Intro to Recurrent Neural Networks

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This Lecture:

- 1. Segmentation Review
- 2. Intro to Polygon-RNN
- 3. Modeling Sequences
 - 1. Vanilla RNN
 - 2. Training and Problems
 - 3. LSTM
 - 4. Convolutional LSTM
- 4. Vertex Prediction using Conv-LSTM

Previously:

Instance-segmentation

• Evaluation Metrics: mAP; mIoU



Image Source: http://www.robots.ox.ac.uk/~aarnab/



Problem: Standard Annotation Methods

• Tedious polygon drawing





Image Source: Labelme2.csail.mit.edu



Previously:

• Sparse annotation methods







Image Sources: Bearman, Russakovsky, Ferrari, Li, What's the Point; Dai, He, Sun, BoxSup; Ft. Yannis Karakozis

Previously:

- Semi-automatic segmentation
 - Graphical models with smoothness term
 - No shape prior
- GrabCut
 - Too error-prone for benchmark creation
- Mistakes are tedious to correct by hand





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Polygon-RNN

Castrejón, Kundu, Urtasun, and Fidler Honorable Mention for Best Paper Award CVPR '17

Polygon-RNN Goals

- Maintain high annotation accuracy
 - Benchmark-grade masks
 - Evaluation Metric: agreement (in IoU) with ground-truth
- Reduce annotation cost
 - Measured using average instance annotation time
 - Number of clicks (i.e. corrections) per image



Model Overview

- 1. Adapt VGG-16¹ for feature extraction
- 2. Two-layer Convolutional LSTM for polygon vertex inference



Figure 2. **Our Polygon-RNN model**. At each time step of the RNN-decoder (right), we feed in an image representation using a modified VGG architecture. Our RNN is a two-layer convolutional LSTM with skip-connection from one and two time steps ago. At the output at each time step, we predict the spatial location of the new vertex of the polygon.

Step 1: Feature Extraction

Review: VGG-16

Simonyan and Zisserman; ICLR '14

- Simple, modular structure (feedforward)
- Single Stride
 - Reduced loss of information
- Stacked with small kernels
 - Large receptive field
 - Fewer learnable parameters
- Rectified Linear Units (ReLU) (like AlexNet)

Input Image: (224x224x3)
Conv3-64 x 2
Maxpool
Conv3-128 x 2
Maxpool
Conv3-256 x 3
Maxpool
Conv3-512 x 3
Maxpool
Conv3-512 x 3
Maxpool
FC 4096 x 2
FC 1000
Softmax

VGG-16 for Polygon-RNN

• Remove final pooling layer and fully connected layers (classifier)

input image: (224x224x3)
Conv3-64 x 2
Maxpool
Conv3-128 x 2
Maxpool
Conv3-256 x 3
Maxpool
Conv3-512 x 3
Maxpool
Conv3-512 x 3
Maxpool
FC 4096 x 2
FC 1000
Softmax

VGG-16 for Polygon-RNN

- Remove final pooling layer and fully connected layers (classifier)
- Concatenate outputs of varying granularity

28x28x512

 "See the object" (low res) and follow boundaries (high res)

Bilinear

Up-sampliing

Conv3x3



VGG-16 for Polygon-RNN





Step 2: Vertex Prediction

Choices

- Single Shot
 - Simple and fast
 - More clicking (For correction)

- Predict vertices sequentially
 - Requires more design decisions and separate inferences (~250 ms/inf) for each vertex
 - Allows for human-in-the-loop annotation to increase accuracy

Polygon-RNN Steps

- 1. Extract features using modified VGG-16
- 2. Predict polygon vertices using a 2-layer convolutional LSTM (16 channel hidden layer)



Figure 2. **Our Polygon-RNN model**. At each time step of the RNN-decoder (right), we feed in an mage representation using a modified VGG architecture. Our RNN is a two-layer convolutional LSTM with skip-connection from one and two time steps ago. At the output at each time step, we predict the spatial location of the new vertex of the polygon.

