

Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE



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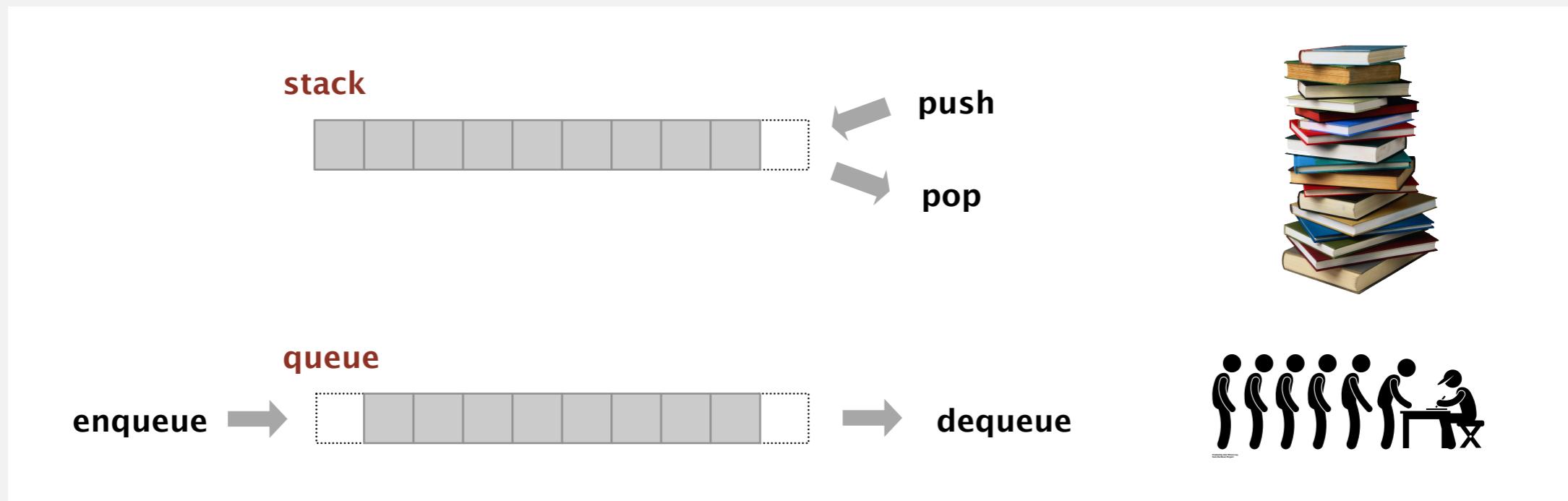
1.3 STACKS AND QUEUES

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators* ← **see precept**
- ▶ *applications*

Stacks and queues

Fundamental data types.

- Value: **collection** of objects.
- Operations: **add**, **remove**, **iterate**, **test if empty**.
- Intent is clear when we add.
- Which item do we remove?

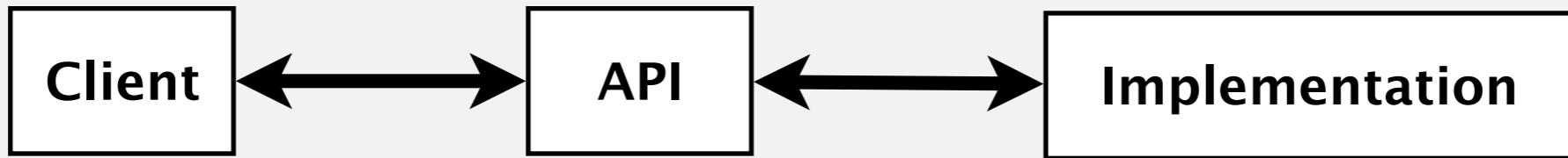


Stack. Examine the item most recently added. ← LIFO = “last in first out”

Queue. Examine the item least recently added. ← FIFO = “first in first out”

Client, implementation, API

Separate client and implementation via API.



API: operations that characterize the behavior of a data type.

Client: program that uses the API operations.

Implementation: code that implements the API operations.

Benefits.

- **Design:** creates modular, reusable libraries.
- **Performance:** substitute faster implementations.

Ex. Stack, queue, bag, priority queue, symbol table, union–find,

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1.3 STACKS AND QUEUES

- ▶ ***stacks***
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

Stack API

Warmup API. Stack of strings data type.

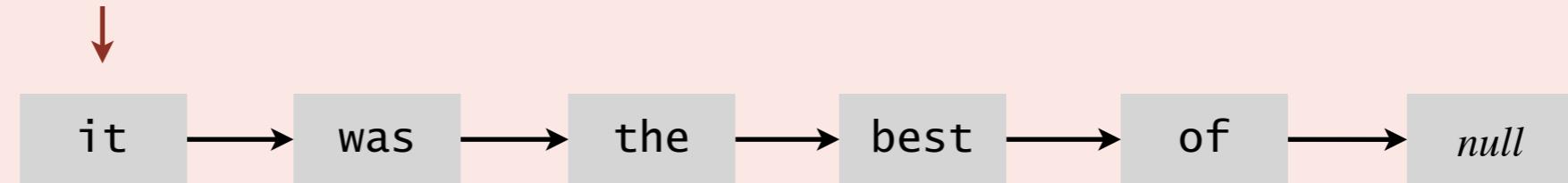
| | | push pop |
|-----------------------------|---|-------------|
| public class StackOfStrings | | |
| StackOfStrings() | <i>create an empty stack</i> | |
| void push(String item) | <i>add a new string to stack</i> | |
| String pop() | <i>remove and return the string most recently added</i> | |
| boolean isEmpty() | <i>is the stack empty?</i> | |
| int size() | <i>number of strings on the stack</i> | |

Warmup client. Reverse sequence of strings from standard input.

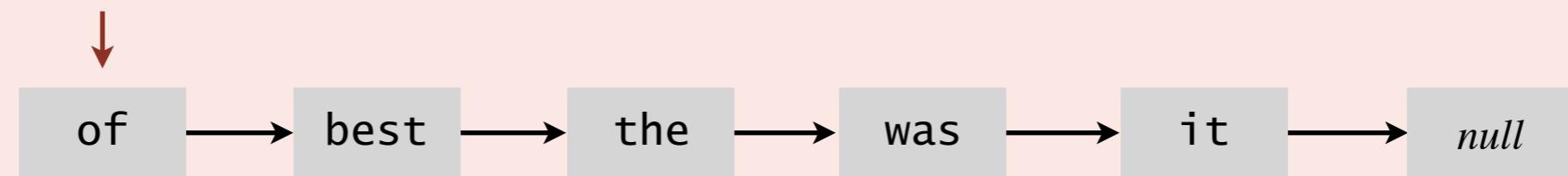
Stacks and queues quiz 1

How to implement a stack with a singly linked list?

