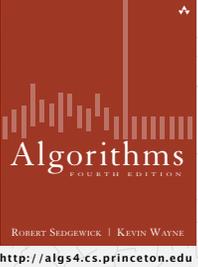


Algorithms ROBERT SEDGEWICK | KEVIN WAYNE



GEOMETRIC APPLICATIONS OF BSTs

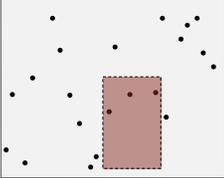
- ▶ 1d range search
- ▶ line segment intersection
- ▶ kd trees

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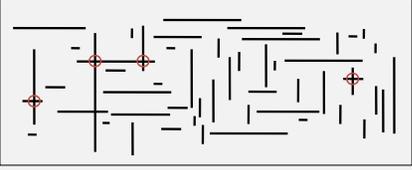
Last updated on 3/6/16 8:16 PM

Overview

This lecture. Intersections among **geometric objects**.



2d orthogonal range search



line segment intersection

Applications. CAD, games, movies, virtual reality, databases, GIS,

Efficient solutions. **Binary search trees** (and extensions).

2

Overview

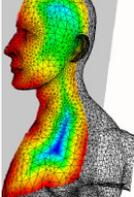
For more depth:

- COS 451 (computational geometry)
- COS 426 (computer graphics)

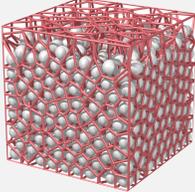


Computer Science 451
Computational Geometry
Bernard Chazelle

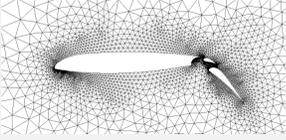




medical imaging



Voronoi tessellation



fluid flow

3



GEOMETRIC APPLICATIONS OF BSTs

- ▶ 1d range search
- ▶ line segment intersection
- ▶ kd trees

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1d range search

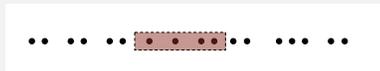
Extension of ordered symbol table.

- Insert key-value pair.
- Search for key k .
- Delete key k .
- **Range search:** find all keys between k_1 and k_2 .
- **Range count:** number of keys between k_1 and k_2 .

Application. Database queries.

Geometric interpretation.

- Keys are point on a **line**.
- Find/count points in a given **1d interval**.



```

insert B      B
insert D      B D
insert A      A B D
insert I      A B D I
insert H      A B D H I
insert F      A B D F H I
insert P      A B D F H I P
search G to K  H I
count G to K  2
    
```

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Quiz 1

Suppose that the keys are stored in a sorted array. What is the order of growth of the running time to perform **range count** as a function of N and R ?

- A. $\log R$
- B. $\log N$
- C. $\log N + R$
- D. $N + R$
- E. *I don't know.*

N = number of keys
 R = number of matching keys

6

Quiz 2

Suppose that the keys are stored in a sorted array. What is the order of growth of the running time to perform **range search** as a function of N and R ?

- A. $\log R$
- B. $\log N$
- C. $\log N + R$
- D. $N + R$
- E. *I don't know.*

N = number of keys
 R = number of matching keys

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1d range search: elementary implementations

Ordered array. Slow insert; fast range search.
Unordered list. Slow insert; slow range search.

order of growth of running time for 1d range search

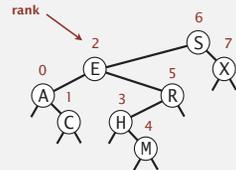
data structure	insert	range count	range search
ordered array	N	$\log N$	$R + \log N$
unordered list	N	N	N
goal	$\log N$	$\log N$	$R + \log N$

N = number of keys
 R = number of keys that match

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1d range count: BST implementation

1d range count. How many keys between lo and hi ?



rangeCount(E, S)
 - rank(S) = 6
 - rank(E) = 2
 - 5 keys between E and S

```
public int size(Key lo, Key hi)
{
    if (contains(hi)) return rank(hi) - rank(lo) + 1;
    else return rank(hi) - rank(lo);
}
```

← number of keys < hi

Proposition. Running time proportional to $\log N$. ← assuming BST is balanced

Pf. Nodes examined = search path to lo + search path to hi .

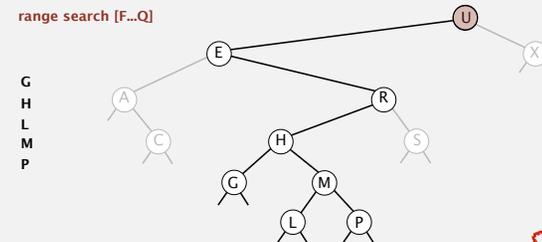
9

1d range search: BST implementation

1d range search. Find all keys between lo and hi .

- Recursively find all keys in left subtree (if any could fall in range).
- Check key in current node.
- Recursively find all keys in right subtree (if any could fall in range).

range search [F...Q]



Proposition. Running time proportional to $R + \log N$.

Pf. Nodes examined = search path to lo + search path to hi + matches.

Homework:
verify proof

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1d range search: summary of performance

Ordered array. Slow insert; fast range search.

Unordered list. Slow insert; slow range search.

BST. Fast insert; fast range search.

order of growth of running time for 1d range search

data structure	insert	range count	range search
ordered array	N	$\log N$	$R + \log N$
unordered list	N	N	N
goal	$\log N$	$\log N$	$R + \log N$

N = number of keys

R = number of keys that match

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INTERVAL STABBING QUERY

Goal. Insert intervals ($left$, $right$) and support queries of the form "how many intervals contain x ?"

```
public class IntervalStab
```

```
IntervalStab()
```

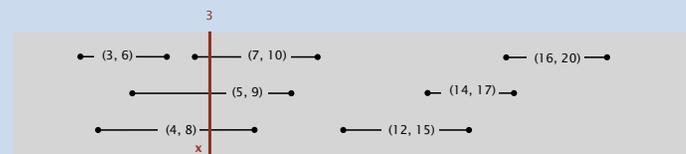
create an empty data structure

```
void insert(double left, double right)
```

insert the interval (left, right) into the data structure

```
int count(double x)
```

number of intervals that contain x



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Algorithms and Moore's law

Sustaining Moore's law.

- Problem size doubles every 2 years. ← problem size = transistor count
- Processing power doubles every 2 years. ← get to use faster computer
- How much \$ do I need to get the job done with a quadratic algorithm?

$$T_N = aN^2 \quad \text{running time today}$$

$$T_{2N} = (a/2)(2N)^2 \quad \text{running time in 2 years}$$

$$= 2T_N$$

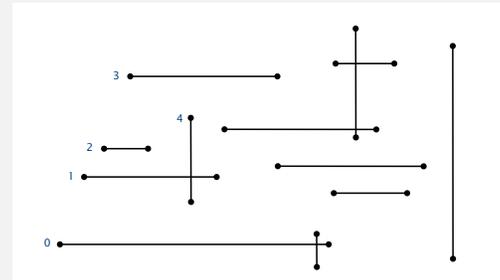
running time	1970	1972	1974	2000
N	\$ x	\$ x	\$ x	\$ x
$N \log N$	\$ x	\$ x	\$ x	\$ x
N^2	\$ x	\$ $2x$	\$ $4x$	\$ $2^{15}x$

Bottom line. Linearithmic algorithm is necessary to sustain Moore's Law.

