

2.1 ELEMENTARY SORTS

- rules of the game
- selection sort
- insertion sort
- shuffling
- comparators

rules of the game

selection sort

2.1 ELEMENTARY SORTS

- insertion sort
- shuffling
 - comparators

Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

https://algs4.cs.princeton.edu

Sorting problem

Ex. Student records in a university.



Sort. Rearrange array of *n* items in ascending order by key.

Andrews	3	А	(664) 480-0023	097 Little		
Battle	4	С	(874) 088-1212	121 Whitman		
Chen	3	А	(991) 878-4944	308 Blair		
Furia	1	А	(766) 093-9873	101 Brown		
Gazsi	4	В	(800) 867-5309	101 Brown		
Kanaga	3	В	(898) 122-9643	22 Brown		
Rohde	2	А	(232) 343-5555	343 Forbes		

Total order

Sorting is well defined if and only if there is a total order.

A total order is a binary relation ≤ that satisfies:

- Totality: either $v \le w$ or $w \le v$ or both.
- Transitivity: if both $v \le w$ and $w \le x$, then $v \le x$.
- Antisymmetry: if both $v \le w$ and $w \le v$, then v = w.

Examples.

Video name	Views* ◆
"Despacito"[6]	2,993,700,000
"See You Again" ^[11]	2,894,000,000
"Gangnam Style"[17]	803,700,000
"Baby" ^[41]	245,400,000
"Bad Romance" ^[146]	178,400,000
"Charlie Bit My Finger"[136]	128,900,000
"Evolution of Dance"[131]	118,900,000

International Departures Flight No Destination Time Gate Remarks 7:50 London 7:50 A-12 Boarding New York 8:00 C-33 Boarding F-15 8:00 Gate lounge open Los Angeles 8:10 C-12 Check-in open 8:15 Barcelona 8:15 F-12 Check-in at kiosks 8:20 Check-in at kiosks

numerical order (descending)

chronological order

Amanda Jozaitis Amanda VanVoorhis **Amy** Bruemmer Amy M **Amy** Riehle **Andrew** Wray Andy Hynek **Anil** Kumar

All Contacts

All Howers

Amanda

Ally Kazmucha

Non-examples of total order

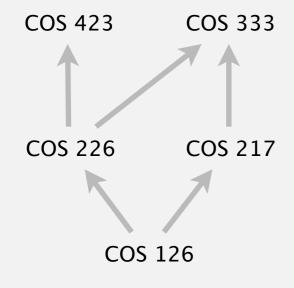
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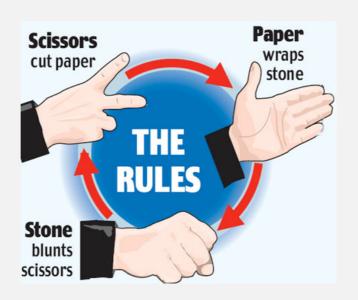
- Totality: either $v \le w$ or $w \le v$ or both.
- Transitivity: if both $v \le w$ and $w \le x$, then $v \le x$.
- Antisymmetry: if both $v \le w$ and $w \le v$, then v = w.



Hogwarts houses (no ≤; different sense of the word sort)



course prerequisites (violates totality)



Ro-sham-bo order (violates transitivity)



predator-prey
(violates antisymmetry)

How can a single algorithm/implementation sort any type of data?

Please sort these Japanese words for me

But I don't speak Japanese and I don't know how words are ordered

No problem. Every time you need to compare two words, give me a call back.

Answer: callbacks

Callbacks

Goal. Sort any type of data (for which sorting is well defined).

Q. How can a sort() function compare data of type String, Double, and java.io.File without hardwiring type-specific information.

Sort the files in a directory by filename

Callback = reference to executable code.

- Client passes array of objects to sort() function.
- The sort() method calls object's compareTo() function as needed.

Implementing callbacks.

- Java: interfaces.
- C: function pointers.
- C++: class-type functors.
- C#: delegates.
- Python, Perl, ML, Javascript: first-class functions.

Callbacks: Java interfaces

Interface. A type that defines a set of methods that a class can provide.

Class that implements interface. Must implement all interface methods.

Impact.

- You can invoke the compareTo() method on any String object
- Enables callbacks.

