

Priority queue API

Requirement. Items are generic; they must also be Comparable.

Key must be Comparable (bounded type parameter)

public class MaxPQ<Key extends Comparable<Key>>

public cluss	nam quitey excent	is compared to the street
	MaxPQ()	create an empty priority queue
	MaxPQ(Key[] a)	create a priority queue with given keys
void	insert(Key v)	insert a key into the priority queue
Key	delMax()	return and remove a largest key
boolean	isEmpty()	is the priority queue empty?
Key	max()	return a largest key
int	size()	number of entries in the priority queue

Note. Duplicate keys allowed; delMax() picks any maximum key.

Priority queue: applications

· Event-driven simulation.

[customers in a line, colliding particles]

· Numerical computation.

[reducing roundoff error] [bin packing, scheduling]

· Discrete optimization. Artificial intelligence.

[A* search]

• Computer networks.

[web cache]

· Operating systems.

[load balancing, interrupt handling]

· Data compression.

[Huffman codes]

· Graph searching.

[Dijkstra's algorithm, Prim's algorithm]

· Number theory.

[sum of powers]

· Spam filtering.

[Bayesian spam filter]

· Statistics.

[online median in data stream]





8	4	7
L	_	Ľ
1	5	6
3	2	

Priority queue: client example

Challenge. Find the largest M items in a stream of N items.

- · Fraud detection: isolate \$\$ transactions.
- · NSA monitoring: flag most suspicious documents.

N huge, M large

Constraint. Not enough memory to store N items.

Q. Would you use a MaxPQ or a MinPQ?

Transaction data type is Comparable (ordered by \$\$)

```
MinPQ<Transaction> pq = new MinPQ<Transaction>();
while (StdIn.hasNextLine())
   String line = StdIn.readLine();
   Transaction transaction = new Transaction(line);
   pq.insert(transaction);
   if (pq.size() > M)
                             pq now contains
largest M items
      pq.delMin(); ←
```

Priority queue: unordered and ordered array implementation

operation	argument	return value	size	(tents dere							tents lered				
insert	Р		1	Р							P						
insert	Q		2	Р	Q						Р	Q					
insert	É		3	Р	Q	Ε					E	Р	Q				
remove max		Q	2	Р	Ē						Е	Р	-				
insert	X		3	Р	Ε	X					Е	Р	X				
insert	Α		4	Р	Ε	Χ	Α				Α	Ε	Р	Χ			
insert	М		5	Р	Ε	Х	Α	М			Α	Ε	М	Р	Х		
remove max		X	4	Р	Ε	М	Α				Α	Ε	M	Р			
insert	Р		5	Р	Ε	М	Α	Р			Α	Ε	М	Р	Р		
insert	L		6	Р	Ε	М	Α	Р	L		Α	Е	L	М	Р	Р	
insert	Ē		7	P	Ē	М	Α	P	Ĺ	Е	Α	Ē	Ē	L	М	P	Р
remove max		Р	6	E	М	Α	Р	L	Е		А	E	E	L	М	Р	

A sequence of operations on a priority queue

Priority queue: implementations cost summary

Challenge. Implement all operations efficiently.

implementation	insert	del max	max
unordered array	1	N	N
ordered array	N	1	1
goal	$\log N$	$\log N$	log N

order of growth of running time for priority queue with N items

2.4 PRIORITY QUEUES

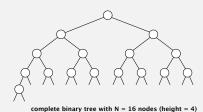
API and elementary implementations
binary heaps
heapsort
heapsort
event-driven simulation

ROBERT SEDGEWICK | KEVEN WAYNE
http://algs4.cs.princeton.edu

Complete binary tree

Binary tree. Empty or node with links to left and right binary trees.

Complete tree. Perfectly balanced, except for bottom level.



Property. Height of complete binary tree with N nodes is $\lfloor \lg N \rfloor$.

A complete binary tree in nature



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Binary heap: representation

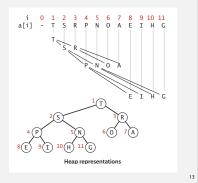
Binary heap. Array representation of a heap-ordered complete binary tree.

Heap-ordered binary tree.

- Keys in nodes.
- Parent's key no smaller than children's keys.

Array representation.

- Indices start at 1.
- Take nodes in level order.
- No explicit links needed!

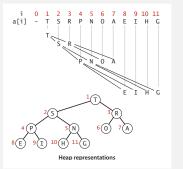


Binary heap: properties

Proposition. Largest key is a[1], which is root of binary tree.

Proposition. Can use array indices to move through tree.

- Parent of node at k is at k/2.
- Children of node at k are at 2k and 2k+1.

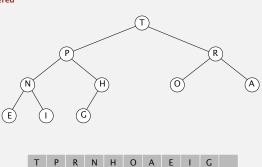


Binary heap demo

Insert. Add node at end, then swim it up.

Remove the maximum. Exchange root with node at end, then sink it down.

heap ordered

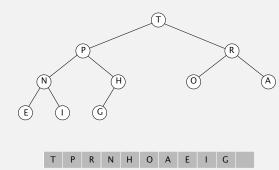


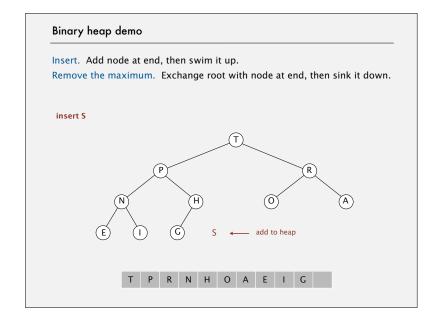
Binary heap demo

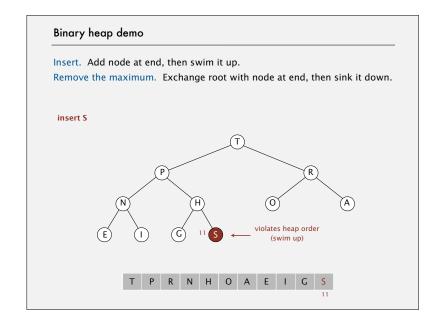
Insert. Add node at end, then swim it up.