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Orthogonal line segment intersection: sweep-line algorithm

Nondegeneracy assumption. All x - and y -coordinates are distinct.

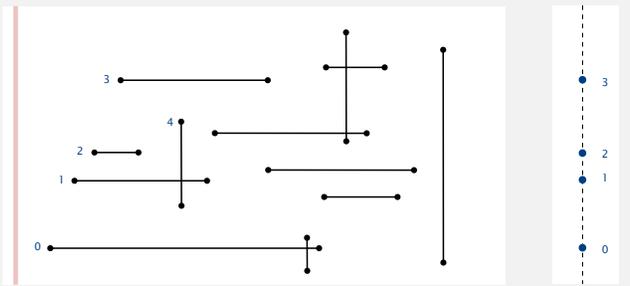


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Orthogonal line segment intersection: sweep-line algorithm

Sweep vertical line from left to right.

- x -coordinates define events.
- h -segment (left endpoint): insert y -coordinate into BST.



nondegeneracy assumption: all x - and y -coordinates are distinct

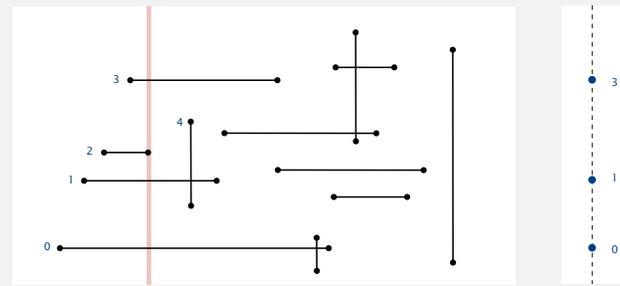
y -coordinates

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Orthogonal line segment intersection: sweep-line algorithm

Sweep vertical line from left to right.

- x -coordinates define events.
- h -segment (left endpoint): insert y -coordinate into BST.
- h -segment (right endpoint): remove y -coordinate from BST.



nondegeneracy assumption: all x - and y -coordinates are distinct

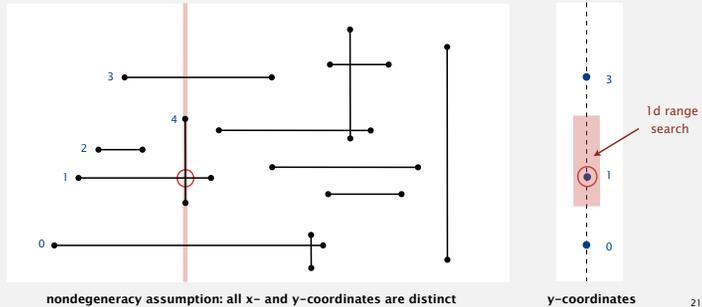
y -coordinates

20

Orthogonal line segment intersection: sweep-line algorithm

Sweep vertical line from left to right.

- x -coordinates define events.
- h -segment (left endpoint): insert y -coordinate into BST.
- h -segment (right endpoint): remove y -coordinate from BST.
- v -segment: range search for interval of y -endpoints.



Orthogonal line segment intersection: sweep-line analysis

Proposition. The sweep-line algorithm takes time proportional to $N \log N + R$ to find all R intersections among N orthogonal line segments.

Pf.

- Put x -coordinates on a PQ (or sort). ← $N \log N$
- Insert y -coordinates into BST. ← $N \log N$
- Delete y -coordinates from BST. ← $N \log N$
- Range searches in BST. ← $N \log N + R$

Bottom line. Sweep line reduces 2d orthogonal line segment intersection search to 1d range search.

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GEOMETRIC APPLICATIONS OF BSTs

- ▶ 1d range search
- ▶ line segment intersection
- ▶ kd trees

Algorithms

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2-d orthogonal range search

Extension of ordered symbol-table to 2d keys.

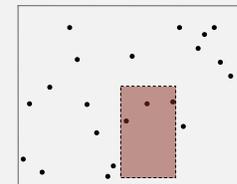
- Insert a 2d key.
- Search for a 2d key.
- Delete a 2d key.
- **Range search:** find all keys that lie in a 2d range.
- **Range count:** number of keys that lie in a 2d range.

Applications. Networking, circuit design, databases, ...

Geometric interpretation.

- Keys are point in the **plane**.
- Find/count points in a given **h - v rectangle**

rectangle is axis-aligned

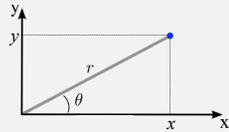


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Data representation

How to represent a point?

- Cartesian co-ordinates: (x, y)
- Polar co-ordinates: (r, θ)



How to represent a line segment?

- A pair of points

How to represent a line?

- (x-intercept, y-intercept)
- (x-intercept, slope)
- (y-intercept, slope)
- (distance from origin, slope)

How to represent a rectangle?

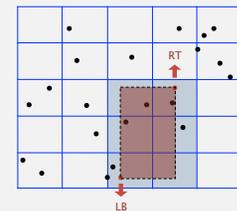
- A pair of points
- (xmin, ymin, xmax, ymax)

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2d orthogonal range search: grid implementation

Grid implementation.

- Divide space into M -by- M grid of squares.
- Create list of points contained in each square.
- Use 2d array to directly index relevant square.
- Insert: add (x, y) to list for corresponding square.
- Range search: examine only squares that intersect 2d range query.



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2d orthogonal range search: grid implementation analysis

Space-time tradeoff.

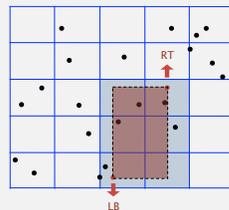
- Space: $M^2 + N$.
- Time: $1 + N/M^2$ per square examined, on average.

Choose grid square size to tune performance.

- Too small: wastes space.
- Too large: too many points per square.
- Rule of thumb: \sqrt{N} -by- \sqrt{N} grid.

Running time. [if points are evenly distributed]

- Initialize data structure: N .
 - Insert point: 1.
 - Range search: 1 per point in range.
- choose $M \sim \sqrt{N}$



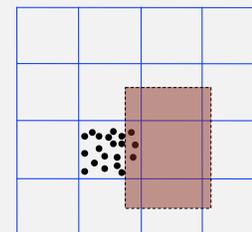
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Clustering

Grid implementation. Fast, simple solution for evenly-distributed points.

Problem. Clustering a well-known phenomenon in geometric data.

- Lists are too long, even though average length is short.
- Need data structure that adapts gracefully to data.



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Clustering

Grid implementation. Fast, simple solution for evenly-distributed points.

Problem. Clustering a well-known phenomenon in geometric data.

Ex. USA map data.



13,000 points, 1000 grid squares



↑
half the squares are empty

↑
half the points are in 10% of the squares

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Space-partitioning trees

Use a **tree** to represent a recursive subdivision of 2d space.

Grid. Divide space uniformly into squares.

Quadtree. Recursively divide space into four quadrants.

2d tree. Recursively divide space into two halfplanes.