Callbacks: roadmap

client (StringSorter.java)

```
public class StringSorter
{
   public static void main(String[] args)
   {
      String[] a = StdIn.readAllStrings();
      Insertion.sort(a);
      for (int i = 0; i < a.length; i++)
            StdOut.println(a[i]);
   }
}</pre>
```

java.lang.Comparable interface

```
public interface Comparable<Item>
{
    public int compareTo(Item that);
}
```

sort implementation (Insertion.java)

```
public static void sort(Comparable[] a)
{
  int n = a.length;
  for (int i = 0; i < n; i++)
    for (int j = i; j > 0; j--)
       if (a[j].compareTo(a[j-1]) < 0)
        exch(a, j, j-1);
    else break;
}</pre>
```

data type implementation (String.java)

```
public class String
implements Comparable<String>
{
    ...
    public int compareTo(String that)
    {
        ...
}
```

key point: no dependence on type of data to be sorted

callback

Elementary sorts: quiz 1



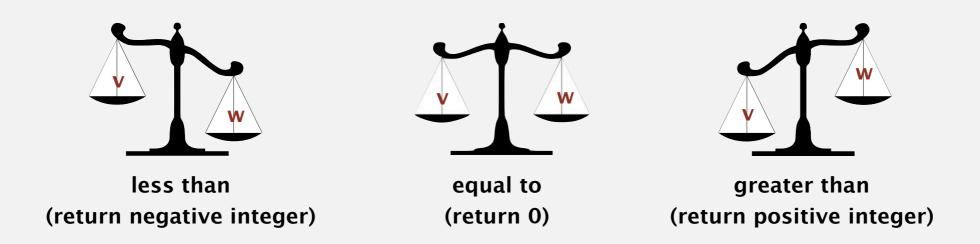
Suppose that the Java architects leave out implements Comparable<String> in the class declaration for String. What would be the effect?

- A. String.java won't compile.
- B. StringSorter.java won't compile.
- C. Insertion.java won't compile.
- D. Insertion.java will throw an exception.

java.lang.Comparable API

Implement compareTo() so that v.compareTo(w)

- Returns a
 - negative integer if v is less than w
 - positive integer if v is greater than w
 - zero if v is equal to w
- Defines a total order.
- Throws an exception if incompatible types (or either is null).



Built-in comparable types. Integer, Double, String, Date, File, ... User-defined comparable types. Implement the Comparable interface.

v.compareTo(w) <= 0</pre>

means v is less than or equal to w

Implementing the Comparable interface

Date data type. Simplified version of java.util.Date.

```
public class Date implements Comparable<Date>
   private final int month, day, year;
  public Date(int m, int d, int y)
                                                              can compare Date objects
     month = m;
                                                              only to other Date objects
     day = d;
     year = y;
  public int compareTo(Date that)
     if (this.year < that.year ) return -1;
     if (this.year > that.year ) return +1;
     if (this.month < that.month) return -1;
     if (this.month > that.month) return +1;
     if (this.day < that.day ) return -1;
     if (this.day > that.day ) return +1;
     return 0;
  }
```

Algorithms

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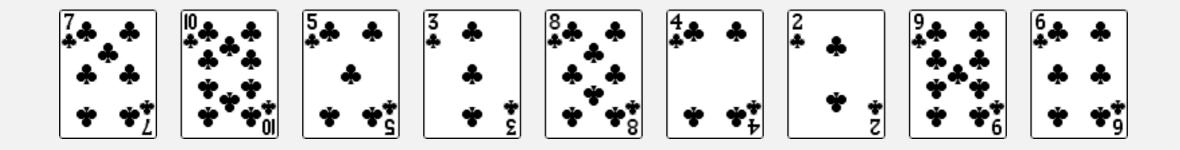
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Selection sort

- In iteration i, find index min of smallest remaining entry.
- Swap a[i] and a[min].

initial array



Example: in iteration 0, swap $2 \clubsuit$ and $7 \clubsuit$.

Exercise: what observations can you make about the left half of the array after half the iterations have completed? What about the right half?

Selection sort

Algorithm. ↑ scans from left to right.

Invariants.

- Entries the left of ↑ (including ↑) fixed and in ascending order.
- No entry to right of
 is smaller than any entry to the left of
 .



Two useful sorting abstractions

Helper functions. Refer to data only through compares and exchanges.

Less. Is item v less than w?

```
private static boolean less(Comparable v, Comparable w)
{ return v.compareTo(w) < 0; }</pre>
```

Exchange. Swap item in array a[] at index i with the one at index j.

```
private static void exch(Object[] a, int i, int j)
{
   Object swap = a[i];
   a[i] = a[j];
   a[j] = swap;
}
```

Selection sort: Java implementation

```
public class Selection
  public static void sort(Comparable[] a)
      int n = a.length;
      for (int i = 0; i < n; i++)
                                                  In iteration i ...
      {
         int min = i;
         for (int j = i+1; j < n; j++)
                                                  Find the index min of the
            if (less(a[j], a[min]))
                                                  smallest remaining entry
               min = j;
         exch(a, i, min);
                                                  Swap a[i] and a[min]
   private static boolean less(Comparable v, Comparable w)
   { /* see previous slide */ }
   private static void exch(Object[] a, int i, int j)
   { /* see previous slide */ }
```

Generic methods

Oops. The compiler complains.

Q. How to silence the compiler?

Generic methods

Pedantic (type-safe) version. Compiles without any warnings.

