
Topic 4: Abstract Syntax Symbol Tables

COS 320

Compiling Techniques

Princeton University
Spring 2016

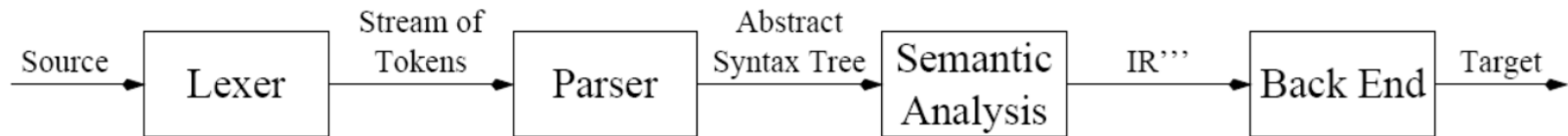
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Abstract Syntax

Can write entire compiler in ML-YACC specification.

- Semantic actions would perform type checking and translation to assembly.
- Disadvantages:
 1. File becomes too large, difficult to manage.
 2. Program must be processed in order in which it is parsed. Impossible to do global/inter-procedural optimization.

Alternative: Separate parsing from remaining compiler phases.



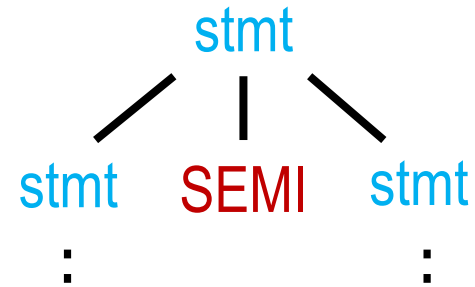
Parse Trees

We have been looking at **concrete** parse trees, in which

- inner nodes are **nonterminals**, leaf nodes are **terminals**
- children are labeled with the symbols in the RHS of the production

`stmt` \rightarrow `stmt SEMI stmt`

`stmt` \rightarrow ...



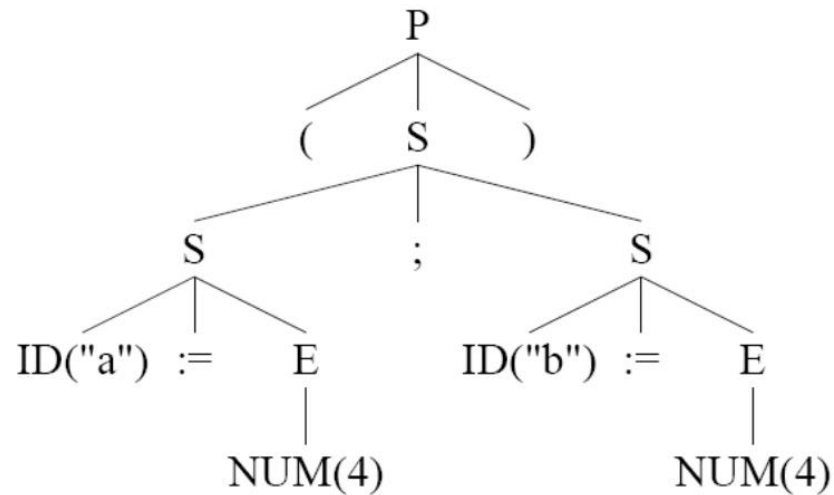
Concrete parse trees are inconvenient to use, since they are cluttered with tokens containing no additional information:

- punctuation symbols (**SEMI** etc) needed to specify structure when writing code, but
- the tree structure already describes the program structure

Parse Tree Example

$$\begin{aligned} P &\rightarrow (S) \\ S &\rightarrow S ; S \\ S &\rightarrow \text{ID} := E \end{aligned}$$
$$\begin{aligned} E &\rightarrow \text{ID} \\ E &\rightarrow \text{NUM} \\ E &\rightarrow E + E \end{aligned}$$
$$\begin{aligned} E &\rightarrow E - E \\ E &\rightarrow E * E \\ E &\rightarrow E / E \end{aligned}$$