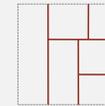
BSP tree. Recursively divide space into two regions.



Grid



Quadtree



2d tree



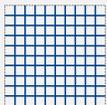
BSP tree

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Space-partitioning trees: applications

Applications.

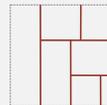
- Ray tracing.
- 2d range search.
- Flight simulators.
- N-body simulation.
- Collision detection.
- Astronomical databases.
- Nearest neighbor search.
- Adaptive mesh generation.
- Accelerate rendering in Doom.
- Hidden surface removal and shadow casting.



Grid



Quadtree



2d tree

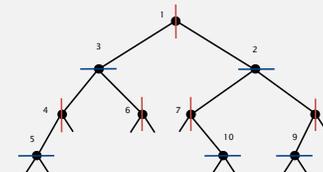
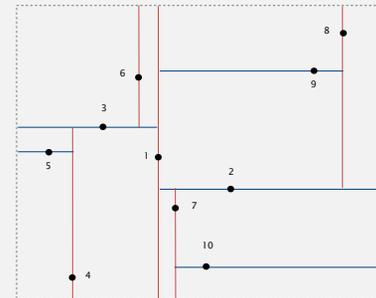


BSP tree

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2d tree construction

Recursively partition plane into two halfplanes.

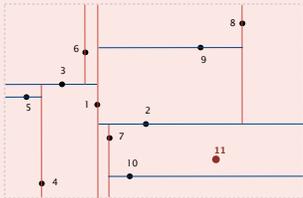


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Quiz 3

Where would point 11 be inserted in the kd-tree below?

- A. Right child of 6.
- B. Left child of 7.
- C. Left child of 10.
- D. Right child of 10.
- E. *I don't know.*

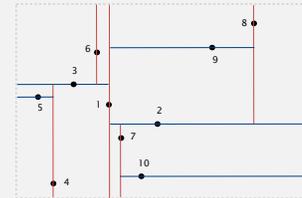
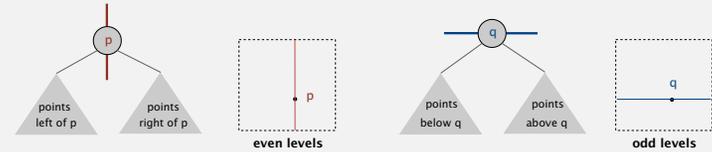


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2d tree implementation

Data structure. BST, but alternate using x - and y -coordinates as key.

- Search gives rectangle containing point.
- Insert further subdivides the plane.

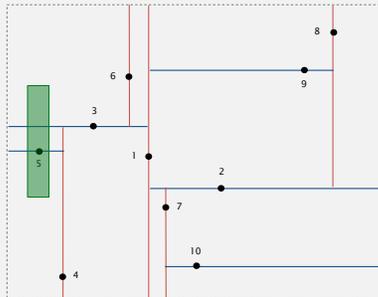


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2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
- Recursively search right/top (if any could fall in rectangle).

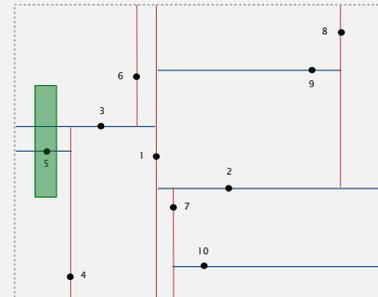


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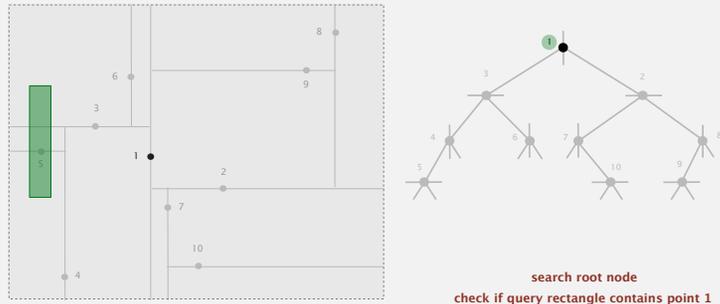


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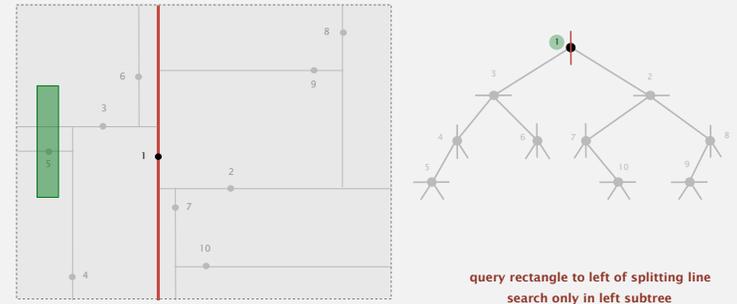


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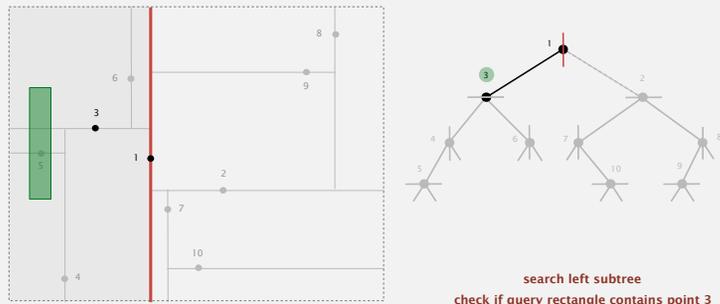


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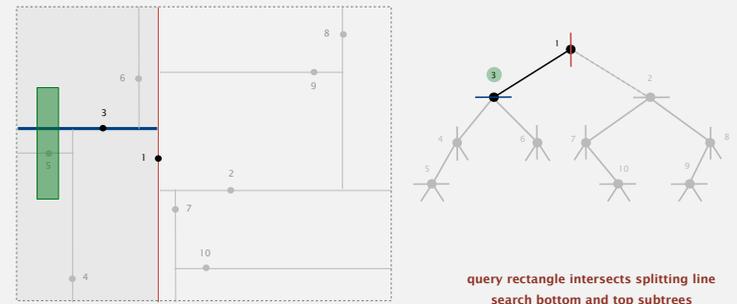


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2d tree demo: range search

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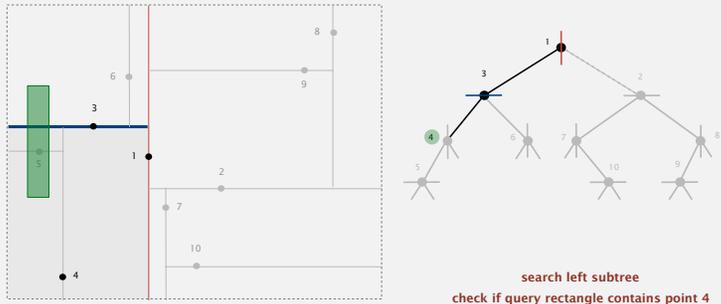