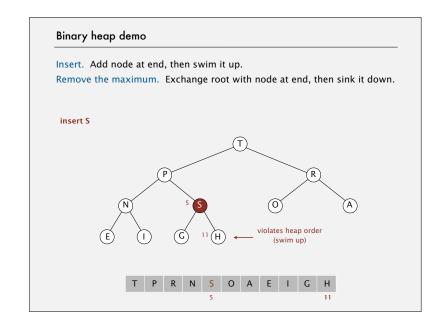
Remove the maximum. Exchange root with node at end, then sink it down.

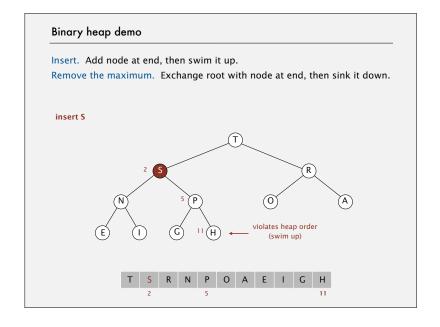
heap ordered

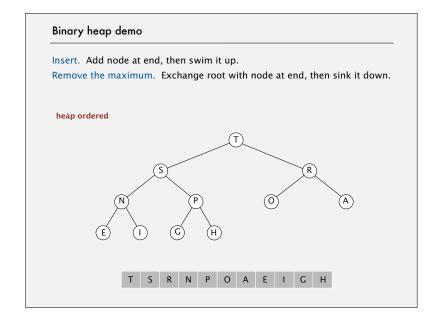


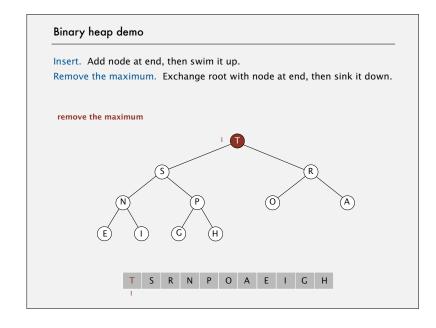


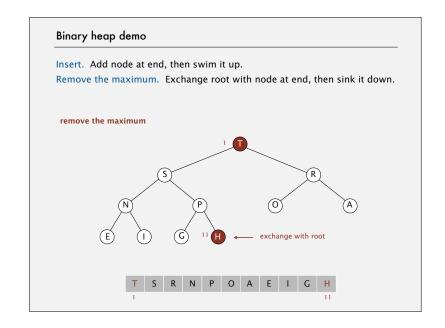


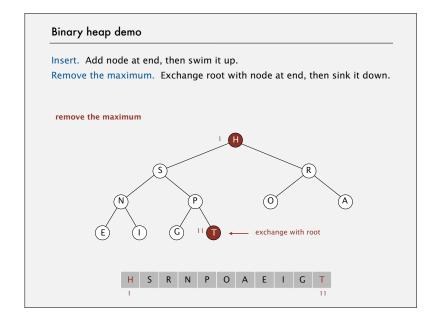


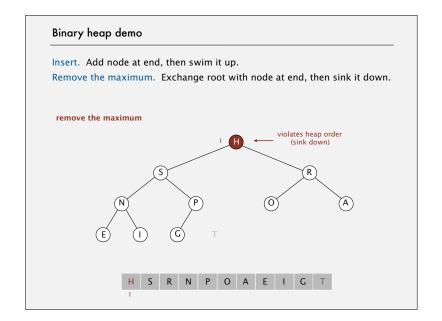


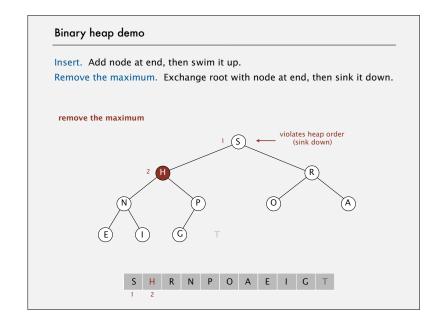


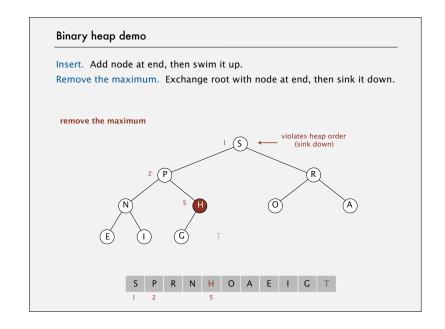


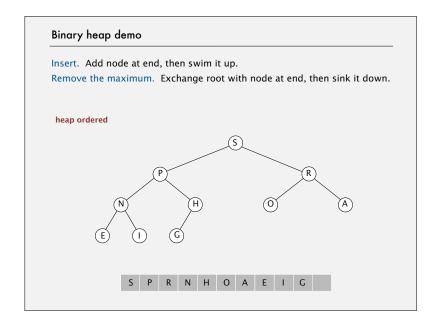












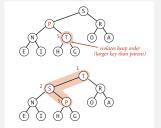
Binary heap: promotion

Scenario. A key becomes larger than its parent's key.

To eliminate the violation:

- Exchange key in child with key in parent.
- · Repeat until heap order restored.

```
private void swim(int k)
{
    while (k > 1 && less(k/2, k))
    {
        exch(k, k/2);
        k = k/2;
    }
    parent of node at k is at k/2
}
```



Peter principle. Node promoted to level of incompetence.