(a := 4 ; b := 5)



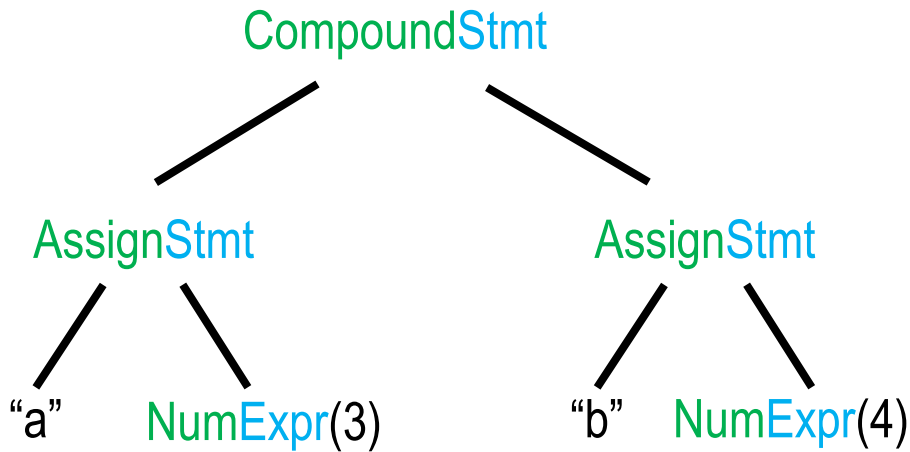
Type checker does not need “(” or “)” or “;”

Abstract parse trees (aka **a**bstract **s**yntac **t**ree – **AST**)

- like concrete parse trees (e.g. inductive datatype, generated as semantic action by YACC)
- each syntactic category (expressions, statements,..) is represented as a separate datatype, with one constructor for each formation
- redundant punctuation symbols are left out

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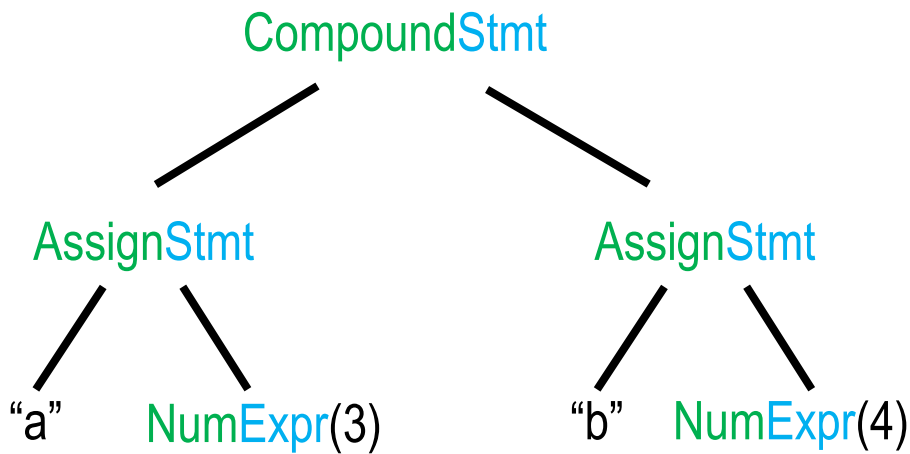


```
datatype stmt =  
  CompoundStmt of stmt * stmt  
| AssignStmt of string * expr;
```

```
datatype expr =  
  NumExpr of int  
| binopExpr of expr * binop * expr;
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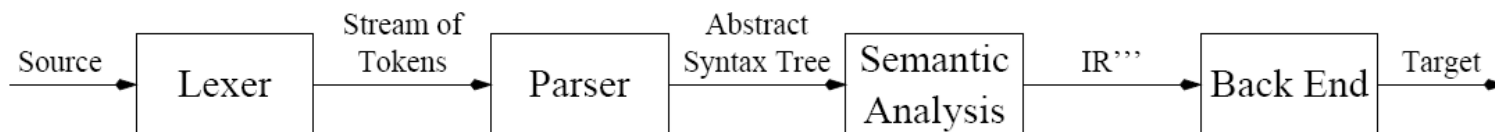


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datatype expr =
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| binopExpr of expr * binop * expr;
```