40

2d tree demo: range search

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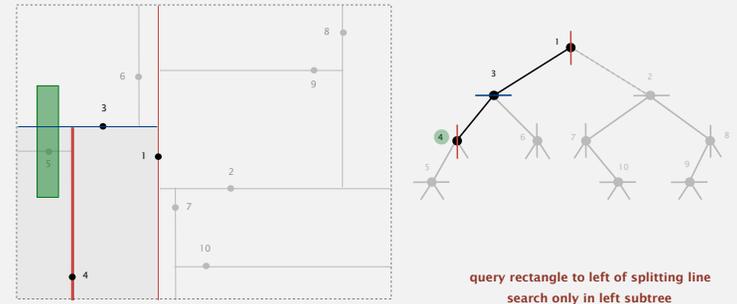


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2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

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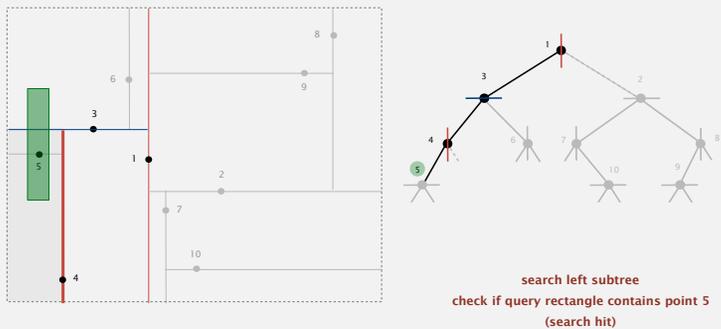


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2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

- Check if point in node lies in given rectangle.
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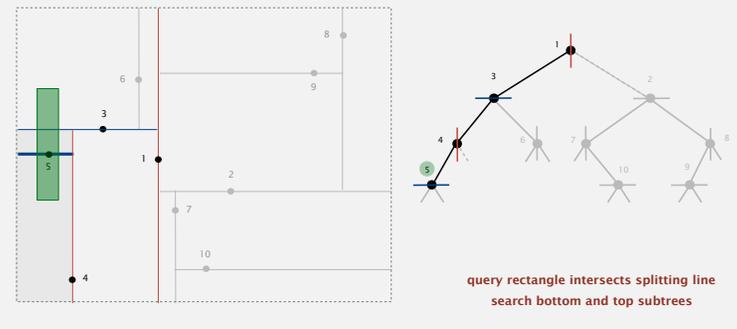


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2d tree demo: range search

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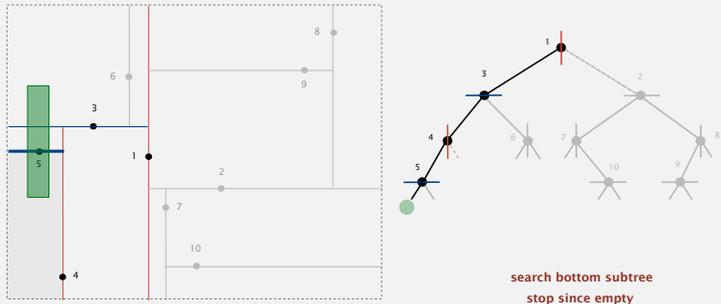


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2d tree demo: range search

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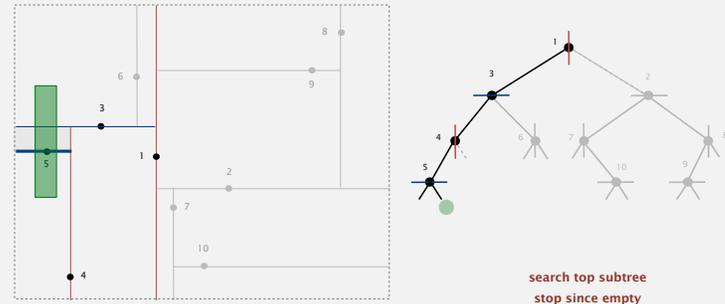


45

2d tree demo: range search

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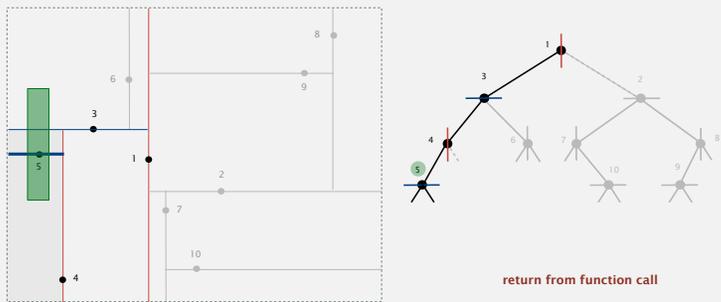


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2d tree demo: range search

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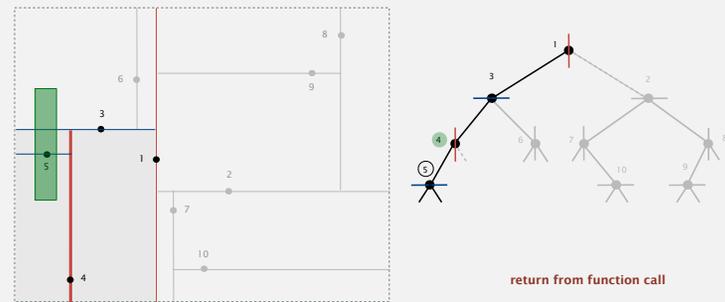


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2d tree demo: range search

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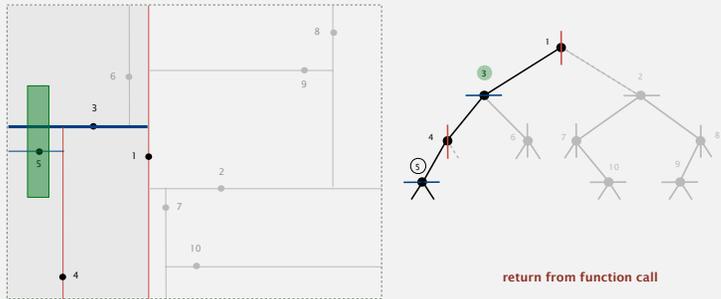


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2d tree demo: range search

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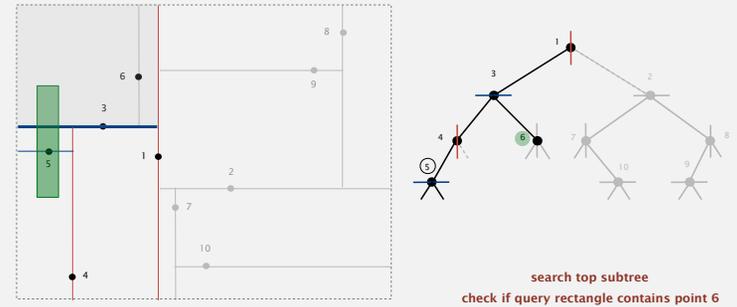


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2d tree demo: range search

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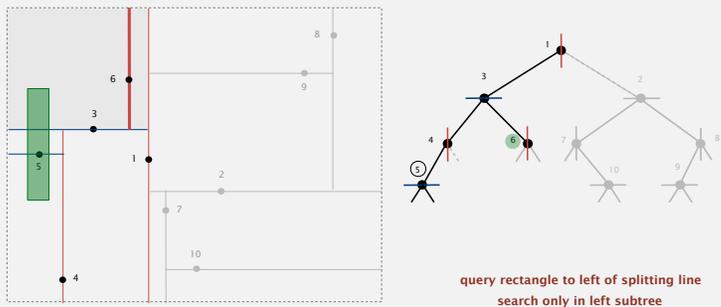