A. least recently added



B. most recently added

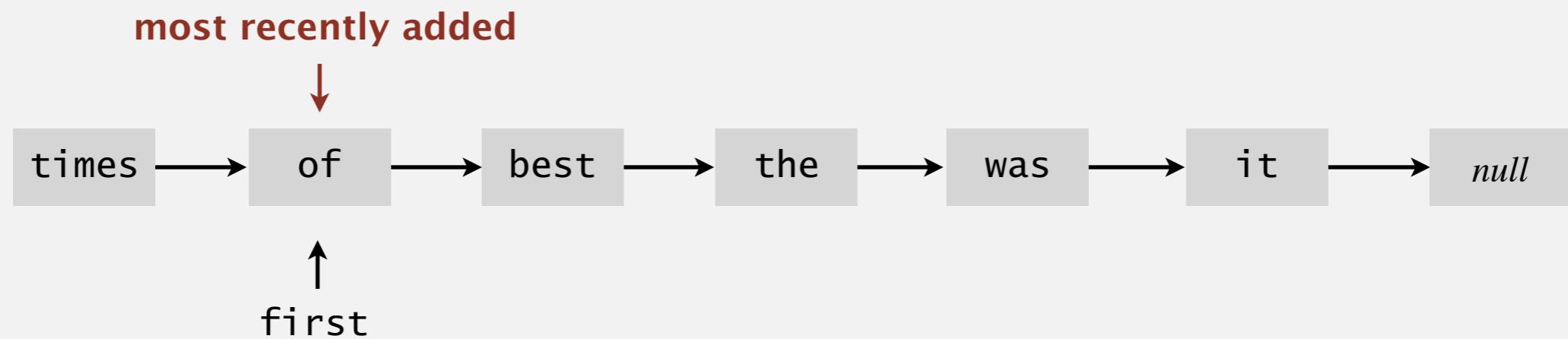


C. Both A and B.

D. Neither A nor B.

Stack: linked-list implementation

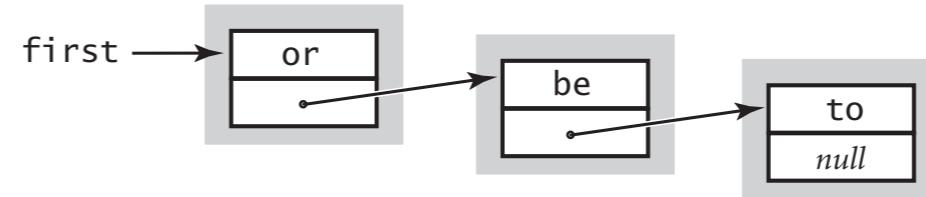
- Maintain pointer `first` to first node in a singly linked list.
- Push new item before `first`.
- Pop item from `first`.



Stack pop: linked-list implementation

inner class

```
private class Node  
{  
    String item;  
    Node next;  
}
```

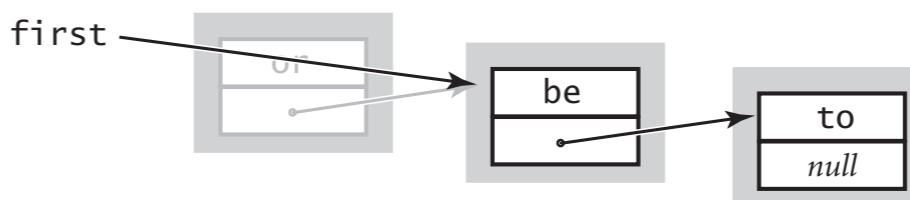


save item to return

```
String item = first.item;
```

delete first node

```
first = first.next;
```



return saved item

```
return item;
```

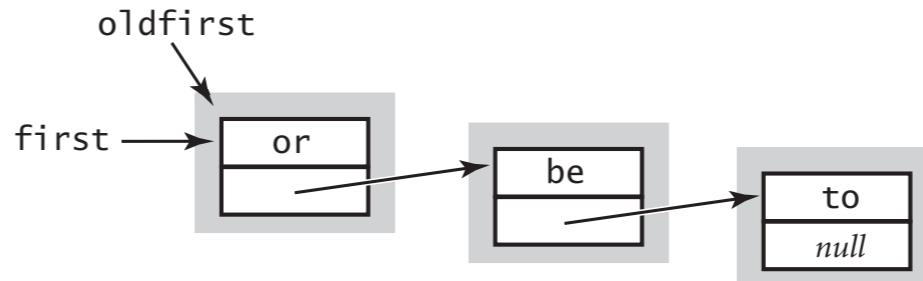
Stack push: linked-list implementation

inner class

```
private class Node  
{  
    String item;  
    Node next;  
}
```

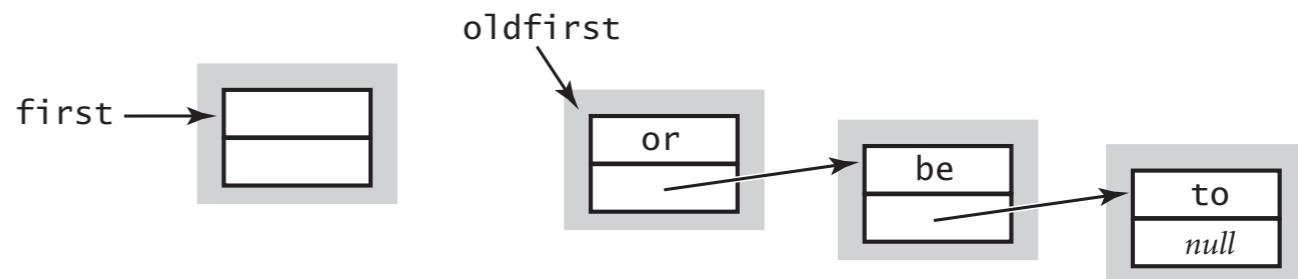
save a link to the list

```
Node oldfirst = first;
```



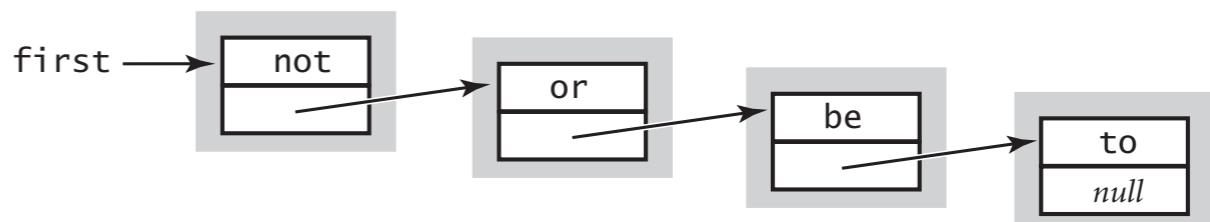
create a new node for the beginning

```
first = new Node();
```



set the instance variables in the new node

```
first.item = "not";  
first.next = oldfirst;
```



Stack: linked-list implementation

```
public class LinkedStackOfStrings
{
    private Node first = null;

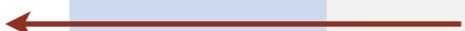
    private class Node
    {
        private String item;
        private Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

private inner class
(access modifiers for instance
variables don't matter)



Stack: linked-list implementation performance

Proposition. Every operation takes constant time in the worst case.

Proposition. A stack with n items uses $\sim 40n$ bytes.

inner class