- First approximation: nonterminal \Leftrightarrow synt. category; CFG rule \Leftrightarrow constructor
- But: AST is internal interface between components of compiler, so AST design is up to compiler writer, not the language designer; may deviate from organization suggested by grammar/syntax

Semantic Analysis: Symbol Tables



- Semantic Analysis Phase:

- Type check AST to make sure each expression has correct type
- Translate AST into IR trees

- Main data structure used by semantic analysis: *symbol table*

- Contains entries mapping identifiers to their bindings (e.g. type)
- As new type, variable, function declarations encountered, symbol table augmented with entries mapping identifiers to bindings.
- When identifier subsequently used, symbol table consulted to find info about identifier.
- When identifier goes out of scope, entries are removed.

Symbol Table Example

```
function f (b:int, c:int)
= (print_int (b+c);
  let var j:= b
    var a := "x"
  in print (a);
    print_int (j)
  end;
  print_int (a)
)
```

$\leftarrow \sigma_0 = \{a \mapsto int\}$

$\leftarrow \sigma_1 = \{b \mapsto int, c \mapsto int, a \mapsto int\}$

$\leftarrow \sigma_2 = \{j \mapsto int, b \mapsto int, c \mapsto int, a \mapsto int\}$

$\leftarrow \sigma_3 = \{a \mapsto string, j \mapsto int, b \mapsto int, c \mapsto int, a \mapsto int\}$

$\leftarrow \sigma_1 = \{b \mapsto int, c \mapsto int, a \mapsto int\}$

$\leftarrow \sigma_0 = \{a \mapsto int\}$

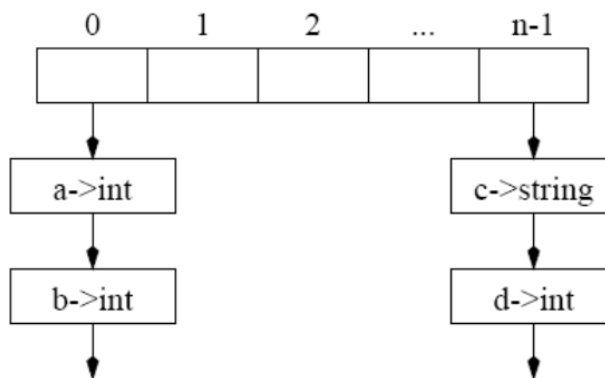
Symbol Table Implementation

- Imperative Style: (side effects)
 - Global symbol table
 - When beginning-of-scope entered, entries added to table using side-effects. (old table destroyed)
 - When end-of-scope reached, auxiliary info used to remove previous additions. (old table reconstructed)
- Functional Style: (no side effects)
 - When beginning-of-scope entered, *new* environment created by adding to old one, but old table remains intact.
 - When end-of-scope reached, retrieve old table.

Imperative Symbol Tables

Symbol tables must permit fast lookup of identifiers.

- *Hash Tables* - an array of *buckets*
- *Bucket* - linked list of entries (each entry maps identifier to binding)



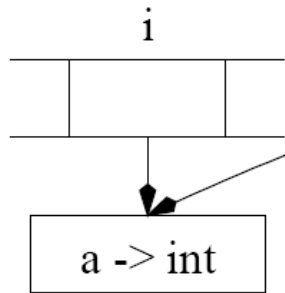
- Suppose we wish to lookup entry for id i in symbol table:
 1. Apply *hash function* to key i to get array element $j \in [0, n - 1]$.
 2. Traverse bucket in $\text{table}[j]$ in order to find binding b .
($\text{table}[x]$: all entries whose keys hash to x)

Functional Symbol Tables

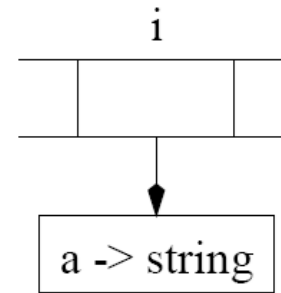
Hash tables not efficient for functional symbol tables.

