 (review COS217)
- Lecture 3 + k : not review

Compilers

(Programming) language = syntax + semantics

- **Syntax:** what sequences of characters are valid programs?

- Typically specified by context-free grammar

```
<expr> ::= <integer>
        | <variable>
        | <expr> + <expr>
        | <expr> * <expr>
        | (<expr>)
```

- **Semantics:** what is the behavior of a valid program?

- *Operational semantics:* how can we execute a program?
 - In essence: an interpreter
- *Axiomatic semantics:* what can we prove about a program?
- *Denotational semantics:* what mathematical function does the program compute?

(Programming) language = syntax + semantics

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- *Denotational semantics:* what mathematical function does the program compute?

The job of a compiler is to translate from the syntax of one language to another, but **preserve the semantics**.

```
1  #include <stdio.h>

3  int factorial(int n) {
4      int acc = 1;
5      while (n > 0) {
6          acc = acc * n;
7          n = n - 1;
8      }
9      return acc;
10 }

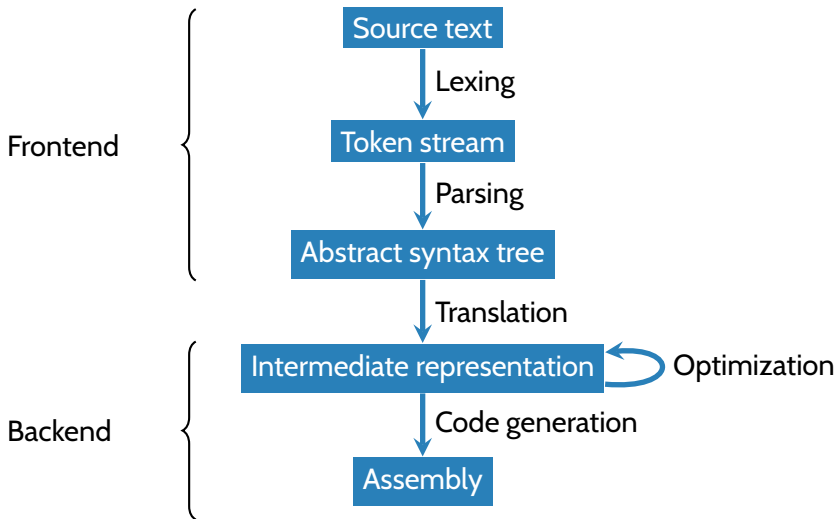
12 int main(int argc, char *argv[]) {
13     printf("factorial(6)=_%d\n", factorial(6));
14 }
```

```
1 factorial:
2     movl    $1, %rax
3     cmpq    $2, %rdi
4     jl      .LBBO_2
5 .LBBO_1:
6     imulq    %rdi, %rax
7     decq     %rdi
8     cmpq    $1, %rdi
9     jg      .LBBO_1
10 .LBBO_2:
11     retq

13 main:
14     movl    $.str, %rdi
15     movl    $720, %rsi
16     callq   printf
17     retq

19 .globl     .str
20 .str:
21     .asciz   "Factorial is %ld\n"
```

Compiler phases (simplified)



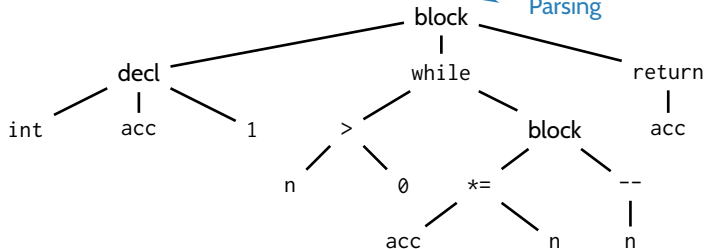
Lexing

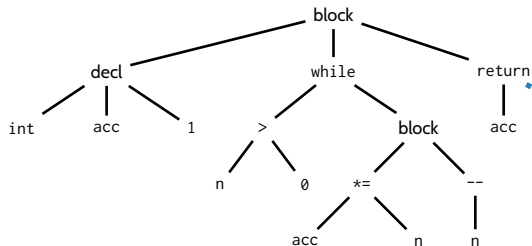


```
1  int acc = 1;  
2  while (n > 0) {  
3      acc *= n;  
4      n --;  
5  }  
6  return acc;
```

```
1  INT, IDENT "acc", EQUAL, INT 1, SEMI,  
2  WHILE, LPAREN, IDENT "n", GT, INT 0, RPAREN, LBRACE,  
3  IDENT "acc", TIMESEQUAL, IDENT "n", SEMI,  
4  IDENT "n", DECREMENT, SEMI,  
5  LBRACE  
6  RETURN, IDENT "acc", SEMI
```

