

# LONGEST INCREASING SUBSEQUENCE

Lecture slides by Kevin Wayne  
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<http://www.cs.princeton.edu/~wayne/kleinberg-tardos>

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# LONGEST INCREASING SUBSEQUENCE



**Longest increasing subsequence.** Given a sequence of elements  $c_1, c_2, \dots, c_n$  from a totally ordered universe, find the longest increasing subsequence.

Ex. 7 2 8 1 3 4 10 6 9 5

↑  
 elements must be in order  
 (but not necessarily contiguous)

**Application.** Part of MUMmer system for aligning whole genomes.

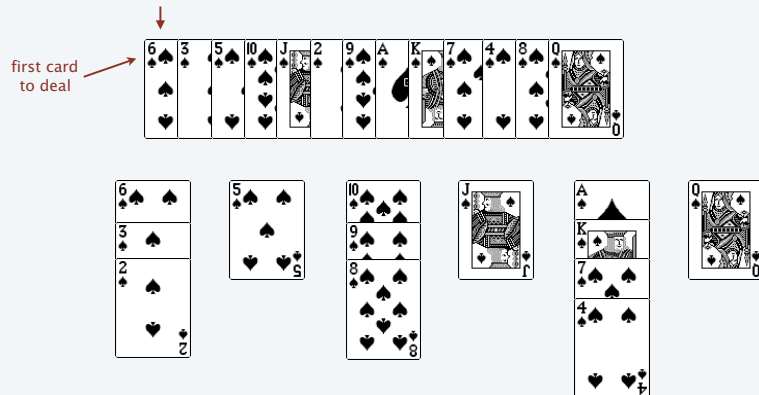
*AMUMMERA3BL*  
*MUMMER 3+*  
*TMUMMER .3DR*

## Patience solitaire

**Rules.** Deal cards  $c_1, c_2, \dots, c_n$  into piles according to two rules:

- Can put next card into a new singleton pile.
- Can put next card on a pile if it's smaller than the top card of pile.

**Goal.** Form as few piles as possible.

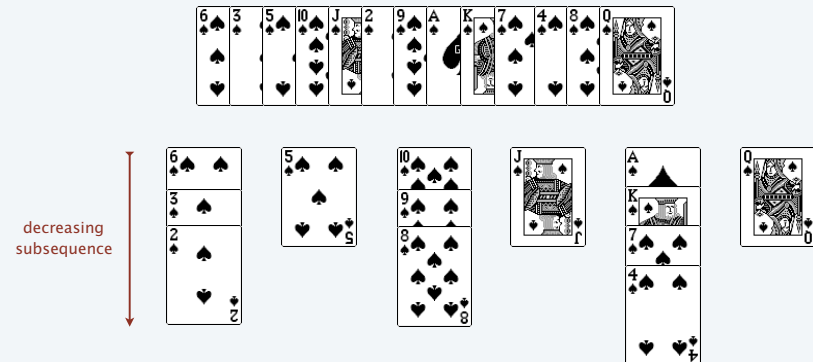


## Patience-LIS: weak duality

**Weak duality.** Length of any increasing subsequence  $\leq$  number of piles.

**Pf.**

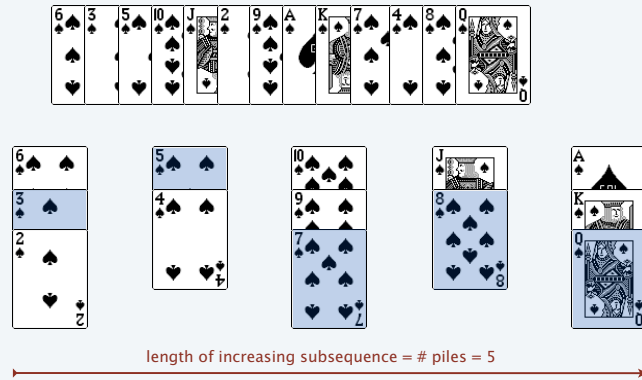
- Cards within a pile form a decreasing subsequence.
- Any increasing sequence can use at most one card per pile. ▀



## Patience-LIS: weak duality

**Weak duality.** Length of any increasing subsequence  $\leq$  number of piles.

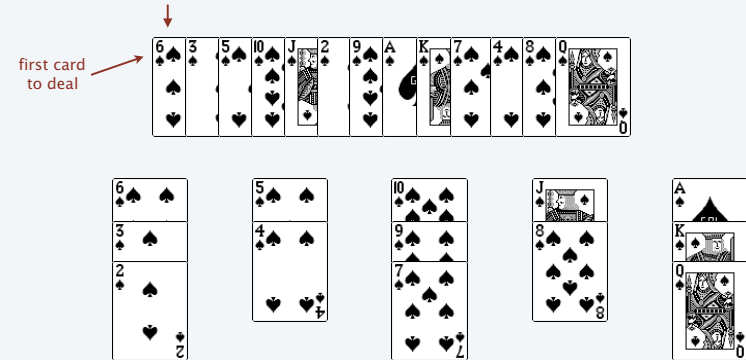
**Corollary.** If length of an increasing subsequence = number of piles, then both are optimal.