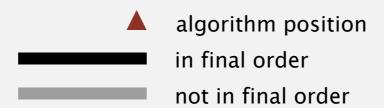
```
generic type variable (static method)
                                 (type inferred from argument; must be Comparable)
public class SelectionPedantic
{
    public static <Key extends Comparable<Key>> void sort(Key[] a)
    { /* as before */ }
    private static <Key extends Comparable<Key>> boolean less(Key v, Key w)
    { /* as before */ }
    private static Object void exch(Object[] a, int i, int j)
    { /* as before */ }
                https://algs4.cs.princeton.edu/21elementary/SelectionPedantic.java.html
                                         and Assignment 3
```

Remark. Use type-safe version in system code (but not in lecture).

Selection sort: animations

20 random items





http://www.sorting-algorithms.com/selection-sort

Elementary sorts: quiz 2



How many compares does selection sort make to sort an array of *n* distinct items?

- $A. \sim n$
- **B.** $\sim 1/4 n^2$
- C. $\sim 1/2 n^2$
- $\mathbf{D.} \sim n^2$

Selection sort: mathematical analysis

Proposition. Selection sort makes $(n-1) + (n-2) + ... + 1 + 0 \sim n^2/2$ compares and n exchanges to sort any array of n items.

i	min	0	1	2	3	4	5	6	7	8	9	10
		S	0	R	Т	Ε	Х	Α	М	Р	L	Е
0	6	S	0	R	Т	Ε	Χ	Α	М	Р	L	Ε
1	4	Α	0	R	Т	Ε	Χ	S	М	Р	L	Ε
2	10	Α	Е	R	Т	0	Χ	S	М	Р	L	Е
3	9	А	Е	Е	Т	0	Χ	S	М	Р	L	R
4	7	Α	Е	Е	L	0	Χ	S	М	Р	Т	R
5	7	А	Е	Е	L	$[\vee]$	Χ	S	0	Р	Т	R
6	8	Α	Е	Е	L	$[\vee]$	0	S	Χ	Р	Т	R
7	10	Α	Е	Е	L	$[\vee]$	0	Р	Χ	S	Т	R
8	8	Α	Е	Е	L	$[\vee]$	0	Р	R	S	Т	Χ
9	9	Α	Е	Е	L	[V]	0	Р	R	S	Т	X
10	10	Α	Е	Е	L	[V]	0	Р	R	S	Т	Χ
		Α	Ε	Ε	L	М	0	Р	R	S	Т	Χ

Running time insensitive to input. Quadratic time, even if input is sorted.

Algorithms

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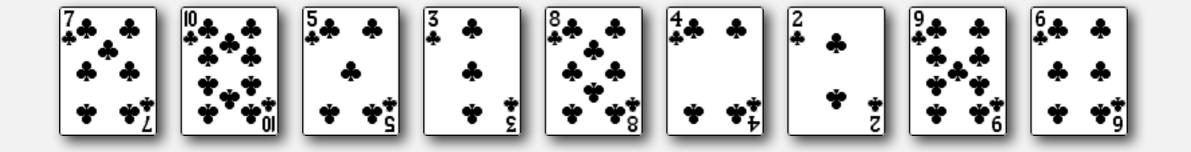
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Insertion sort demo

• In iteration i, swap a[i] with each larger entry to its left.





Insertion sort demo

• In iteration i, swap a[i] with each larger entry to its left.



https://www.youtube.com/watch?v=ROalU379l3U

Insertion sort

Algorithm. ↑ scans from left to right.

Invariants.

- Entries to the left of ↑ (including ↑) are in ascending order.
- Entries to the right of † have not yet been seen.



Insertion sort: inner loop

To maintain algorithm invariants:

Move the pointer to the right.



Moving from right to left, exchange
 a[i] with each larger entry to its left.

```
for (int j = i; j > 0; j--)
  if (less(a[j], a[j-1]))
      exch(a, j, j-1);
  else break;
```



Insertion sort: Java implementation

```
public class Insertion
  public static void sort(Comparable[] a)
   {
     int n = a.length;
      for (int i = 0; i < n; i++)
         for (int j = i; j > 0; j--)
            if (less(a[j], a[j-1]))
               exch(a, j, j-1);
            else break;
  }
   private static boolean less(Comparable v, Comparable w)
  { /* as before */ }
   private static void exch(Object[] a, int i, int j)
  { /* as before */ }
}
```

https://algs4.cs.princeton.edu/21elementary/Insertion.java.html

Elementary sorts: quiz 3



How many compares does insertion sort make to sort an array of n distinct keys in the worst case?