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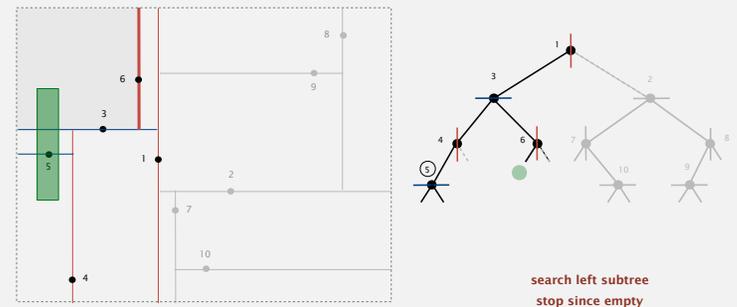


51

2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
- Recursively search right/top (if any could fall in rectangle).

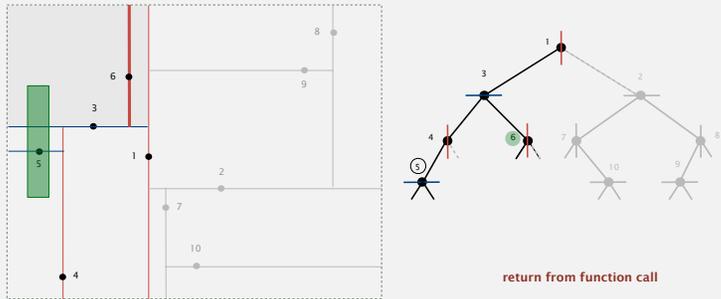


52

2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

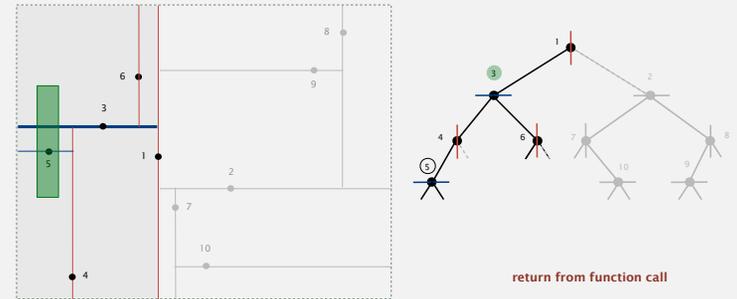
- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
- Recursively search right/top (if any could fall in rectangle).



2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

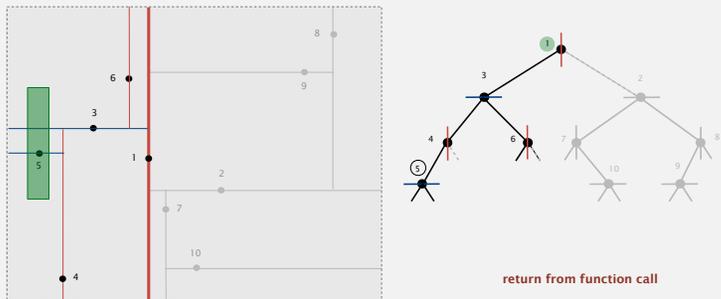
- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
- Recursively search right/top (if any could fall in rectangle).



2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

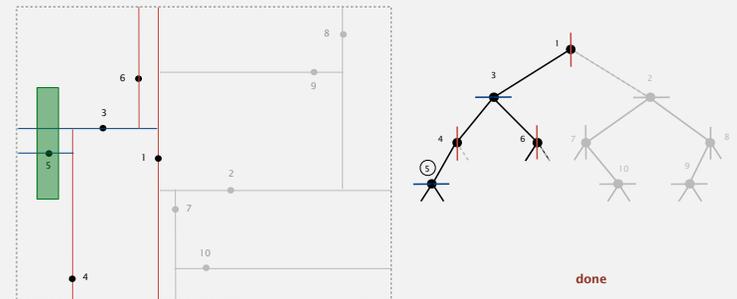
- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
- Recursively search right/top (if any could fall in rectangle).



2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

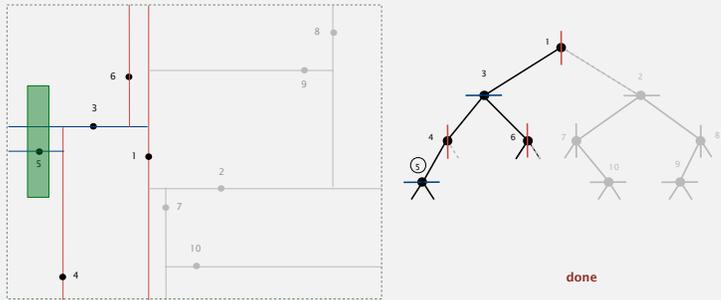
- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
- Recursively search right/top (if any could fall in rectangle).



2d tree demo: range search

Goal. Find all points in a query axis-aligned rectangle.

- Check if point in node lies in given rectangle.
- Recursively search left/bottom (if any could fall in rectangle).
- Recursively search right/top (if any could fall in rectangle).

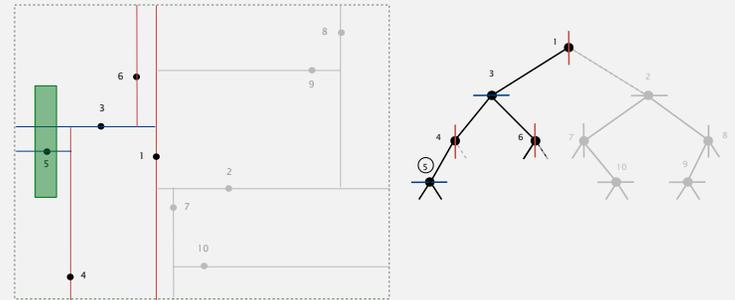


57

Range search in a 2d tree analysis

Typical case. $R + \log N$.

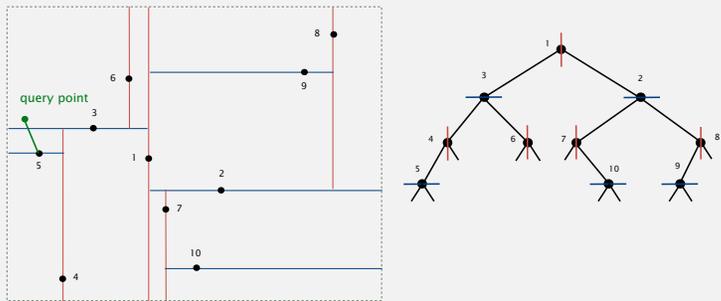
Worst case (assuming tree is balanced). $R + \sqrt{N}$.



