



1

2.3 Recursion

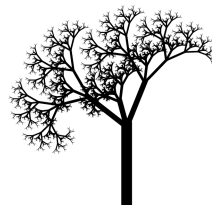


Overview

What is recursion? When one function calls **itself** directly or indirectly.

Why learn recursion?

- New mode of thinking.
- Powerful programming paradigm.



Many computations are naturally self-referential.

- Binary search, mergesort, FFT, **GCD**.
- Linked data structures.
- A folder contains files and other folders.

Closely related to mathematical induction.



M. C. Escher, 1956

3

Mathematical Induction

Mathematical induction. Prove a statement involving an integer N by

- **base case:** Prove it for some specific N (usually 0 or 1).
- **induction step:** Assume it to be true for all positive integers less than N , use that fact to prove it for N .

Ex. Sum of the first N odd integers is N^2 .

$1 = 1$	
$1 + 3 = 4$	
$1 + 3 + 5 = 9$	
$1 + 3 + 5 + 7 = 16$	
$1 + 3 + 5 + 7 + 9 = 25$	
$\dots \dots$	

Base case: True for $N = 1$.

Induction step:

- Let $T(N)$ be the sum of the first N odd integers: $1 + 3 + 5 + \dots + (2N - 1)$.
- Assume that $T(N-1) = (N-1)^2$.
- $T(N) = T(N-1) + (2N - 1)$
 $= (N-1)^2 + (2N - 1)$
 $= N^2 - 2N + 1 + (2N - 1)$
 $= N^2$

4

Recursive Program

- Recursive Program.** Implement a function having integer arguments by
- **base case:** Do something specific in response to "base" argument values.
 - **reduction step:** Assume the function works for all smaller argument values, and use the function to implement **itself** for general argument values.

```
public static String convert(int x)
{
    if (x == 1) return "1";
    return convert(x/2) + (x % 2);
}
```

automatic cast to
String
(either "0" or "1")

Ex 1. Convert positive int to binary string.

Base case: return "1" for x = 1.

Reduction step:

37 18
"100101" = "10010" + "1"

- convert $x/2$ to binary
- append "0" if x even
- append "1" if x odd

5

Recursive Program

- Recursive Program.** Implement a function having integer arguments by
- **base case:** Implementing it for some specific values of the arguments.
 - **reduction step:** Assume the function works for smaller values of its arguments and use it to implement the function for the given values.

```
public class Binary
{
    public static String convert(int x)
    {
        if (x == 1) return "1";
        return convert(x/2) + (x % 2);
    }

    public static void main(String[] args)
    {
        int x = Integer.parseInt(args[0]);
        System.out.println(convert(x));
    }
}
```

```
% java Binary 6
110
% java Binary 37
100101
% java Binary 999999
11110100001000111111
```

6

x = 6
environment

```
convert(6)
public static String convert(int x)
{
    if (x == 1) return "1";
    return convert(x / 2) + (x % 2);
}
"110"
```

```
public class Binary
{
    public static String convert(int x)
    {
        if (x == 0) return "";
        return convert(x/2) + (x % 2);
    }

    public static void main(String[] args)
    {
        int x = Integer.parseInt(args[0]);
        System.out.println(convert(x));
    }
}
```

"110"

```
% java Binary 6
110
```

Recursion vs. Iteration

Every program with 1 recursive call corresponds to a loop.

```
public static String convert(int x)
{
    if (x == 1) return "1";
    return convert(x/2) + (x % 2);
}
```

```
public static String convertNR(int x)
{
    String s = "1";
    while (x > 1)
    {
        s = (x % 2) + s;
        x = x/2;
    }
    return s;
}
```

Reasons to use recursion:

- code more compact
- easier to understand
- easier to reason about correctness
- easy to add multiple recursive calls (stay tuned)

Reasons not to use recursion: (stay tuned)

21

Greatest Common Divisor

Gcd. Find largest integer that evenly divides into p and q.

Ex. $\text{gcd}(4032, 1272) = 24$.

$$\begin{aligned} 4032 &= 2^6 \times 3^2 \times 7^1 \\ 1272 &= 2^3 \times 3^1 \times 53^1 \\ \text{gcd} &= 2^3 \times 3^1 = 24 \end{aligned}$$

Applications.