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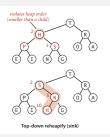
Binary heap: demotion

Scenario. A key becomes smaller than one (or both) of its children's.

To eliminate the violation:

why not smaller child?

- Exchange key in parent with key in larger child.
- · Repeat until heap order restored.



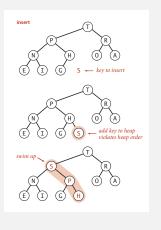
Power struggle. Better subordinate promoted.

Binary heap: insertion

Insert. Add node at end, then swim it up.

Cost. At most $1 + \lg N$ compares.

```
public void insert(Key x)
{
    pq[++N] = x;
    swim(N);
}
```



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Binary heap: demotion

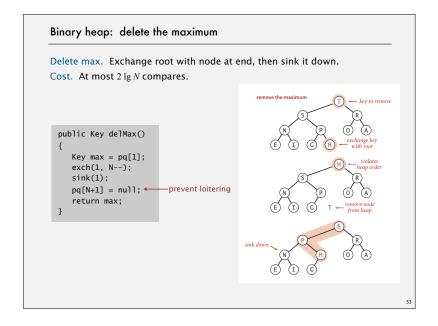
Q. Write a recursive version of sink

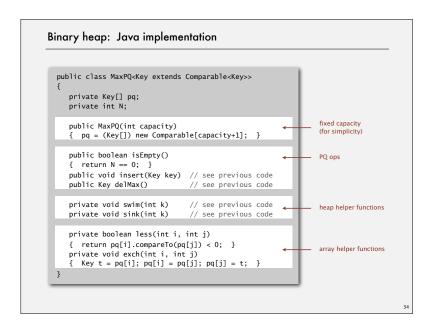
```
private void sink(int k)
{
   while (2*k <= N)
   {
     int j = 2*k;
     if (j < N && less(j, j+1)) j++;
     if (!less(k, j)) break;
     exch(k, j);
     k = j;
   }
}</pre>
```

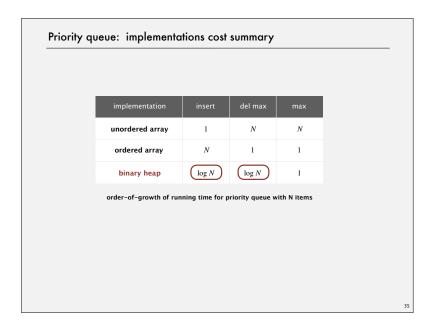
```
private void sink(int k)
{
    if (2*k > N)
        return;
    int j = 2*k;
    if (j < N && less(j, j+1)) j++;
    if (!less(k, j)) return;
    exch(k, j);
    sink(j);
}</pre>
```

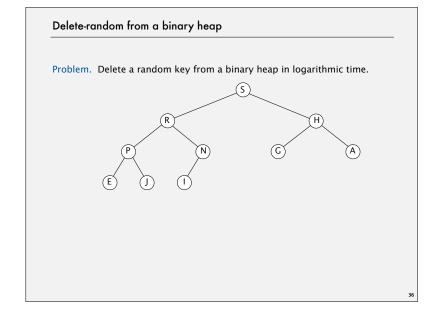
This is just an exercise. No particular reason to implement this recursively.

In fact, many compilers will *automatically* convert the recursive version to the iterative one. This is called tail-call elimination or tail-call optimization.



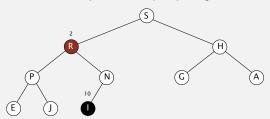






Delete-random from a binary heap

Problem. Delete a random key from a binary heap in logarithmic time.



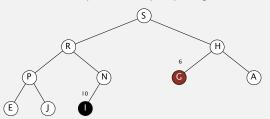
Solution.

- Pick a random index r between 1 and N.
- Perform exch(r, N--).
- Perform either sink(r) or swim(r).

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Delete-random from a binary heap

Problem. Delete a random key from a binary heap in logarithmic time.



Solution.

- Pick a random index r between 1 and N.
- Perform exch(r, N--).
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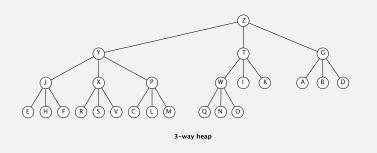
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Binary heap: practical improvements

Multiway heaps.

- Complete *d*-way tree.
- Parent's key no smaller than its children's keys.

Fact. Height of complete *d*-way tree on *N* nodes is $\sim \log_d N$.



Priority queue: implementation cost summary

implementation	insert	del max	max
unordered array	1	N	N
ordered array	N	1	1
binary heap	log N	log N	1
d-ary heap	$\log_d N$	$d \log_d N$	1
Fibonacci	1	$\log N^{\dagger}$	1
Brodal queue	1	log N	1
impossible	1	1	1
			+ amortized

order-of-growth of running time for priority queue with N items

Binary heap: considerations

Underflow and overflow.

- · Underflow: throw exception if deleting from empty PQ.
- · Overflow: use resizing array.

Minimum-oriented priority queue.

- Replace less() with greater().
- Implement greater().

Other operations.

- · Remove an arbitrary item.
- Change the priority of an item. with sink() and swim()

can implement efficiently

Immutability of keys.

- · Assumption: client does not change keys while they're on the PQ.
- · Best practice: use immutable keys.

Immutability: properties

Data type. Set of values and operations on those values. Immutable data type. Can't change the data type value once created.

Advantages.

- · Simplifies debugging.
- · Simplifies concurrent programming.
- More secure in presence of hostile code.
- Safe to use as key in priority queue or symbol table.

Disadvantage. Must create new object for each data type value.