Insert $a \mapsto \text{string} \Rightarrow$ copy array, share buckets:

Old Symbol Table Array



New Symbol Table Array



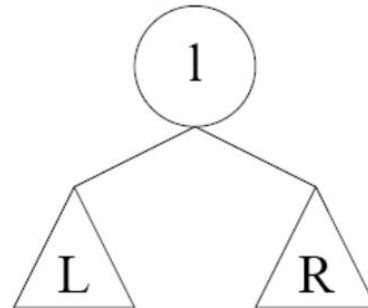
Not feasible to copy array each time entry added to table.

Association list (cf HW 1) not efficient (lookup and delete linear)

Functional Symbol Tables

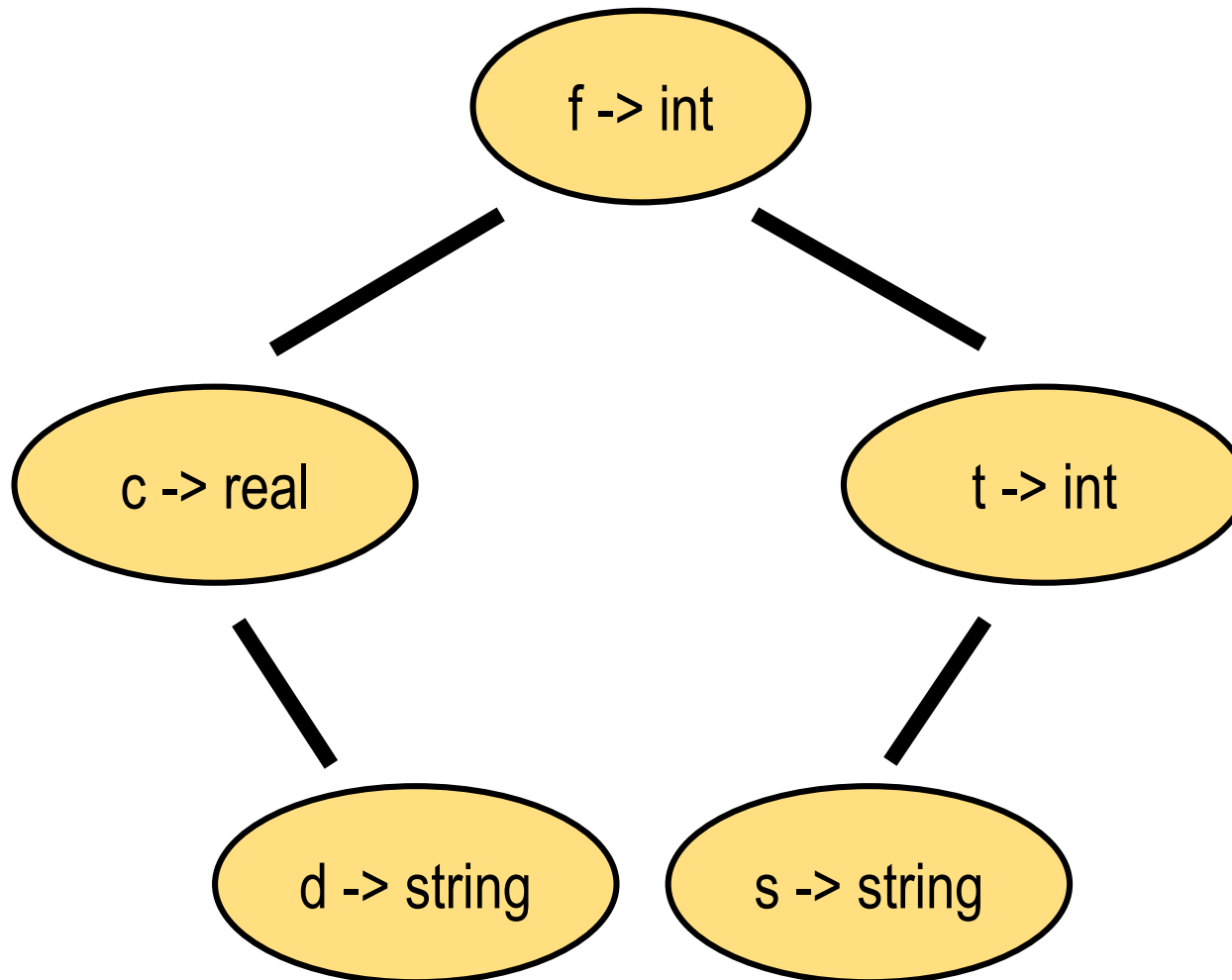
Better method: use *binary search trees (BSTs)*.