- Simplify fractions: $1272/4032 = 53/168$.
- RSA cryptosystem.

22

Greatest Common Divisor

GCD. Find largest integer that evenly divides into p and q.

Euclid's algorithm. [Euclid 300 BCE]

$$\text{gcd}(p, q) = \begin{cases} p & \text{if } q = 0 \\ \text{gcd}(q, p \% q) & \text{otherwise} \end{cases}$$

← base case
← reduction step, converges to base case

$$\begin{aligned} \text{gcd}(4032, 1272) &= \text{gcd}(1272, 216) \\ &= \text{gcd}(216, 192) \\ &= \text{gcd}(192, 24) \\ &= \text{gcd}(24, 0) \\ &= 24. \end{aligned}$$

$4032 = 3 \times 1272 + 216$

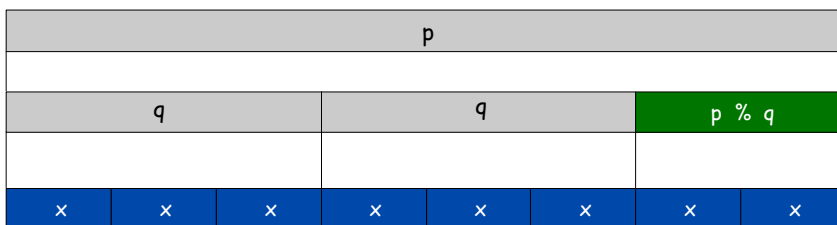
23

Euclid's Algorithm

GCD. Find largest integer d that evenly divides into p and q.

$$\text{gcd}(p, q) = \begin{cases} p & \text{if } q = 0 \\ \text{gcd}(q, p \% q) & \text{otherwise} \end{cases}$$

← base case
← reduction step, converges to base case



↑
gcd

$$\begin{aligned} p &= 8x \\ q &= 3x \end{aligned}$$

$$\text{gcd}(p, q) = \text{gcd}(3x, 2x) = x$$

24

Euclid's Algorithm

GCD. Find largest integer d that evenly divides into p and q.

$$\text{gcd}(p, q) = \begin{cases} p & \text{if } q = 0 \\ \text{gcd}(q, p \% q) & \text{otherwise} \end{cases}$$

← base case
← reduction step, converges to base case

Recursive program

```
public static int gcd(int p, int q)
{
    if (q == 0) return p;
    else return gcd(q, p % q);
}
```

← base case
← reduction step

25

p = 1272, q = 216

environment

gcd(1272, 216)

```

static int gcd(int p, int q)
{
    if (q == 0) return p;
    else return gcd(q, p % q);
}

```

24

```

public class Euclid
{
    public static int gcd(int p, int q)
    {
        if (q == 0) return p;
        else return gcd(q, p % q);
    }

    public static void main(String[] args)
    {
        int p = Integer.parseInt(args[0]);
        int q = Integer.parseInt(args[1]);
        System.out.println(gcd(p, q));
    }
}

```

24

% java Euclid 1272 216
24

Possible debugging challenges with recursion

Missing base case.

```

public static double BAD(int N)
{
    return BAD(N-1) + 1.0/N;
}

```

No convergence guarantee.

```

public static double BAD(int N)
{
    if (N == 1) return 1.0;
    return BAD(1 + N/2) + 1.0/N;
}

```

Both lead to INFINITE RECURSIVE LOOP (bad news).

Try it!

so that you can recognize and deal with it if it later happens to you

Collatz Sequence

Collatz sequence.

- If n is 1, stop.
- If n is even, divide by 2.
- If n is odd, multiply by 3 and add 1.

Ex. 35 106 53 160 80 40 20 10 5 16 8 4 2 1.