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What is an RNN?

RNN Overview

We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:

$$h_t = f_W(h_{t-1}, x_t)$$

new state old state input vector at some time step some function with parameters W

Contents stolen from CS231n. Credit: Fei-Fei Li & Justin Johnson & Serena Yeung

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RNN

Х

Why RNN?

- 1. Linear Dynamical Systems (LDS)
 - 1. Limited to linear state updates (linear dynamics...)
- 2. Hidden Markov Models (HMM)
 - Discrete hidden states can only remember log(N) bits about prior data
- 3. RNNs address these limitations
 - 1. **Efficient information storage** for "long-range" dependencies
 - 2. Nonlinear state updates
 - 3. Turing Complete



RNN Flexibility



(without annotator)

Elman Networks¹ (Vanilla RNN) [Elman 1990]



The state consists of a single *"hidden"* vector **h**.

Graphics stolen from CS231n. Credit: Fei-Fei Li & Justin Johnson & Serena Yeung ¹Elman, Jeffrey L. (1990). "Finding Structure in Time". Cognitive Science. **14** (2): 179–211.

Jordan Networks¹ [Jordan 1997]

Interpretable!

y

RNN

Χ



$$h_t = tanh(W_{yh}y_{t-1} + W_{xh}x_t)$$

$$y_t = \sigma(W_y h_t)$$

- Less powerful than Elman Networks BUT easier to train (via parallelization)²

- Allows for external intervention

Graphics stolen from CS231n. Credit: Fei-Fei Li & Justin Johnson & Serena Yeung ¹*Jordan, Michael I. (1997-01-01). "Serial Order: A Parallel Distributed Processing Approach".* ²see e.g. http://www.deeplearningbook.org/contents/rnn.html

Computational Graph

• Note: by removing h_0, the graph becomes equivalent to that of a normal MLP



What about h₀?

- Could arbitrarily choose a starting value (e.g. 0.5)
- Could start with a computed average
- Could treat as a parameter to learn via backprop¹
- Polygon-RNN chooses to start with 0
 - Represents "total ignorance"
 - Midpoint for range of tanh

* Hinton recommends/ed this in CS2535

Computational Graph



Computational Graph

"Share the weights" - Re-use the same weight matrix at every time-step



Computational Graph For Vertex Prediction



Backpropagation through time (BPTT)

Forward through entire sequence to compute loss, then backward through entire sequence to compute gradient



Truncated BPTT



Run forward and backward through chunks of the sequence instead of whole sequence

Truncated BPTT



Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps


RNN Gradient Flow



Computing gradient of h₀ involves many factors of W (and repeated tanh)

BPTT Problems

- Gradient will contain terms that grow like **W**^k
- What happens for singular values >> 1 (e.g. 4)?



"For Whoever Shares, to Him More Gradient Will Be Given - corruption of Mark 4:25"

(But sometimes you can have too much of a good thing)

Quote taken completely out of context from COS485. Credit and apologies to Sebastian Seung

BPTT Problems

- Gradient will contain terms that grow like \mathbf{W}^k
- What happens for singular values >> 1 (e.g. 4)?
 - Exploding Gradients
 - Gradient Clipping¹ (threshold the norm of the gradient)
- What happens for singular values << 1 (e.g. 0.2)?
 - Vanishing Gradients
 - LSTM (Next)



Slide stolen from CS519. Credit: Fuxin Li ¹Pascaon, Mikolov, Bengio. "On the difficulty of training recurrent neural networks"

Adding Memory

Vanilla RNN to LSTM¹





Image credit to Christopher Olah: http://colah.github.io/posts/2015-08-Understanding-LSTMs/ ¹Hochreiter and Schmidhuber, "Long Short Term Memory", Neural Computation 1997

LSTM Gradient Flow

[Hochreiter et al., 1997]