```
private class Node  
{  
    String item;  
    Node next;  
}
```

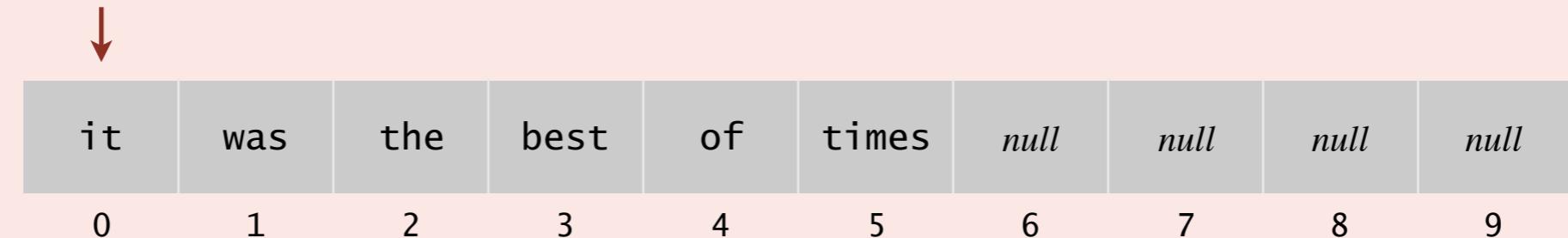


Remark. This accounts for the memory for the stack
(but not memory for the strings themselves, which the client owns).

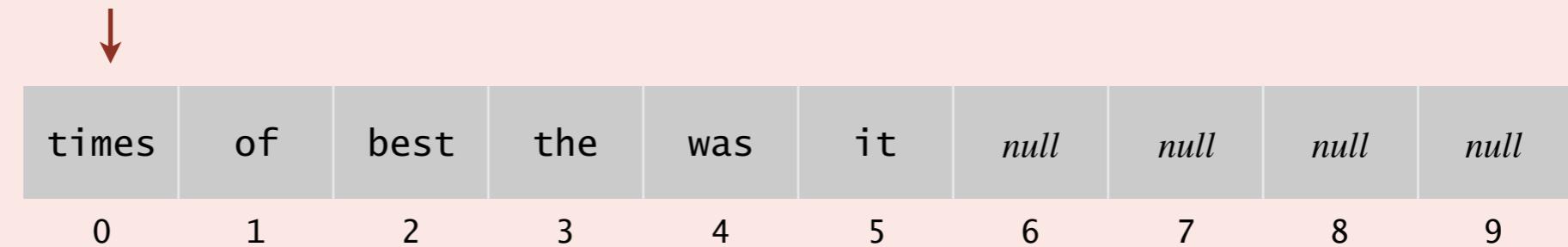
Stacks and queues quiz 2

How to implement a fixed-capacity stack with an array?

A. least recently added



B. most recently added

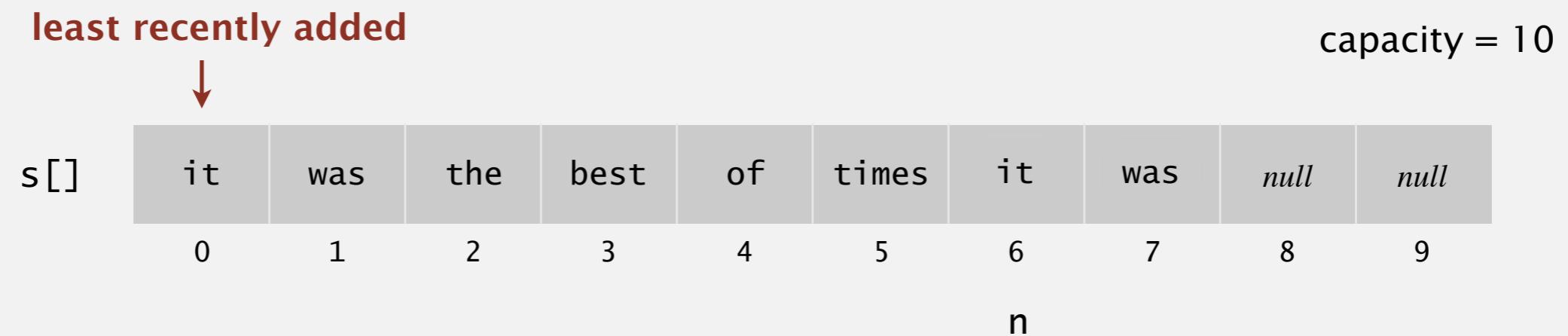


C. Both A and B.

D. Neither A nor B.

Fixed-capacity stack: array implementation

- Use array $s[]$ to store n items on stack.
- $\text{push}()$: add new item at $s[n]$.
- $\text{pop}()$: remove item from $s[n-1]$.



Defect. Stack overflows when n exceeds capacity. [stay tuned]

Fixed-capacity stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;
```

a cheat
(stay tuned)

```
public FixedCapacityStackOfStrings(int capacity)
{   s = new String[capacity]; }
```

```
public boolean isEmpty()
{   return n == 0; }
```

```
public void push(String item)
{   s[n++] = item; }
```

use as index into array;
then increment n

```
public String pop()
{   return s[--n]; }
```

```
}
```

decrement n;
then use as index into array

Stack considerations

Overflow and underflow.

- Underflow: throw exception if pop() from an empty stack.
- Overflow: use “resizing array” for array implementation. [stay tuned]

Null items. We allow null items to be added.

Duplicate items. We allow an item to be added more than once.

Loitering. Holding a reference to an object when it is no longer needed.

```
public String pop()
{ return s[--n]; }
```

loitering

```
public String pop()
{
    String item = s[--n];
    s[n] = null;
    return item;
}
```

no loitering

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Stack: resizing-array implementation

Problem. Requiring client to provide capacity does not implement API!

Q. How to grow and shrink array?

First try.

- `push()`: increase size of array `s[]` by 1.
 - `pop()`: decrease size of array `s[]` by 1.

Too expensive.

- Need to copy all items to a new array, for each operation.
 - Array accesses to add first n items = $n + (2 + 4 + \dots + 2(n - 1)) \sim n^2$.

 1 array access per push 2($k-1$) array accesses to expand to size k
(ignoring cost to create new array)

Challenge. Ensure that array resizing happens infrequently.

Stack: resizing-array implementation

Q. How to grow array?

A. If array is full, create a new array of **twice** the size, and copy items.

```
public ResizingArrayStackOfStrings()
{   s = new String[1]; }

public void push(String item)
{
    if (n == s.length) resize(2 * s.length);
    s[n++] = item;
}

private void resize(int capacity)
{
    String[] copy = new String[capacity];
    for (int i = 0; i < n; i++)
        copy[i] = s[i];
    s = copy;
}
```

Array accesses to add first $n = 2^i$ items. $n + (2 + 4 + 8 + \dots + n) \sim 3n$.

↑
1 array access
per push

↑
k array accesses to double to size k
(ignoring cost to create new array)

Stack: resizing-array implementation

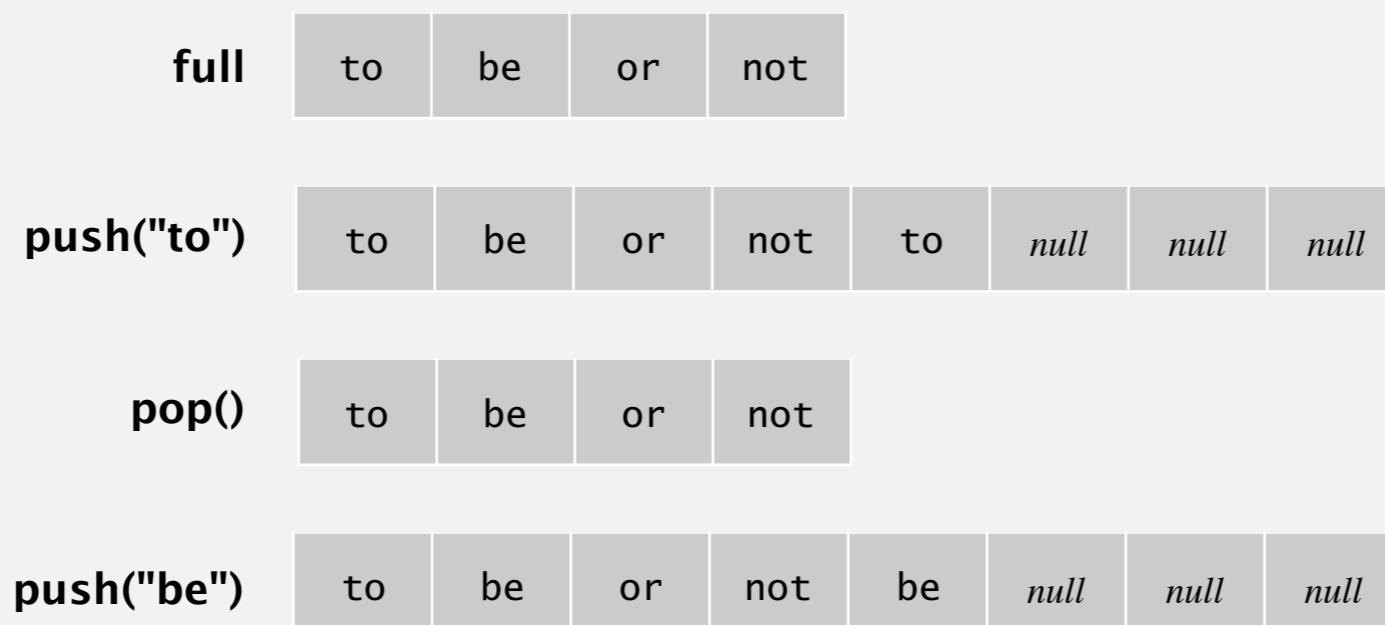
Q. How to shrink array?