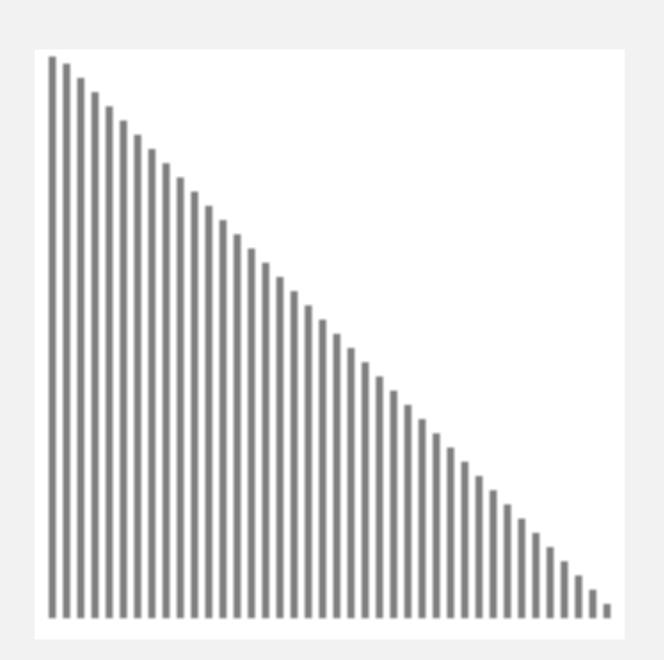
Hint: what is the worst case input?

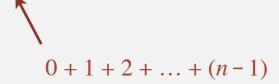
- $A. \sim n$
- **B.** $\sim 1/4 \ n^2$
- C. $\sim 1/2 \ n^2$

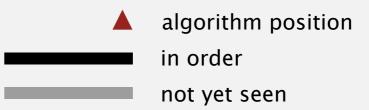
Insertion sort: analysis

Worst case. Insertion sort makes $\sim \frac{1}{2} n^2$ compares and $\sim \frac{1}{2} n^2$ exchanges to sort an array of n distinct keys in reverse order.

Pf. Exactly i compares and exchanges in iteration i.

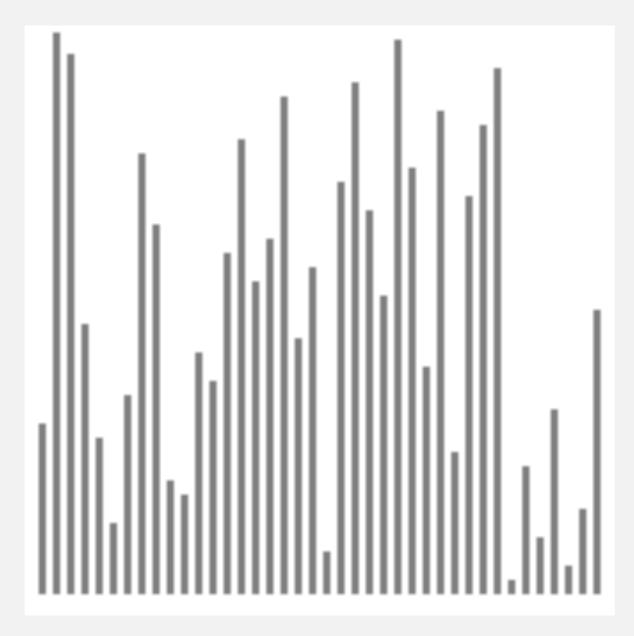


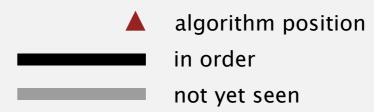




Insertion sort: mathematical analysis

Average case. To sort a randomly ordered array with n distinct keys, insertion sort makes $\sim \frac{1}{4} n^2$ compares and $\sim \frac{1}{4} n^2$ exchanges on average.

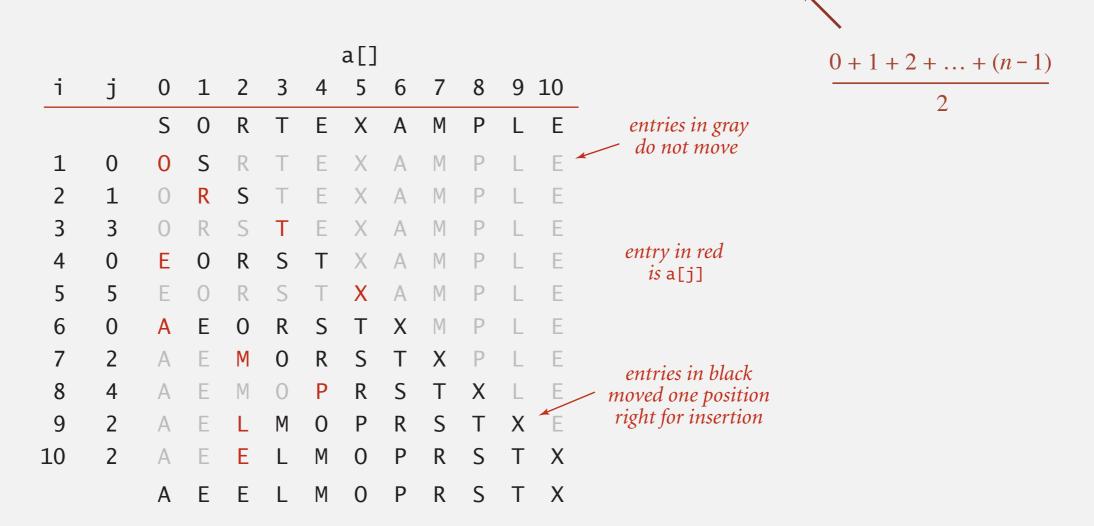




Insertion sort: mathematical analysis

Average case. To sort a randomly ordered array with n distinct keys, insertion sort makes $\sim \frac{1}{4} n^2$ compares and $\sim \frac{1}{4} n^2$ exchanges on average.