- "Classes should be immutable unless there's a very good reason to make them mutable.... If a class cannot be made immutable, you should still limit its mutability as much as possible. "
 - Joshua Bloch (Java architect)



Immutability: implementing in Java

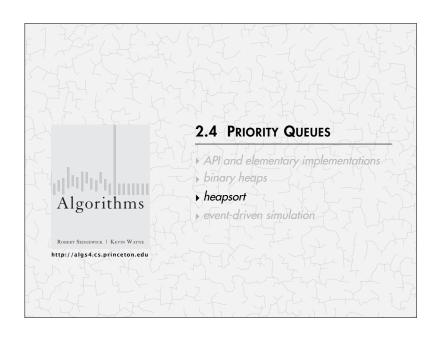
Immutable data type. Can't change the data type value once created.

Examples: String, Integer, Double, Color, Vector, Transaction, Point2D.

Mutable: StringBuilder, Stack, Counter, Java array.

To create your own immutable data types:

- Make defensive copy of client-provided mutable variables in constructor
- · Don't change instance variables in instance methods



Priority queues: quiz 4

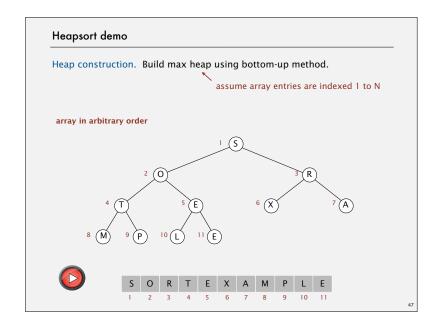
Verify that this is a correct sorting algorithm. What are its properties?

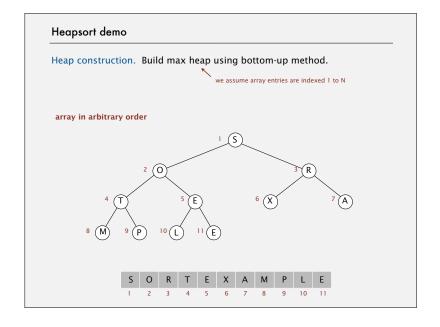
```
public void sort(String[] a)
{
   int N = a.length;
   MaxPQ<String> pq = new MaxPQ<String>();
   for (int i = 0; i < N; i++)
        pq.insert(a[i]);
   for (int i = N-1; i >= 0; i--)
        a[i] = pq.delMax();
}
```

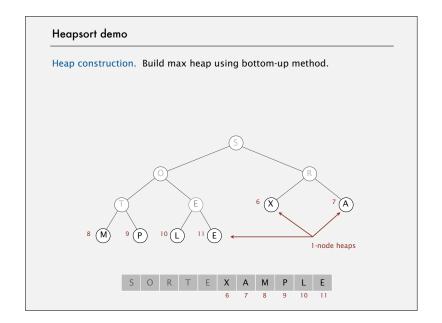
- A. $N \log N$ compares in the worst case.
- B. In-place.
- C. Stable.
- **D.** All of the above.
- E. I don't know.

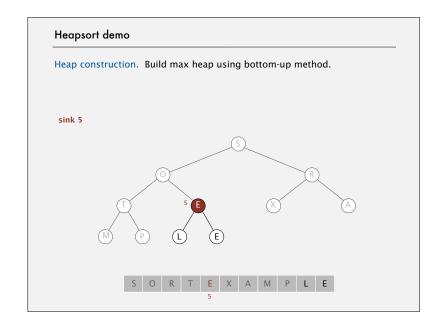
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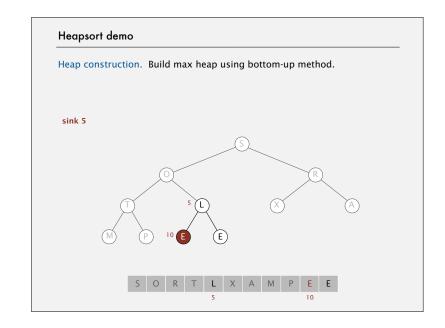
Heapsort Basic plan for in-place sort. • View input array as a complete binary tree. • Heap construction: build a max-heap with all N keys. • Sortdown: repeatedly remove the maximum key. keys in arbitrary order build max heap (in place) sorted result (in place) 1 A 2 E 3 E 4 L 5 M 6 0 7 P 8 R 9 S 10 T 11 X