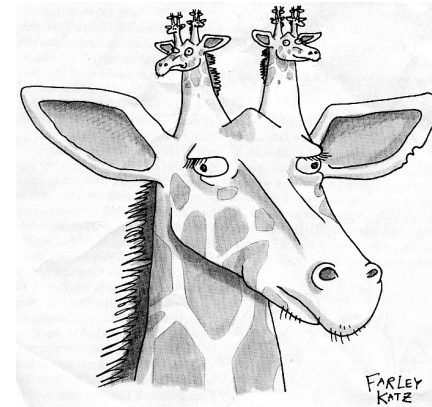
```

public static void collatz(int N)
{
    StdOut.print(N + " ");
    if (N == 1) return;
    if (N % 2 == 0) collatz(N / 2);
    else collatz(3 * N + 1);
}

```

No one knows whether or not this function terminates for all N (!)
[usually we decrease N for all recursive calls]

Recursive Graphics



New Yorker Magazine, August 11, 2008

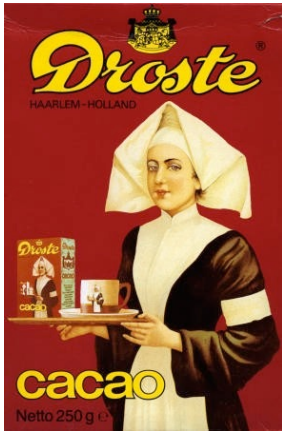
WEEKEND Arts THE ARTS SECTION
THE NEW YORK TIMES PUBLIC OPINION SURVEY

Fruits of Design, Certified Organic
 By [Author Name] [Date]

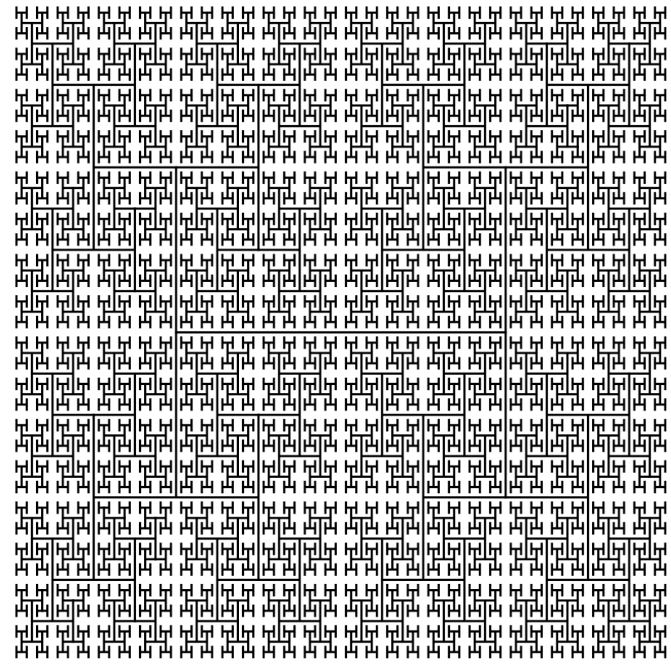
The Gifts to Open Again and Again
 By [Author Name] [Date]

Black, White and Read All Over Over
 By [Author Name] [Date]

Divine and Devotee Meet Across Hinges
 By [Author Name] [Date]



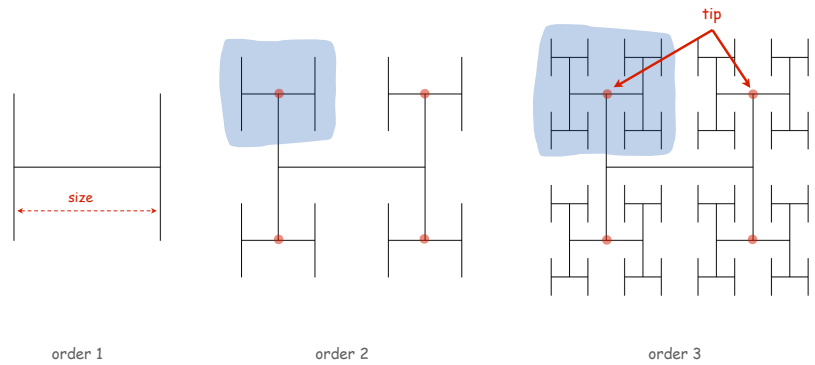
Divine and Devotee Meet Across Hinges
 By [Author Name] [Date]



Htree

H-tree of order n.

- Draw an H.
- Recursively draw 4 H-trees of order n-1, one connected to each tip.



