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## 3.1 SYMBOL TABLES

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- ▶ *API*
- ▶ *elementary implementations*
- ▶ *ordered operations*



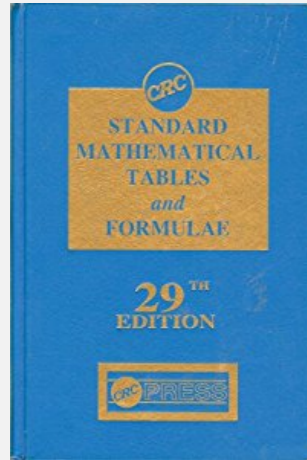
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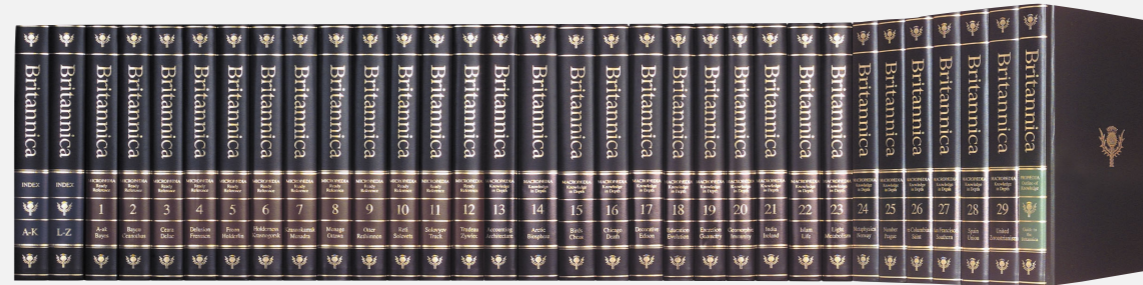
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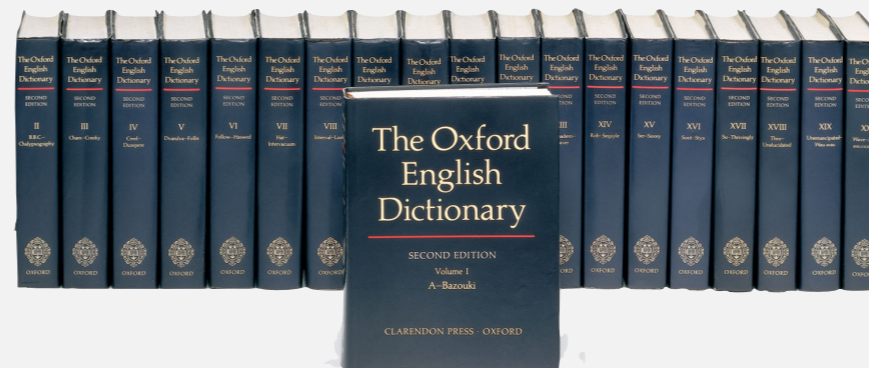
# Printed symbol tables



key = math function and input  
value = function output



key = term  
value = article



key = word  
value = definition



key = name  
value = phone number

## Unsupported operations.

- Add a new name and associated number.
- Remove a given name and associated number.
- Change the number associated with a given name.



key = time and channel  
value = TV show

# Symbol tables

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## Key–value pair abstraction.

- **Insert** a value with specified key.
- Given a key, **search** for the corresponding value.

## Ex. DNS lookup.

- Insert domain name with specified IP address.
- Given domain name, find corresponding IP address.

domain name	IP address
<b>www.cs.princeton.edu</b>	128.112.136.11
<b>www.princeton.edu</b>	128.112.128.15
<b>www.yale.edu</b>	130.132.143.21
<b>www.harvard.edu</b>	128.103.060.55

↑  
key

↑  
value

# Symbol table applications

---

<b>application</b>	<b>purpose of search</b>	<b>key</b>	<b>value</b>
<b>dictionary</b>	find definition	word	definition
<b>book index</b>	find relevant pages	term	list of page numbers
<b>file share</b>	find song to download	name of song	computer ID
<b>financial account</b>	process transactions	account number	transaction details
<b>web search</b>	find relevant web pages	keyword	list of page names
<b>compiler</b>	find properties of variables	variable name	type and value
<b>routing table</b>	route Internet packets	destination	best route
<b>DNS</b>	find IP address	domain name	IP address
<b>reverse DNS</b>	find domain name	IP address	domain name
<b>genomics</b>	find markers	DNA string	known positions
<b>file system</b>	find file on disk	filename	location on disk

# Symbol tables: context

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Also known as: maps, dictionaries, associative arrays.

Generalizes arrays. Keys need not be integers between 0 and  $n - 1$ .

Language support.