58

2d tree demo: nearest neighbor

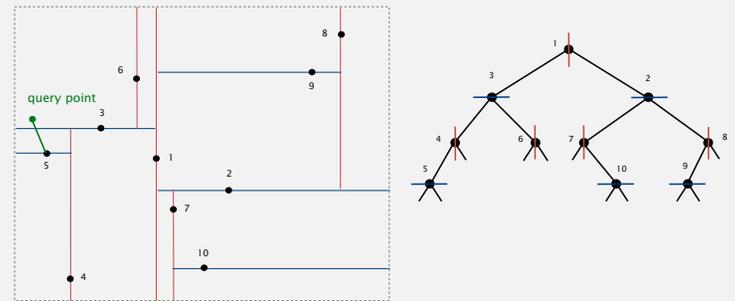
Goal. Find closest point to query point.



59

2d tree demo: nearest neighbor

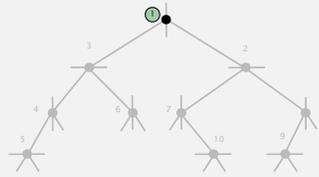
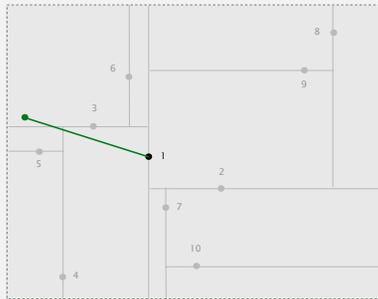
Goal. Find closest point to query point.



60

2d tree demo: nearest neighbor

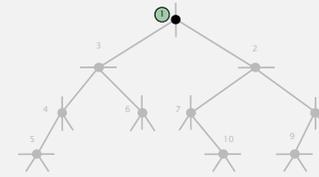
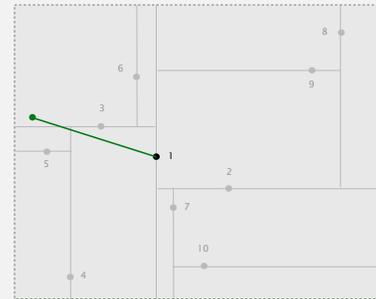
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



search root node
compute distance from query point to 1
(update champion nearest neighbor)

2d tree demo: nearest neighbor

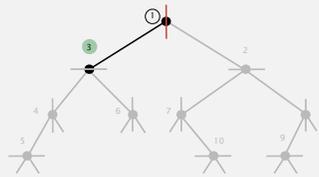
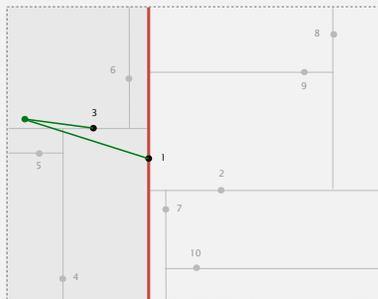
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



query point is to the left of splitting line
search left subtree first

2d tree demo: nearest neighbor

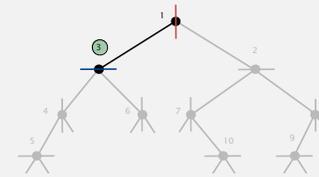
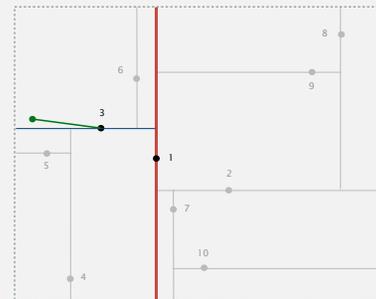
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



search left subtree
compute distance from query point to 3
(update champion)

2d tree demo: nearest neighbor

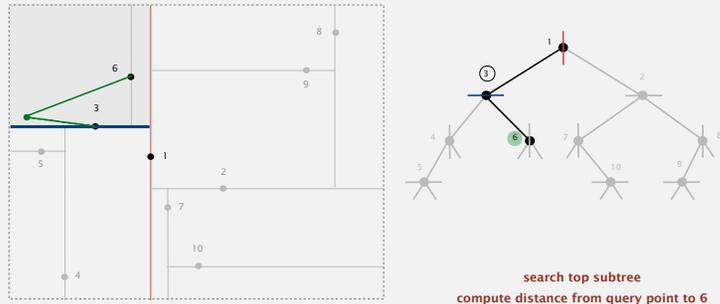
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



query point is above splitting line
search top subtree first

2d tree demo: nearest neighbor

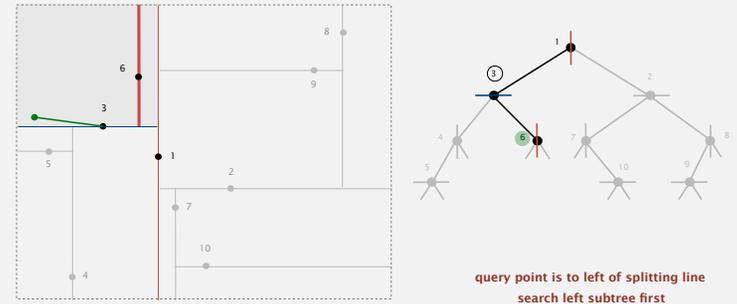
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



65

2d tree demo: nearest neighbor

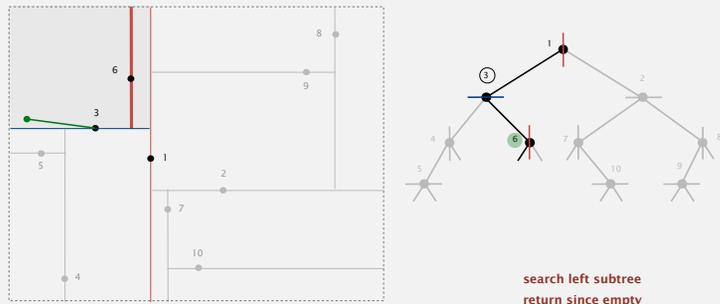
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



66

2d tree demo: nearest neighbor

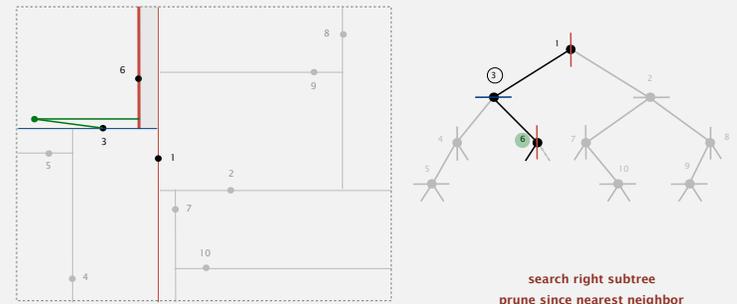
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



67

2d tree demo: nearest neighbor

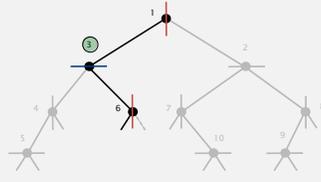
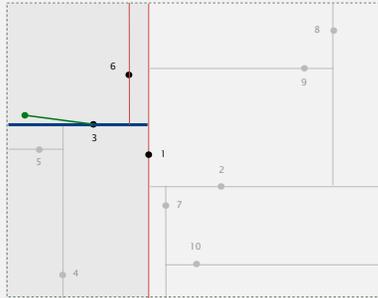
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