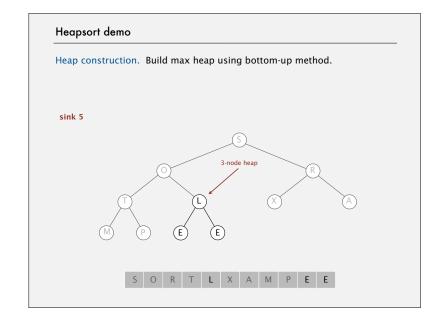


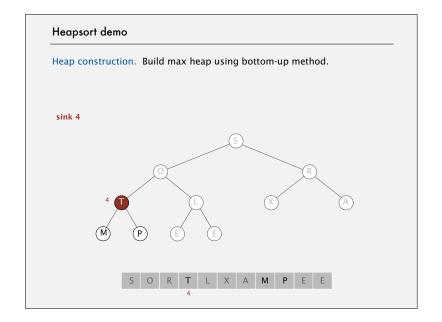


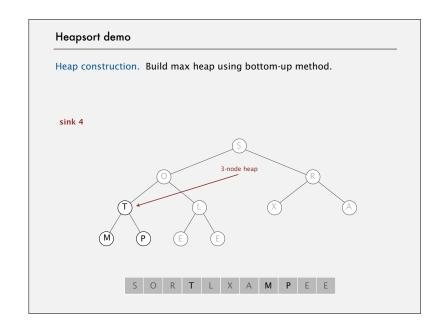


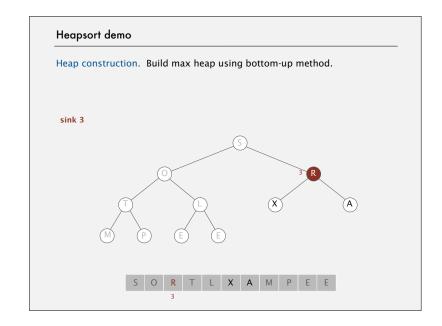


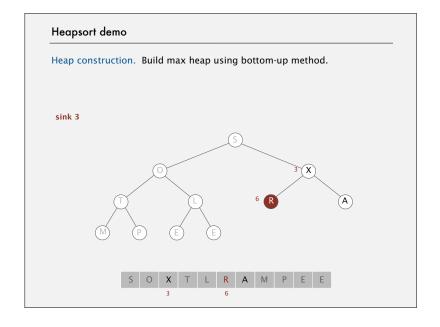


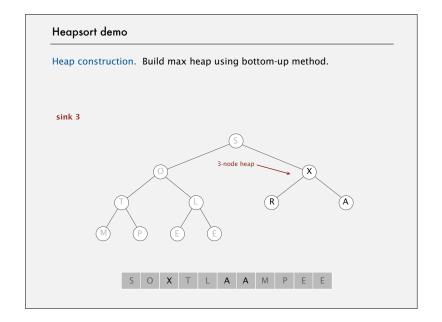


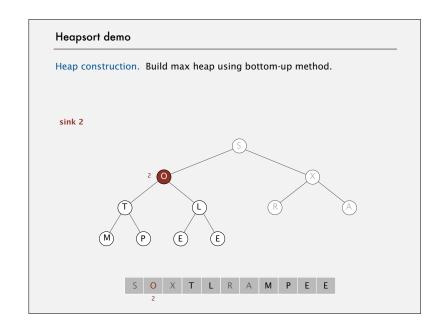


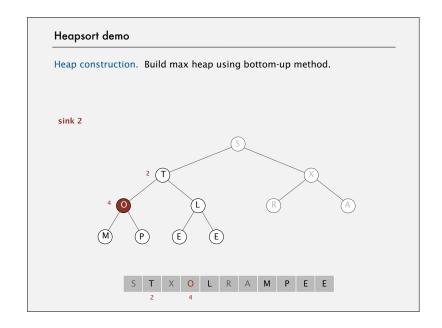


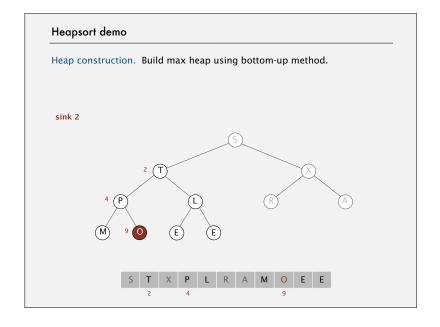


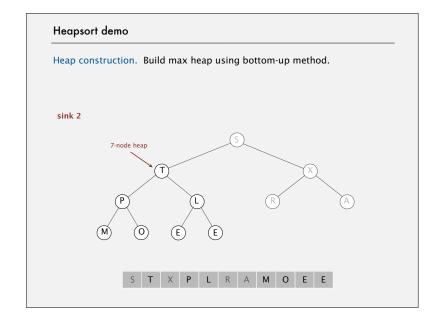


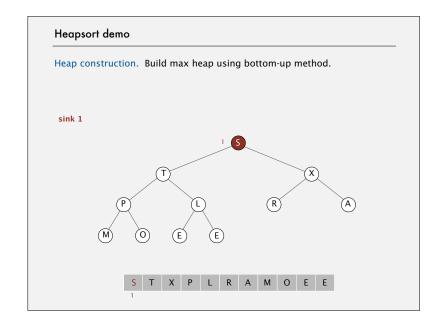


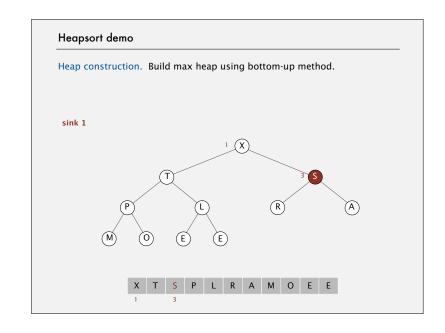


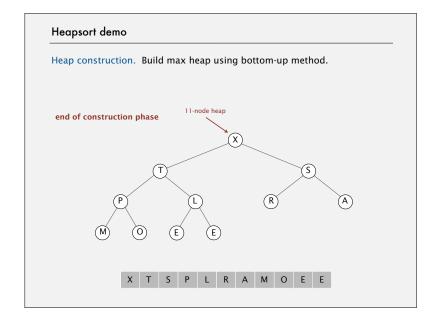


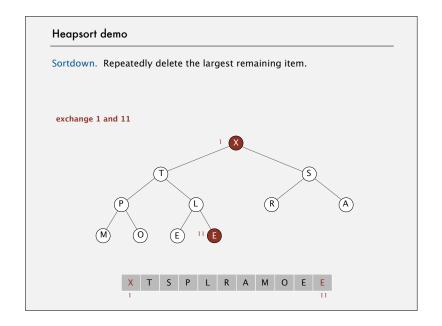


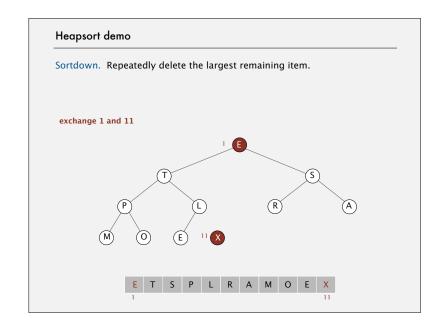


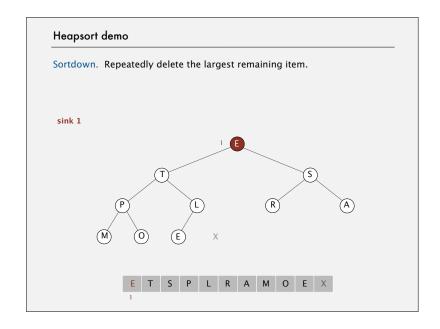


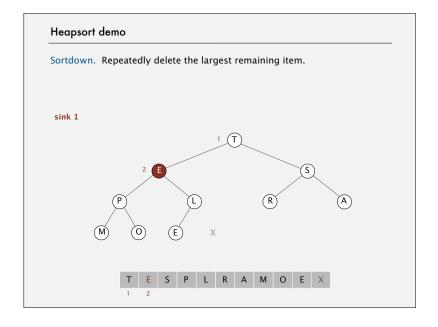


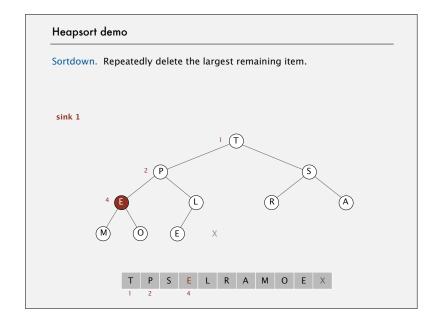


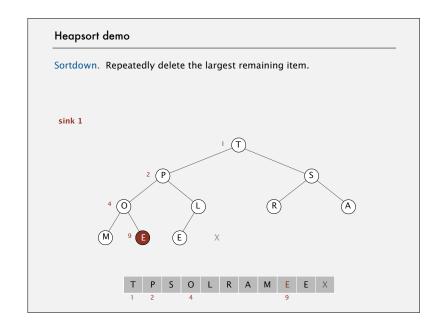


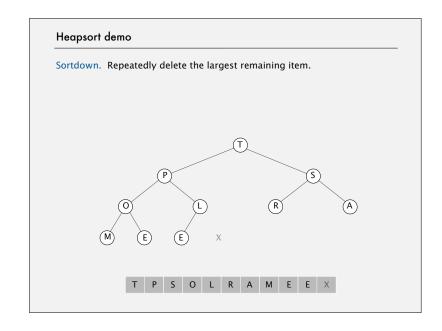


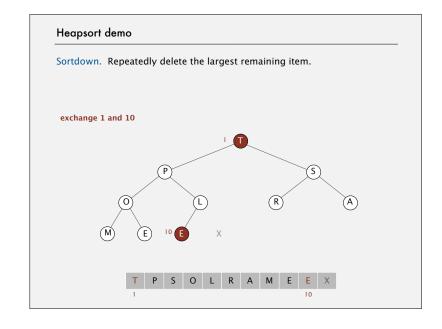


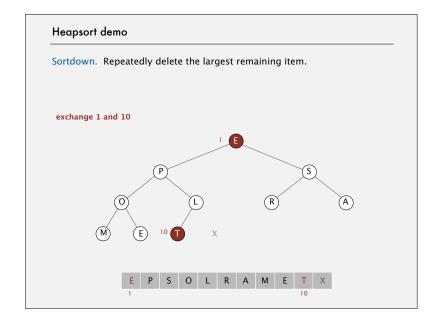


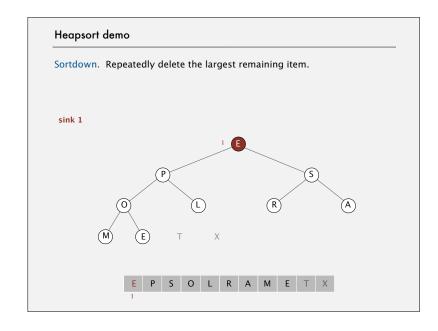


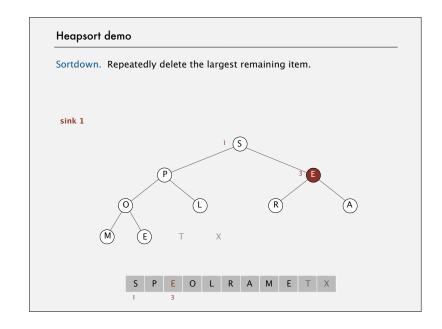


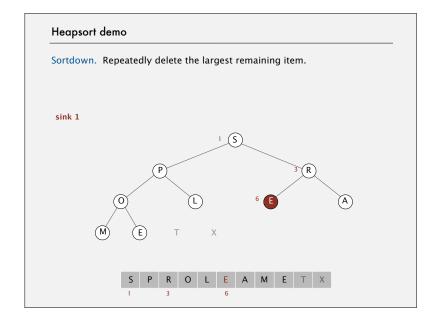


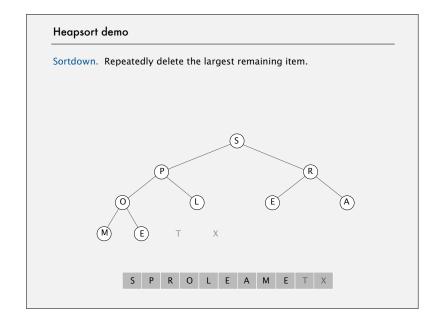


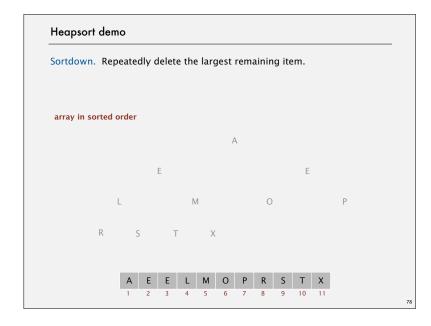


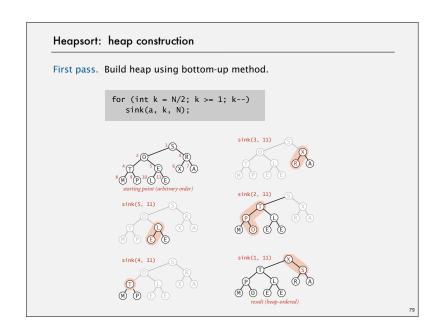


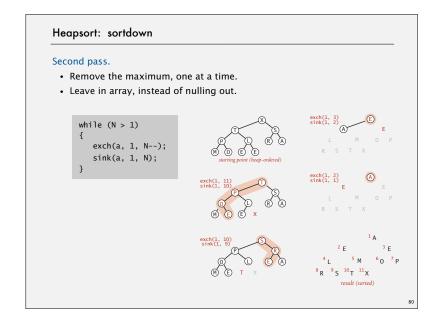




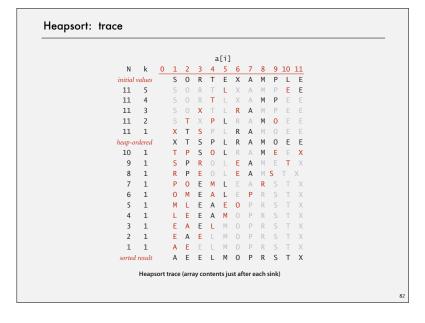


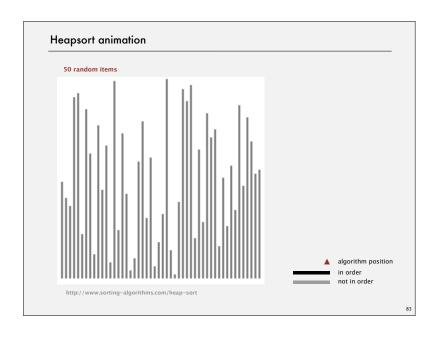


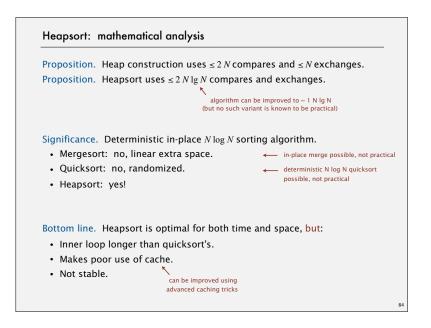




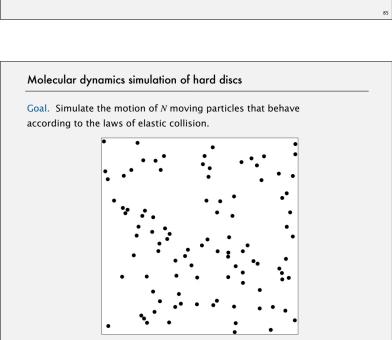
```