First try.

- `push()`: double size of array `s[]` when array is full.
- `pop()`: halve size of array `s[]` when array is **one-half full**.

Too expensive in worst case.

- Consider push–pop–push–pop–... sequence when array is full.
- Each operation takes time proportional to n .



Stack: resizing-array implementation

Q. How to shrink array?

Efficient solution.

- `push()`: double size of array `s[]` when array is full.
- `pop()`: halve size of array `s[]` when array is **one-quarter full**.

```
public String pop()
{
    String item = s[--n];
    s[n] = null;
    if (n > 0 && n == s.length/4) resize(s.length/2);
    return item;
}
```

Invariant. Array is between 25% and 100% full.

Stack resizing-array implementation: performance

Amortized analysis. Starting from an empty data structure, average running time per operation over a worst-case sequence of operations.

Proposition. Starting from an empty stack, any sequence of m push and pop operations takes time proportional to m .

| | typical | worst | amortized |
|-----------|---------|-------|-----------|
| construct | 1 | 1 | 1 |
| push | 1 | n | 1 |
| pop | 1 | n | 1 |
| size | 1 | 1 | 1 |

**order of growth of running time
for resizing array stack with n items**

Red arrows point from the worst-case values for push and pop to a red annotation:

doubling and halving operations

Stack resizing-array implementation: memory usage

Proposition. A `ResizingArrayStackOfStrings` uses between $\sim 8n$ and $\sim 32n$ bytes of memory for a stack with n items.

- $\sim 8n$ when full.
- $\sim 32n$ when one-quarter full.

```
public class ResizingArrayStackOfStrings
{
    private String[] s;           ← 8 bytes × array length
    private int n = 0;

    :
}
```

Remark. This accounts for the memory for the stack
(but not the memory for strings themselves, which the client owns).

Stack implementations: resizing array vs. linked list

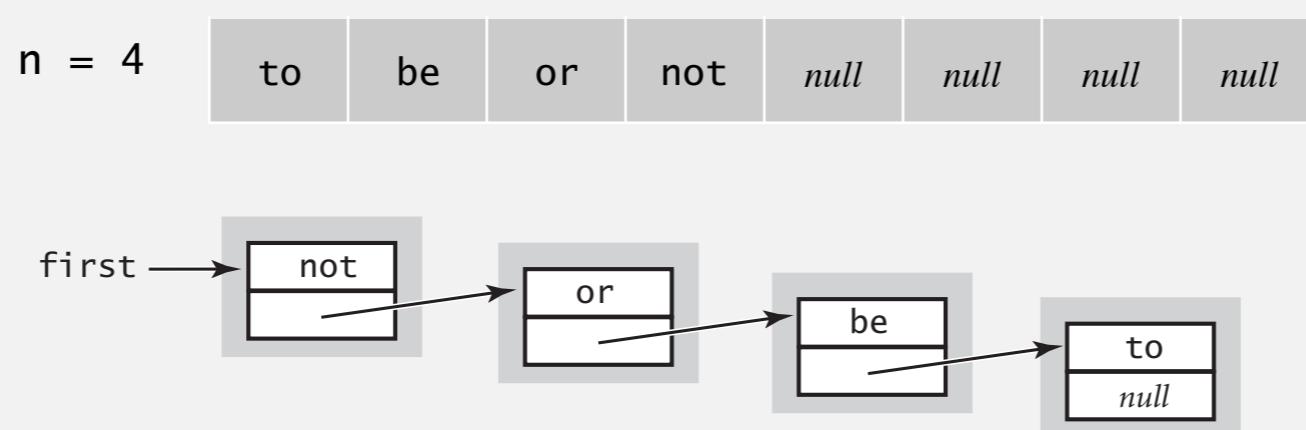
Tradeoffs. Can implement a stack with either resizing array or linked list; client can use interchangeably. Which one is better?

Linked-list implementation.

- Every operation takes constant time in the **worst case**.
- Uses extra time and space to deal with the links.

Resizing-array implementation.

- Every operation takes constant **amortized** time.
- Less wasted space.



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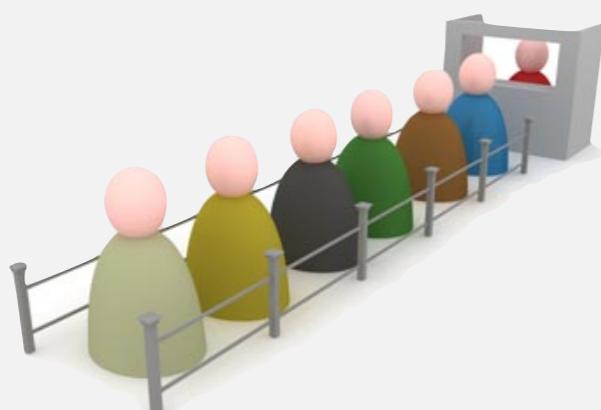
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1.3 STACKS AND QUEUES

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- ▶ *resizing arrays*
- ▶ **queues**
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

Queue API

| enqueue | |
|--|--|
| <pre>public class QueueOfStrings</pre> | |
| QueueOfStrings() | <i>create an empty queue</i> |
| void enqueue(String item) | <i>add a new string to queue</i> |
| String dequeue() | <i>remove and return the string least recently added</i> |
| boolean isEmpty() | <i>is the queue empty?</i> |
| int size() | <i>number of strings on the queue</i> |



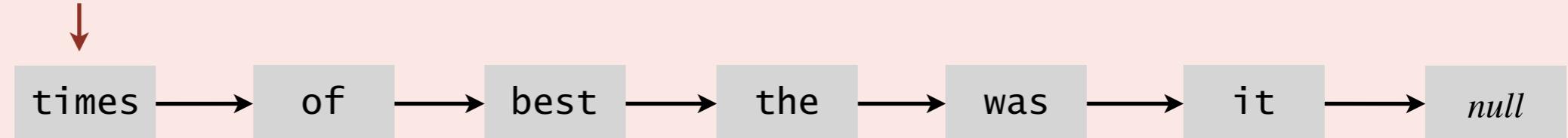
dequeue

Stacks and queues quiz 3

How to implement a queue with a singly linked linked list?

most recently added

A.



least recently added

B.