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2d tree demo: nearest neighbor

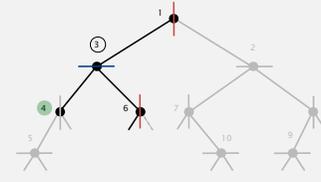
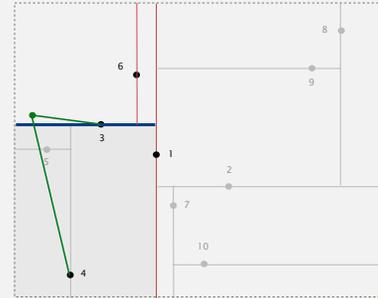
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



return from function call
search bottom subtree next

2d tree demo: nearest neighbor

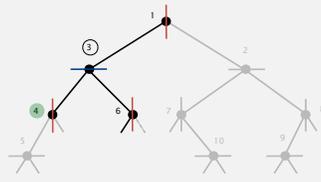
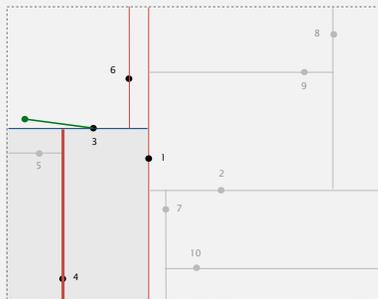
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



search bottom subtree
compute distance from query point to 4

2d tree demo: nearest neighbor

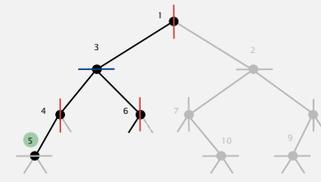
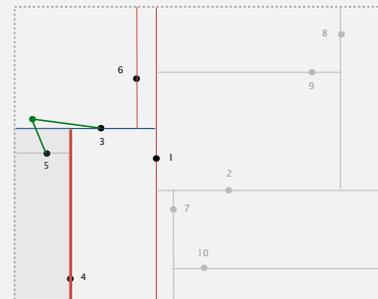
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



query point is to left of splitting line
search left subtree first

2d tree demo: nearest neighbor

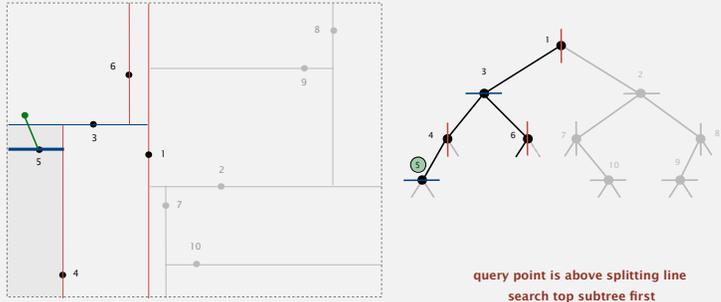
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



search left subtree
compute distance from query point to 5
(update champion)

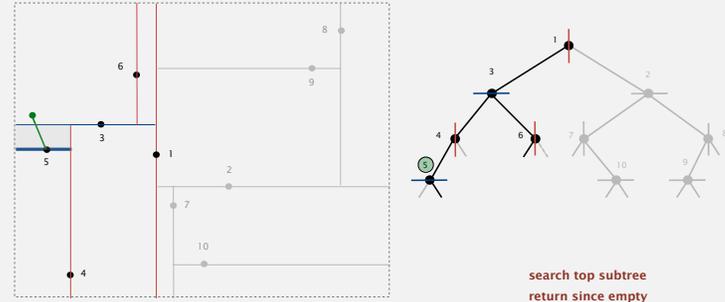
2d tree demo: nearest neighbor

- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



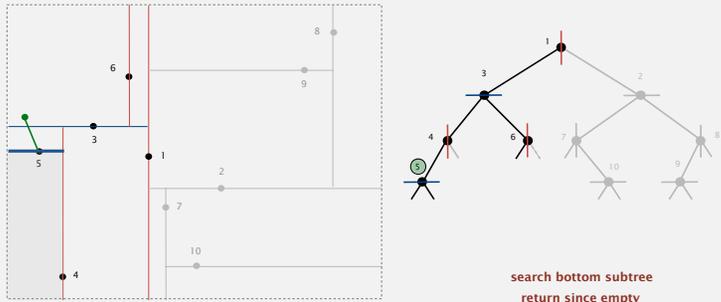
2d tree demo: nearest neighbor

- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



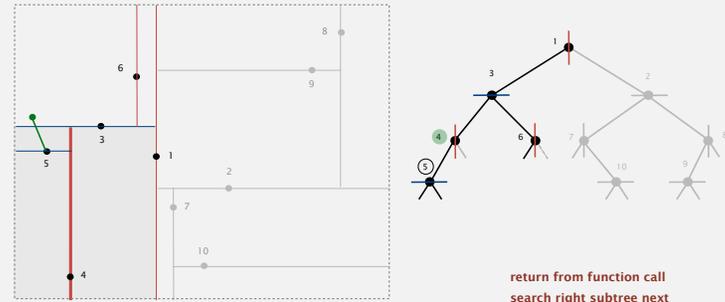
2d tree demo: nearest neighbor

- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



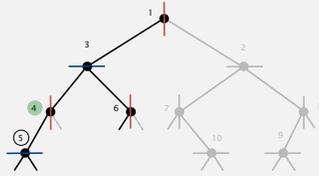
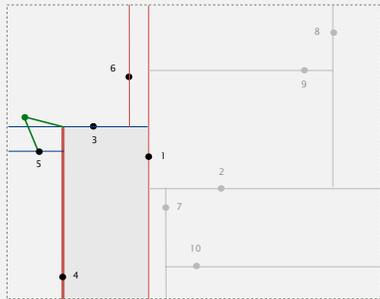
2d tree demo: nearest neighbor

- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



2d tree demo: nearest neighbor

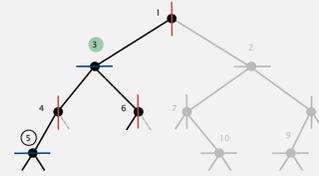
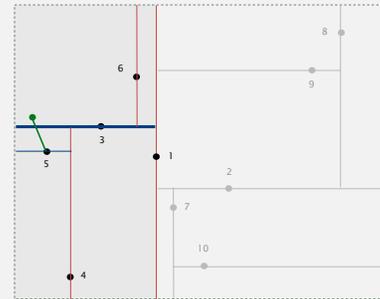
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



search right subtree
prune since nearest neighbor
can't be here
(drawing not quite to scale)