Heapsort: Java implementation
       public class Heap
          public static void sort(Comparable[] a)
             int N = a.length;
             for (int k = N/2; k >= 1; k--)
                sink(a, k, N);
             while (N > 1)
                exch(a, 1, N);
                sink(a, 1, --N);
                          but make static (and pass arguments)
          private static void sink(Comparable[] a, int k, int N)
          { /* as before */ }
          private static boolean less(Comparable[] a, int i, int j)
          { /* as before */ }
          private static void exch(Object[] a, int i, int j)
          { /* as before */
                                       but convert from 1-based
                                      indexing to 0-base indexing
```







	inplace?	stable?	best	average	worst	remarks
selection	·		½ N ²	½ N ²	½ N ²	N exchanges
insertion	·	~	N	1/4 N ²	½ N ²	use for small N or partially ordered
merge		~	½ N lg N	N lg N	N lg N	$N \log N$ guarantee; stable
timsort		~	N	N lg N	N lg N	improves mergesort when preexisting order
quick	_		N lg N	2 N ln N (expected)	½ N ²	$N\log N$ probabilistic guarantee; fastest in practice
-way quick	~		N	2 N ln N (expected)	½ N ²	improves quicksort when duplicate keys
heap	_		3 N	2 N lg N	2 N lg N	$N \log N$ guarantee; in-place
	\ \	~	N	N lg N	N lg N	holy sorting grail



2.4 PRIORITY QUEUES APt and elementary implementations binary heaps heapsort heapsort hetp://algs4.cs.princeton.edu