Pf. Expect ~ $\frac{1}{2}i$ compares and ~ $\frac{1}{2}i$ exchanges in iteration i.



Trace of insertion sort (array contents just after each insertion)

Elementary sorts: quiz 4



Which is faster in practice to sort an array of n random items, selection sort or insertion sort?

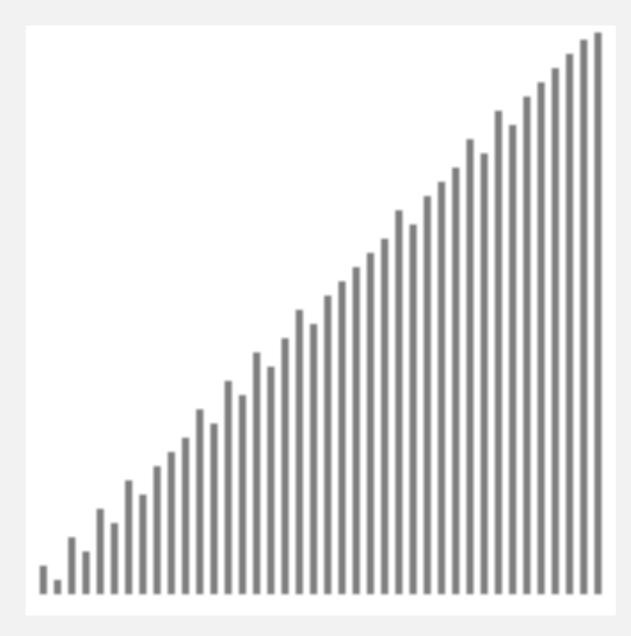
- A. Selection sort.
- **B.** Insertion sort.
- C. No significant difference.
- **D.** It depends.

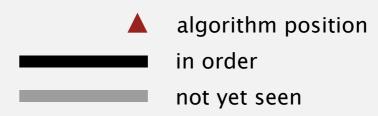
Recall:

Selection sort [any input]: $\sim \frac{1}{2} n^2$ compares and $\sim n$ exchanges Insertion sort [worst case]: $\sim \frac{1}{2} n^2$ compares and $\sim \frac{1}{2} n^2$ exchanges Insertion sort [average case]: $\sim \frac{1}{4} n^2$ compares and $\sim \frac{1}{4} n^2$ exchanges

Insertion sort: analysis

Best case. Insertion sort makes n-1 compares and 0 exchanges to sort an array of n distinct keys in ascending order.





Insertion sort: partially sorted arrays

Def. An inversion is a pair of keys that are out of order.

Def. A family of arrays is partially sorted if the number of inversions is $\leq c n$.

- Ex 1. A sorted array.
- Ex 2. A subarray of length 10 appended to a sorted subarray of length n.



Proposition. Insertion sort runs in linear time on partially sorted arrays.

Pf. exchange decreases number of inversions by 1

- Number of exchanges in insertion sort = number of inversions.
- Number of compares \leq number of exchanges + (n 1).

each compare in iteration i triggers an exchange (except possibly last one)

Improvement: binary insertion sort

Use binary search to find insertion point.

- Number of compares $\sim n \log_2 n$.
- · But still a quadratic number of array accesses.



binary search for first key > K

Algorithms

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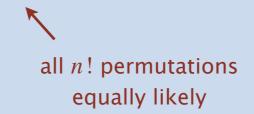
2.1 ELEMENTARY SORTS

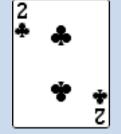
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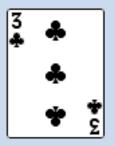
INTERVIEW QUESTION: SHUFFLE AN ARRAY



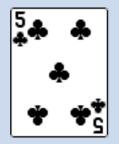
Goal. Rearrange array so that result is a uniformly random permutation.