Core idea: Add a cell state to allow for uninterrupted gradient flow

Note: Additive interactions are reminiscent of identity connections in ResNet¹

Content credit: Hochreiter and Schmidhuber, "Long Short Term Memory", Neural Computation 1997 Image credit to Christopher Olah: http://colah.github.io/posts/2015-08-Understanding-LSTMs/ ¹Kaiming He, Xiangyu Zhang, Shaoqing Ren, Jian Sun. Deep Residual Learning for Image Recognition. CVPR '15

Long Short Term Memory (LSTM)

[Hochreiter et al., 1997]

vector from

- f: Forget gate, Whether to erase cell
- i: Input gate, whether to write to cell
- o: Output gate, How much to reveal from cell
- **g**: <u>Candidate cell state</u>, What to write to cell (later \tilde{C}_t)





$$c_t = f \odot c_{t-1} + i \odot g$$
$$h_t = o \odot \tanh(c_t)$$

Hochreiter and Schmidhuber, "Long Short Term Memory", Neural Computation 1997 Ft. CS231n and Fei-Fei Li & Justin Johnson & Serena Yeung

LSTM Gating and Activation Functions [Hochreiter et al., 1997]

- Sigmoid (σ) function outputs number in (0,1)
 - Selectively attenuates (or "gates") signal
- Hyperbolic Tanget (tanh)?
 - Empirically better...
 - LSTM architecture already designed to avoid vanishing gradient
 - Hadamard product easily explodes if values are unbounded
 - So ReLU is out.





$$f_t = \sigma \left(W_f \cdot [h_{t-1}, x_t] + b_f \right)$$

- The **forget gate** *f*_{*t*} erases information from the cell state
 - Imagine annotator altered a vertex; LSTM must forget its past trajectory



$$i_t = \sigma \left(W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

- The **input gate** *i*_t scales the candidate values to modulate how much to update the cell state
- The candidate cell state \tilde{C}_t represents the new values which will replace/augment the existing cell state
 - = Output of a vanilla RNN



• The **cell state** *C*_{*t*} is the sum of the information *remembered* and the information *observed* which is deemed to be important



$$o_t = \sigma \left(W_o \left[h_{t-1}, x_t \right] + b_o \right)$$
$$h_t = o_t * \tanh \left(C_t \right)$$

- The **output gate** o_t determines what information from the cell state the cell wishes to output
- The cells state C_t is squashed by a hyperbolic tangent before being gated
- If we wish to make an inference y_r , simply pass h_t through an fc classifier layer

LSTM Summary [Hochreiter et al., 1997]

- Sigmoid functions clearly model gating
- Tanh empirically works better than ReLU
- Cell state allows for long(er)-term dependency modeling
- Other variants: peepholes, projection layers, and more
 - Is LSTM redundant? (GRUs)

$$i_{t} = \sigma(W_{xi}x_{t} + W_{hi}h_{t-1} + W_{ci} \circ c_{t-1} + b_{i})$$

$$f_{t} = \sigma(W_{xf}x_{t} + W_{hf}h_{t-1} + W_{cf} \circ c_{t-1} + b_{f})$$

$$c_{t} = f_{t} \circ c_{t-1} + i_{t} \circ \tanh(W_{xc}x_{t} + W_{hc}h_{t-1} + b_{c})$$

$$o_{t} = \sigma(W_{xo}x_{t} + W_{ho}h_{t-1} + W_{co} \circ c_{t} + b_{o})$$

$$h_{t} = o_{t} \circ \tanh(c_{t})$$

Content credit: Hochreiter and Schmidhuber, "Long Short Term Memory", Neural Computation 1997

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Convolutional LSTM

Xingjian, Chen, Wang, Yeung, Wong, and Woo.; NIPS '15

Modeling Sequences of 2D Observations

- Capture spatio-temporal relationships
- Reduce parameter space



Figure 2: Inner structure of ConvLSTM

Equations:

Vanilla LSTM

Convolutional LSTM

$$i_{t} = \sigma(W_{xi}x_{t} + W_{hi}h_{t-1} + W_{ci} \circ c_{t-1} + b_{i})$$

$$f_{t} = \sigma(W_{xf}x_{t} + W_{hf}h_{t-1} + W_{cf} \circ c_{t-1} + b_{f})$$

$$c_{t} = f_{t} \circ c_{t-1} + i_{t} \circ \tanh(W_{xc}x_{t} + W_{hc}h_{t-1} + b_{c})$$

$$o_{t} = \sigma(W_{xo}x_{t} + W_{ho}h_{t-1} + W_{co} \circ c_{t} + b_{o})$$

$$h_{t} = o_{t} \circ \tanh(c_{t})$$

$$i_{t} = \sigma(W_{xi} * \mathcal{X}_{t} + W_{hi} * \mathcal{H}_{t-1} + W_{ci} \circ \mathcal{C}_{t-1} + b_{i})$$

$$f_{t} = \sigma(W_{xf} * \mathcal{X}_{t} + W_{hf} * \mathcal{H}_{t-1} + W_{cf} \circ \mathcal{C}_{t-1} + b_{f})$$

$$\mathcal{C}_{t} = f_{t} \circ \mathcal{C}_{t-1} + i_{t} \circ \tanh(W_{xc} * \mathcal{X}_{t} + W_{hc} * \mathcal{H}_{t-1} + b_{c})$$

$$o_{t} = \sigma(W_{xo} * \mathcal{X}_{t} + W_{ho} * \mathcal{H}_{t-1} + W_{co} \circ \mathcal{C}_{t} + b_{o})$$

$$\mathcal{H}_{t} = o_{t} \circ \tanh(\mathcal{C}_{t})$$

Comments

- Initialize cell and hidden states to 0
 - "Total ignorance" of the past
- Pad the hidden states
 - "Same" type convolution
 - Represents the ignorance of the outside world
- Larger convolutional kernels can capture greater activity
- Only proven to work for low-res features (16x16 to 28x28)

RNN Review

- RNNs allow for a lot of **flexibility** in architecture design
- Vanilla RNNs are **simple** but don't work very well
- Backward flow of gradients in RNN can explode or vanish.
 - Exploding? -> Clip gradients.
 - Vanishing? -> use additive interactions (LSTM, GRU, etc.)
- Better/simpler/faster architectures are a hot topic of current research
 - Convolutional LSTM improves on over-parametrized LSTM BUT requires low-res images
- Better understanding (both theoretical and empirical) is needed.

Back to Polygon-RNN

Polygon-RNN Steps

- 1. Extract features using modified VGG-16
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Figure 2. **Our Polygon-RNN model**. At each time step of the RNN-decoder (right), we feed in an mage representation using a modified VGG architecture. Our RNN is a two-layer convolutional LSTM with skip-connection from one and two time steps ago. At the output at each time step, we predict the spatial location of the new vertex of the polygon.

Vertex Prediction at step t

- Input *x* is a concatenated tensor:
 - Extracted features *f* from VGG (128 channels)
 - Vertices y_{1}, y_{t-2} & y_{t-1} or prior outputs of the ConvLSTM
 - Similar to a Jordan Network!

$$h_t = f_W(h_{t-1}, x_t)$$



Output Format

- Vertex prediction = Classification
- D x D+1 OHE grid
- D+1 for End-of-sequence token





Т

What about t=1?