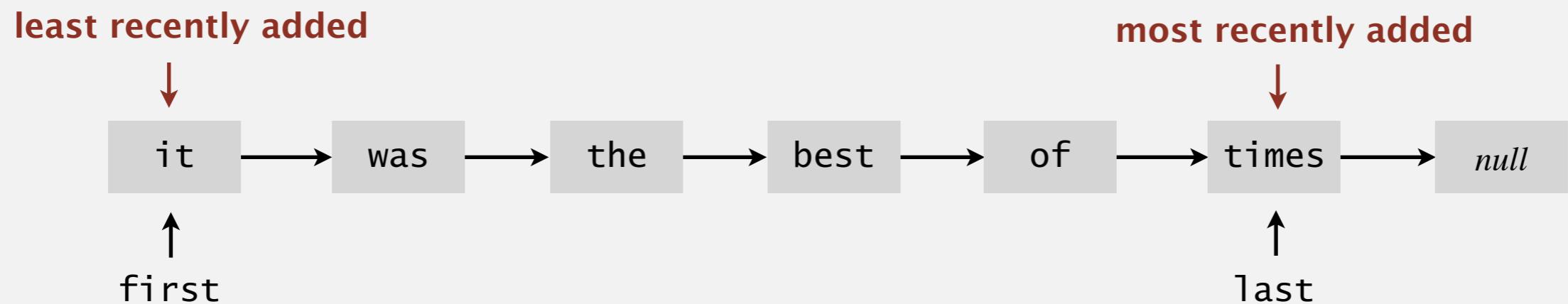


C. Both A and B.

D. Neither A nor B.

Queue: linked-list implementation

- Maintain one pointer `first` to first node in a singly linked list.
- Maintain another pointer `last` to last node.
- Dequeue from `first`.
- Enqueue after `last`.



Queue dequeue: linked-list implementation

inner class

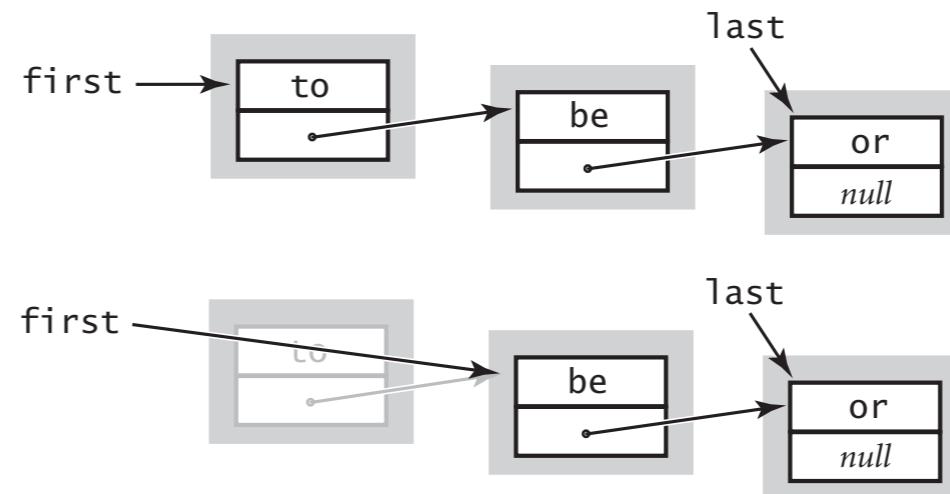
```
private class Node  
{  
    String item;  
    Node next;  
}
```

save item to return

```
String item = first.item;
```

delete first node

```
first = first.next;
```



return saved item

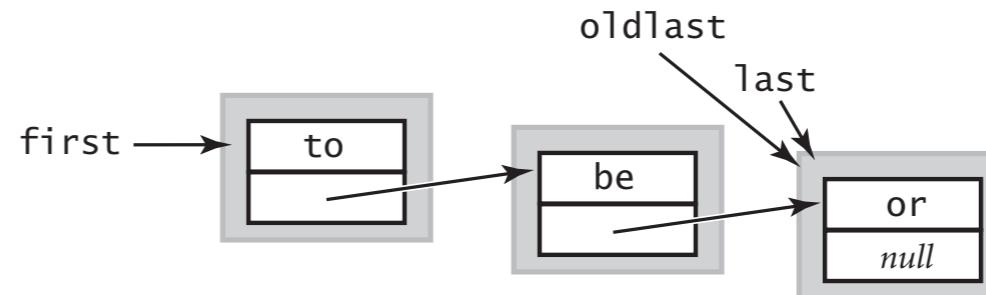
```
return item;
```

Remark. Identical code to linked-list stack pop().

Queue enqueue: linked-list implementation

save a link to the last node

```
Node oldlast = last;
```

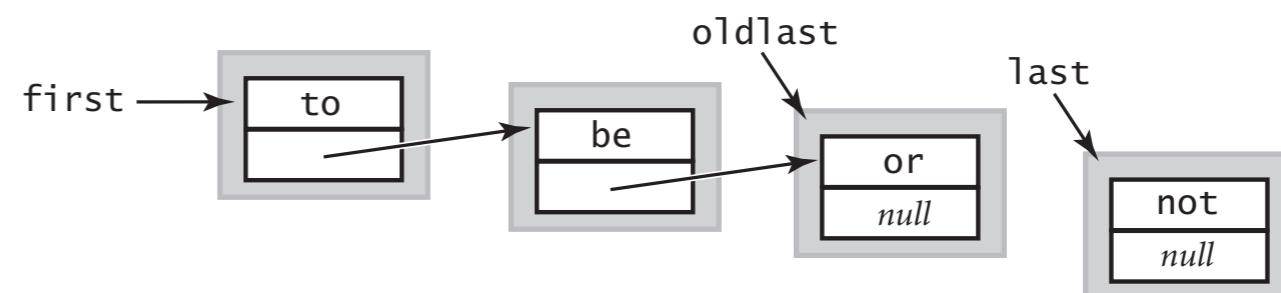


inner class

```
private class Node  
{  
    String item;  
    Node next;  
}
```

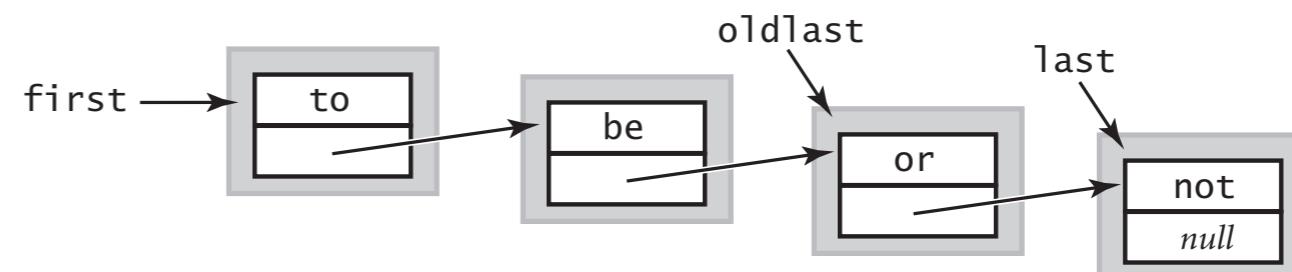
create a new node for the end

```
last = new Node();  
last.item = "not";
```



link the new node to the end of the list

```
oldlast.next = last;
```



Queue: linked-list implementation

```
public class LinkedQueueOfStrings
{
    private Node first, last;

    private class Node
    { /* same as in LinkedStackOfStrings */ }

    public boolean isEmpty()
    { return first == null; }

    public void enqueue(String item)
    {
        Node oldlast = last;
        last = new Node();
        last.item = item;
        last.next = null;
        if (isEmpty()) first = last;
        else          oldlast.next = last;
    }

    public String dequeue()
    {
        String item = first.item;
        first     = first.next;
        if (isEmpty()) last = null;
        return item;
    }
}
```

special cases for
empty queue

Stacks and queues quiz 4

How to implement a fixed-capacity queue with an array?