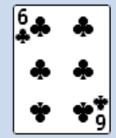




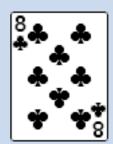


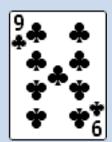


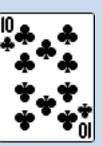








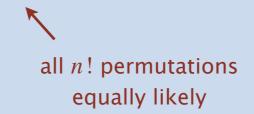


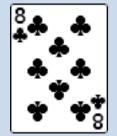


INTERVIEW QUESTION: SHUFFLE AN ARRAY

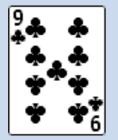


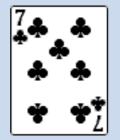
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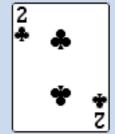




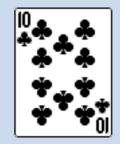


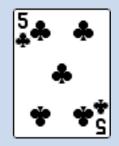


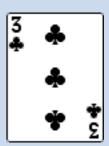






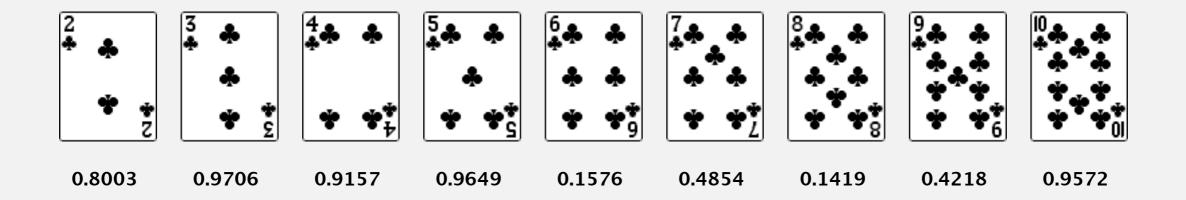






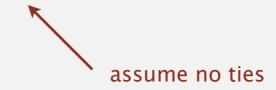
Shuffling by sorting

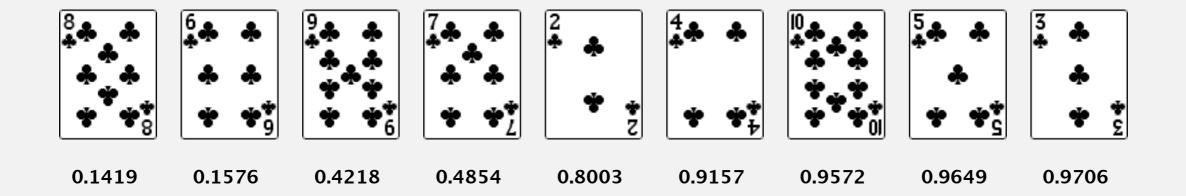
- Generate a random real number for each array entry.
- Sort the array using the real numbers as keys.



Shuffling by sorting

- Generate a random real number for each array entry.
- Sort the array using the real numbers as keys.

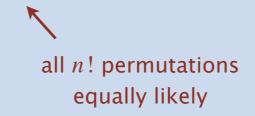


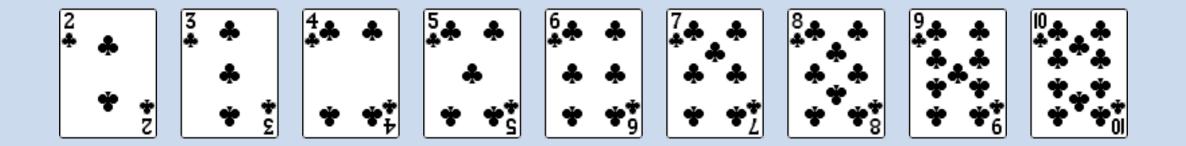


INTERVIEW QUESTION: SHUFFLE AN ARRAY



Goal. Rearrange array so that result is a uniformly random permutation.





Shuffling by sorting is quadratic (using insertion or selection sort) or linearithmic (using mergesort or quicksort).

Exercise. Devise with a linear-time algorithm for shuffling.

War story (Microsoft)

Microsoft antitrust probe by EU. Microsoft agreed to provide a randomized ballot screen for users to select browser.

Select your web browser(s)



A fast new browser from Google. Try it now!



Safari for Windows from Apple, the world's most innovative browser.



Your online security is Firefox's top priority. Firefox is free, and made to help you get the most out of the



The fastest browser on Earth. Secure, powerful and easy to use, with excellent privacy protection.



Designed to help you take control of your privacy and browse with confidence. Free from Microsoft.

appeared last 50% of the time

War story (Microsoft)

Microsoft antitrust probe by EU. Microsoft agreed to provide a randomized ballot screen for users to select browser.

Solution? Implement shuffle sort by making comparator always return a random answer.