- Functional additions easy.
- Need “less than” ordering to build tree.
 - Each node contains mapping from identifier (key) to binding.
 - Use string comparison for “less than” ordering.
 - For all nodes $n \in L$, $\text{key}(n) < \text{key}(l)$
 - For all nodes $n \in R$, $\text{key}(n) \geq \text{key}(l)$

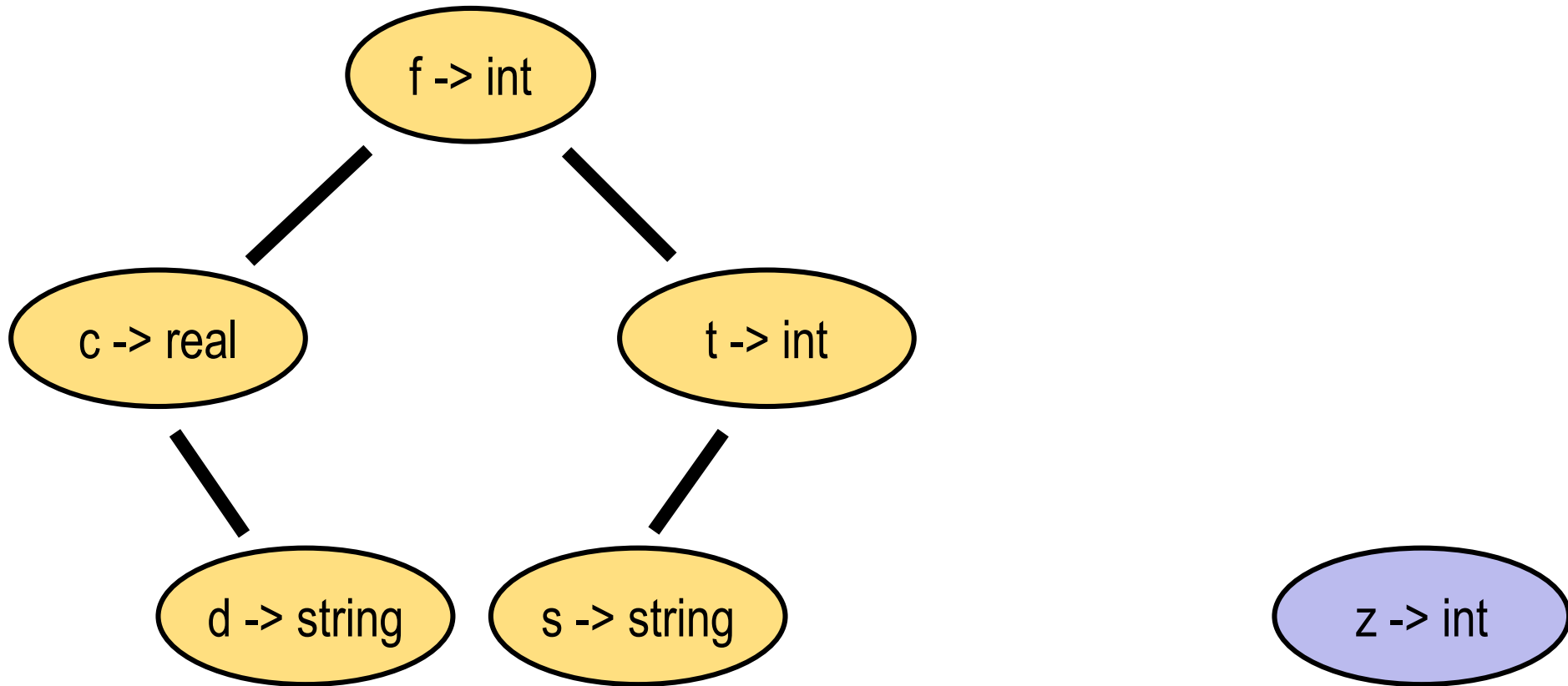


Functional Symbol Table using BST: lookup

Use the “less than” relation to navigate down the tree

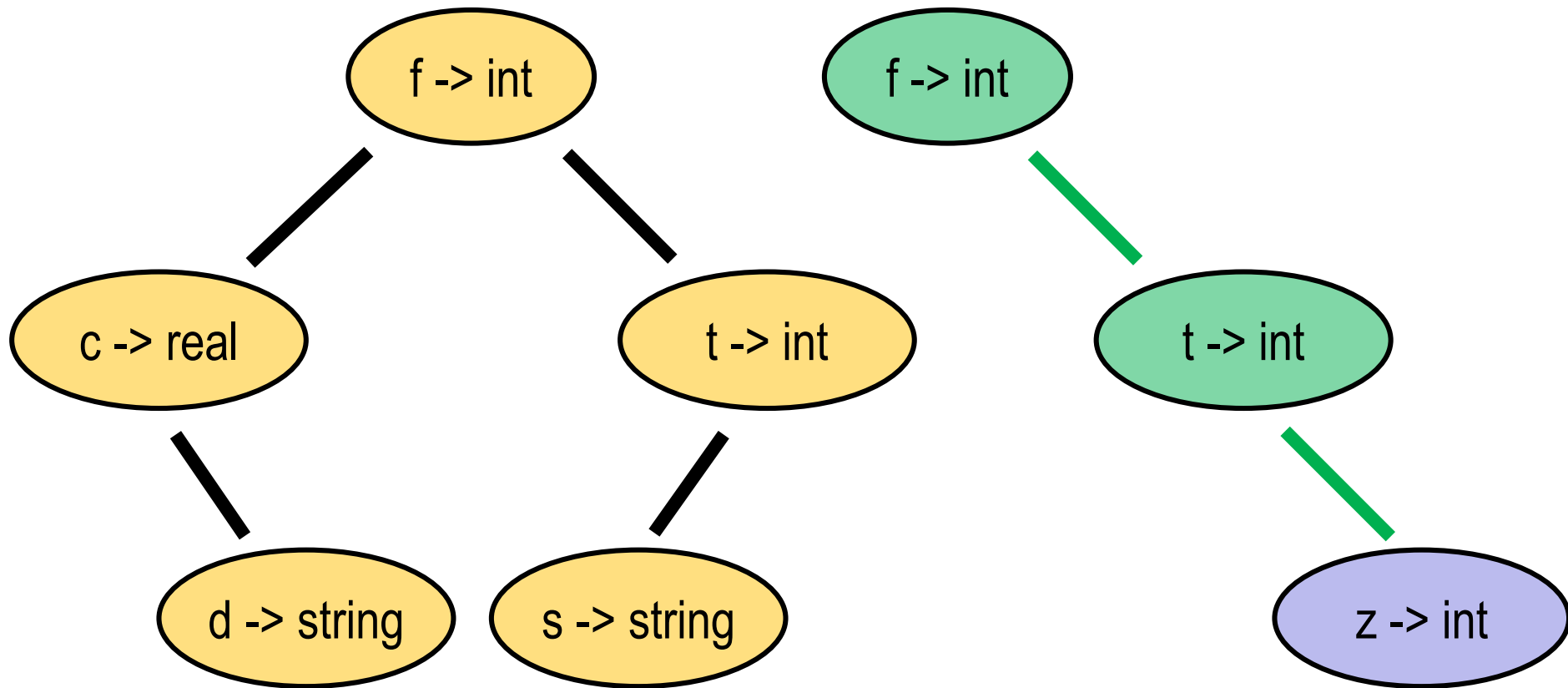


Functional Symbol Table using BST: insertion



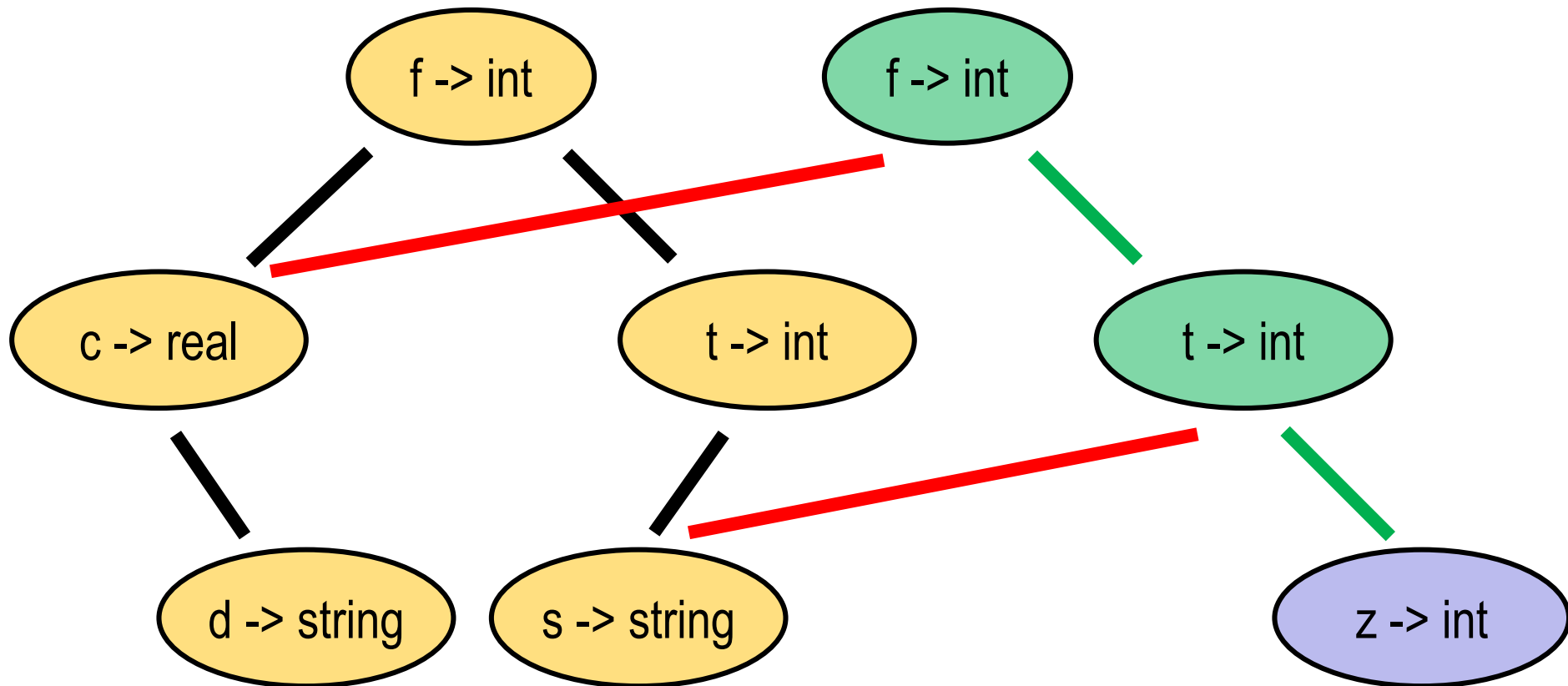
Insertion of z-> int: 1. create node

Functional Symbol Table using BST: insertion



- Insertion of z-> int:
1. create node
 2. "search" for z in old tree; copy ancestor nodes

Functional Symbol Table using BST: insertion



- Insertion of z-> int:
1. create node
 2. “search” for z in old tree; copy ancestor nodes
 3. insert links to siblings in original (share subtree)