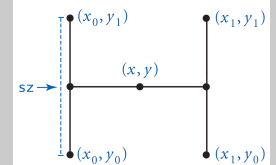
Htree in Java

```
public class Htree
{
    public static void draw(int n, double sz, double x, double y)
    {
        if (n == 0) return;
        double x0 = x - sz/2, x1 = x + sz/2;
        double y0 = y - sz/2, y1 = y + sz/2;

        StdDraw.line(x0, y, x1, y);
        StdDraw.line(x0, y0, x0, y1); ← draw the H, centered on (x, y)
        StdDraw.line(x1, y0, x1, y1);

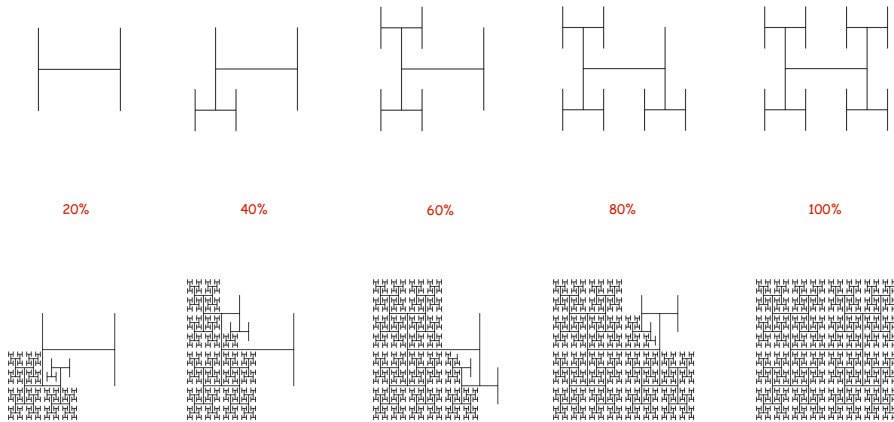
        draw(n-1, sz/2, x0, y0);
        draw(n-1, sz/2, x0, y1);
        draw(n-1, sz/2, x1, y0);
        draw(n-1, sz/2, x1, y1); ← recursively draw 4 half-size Hs
    }

    public static void main(String[] args)
    {
        int n = Integer.parseInt(args[0]);
        draw(n, .5, .5, .5);
    }
}
```



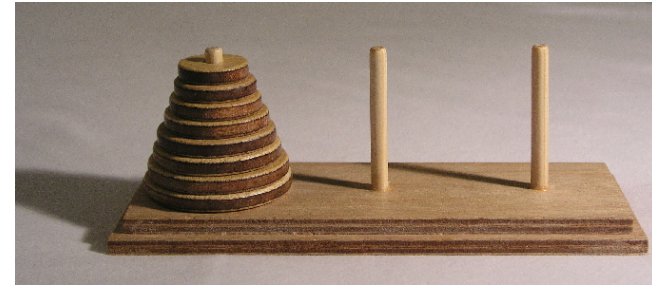
Animated H-tree

Animated H-tree. Pause after drawing each H.



51

Towers of Hanoi

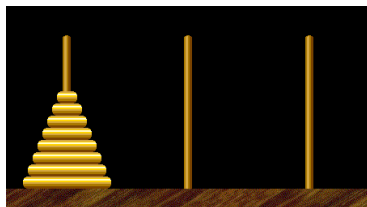


<http://en.wikipedia.org/wiki/Image:Hanoiklein.jpg>

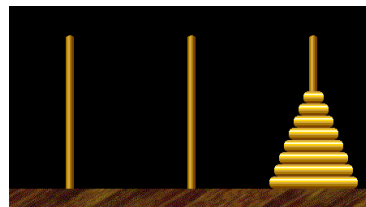
Towers of Hanoi

Move all the discs from the leftmost peg to the rightmost one.