A. least recently added



| | | | | | | | | | |
|----|-----|-----|------|----|-------|------|------|------|------|
| it | was | the | best | of | times | null | null | null | null |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

B.

most recently added



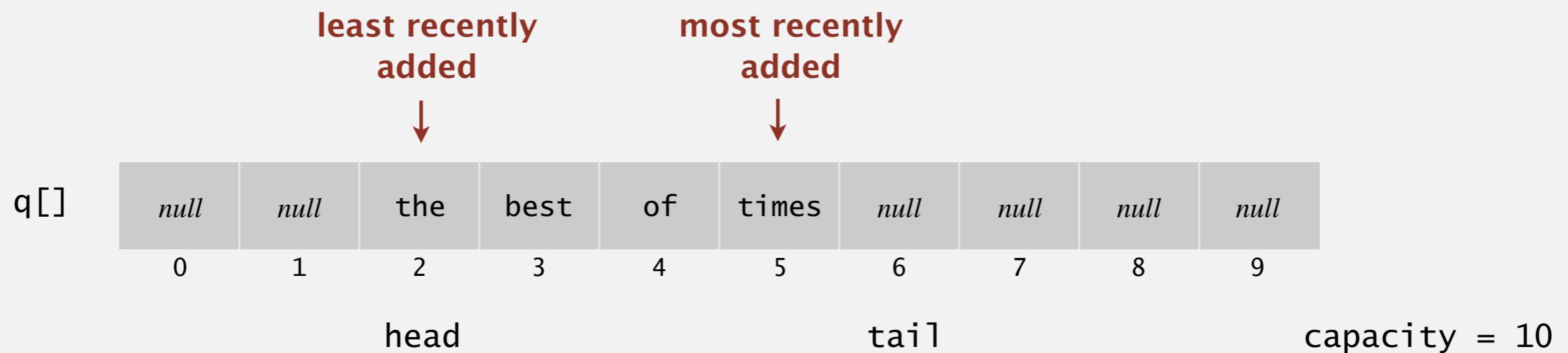
| | | | | | | | | | |
|-------|----|------|-----|-----|----|------|------|------|------|
| times | of | best | the | was | it | null | null | null | null |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

C. Both A and B.

D. Neither A nor B.

Queue: resizing-array implementation

- Use array `q[]` to store items in queue.
- `enqueue()`: add new item at `q[tail]`.
- `dequeue()`: remove item from `q[head]`.
- Update head and tail modulo the capacity.



Q. How to resize?

QUEUE WITH TWO STACKS

Problem. Implement a queue with two stacks so that:

- Each queue op uses a constant amortized number of stack ops.
- At most constant extra memory (besides two stacks).

Applications.

- Job interview.
- Implement an immutable or persistent queue.
- Implement a queue in a (purely) functional programming language.



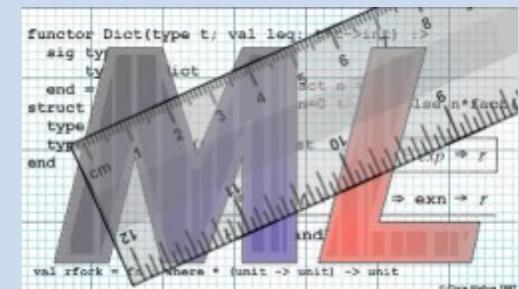
Haskell



Lisp



OCaml



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Parameterized stack

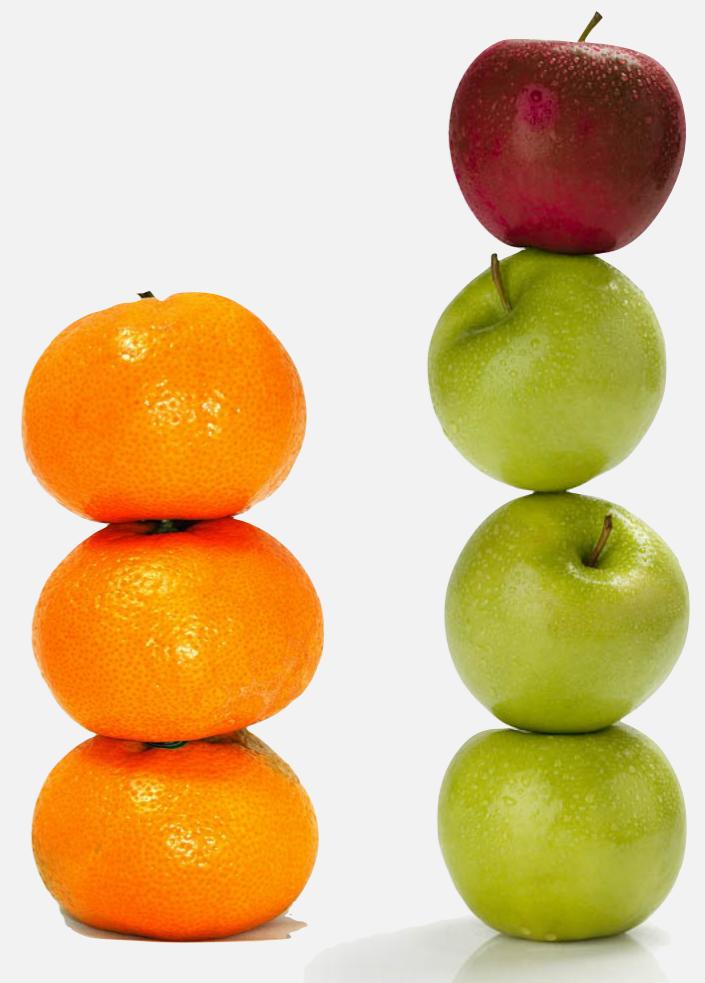
We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfApples, StackOfOranges,

Solution in Java: generics.

type parameter
(use syntax both to specify type and to call constructor)

```
Stack<Apple> stack = new Stack<Apple>();  
Apple apple = new Apple();  
Orange orange = new Orange();  
stack.push(apple);  
stack.push(orange); ← compile-time error  
...
```



Generic stack: linked-list implementation

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    {   return first == null;   }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

stack of strings (linked list)

```
public class Stack<Item>
{
    private Node first = null;

    private class Node
    {
        Item item;
        Node next;
    }

    public boolean isEmpty()
    {   return first == null;   }

    public void push(Item item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public Item pop()
    {
        Item item = first.item;
        first = first.next;
        return item;
    }
}
```

generic stack (linked list)

generic type name

Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public ..StackOfStrings(int capacity)
    {   s = new String[capacity];   }

    public boolean isEmpty()
    {   return n == 0;   }

    public void push(String item)
    {   s[n++] = item;   }

    public String pop()
    {   return s[--n];   }
}
```

stack of strings (fixed-length array)

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int n = 0;

    public FixedCapacityStack(int capacity)
    {   s = new Item[capacity];   }

    public boolean isEmpty()
    {   return n == 0;   }

    public void push(Item item)
    {   s[n++] = item;   }

    public Item pop()
    {   return s[--n];   }
}
```

generic stack (fixed-length array) ?