Molecular dynamics simulation of hard discs

Goal. Simulate the motion of N moving particles that behave according to the laws of elastic collision.

Hard disc model.

- Moving particles interact via elastic collisions with each other and walls.
- Each particle is a disc with known position, velocity, mass, and radius.
- · No other forces.

temperature, pressure, motion of individual atoms and molecules

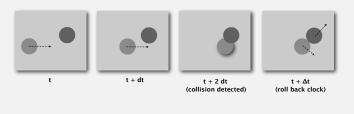
Significance. Relates macroscopic observables to microscopic dynamics.

- Maxwell-Boltzmann: distribution of speeds as a function of temperature.
- Einstein: explain Brownian motion of pollen grains.

Warmup: bouncing balls Time-driven simulation. N bouncing balls in the unit square. public class BouncingBalls % java BouncingBalls 100 public static void main(String[] args) int N = Integer.parseInt(args[0]); Ball[] balls = new Ball[N]; for (int i = 0; i < N; i++) balls[i] = new Ball(); while(true) StdDraw.clear(); for (int i = 0; i < N; i++) balls[i].move(0.5); balls[i].draw(); StdDraw.show(50); main simulation loop }

Time-driven simulation

- Discretize time in quanta of size dt.
- Update the position of each particle after every dt units of time, and check for overlaps.
- If overlap, roll back the clock to the time of the collision, update the velocities of the colliding particles, and continue the simulation.