- First vertex of polygon is not uniquely defined
 - Use a **second** (identical) CNN for initial inference
 - *Note: the weights are NOT shared between the two VGG nets





Training

- Cross-Entropy at each time-step (for RNN)
 - No explicit distance metric
- Smooth ground-truth labels
- Data Augmentation
 - Random flips
 - Random context expansion (10%-20% of original BB)
 - $\circ \quad \ \ {\rm Random \ selection \ of \ starting \ index}$









Inference

- "Prediction Mode"
 - Automatically predict all vertices until end-of-sequence token is generated
- Annotator in the Loop
 - Predict vertices one-at-a-time
 - \circ \quad Annotator may move a vertex, which is then fed into the Conv-LSTM



Evaluation Baselines

DeepMask [Pinheiro et al., 2015]



Figure 1: (Top) Model architecture: the network is split into two branches after the shared feature extraction layers. The top branch predicts a segmentation mask for the the object located at the center while the bottom branch predicts an object score for the input patch. (Bottom) Examples of training triplets: input patch x, mask m and label y. Green patches contain objects that satisfy the specified constraints and therefore are assigned the label y = 1. Note that masks for negative examples (shown in red) are not used and are shown for illustrative purposes only.

P. O. Pinheiro, R. Collobert, and P. Dollar, "Learning to segment object candidates," in NIPS, 2015.

SharpMask [Pinheiro et al., 2016]

- Skip layers mainly average outputs, which hurts instance-level segmentation accuracy
- Refinement module w/ inputs k_m and k_f:
 - 1. convolving k_f
 - 2. concatenate $k_{\rm f}$ and $k_{\rm m}$
 - 3. Convolve to reduce number of channels,
 - 4. Up-sample



P. O. Pinheiro, T.-Y. Lin, R. Collobert, and Piotr Dollar, "Learning to refine object segments," in ECCV, 2016.

Quantitative Evaluation

Model	Bicycle	Bus	Person	Train	Truck	Motorcycle	Car	Rider	Mean
Square Box	35.41	53.44	26.36	39.34	54.75	39.47	46.04	26.09	40.11
Dilation10	46.80	48.35	49.37	44.18	35.71	26.97	61.49	38.21	43.89
DeepMask [20]	47.19	69.82	47.93	62.20	63.15	47.47	61.64	52.20	56.45
SharpMask [20]	52.08	73.02	53.63	64.06	65.49	51.92	65.17	56.32	60.21
Ours	52.13	69.53	63.94	53.74	68.03	52.07	71.17	60.58	61.40

Table 3. Performance (IoU in %) on all the Cityscapes classes without the annotator in the loop.

*IoU is computed for each *instance* **Analysis**: Polygon-RNN degrades for large instances due to small (28x28) output resolution



Reminder:

- IoU is upper-bounded when using bilinear up-sampling
- Small output resolution of Polygon-RNN is a hindrance



Source: Long, Shelhammer, Darrell. Fully Convolutional Neural Networks for Semantic Segmentation

factor

mean IU

Annotator in the Loop Results

Subset of Images

	Grabcut	P-RNN (T=4)	P-RNN(T= 1)
Avg. Time	42.2	N/A	N/A
Clicks	17.5	5	9.6
mloU	70.7%	79.7%	85.8%

Full Dataset

Threshold	Num. Clicks	Mean IOU
1	15.79	84.74
2	11.77	81.43
3	9.39	78.40
4	7.86	75.79

Table 4. **Annotator in the loop:** Average number of corrections per instance and IoU, computed across all classes. Threshold indicates chessboard distance to the closest GT vertex.

Note: The authors claim that each click requires "comparable time" to Grabcut but do not report annotation time results, choosing instead to focus on the number of clicks. They do report that it takes ~250ms per rnn inference.

Note 2: Authors don't compare # of clicks with GrabCut where the algorithm is initialized with a deeply-learned mask (e.g. SharpMask)

Annotator in the Loop Results



Figure 5. Annotator in the loop: We show IoU as a function of the number of clicks/corrections.