- Only one disc may be moved at a time.
- A disc can be placed either on empty peg or on top of a larger disc.



start



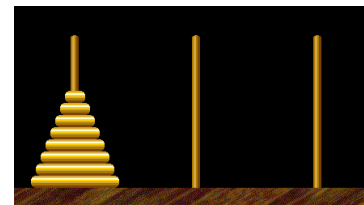
finish



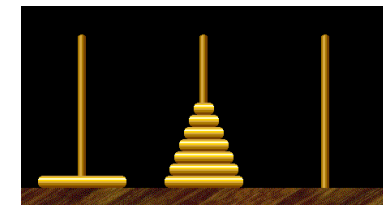
Edouard Lucas (1883)

53

Towers of Hanoi: Recursive Solution

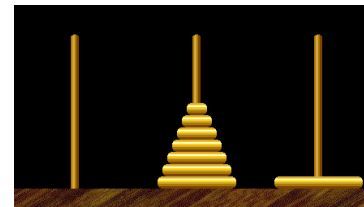


Move n-1 smallest discs right.

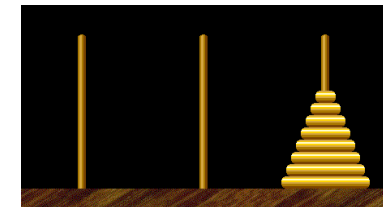


Move largest disc left.

← cyclic wrap-around



Move n-1 smallest discs right.



54

Towers of Hanoi Legend

- Q. Is world going to end (according to legend)?
- 64 golden discs on 3 diamond pegs.
 - World ends when certain group of monks accomplish task.
- Q. Will computer algorithms help?

55

Towers of Hanoi: Recursive Solution

```
public class TowersOfHanoi
{
    public static void moves(int n, boolean left)
    {
        if (n == 0) return;
        moves(n-1, !left);
        if (left) System.out.println(n + " left");
        else     System.out.println(n + " right");
        moves(n-1, !left);
    }

    public static void main(String[] args)
    {
        int N = Integer.parseInt(args[0]);
        moves(N, true);
    }
}
```

moves(n, true) : move discs 1 to n one pole to the left
 moves(n, false): move discs 1 to n one pole to the right

← smallest disc

56

Towers of Hanoi: Recursive Solution

```
% java TowersOfHanoi 3
1 left
2 right
1 left
3 left
1 left
2 right
1 left
```

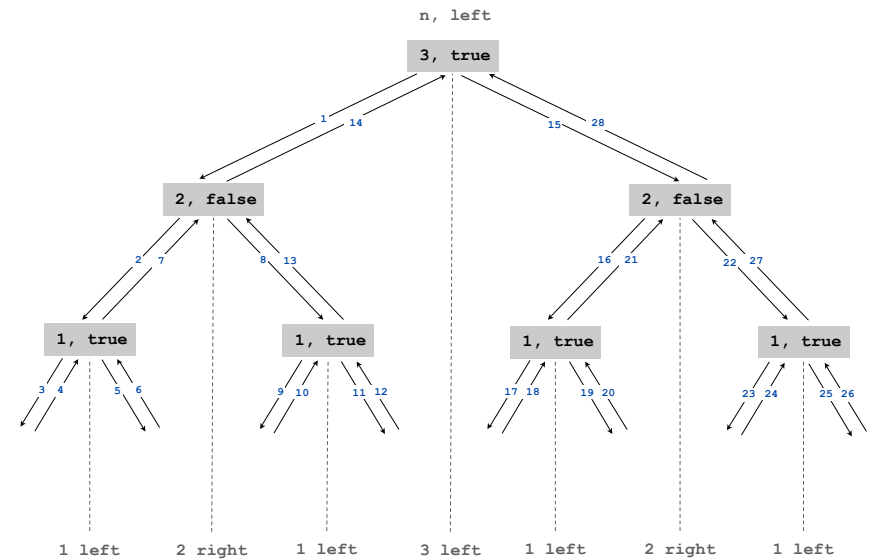
```
% java TowersOfHanoi 4
1 right
2 left
1 right
3 right
1 right
2 left
2 right
4 left
1 right
1 right
2 left
1 right
3 right
1 right
2 left
1 right
```

every other move is smallest disc

↑
subdivisions
of
ruler

57

Towers of Hanoi: Recursion Tree



58

Towers of Hanoi: Properties of Solution

Remarkable properties of recursive solution.

- Takes $2^n - 1$ moves to solve n disc problem.
- Sequence of discs is same as subdivisions of ruler.
- Every other move involves smallest disc.