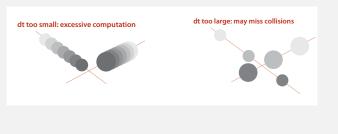


Warmup: bouncing balls public class Ball private double rx, ry; // position private double vx, vy; // velocity private final double radius; // radius public Ball(...) { /* initialize position and velocity */ } check for collision with walls public void move(double dt) if $((rx + vx*dt < radius) || (rx + vx*dt > 1.0 - radius)) { vx = -vx; }$ if $((ry + vy*dt < radius) || (ry + vy*dt > 1.0 - radius)) { vy = -vy; }$ rx = rx + vx*dt;ry = ry + vy*dt;public void draw() { StdDraw.filledCircle(rx, ry, radius); } Missing. Check for balls colliding with each other. Physics problems: when? what effect? · CS problems: which object does the check? too many checks?

Time-driven simulation

Main drawbacks.

- $\sim N^2/2$ overlap checks per time quantum.
- Simulation is too slow if dt is very small.
- May miss collisions if dt is too large.
 (if colliding particles fail to overlap when we are looking)



Event-driven simulation

Change state only when something interesting happens.

- · Between collisions, particles move in straight-line trajectories.
- · Focus only on times when collisions occur.
- Maintain PQ of collision events, prioritized by time.
- Delete min = get next collision.

Collision prediction. Given position, velocity, and radius of a particle, when will it collide next with a wall or another particle?

Collision resolution. If collision occurs, update colliding particle(s) according to laws of elastic collisions.

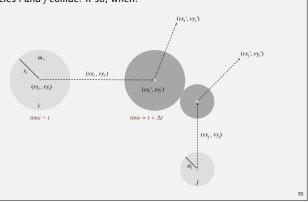


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Particle-particle collision prediction

Collision prediction.

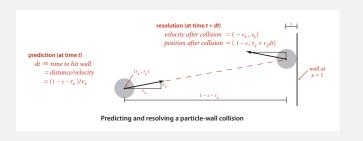
- Particle *i*: radius s_i , position (rx_i, ry_i) , velocity (vx_i, vy_i) .
- Particle j: radius s_j , position (rx_j, ry_j) , velocity (vx_j, vy_j) .
- Will particles *i* and *j* collide? If so, when?



Particle-wall collision

Collision prediction and resolution.

- Particle of radius s at position (rx, ry).
- Particle moving in unit box with velocity (vx, vy).
- Will it collide with a vertical wall? If so, when?



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Particle-particle collision prediction

Collision prediction.

- Particle i: radius s_i , position (rx_i, ry_i) , velocity (vx_i, vy_i) .
- Particle *j*: radius s_j , position (rx_j, ry_j) , velocity (vx_j, vy_j) .
- Will particles *i* and *j* collide? If so, when?

$$\Delta t = \begin{cases} \infty & \text{if } \Delta v \cdot \Delta r \ge 0, \\ \infty & \text{if } d < 0, \\ -\frac{\Delta v \cdot \Delta r + \sqrt{d}}{\Delta v \cdot \Delta v} & \text{otherwise} \end{cases}$$

$$d = (\Delta v \cdot \Delta r)^2 - (\Delta v \cdot \Delta v) (\Delta r \cdot \Delta r - s^2), \quad s = s_i + s_j$$

Important note: This is physics, so we won't be testing you on it!

Particle-particle collision resolution

Collision resolution. When two particles collide, how does velocity change?

$$\begin{array}{rcl} vx_i^{'} &=& vx_i + Jx \, / \, m_i \\ vy_i^{'} &=& vy_i + Jy \, / \, m_i \\ vx_j^{'} &=& vx_j - Jx \, / \, m_j \\ vy_i^{'} &=& vy_j - Jy \, / \, m_j \end{array}$$

$$Jx = \frac{J \Delta rx}{s}, Jy = \frac{J \Delta ry}{s}, J = \frac{2 m_i m_j (\Delta v \cdot \Delta r)}{s (m_i + m_j)}$$

impulse due to normal force (conservation of energy, conservation of momentum)

Important note: This is physics, so we won't be testing you on it!

Particle data type skeleton

```
public class Particle
    private double rx, ry;
                                // position
    private double vx, vy;
                              // velocity
    private final double radius; // radius
    private final double mass; // mass
    private int count;
                               // number of collisions
    public Particle( ... ) { ... }
    public void move(double dt) { ... }
    public void draw()
    public double timeToHit(Particle that) { }
                                                        predict collision
    public double timeToHitVerticalWall() { }
                                                     with particle or wall
    public double timeToHitHorizontalWall() { }
    public void bounceOff(Particle that)
                                                        resolve collision
    public void bounceOffVerticalWall()
                                                     with particle or wall
    public void bounceOffHorizontalWall()
```

http://algs4.cs.princeton.edu/61event/Particle.java.html

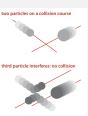
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Collision system: event-driven simulation main loop

Initialization.

- · Fill PQ with all potential particle-wall collisions.
- Fill PQ with all potential particle-particle collisions.

"potential" since collision is invalidated if some other collision intervenes



Main loop.

- Delete the impending event from PQ (min priority = t).
- If the event has been invalidated, ignore it.
- Advance all particles to time t, on a straight-line trajectory.
- Update the velocities of the colliding particle(s).
- Predict future particle-wall and particle-particle collisions involving the colliding particle(s) and insert events onto PQ.

Event data type

Conventions.

- Neither particle null ⇒ particle-particle collision.
- One particle null ⇒ particle-wall collision.
- Both particles null ⇒ redraw event.