Parsing





```
%count = alloca i64
%acc = alloca i64
store i64 %n, i64* %count
store i64 1, i64* %acc
br label %loop
```

```
%t1 = load i64, i64* %count
%t2 = icmp sgt i64 %t1, 0
br i1 %t2, label %body, label %exit
```

```
%t3 = load i64, i64* %acc
%t4 = mul i64 %t1, %t3
store i64 %t4, i64* %acc
%t5 = sub i64 %t1, 1
store i64 %t5, i64* %count
br label %loop
```

```
%t6 = load i64, i64* %acc
ret i64 %t6
```

```
%count = alloca i64
%acc = alloca i64
store i64 %n, i64* %count
store i64 1, i64* %acc
br label %loop
```

```
%t1 = load i64, i64* %count
%t2 = icmp sgt i64 %t1, 0
br i1 %t2, label %body, label %exit
```

```
%t3 = load i64, i64* %acc
%t4 = mul i64 %t1, %t3
store i64 %t4, i64* %acc
%t5 = sub i64 %t1, 1
store i64 %t5, i64* %count
br label %loop
```

```
%t6 = load i64, i64* %acc
ret i64 %t6
```

F

T

```
%count = i64 %n
%acc = i64 1
br label %loop
```

```
%count2 = phi i64 %count, %count1
%acc2 = phi i64 %acc, %acc1
%t2 = icmp sgt i64 %count2, 1
br i1 %t2, label %body, label %exit
```

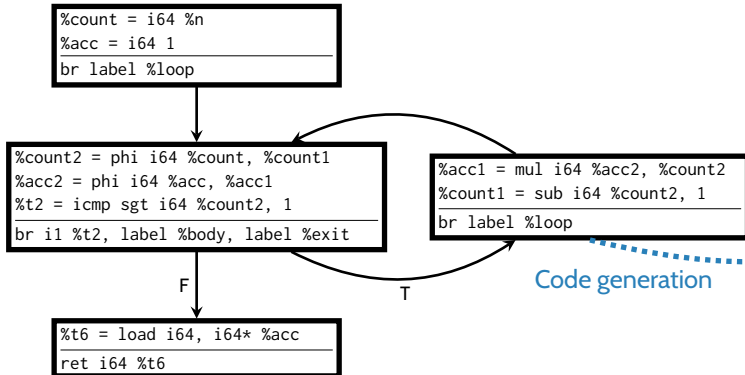
```
%acc1 = mul i64 %acc2, %count2
%count1 = sub i64 %count2, 1
br label %loop
```

F

T

```
%t6 = load i64, i64* %acc
ret i64 %t6
```

Optimization



Code generation

```
1 factorial:
2     movl    $1, %rax
3     cmpq    $2, %rdi
4     jl      .LBBO_2
5 .LBBO_1:
6     imulq   %rdi, %rax
7     decq    %rdi
8     cmpq    $1, %rdi
9     jg      .LBBO_1
10 .LBBO_2:
11     retq
```

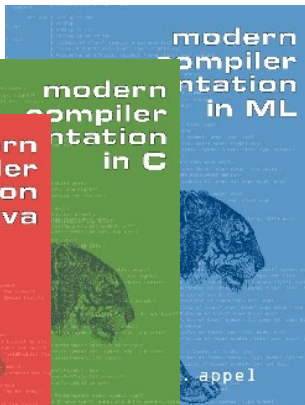
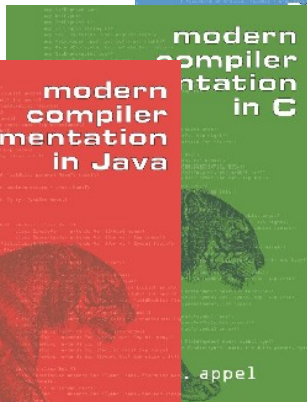
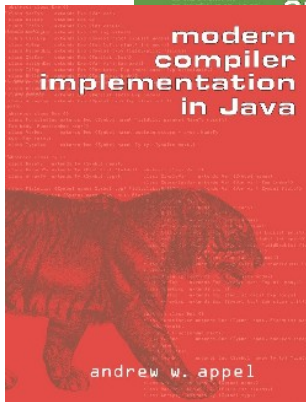
COS320 assignments

By the end of the course, you will build (in OCaml) a complete compiler from a high-level type-safe language (“Oat”) to a subset of x86 assembly.

- HW1: X86lite interpreter
- HW2: LLVMlite compiler
- HW3: Lexing, Parsing, simple compilation
- HW4: Higher-level Features
- HW5: Analysis and Optimizations

We will use the assignments from Penn’s CIS 341, provided by Steve Zdancevic.

OCaml



- Why OCaml?
 - Algebraic data types + pattern matching are *very* convenient features for writing compilers
- OCaml is a *functional* programming language
 - *Imperative* languages operate by mutating data
 - *Functional* languages operate by producing new data
- OCaml is a *typed* language
 - Contracts on the values produced and consumed by each expression
 - Types are (for the most part) *automatically inferred*.
 - Good style to write types for top-level definitions

- Next week's lecture: x86lite
 - Simple subset of x86 (~20 instructions)
 - Suitable as a compilation target for Oat
- HW1 on course webpage. Due Feb 18
 - You will implement:
 - A simulator for X86lite machine code
 - An assembler
 - A loader
 - You may work individually or in pairs