Recursive algorithm yields non-recursive solution!

- Alternate between two moves:
 - move smallest disc to right if n is even
 - make only legal move not involving smallest disc
- ← to left if n is odd

Recursive algorithm may reveal fate of world.

- Takes 585 billion years for $n = 64$ (at rate of 1 disc per second).
- Reassuring fact: any solution takes at least this long!

59

Divide-and-Conquer

Divide-and-conquer paradigm.

- Break up problem into smaller subproblems of same structure.
- Solve subproblems recursively using same method.
- Combine results to produce solution to original problem.

Many important problems succumb to divide-and-conquer.

- Midpoint displacement method for fractional Brownian motion.
- FFT for signal processing.
- Parsers for programming languages.
- Multigrid methods for solving PDEs.
- Quicksort and mergesort for sorting.
- Hilbert curve for domain decomposition.
- Quad-tree for efficient N-body simulation.

Divide et impera. Veni, vidi, vici. - Julius Caesar

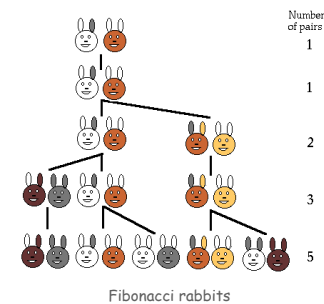
60

Fibonacci Numbers

Fibonacci Numbers

Fibonacci numbers. 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, ...

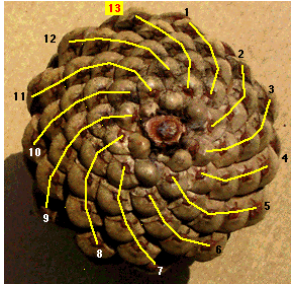
$$F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{otherwise} \end{cases}$$



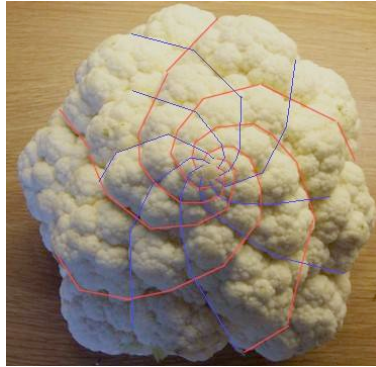
L. P. Fibonacci
(1170 - 1250)

63

Fibonacci Numbers



pinecone



cauliflower

see much, much more at www.youtube.com/user/Vihart

64

A Possible Pitfall With Recursion

Fibonacci numbers. 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, ...

$$F_n = \begin{cases} 0 & \text{if } n=0 \\ 1 & \text{if } n=1 \\ F_{n-1} + F_{n-2} & \text{otherwise} \end{cases}$$

FYI (classical math):

$$F(n) = \frac{\phi^n - (1-\phi)^n}{\sqrt{5}} = \left\lfloor \frac{\phi^n}{\sqrt{5}} \right\rfloor$$

ϕ = golden ratio ≈ 1.618

Ex: $F(50) \approx 1.2 \times 10^{10}$

A natural for recursion?

```
public static long F(int n)
{
    if (n == 0) return 0;
    if (n == 1) return 1;
    return F(n-1) + F(n-2);
}
```

65

Recursion Challenge 1 (difficult but important)

Is this an efficient way to compute $F(50)$?

```
public static long F(int n)
{
    if (n == 0) return 0;
    if (n == 1) return 1;
    return F(n-1) + F(n-2);
}
```

66

Recursion Challenge 2 (easy and also important)

Is this an efficient way to compute $F(50)$?

```
long[] F = new long[51];
F[0] = 0; F[1] = 1;
if (n == 1) return 1;
for (int i = 2; i <= 50; i++)
    F[i] = F[i-1] + F[i-2];
```

68

Summary

How to write simple recursive programs?

- Base case, reduction step.
- Trace the execution of a recursive program.
- Use pictures.

Why learn recursion?

- New mode of thinking.
- Powerful programming tool.

Divide-and-conquer. Elegant solution to many important problems.

Exponential time.

- Easy to specify recursive program that takes exponential time.
- Don't do it unless you plan to (and are working on a small problem).