Algorithms

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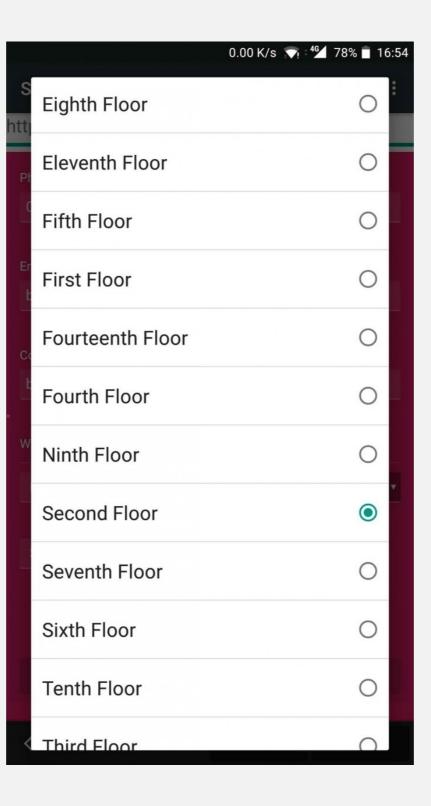
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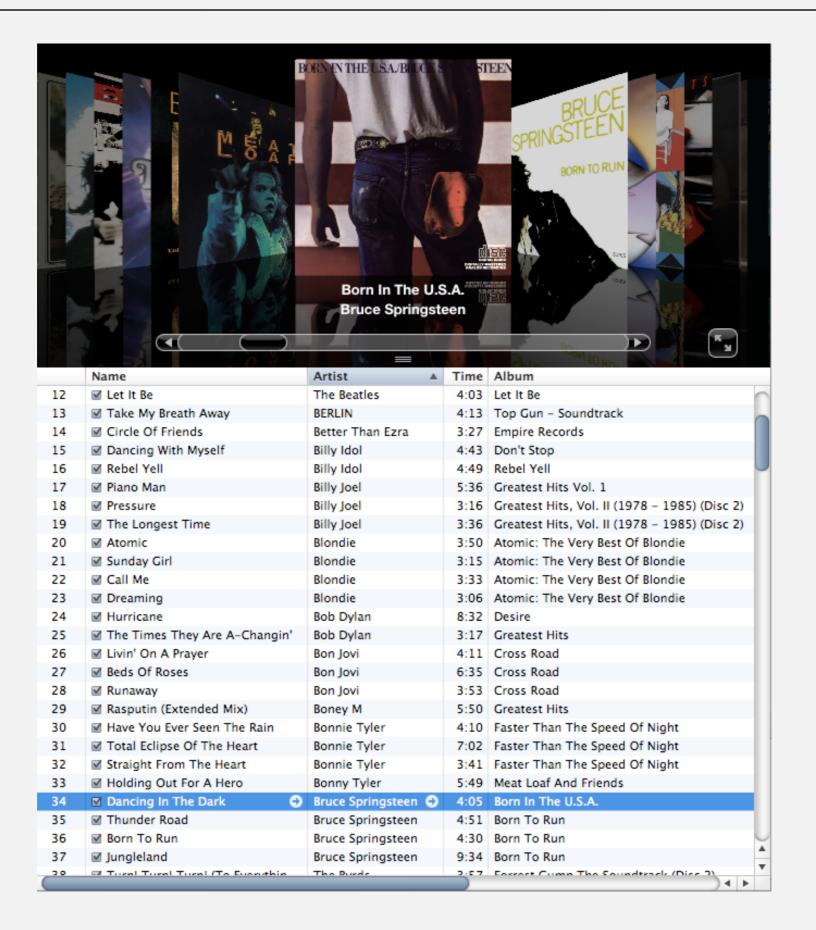
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Different orderings

Q. When might we need to define different sort orderings?



Sort music library by artist



Sort music library by song name



Comparable interface: review

Comparable interface: sort using a type's natural order.

```
public class Date implements Comparable<Date>
{
  private final int month, day, year;
  public Date(int m, int d, int y)
     month = m;
     day = d;
     year = y;
                                                            natural order
  public int compareTo(Date that)
     if (this.year < that.year ) return -1;
     if (this.year > that.year ) return +1;
     if (this.month < that.month) return -1;
     if (this.month > that.month) return +1;
     if (this.day < that.day ) return -1;
     if (this.day
                    > that.day ) return +1;
     return 0;
```

Comparator interface

Comparator interface: sort using an alternate order.

```
public interface Comparator<Item>
{
    public int compare(Item v, Item w);
}
```

Required property. Must be a total order.

string order	example
natural order	Now is the time
case insensitive	is Now the time
Spanish language	café cafetero cuarto churro nube ñoño
British phone book	McKinley Mackintosh

Comparator interface: system sort

To use with Java system sort:

- Create Comparator object.
- Pass as second argument to Arrays.sort().

```
String[] a;
...
Arrays.sort(a);
...
Arrays.sort(a, String.CASE_INSENSITIVE_ORDER);
...
Arrays.sort(a, Collator.getInstance(new Locale("es")));
...
Arrays.sort(a, new BritishPhoneBookOrder());
...
```

Bottom line. Decouples the definition of the data type from the definition of what it means to compare two objects of that type.