Comparison with an Expert Annotator

Method	Num. Clicks	IoU	Annot. Speed-Up
Cityscapes GT	33.56	100	-
Ann. full image	79.94	69.5	-
Ann. crops	96.09	78.6	-
Ours (Automatic)	0	73.3	No ann.
Ours (T=1)	9.3	87.7	x3.61
Ours (T=2)	6.6	85.7	x5.11
Ours (T=3)	5.6	84.0	x6.01
Ours (T=4)	4.6	82.2	x7.31

Table 5. **Our model vs Annotator Agreement**: We hired a highly trained annotator to label *car* instances on additional 10 images (101 instances). We report IoU agreement with Cityscapes GT, and report polygon statistics. We compare our approach with the agreement between the human annotators.
Testing on Kitti¹

 Larger average instances put DeepMask and SharpMask back ahead of automatic Polygon-RNN

Method	# of Clicks	IOU
DeepMask [20]	-	78.3
SharpMask [21]	-	78.8
Beat the MTurkers [4]	0	73.9
Ours (Automatic)	0	74.22
Ours (T=1)	11.83	89.43
Ours (T=2)	8.54	87.51
Ours (T=3)	6.83	85.70
Ours (T=4)	5.84	84.11

Table 6. Car annotation results on the KITTI dataset.

¹Geiger, Lenz, and Urtasun. Are we ready for Autonomous Driving? The KITTI Vision Benchmark Suite; CVPR, '12

Qualitative Results





Annotator



Ours (Automatic)







Ours (T=1)















Qualitative Results



GT

Automatic

T=1

- Authors' threshold-based correction is limited.
- Memory of LSTM is complicated

Summary and Extensions

- Polygon-RNN frames vertex prediction as a **binary classification** task
- Interesting that no explicit distance metric is used for the loss
 - Only cross-entropy and local smoothing
- Annotation speedup of 4.74 (measured in clicks)
 - Though per-click speed may be slower to allow for RNN inference time...
- Questions and extensions:
 - Close analysis: what amount of error is attributable to the **feature extraction** module, and what amount is due to weaknesses in the **RNN** inference module?
 - Could swap VGG for e.g. ResNet, DenseNet, or a stacked hourglass network
 - Could inference be improved at **higher resolution** using larger, stacked, or dilated ConvLSTM kernels?
 - Could we adapt e.g. Grid LSTM to better model spatial dependencies?
 - Should thresholding analysis be done using an area-approach rather than the closest **vertex?**
 - Could the framework be extended from "things" to "stuff" (semantic segmentation boundaries)?
 - How does this model perform on more complicated datasets (e.g. ADE20K)?
 - Amodal Polygon-RNN?

Appendix: Other Cool RNN Applications in Vision

RNNs on Spatial Sequences



Liu, Pan, Yang, Learning Recursive Filters for Low-Level Vision via a Hybrid Neural Network; ECCV '16

RNN as a CRF

A single iteration of the mean field algorithm can be modeled as a stack of CNN filters



A CRF post-processor can be constructed by sharing the filter weights



Figure 2. The CRF-RNN Network. We formulate the iterative mean-field algorithm as a Recurrent Neural Network (RNN). Gating functions G_1 and G_2 are fixed as described in the text.



Zheng, Jayasumana, Romera-Paredes, Vineet, Su, Du, Huang, Torr; Conditional Random Fields as Recurrent Neural Networks; ICCV '15

VQA (Module 2)



Where is this image	e? Submit
Predicted top	-5 answers with confidence:
river	40.614%
london	30.880%
lake	8.292%
water	2 764%
dock	2 153%

With surprising accuracy for some questions ...

	Is a zombie in the image?		Submit		
	Predicted top-5 answers with confidence:				
	yes		93.424%		but raplata with
The Real Property lines and the real of the	no	6.576 <mark>%</mark>			
	many	0.000%			learned blases.
	people	0.000%			
	city	0.000%			

Zheng, Jayasumana, Romera-Paredes, Vineet, Su, Du, Huang, Torr; Conditional Random Fields as Recurrent Neural Networks; ICCV '15