6

## Patience: greedy algorithm

**Greedy algorithm.** Place each card on **leftmost** pile that fits.

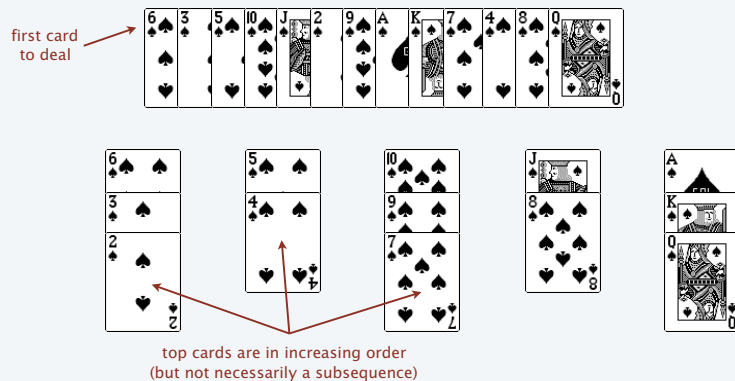


7

## Patience: greedy algorithm

**Greedy algorithm.** Place each card on **leftmost** pile that fits.

**Observation.** At any stage during greedy algorithm, top cards of piles increase from left to right.



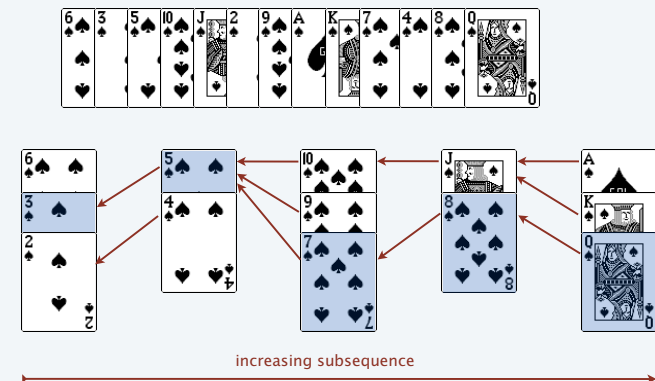
8

## Patience-LIS: strong duality

**Theorem.** [Hammersley 1972] Min number of piles = max length of an IS; moreover, greedy algorithm finds both.

**Pf.** Each card maintains a pointer to top card in previous pile.

- Following pointers yields an increasing subsequence. ↖ at time of insertion
- Length of this increasing subsequence = number of piles.
- By weak duality corollary, both are optimal. ■



9

## Greedy algorithm: implementation

**Theorem.** The greedy algorithm can be implemented in  $O(n \log n)$  time.

- Use  $n$  stacks to represent  $n$  piles.
- Use binary search to find leftmost legal pile.

**PATIENCE-SORT**( $n, c_1, c_2, \dots, c_n$ )

**INITIALIZE** an array of  $n$  empty stacks  $S_1, S_2, \dots, S_n$ .

**FOR**  $i = 1$  **TO**  $n$

$S_j \leftarrow$  binary search to find leftmost stack that fits  $c_i$ .

**PUSH**( $S_j, c_i$ ).

$pred[c_i] \leftarrow$  **PEEK**( $S_{j-1}$ ). ← null if  $j = 1$

**RETURN** sequence formed by following predecessor pointers from top card of rightmost nonempty stack.

10

## Patience sorting

**Patience sorting.** [Ross, Mallows 1962]

- Deal cards using greedy algorithm.
- Repeatedly remove the smallest card among the remaining piles.

**Theorem.** Can implement patience sorting in  $O(n \log n)$  time.

- To represent piles: use an array of stacks.
- To deal cards: use binary search to find leftmost pile.
- To remove cards: maintain piles in a binary heap (priority = top card).

**Theorem.** The expected number of piles  $\leq 2n^{1/2}$ .

**Corollary.** An elementary  $O(n^{3/2})$  probabilistic sorting algorithm.

← shuffle deck before running algorithm

← no need for even binary search

**Speculation.** [Persi Diaconis] Is patience sorting the fastest way to sort a deck of cards by hand?



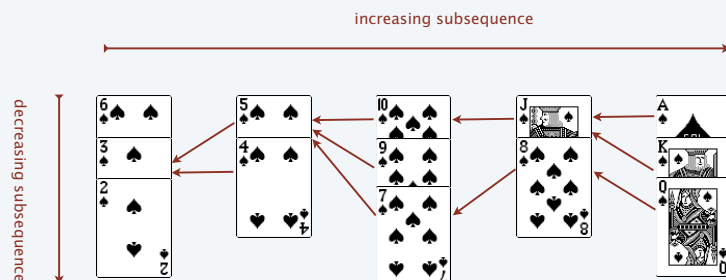
11

## Bonus theorem

**Theorem.** [Erdős-Szekeres 1935] Any sequence of  $n^2 + 1$  distinct real numbers either has an increasing or decreasing subsequence of length  $n + 1$ .

**Pf.** [by pigeonhole principle]

- Run greedy patience algorithm.
- Decreasing subsequence in each pile.
- Increasing subsequence using one card per pile.
- If  $\leq n$  cards per pile and  $\leq n$  piles, then  $\leq n^2$  cards. ✖



12