Comparator interface: using with our sorting libraries

To support comparators in our sort implementations:

- Pass Comparator to both sort() and less(), and use it in less().
- Use Object instead of Comparable.

```
import java.util.Comparator;
public class Insertion
  public static void sort(Object[] a, Comparator comparator)
     int n = a.length;
      for (int i = 0; i < n; i++)
         for (int j = i; j > 0 && less(comparator, a[j], a[j-1]); j--)
            exch(a, j, j-1);
  private static boolean less(Comparator comparator, Object v, Object w)
   { return comparator.compare(v, w) < 0; }
}
```

https://algs4.cs.princeton.edu/21elementary/Insertion.java.html https://algs4.cs.princeton.edu/21elementary/InsertionPedantic.java.html

Comparator interface: implementing

To implement a comparator:

- Define a (nested) class that implements the Comparator interface.
- Define it as static because there is one comparator for the whole class.
- Implement the compare() method.
- Provide client access to Comparator.

```
import java.util.Comparator;
public class Student
   private final String name;
   private final int section;
   private static class NameOrder implements Comparator<Student>
      public int compare(Student v, Student w)
      { return v.name.compareTo(w.name); }
   public static Comparator<Student> byNameOrder()
   { return new NameOrder(); }
                   https://algs4.cs.princeton.edu/12oop/Student.java.html
```

Comparator interface: implementing

To implement a comparator:

- Define a (nested) class that implements the Comparator interface.
- Implement the compare() method.
- Provide client access to Comparator.

Insertion.sort(a, Student.byNameOrder());

Andrews	3	Α	(664) 480-0023	097 Little
Battle	4	С	(874) 088-1212	121 Whitman
Chen	3	Α	(991) 878-4944	308 Blair
Fox	3	Α	(884) 232-5341	11 Dickinson
Furia	1	Α	(766) 093-9873	101 Brown
Gazsi	4	В	(800) 867-5309	101 Brown
Kanaga	3	В	(898) 122-9643	22 Brown
Rohde	2	Α	(232) 343-5555	343 Forbes

Insertion.sort(a, Student.bySectionOrder());

Furia	1	Α	(766) 093-9873	101 Brown
Rohde	2	А	(232) 343-5555	343 Forbes
Andrews	3	Α	(664) 480-0023	097 Little
Chen	3	Α	(991) 878-4944	308 Blair
Fox	3	Α	(884) 232-5341	11 Dickinson
Kanaga	3	В	(898) 122-9643	22 Brown
Battle	4	С	(874) 088-1212	121 Whitman
Gazsi	4	В	(800) 867-5309	101 Brown

Stability

A typical application. First, sort by name; then sort by section.

Selection.sort(a, Student.byNameOrder());

Andrews	3	Α	(664) 480-0023	097 Little
Battle	4	С	(874) 088-1212	121 Whitman
Chen	3	Α	(991) 878-4944	308 Blair
Fox	3	Α	(884) 232-5341	11 Dickinson
Furia	1	Α	(766) 093-9873	101 Brown
Gazsi	4	В	(800) 867-5309	101 Brown
Kanaga	3	В	(898) 122-9643	22 Brown
Rohde	2	Α	(232) 343-5555	343 Forbes

Selection.sort(a, Student.bySectionOrder());

Furia	1	А	(766) 093-9873	101 Brown
Rohde	2	А	(232) 343-5555	343 Forbes
Chen	3	Α	(991) 878-4944	308 Blair
Fox	3	Α	(884) 232-5341	11 Dickinson
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Kanaga	3	В	(898) 122-9643	22 Brown
Gazsi	4	В	(800) 867-5309	101 Brown
Battle	4	С	(874) 088-1212	121 Whitman

@#%&@! Students in section 3 no longer sorted by name.

A stable sort preserves the relative order of items with equal keys.

Elementary sorts: quiz 5



Which sorting algorithm(s) are stable?

- A. Selection sort.
- **B.** Insertion sort.
- C. Both A and B.
- D. Neither A nor B.

Stability: insertion sort

Proposition. Insertion sort is stable.

```
public class Insertion
{
   public static void sort(Comparable[] a)
       int n = a.length;
       for (int i = 0; i < n; i++)
           for (int j = i; j > 0 && less(a[j], a[j-1]); j--)
               exch(a, j, j-1);
                               i
                                           0 1 2 3 4
                                     0 B<sub>1</sub> A<sub>1</sub> A<sub>2</sub> A<sub>3</sub> B<sub>2</sub>
                               0
                                     0 \qquad A_1 \quad B_1 \quad A_2 \quad A_3 \quad B_2
                               1
                                    1 A_1 A_2 B_1 A_3 B_2
                                    2 A_1 A_2 A_3 B_1 B_2
                               3
                                     4 A_1 A_2 A_3 B_1 B_2
                                           A_1 \quad A_2 \quad A_3 \quad B_1 \quad B_2
```

Pf. Equal items never move past each other.

Stability: selection sort

Proposition. Selection sort is not stable.

```
public class Selection
{
   public static void sort(Comparable[] a)
      int n = a.length;
      for (int i = 0; i < n; i++)
         int min = i;
         for (int j = i+1; j < n; j++)
            if (less(a[j], a[min]))
               min = j;
         exch(a, i, min);
```

i	min	0	1	2
0	2	B_1	B_2	Α
1	1	Α	B_2	B_1
2	2	Α	B ₂	B_1
		Α	B_2	B_1

Pf by counterexample. Long-distance exchange can move an equal item past another one.