@#\$*! generic array creation not allowed in Java

Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public ..StackOfStrings(int capacity)
    {   s = new String[capacity];   }

    public boolean isEmpty()
    {   return n == 0;   }

    public void push(String item)
    {   s[n++] = item;   }

    public String pop()
    {   return s[--n];   }
}
```

stack of strings (fixed-length array)

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int n = 0;

    public FixedCapacityStack(int capacity)
    {   s = (Item[]) new Object[capacity]; }

    public boolean isEmpty()
    {   return n == 0;   }

    public void push(Item item)
    {   s[n++] = item;   }

    public Item pop()
    {   return s[--n];   }
}
```

generic stack (fixed-length array)

the ugly cast

Unchecked cast

```
% javac FixedCapacityStack.java
Note: FixedCapacityStack.java uses unchecked or unsafe operations.
Note: Recompile with -Xlint:unchecked for details.

% javac -Xlint:unchecked FixedCapacityStack.java
FixedCapacityStack.java:26: warning: [unchecked] unchecked cast
found   : java.lang.Object[]
required: Item[]
        a = (Item[]) new Object[capacity];
                           ^
1 warning
```

Q. Why does Java require a cast (or reflection)?

Short answer. Backward compatibility.

Long answer. Need to learn about **type erasure** and **covariant arrays**.



Stacks and queues quiz 5

Which of the following is the correct way to declare and initialize an empty stack of integers?

- A. Stack stack = new Stack<int>();
- B. Stack<int> stack = new Stack();
- C. Stack<int> stack = new Stack<int>();
- D. *None of the above.*

Generic data types: autoboxing and unboxing

Q. What to do about primitive types?

Wrapper type.

- Each primitive type has a **wrapper** object type.
- Ex: Integer is wrapper type for int.

Autoboxing. Automatic cast from primitive type to wrapper type.

Unboxing. Automatic cast from wrapper type to primitive type.

```
Stack<Integer> stack = new Stack<Integer>();  
stack.push(17);           // stack.push(Integer.valueOf(17));  
int a = stack.pop();     // int a = stack.pop().intValue();
```

Bottom line. Client code can use generic stack for **any** type of data.

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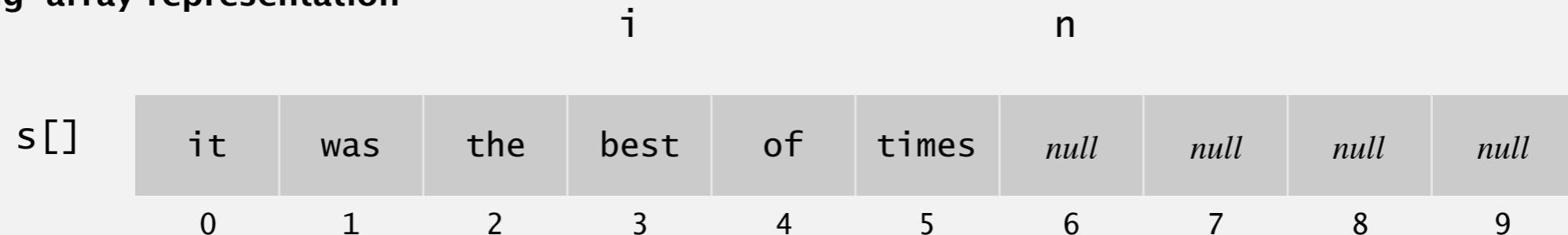
1.3 STACKS AND QUEUES

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- ▶ ***iterators*** ← **see precept**
- ▶ *applications*

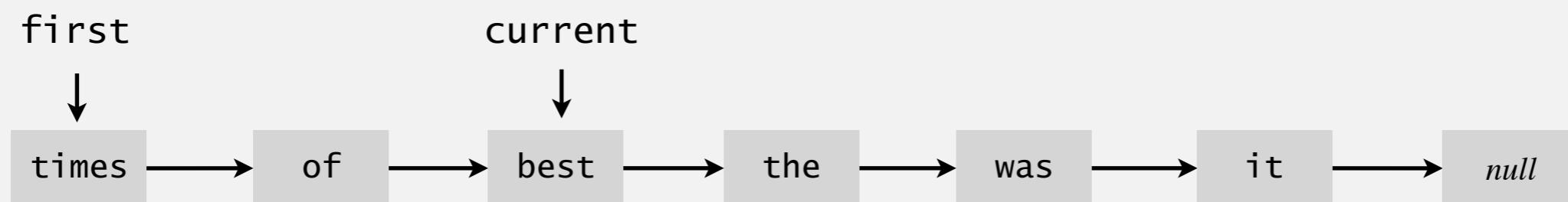
Iteration

Design challenge. Support iteration over stack items by client, without revealing the internal representation of the stack.

resizing-array representation



linked-list representation



Java solution. Use a **foreach** loop.

Foreach loop

Java provides elegant syntax for iteration over collections.

“foreach” loop (shorthand)

```
Stack<String> stack;  
...  
  
for (String s : stack)  
    ...
```

equivalent code (longhand)

```
Stack<String> stack;  
...  
  
Iterator<String> i = stack.iterator();  
while (i.hasNext())  
{  
    String s = i.next();  
    ...  
}
```

To make user-defined collection support foreach loop:

- Data type must have a method named `iterator()`.
- The `iterator()` method returns an object that has two core methods.
 - the `hasNext()` method returns `false` when there are no more items
 - the `next()` method returns the next item in the collection

Iterators

To support foreach loops, Java provides two interfaces.

- Iterator interface: next() and hasNext() methods.
- Iterable interface: iterator() method that returns an Iterator.
- Both should be used with generics.

java.util.Iterator interface

```
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();
    void remove(); ← optional; use
                    at your own risk
}
```

java.lang.Iterable interface

```
public interface Iterable<Item>
{
    Iterator<Item> iterator();
}
```

Type safety.

- Implementation must use these interfaces to support foreach loop.
- Client program won't compile unless implementation do.

Stack iterator: linked-list implementation

```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator() { return new ListIterator(); }

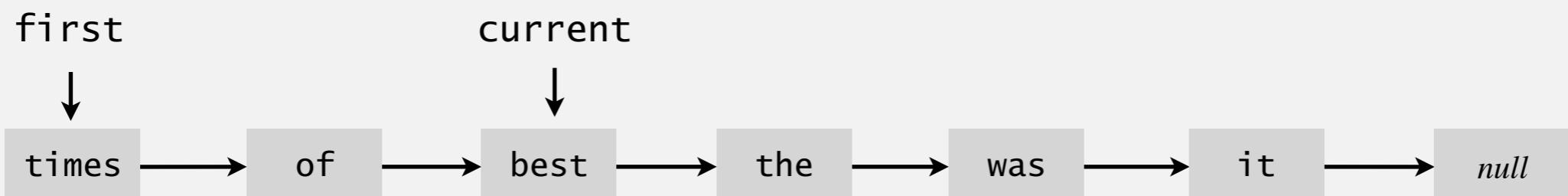
    private class ListIterator implements Iterator<Item>
    {
        private Node current = first;

        public boolean hasNext() { return current != null; }

        public void remove()      { /* not supported */ }

        public Item next()
        {
            Item item = current.item;
            current = current.next;
            return item;
        }
    }
}
```

throw UnsupportedOperationException
throw NoSuchElementException
if no more items in iteration



Stack iterator: array implementation

```
import java.util.Iterator;

public class Stack<Item> implements Iterable<Item>
{
    ...

    public Iterator<Item> iterator()
    { return new ReverseArrayIterator(); }

    private class ReverseArrayIterator implements Iterator<Item>
    {
        private int i = n;

        public boolean hasNext() { return i > 0; }
        public void remove()    { /* not supported */ }
        public Item next()      { return s[--i]; }
    }
}
```

| | i | n |
|-----|--|---|
| s[] | it was the best of times null null null null | |
| | 0 1 2 3 4 5 6 7 8 9 | |

Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

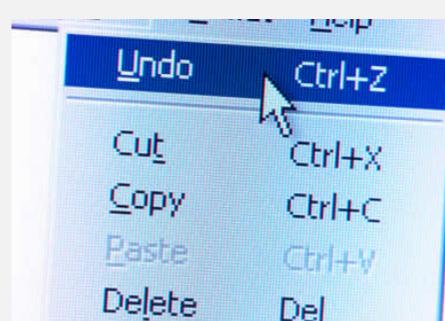
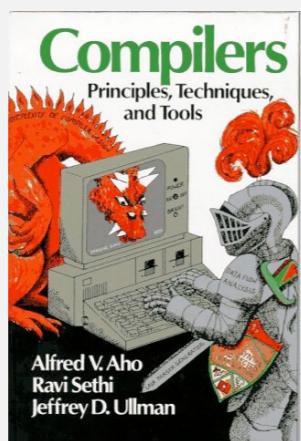
<http://algs4.cs.princeton.edu>

1.3 STACKS AND QUEUES

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ ***applications***

Stack applications

- Java virtual machine.
- Parsing in a compiler.
- Undo in a word processor.
- Back button in a Web browser.
- PostScript language for printers.
- Implementing function calls in a compiler.
- ...



Adobe® PostScript®

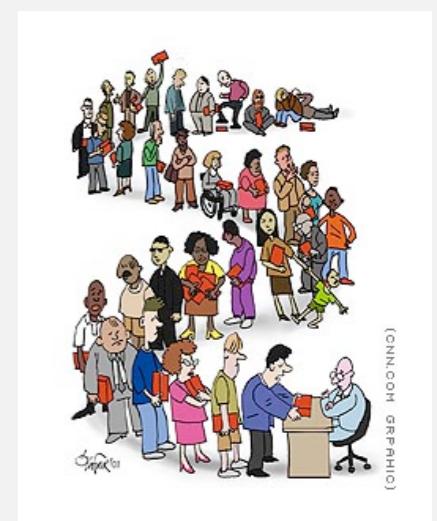
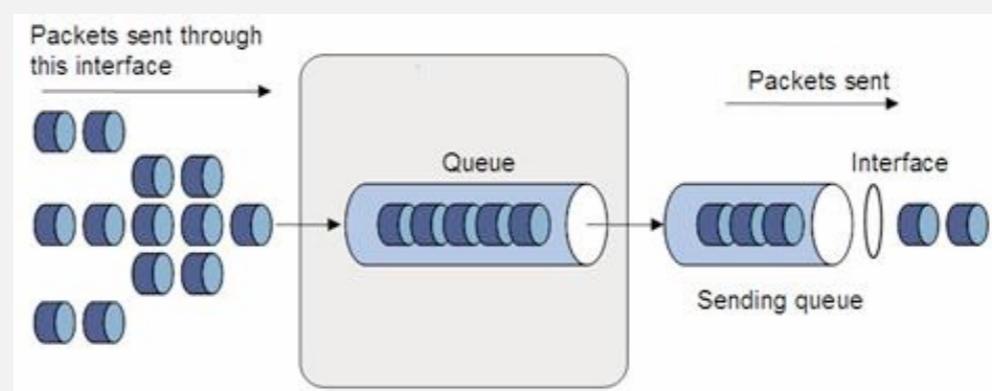
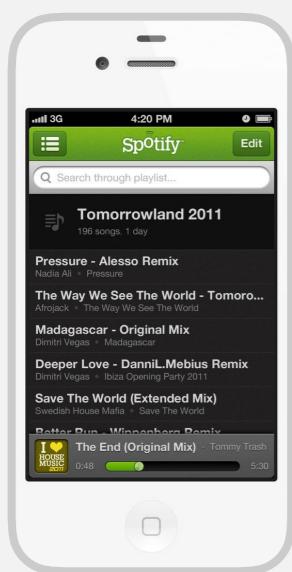
Queue applications

Familiar applications.

- Spotify playlist.
- Data buffers (iPod, TiVo, sound card, streaming video, ...).
- Asynchronous data transfer (file IO, pipes, sockets, ...).
- Dispensing requests on a shared resource (printer, processor, ...).

Simulations of the real world.

- Traffic analysis.
- Waiting times of customers at call center.
- Determining number of cashiers to have at a supermarket.



Java collections library

List interface. `java.util.List` is API for a sequence of items.

```
public interface List<Item> extends Iterable<Item>
```

| | |
|--|--|
| <code>List()</code> | <i>create an empty list</i> |
| <code>boolean isEmpty()</code> | <i>is the list empty?</i> |
| <code>int size()</code> | <i>number of items</i> |
| <code>void add(Item item)</code> | <i>add item to the end</i> |
| <code>Iterator<Item> iterator()</code> | <i>iterator over all items in the list</i> |
| <code>Item get(int index)</code> | <i>return item at given index</i> |
| <code>Item remove(int index)</code> | <i>return and delete item at given index</i> |
| <code>boolean contains(Item item)</code> | <i>does the list contain the given item?</i> |
| <code>:</code> | |

Implementations. `java.util.ArrayList` uses a resizing array;

`java.util.LinkedList` uses a doubly linked list.

Caveat: not all operations are efficient!

Java collections library

`java.util.Stack`.

- Supports `push()`, `pop()`, and iteration.
- Inherits from `java.util.Vector`, which implements `java.util.List` interface.



Java 1.3 bug report (June 27, 2001)

The iterator method on `java.util.Stack` iterates through a Stack from the bottom up. One would think that it should iterate as if it were popping off the top of the Stack.



status (closed, will not fix)

It was an incorrect design decision to have Stack extend Vector ("is-a" rather than "has-a"). We sympathize with the submitter but cannot fix this because of compatibility.

Java collections library

`java.util.Stack`.

- Supports `push()`, `pop()`, and iteration.
- Inherits from `java.util.Vector`, which implements `java.util.List` interface.



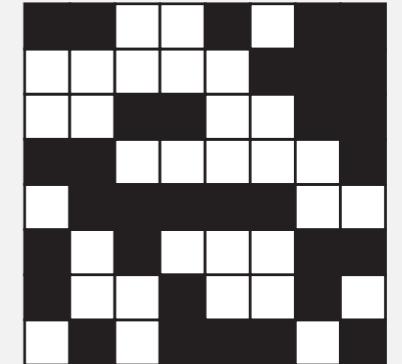
`java.util.Queue`. An interface, not an implementation of a queue.

Best practices. Use our Stack and Queue for stacks and queues; use Java's ArrayList or LinkedList when appropriate.

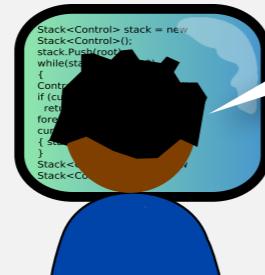
War story (from Assignment 1)

Generate random open sites in an n -by- n percolation system.

- Jenny: pick (row, col) at random; if already open, repeat.
Takes $\sim c_1 n^2$ seconds.
- Kenny: create a `java.util.ArrayList` of n^2 closed sites.
Pick an index at random and delete.
Takes $\sim c_2 n^4$ seconds.



Why is my program so slow ?



Kenny

Lesson. Don't use a library until you understand its API!

This course. Can't use a library until we've implemented it in class.