- External libraries: C, VisualBasic, Standard ML, bash, ...
- Built-in libraries: Java, C#, C++, Scala, ...
- Built-in to language: Awk, Perl, PHP, Tcl, JavaScript, Python, Ruby, Lua.

every array is an  
associative array

every object is an  
associative array

table is the only  
"primitive" data structure

```
has_nice_syntax_for_associative_arrays["Python"] = True
has_nice_syntax_for_associative_arrays["Java"]   = False
```

legal Python code

# Basic symbol table API

---

Associative array abstraction. Associate key–value pairs.

```
public class ST<Key extends Comparable<Key>, Value>
```

```
    ST()
```

*create an empty symbol table*

```
    void put(Key key, Value val)
```

*insert key–value pair*

← **a[key] = val;**

```
    Value get(Key key)
```

*value paired with key*

← **a[key]**

```
    boolean contains(Key key)
```

*is there a value paired with key?*

```
    Iterable<Key> keys()
```

*all the keys in the symbol table*

```
    void delete(Key key)
```

*remove key (and associated value)*

```
    boolean isEmpty()
```

*is the symbol table empty?*

```
    int size()
```

*number of key–value pairs*

# Conventions

---

- Method `get()` returns `null` if key not present.
- Method `put()` overwrites old value with new value.
- Values are not `null`. ← `java.util.Map` allows `null` values



# Key and value types

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**Value type.** Any generic type.

**Key type: different assumptions.**

- This lecture: keys are Comparable, use compareTo().
- Hashing lecture: keys are any generic type, use equals() to test equality and use hashCode() to scramble key.

**Best practices.** Use immutable types for symbol-table keys.

- Immutable in Java: String, Integer, Double, Color, ...
- Mutable in Java: StringBuilder, Stack, URL, arrays, ...



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# Sequential search in a linked list

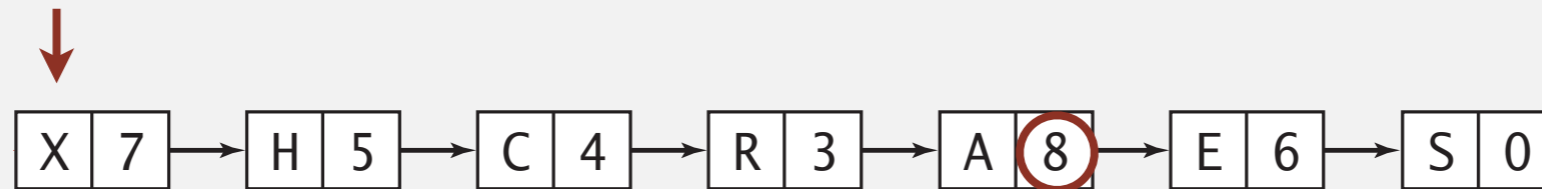
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**Data structure.** Maintain an (unordered) linked list of key–value pairs.

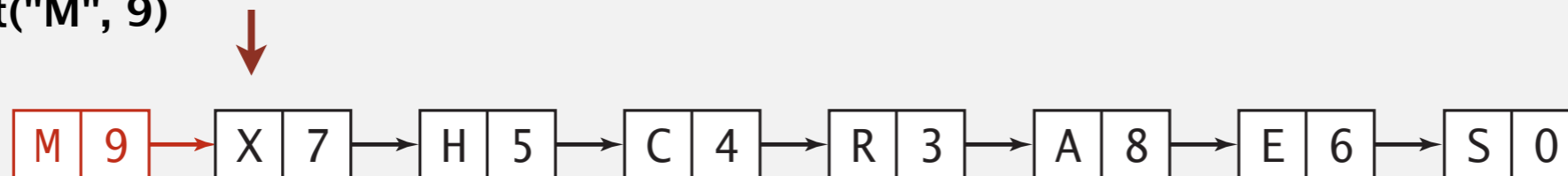
**Search.** Scan through all keys until find a match.

**Insert.** Scan through all keys until find a match; if no match add to front.

`get("A")`



`put("M", 9)`



# Elementary ST implementations: summary

---

implementation	guarantee (worst case)		average case		operations on keys
	search	insert	search hit	insert	
sequential search (unordered list)	$n$ 😞	$n$ 😞	$n$ 😞	$n$ 😞	equals()