2d tree demo: nearest neighbor

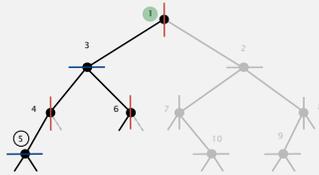
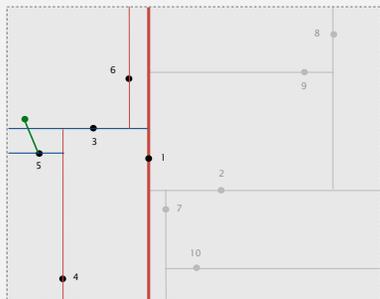
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- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



return from function call

2d tree demo: nearest neighbor

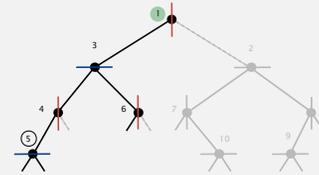
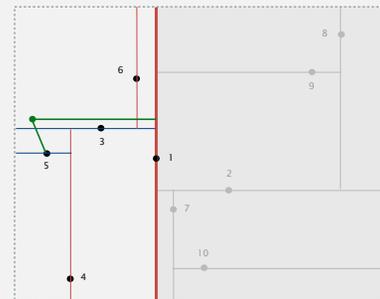
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



return from function call
search right subtree next

2d tree demo: nearest neighbor

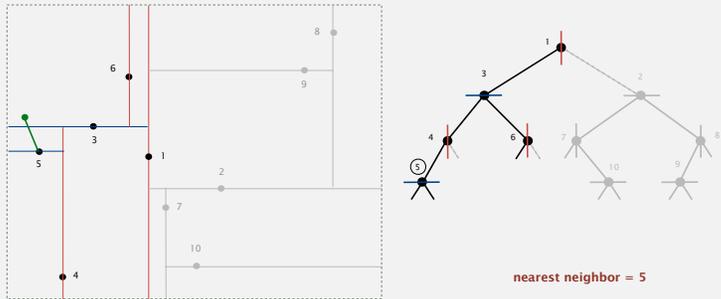
- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



search right subtree
prune since nearest neighbor
can't be here

2d tree demo: nearest neighbor

- Check distance from point in node to query point.
- Recursively search left/bottom (if it could contain a closer point).
- Recursively search right/top (if it could contain a closer point).
- Organize method so that it begins by searching for query point.



81

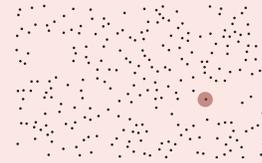
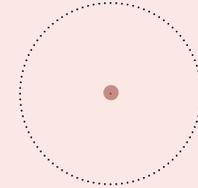
Quiz 4

Which of the following is the worst case for nearest neighbor search?

A.



C.



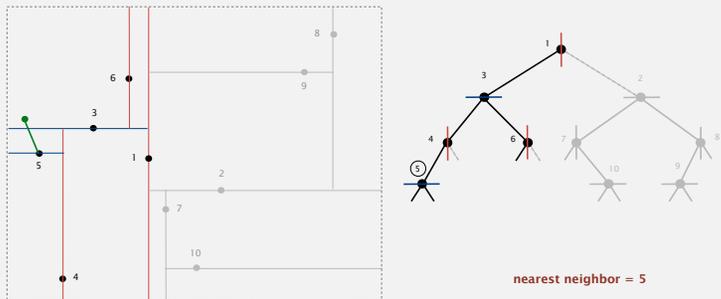
D. *I don't know.*

82

Nearest neighbor search in a 2d tree analysis

Typical case. $\log N$.

Worst case (even if tree is balanced). N .

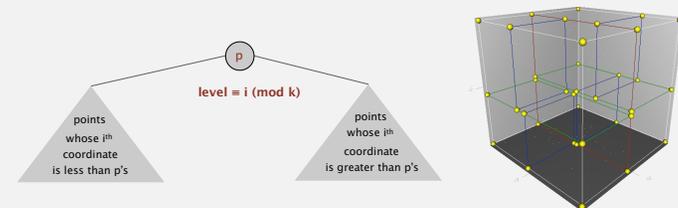


88

Kd tree

Kd tree. Recursively partition k -dimensional space into 2 halfspaces.

Implementation. BST, but cycle through dimensions ala 2d trees.



Efficient, simple data structure for processing k -dimensional data.

- Widely used.
- Adapts well to high-dimensional and clustered data.
- Discovered by an undergrad in an algorithms class!



Jon Bentley

84

Flocking birds

Q. What "natural algorithm" do starlings, migrating geese, starlings, cranes, bait balls of fish, and flashing fireflies use to flock?



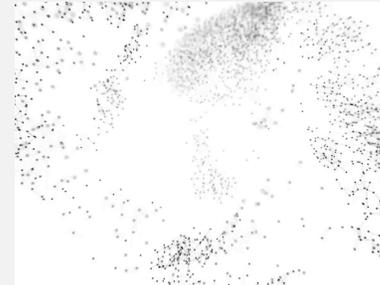
<http://www.youtube.com/watch?v=XH-groCekbE>

85

Flocking boids [Craig Reynolds, 1986]

Boids. Three simple rules lead to complex emergent flocking behavior:

- Collision avoidance: point away from **k nearest** boids.
- Flock centering: point towards the center of mass of **k nearest** boids.
- Velocity matching: update velocity to the average of **k nearest** boids.



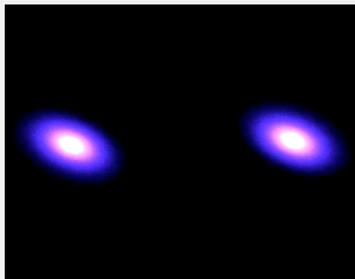
86

N-body simulation

Goal. Simulate the motion of N particles, mutually affected by gravity.

Brute force. For each pair of particles, compute force: $F = \frac{G m_1 m_2}{r^2}$

Running time. Time per step is N^2 .



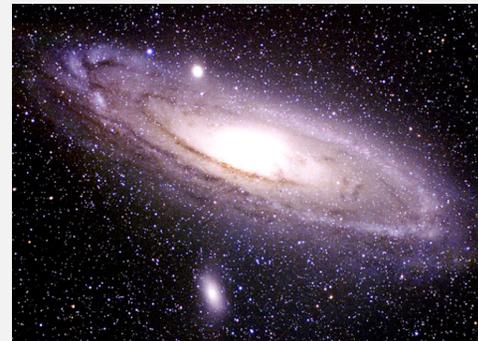
http://www.youtube.com/watch?v=ua7YIN4eL_w

87

Appel's algorithm for N-body simulation

Key idea. Suppose particle is far, far away from cluster of particles.

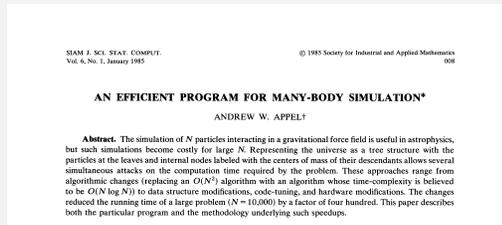
- Treat cluster of particles as a single aggregate particle.
- Compute force between particle and **center of mass** of aggregate.



88

Appel's algorithm for N-body simulation

- Build 3d-tree with N particles as nodes.
- Store center-of-mass of subtree in each node.
- To compute total force acting on a particle, traverse tree, but stop as soon as distance from particle to subdivision is sufficiently large.



Impact. Running time per step is $N \log N \Rightarrow$ enables new research.

Geometric applications of BSTs

problem	example	solution
1d range search		binary search tree
2d orthogonal line segment intersection		sweep line reduces problem to 1d range search
2d range search kd range search		2d tree kd tree