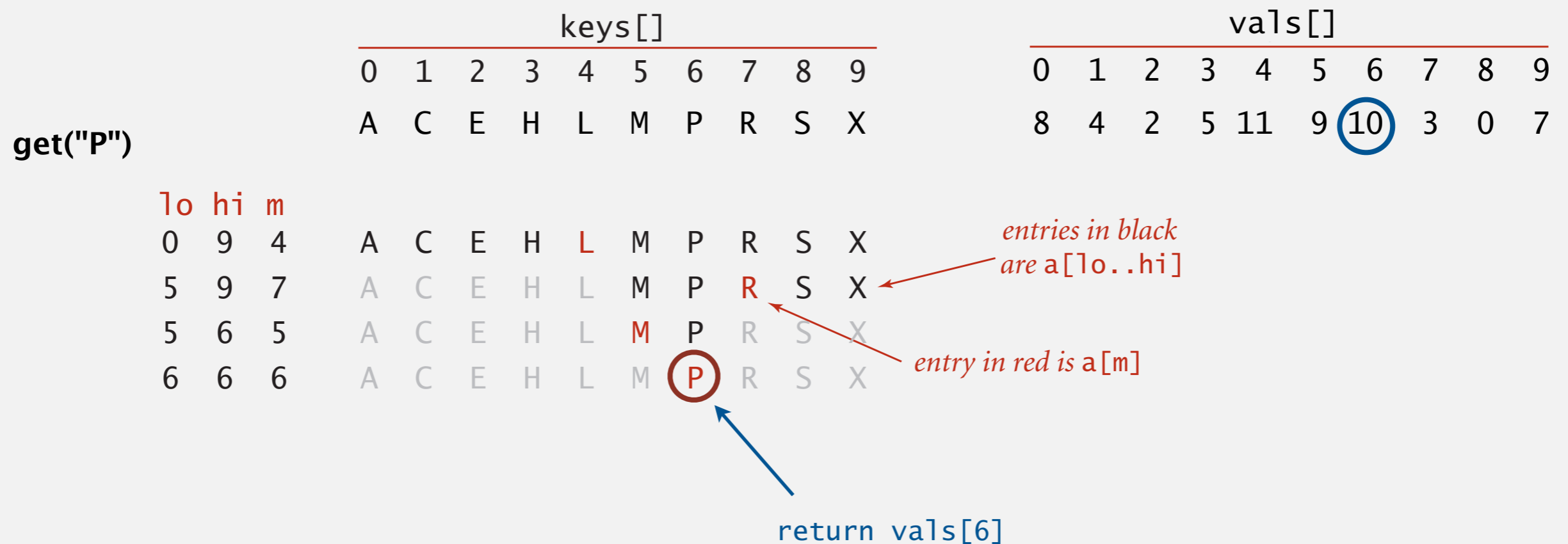
**Challenge.** Efficient implementations of both search and insert.

# Binary search in an ordered array

**Data structure.** Maintain parallel arrays for keys and values, sorted by keys.

**Search.** Use binary search to find key.

**Proposition.** At most  $\sim \lg n$  compares to search a sorted array of length  $n$ .



# Binary search: insert

---

**Data structure.** Maintain an ordered array of key–value pairs.

**Insert.** Use binary search to find place to insert; shift all larger keys over.

**Proposition.** Takes linear time in the worst case.

`put("P", 10)`

keys[]										vals[]									
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9
A	C	E	H	M	R	S	X	-	-	8	4	6	5	9	3	0	7	-	-

# Elementary ST implementations: summary

---

implementation	guarantee		average case		operations on keys
	search	insert	search hit	insert	
sequential search (unordered list)	$n$	$n$	$n$	$n$	equals()
binary search (ordered array)	$\log n$	$n$ † 😞	$\log n$	$n$ † 😞	compareTo()

† can do with  $\log n$  compares, but requires  $n$  array accesses

**Challenge.** Efficient implementations of both search and insert.



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# Examples of ordered symbol table API

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	<i>keys</i>	<i>values</i>
<code>min()</code> →	09:00:00	Chicago
	09:00:03	Phoenix
	09:00:13	Houston
<code>get(09:00:13)</code> →	09:00:59	Chicago
	09:01:10	Houston
<code>floor(09:05:00)</code> →	09:03:13	Chicago
	09:10:11	Seattle
<code>select(7)</code> →	09:10:25	Seattle
	09:14:25	Phoenix
	09:19:32	Chicago
	09:19:46	Chicago
<code>keys(09:15:00, 09:25:00)</code> →	09:21:05	Chicago
	09:22:43	Seattle
	09:22:54	Seattle
	09:25:52	Chicago
<code>ceiling(09:30:00)</code> →	09:35:21	Chicago
	09:36:14	Seattle
<code>max()</code> →	09:37:44	Phoenix

`size(09:15:00, 09:25:00)` is 5  
`rank(09:10:25)` is 7

# Ordered symbol table API

---

```
public class ST<Key> extends Comparable<Key>, Value>
```

```
    ⋮
```

```
    Key min() smallest key
```

```
    Key max() largest key
```

```
    Key floor(Key key) largest key less than or equal to key
```

```
    Key ceiling(Key key) smallest key greater than or equal to key
```

```
    int rank(Key key) number of keys less than key
```

```
    Key select(int k) key of rank k
```

```
    ⋮
```

# RANK IN A SORTED ARRAY



**Problem.** Given a sorted array of  $n$  distinct keys, find the number of keys strictly less than a given query key.

# RANK IN A SORTED ARRAY



**Problem.** Given a sorted array of  $n$  distinct keys, find the number of keys strictly less than a given query key.

easy modification to binary search

```
public Value get(Key key) public int rank(Key key)
{
    int lo = 0, hi = n-1;
    while (lo <= hi)
    {
        int mid = lo + (hi - lo) / 2;
        int cmp = key.compareTo(keys[mid]);
        if (cmp < 0) hi = mid - 1;
        else if (cmp > 0) lo = mid + 1;
        else if (cmp == 0) return vals[mid]; mid
    }
    return null; lo
}
```

# Binary search: ordered symbol table operations summary

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	sequential search	binary search
search	$n$	$\log n$
insert	$n$	$n$
min / max	$n$	1
floor / ceiling	$n$	$\log n$
rank	$n$	$\log n$
select	$n$	1

order of growth of the running time for ordered symbol table operations