

## Reductions

How do we show that a problem  
is easy?

Reduce each instance to one (or more)  
instances of a known easy problem.

$$I_1 \in P_1 \quad R(I_1) = I_2 \in P_2$$

To solve  $I_1$  in  $P_1$ :

1. Apply  $R$  to turn  $I_1$  into  $I_2 \in P_2$
2. Apply algorithm for  $P_2$  to  $I_2$ .

Cost = cost of applying  $R$  plus  
cost of applying  $P_2$  algorithm.

## Examples

Reduction to some form of matrix multiplication:

Transitive closure

Context-free language recognition

Reduction to linear programming

Reduction to network flow

etc.

Cost of reduction?

Linear time, quadratic time, ...

Positive use of reduction:

?  $\Rightarrow$  easy

Reduce a problem of unknown complexity  
to an easy problem

"Negative" use of reduction:

How do we show that a problem of  
unknown complexity is hard?

Reduce a hard problem to it

hard  $\Rightarrow$  ?

If the questionable problem were easy, so would be  
the hard problem XX

Reductions in both directions show computational equivalence (up to the cost of the reduction)

$$P_1 \Leftrightarrow P_2$$

both are easy or both are hard

Transitivity of reductions

$$\begin{matrix} P_1 & \Rightarrow & P_2 & \Rightarrow & P_3 \\ R_1 & & R_2 & & \end{matrix}$$

Gives a reduction from  $P_1$  to  $P_3$

Cost is cost of  $R_2(R_1(\cdot))$

both p-time, overall p-time  
linear linear

$$R_1 \quad R_2$$

$$n \quad a_n \quad b_n$$

$$a_n \quad (ab)_n$$

$$n \quad a_n^2 \quad b_n^2$$

$$a_n^2 \quad b(a^n)^2 = b a^{2n}$$

$$a_n^k \quad b_n^l$$

$$b(a^n)^l = b a^{ln} \underset{\approx}{\approx}$$

Satisfiability: Is a Boolean (logical) function  
true for some choice of variable assignments?

$$(x \vee y) \wedge (\bar{x} \vee \bar{y}) \quad \text{sat: } x=1, y=0$$

$\wedge$  and

$\vee$  or

$\bar{\phantom{x}}$  not

$x$  variable

$x, \bar{x}$  literal

$(x \vee \bar{y} \vee z)$  clause: disjunction ("or") of literals

$(x \vee y \vee z) \wedge (\bar{x} \vee \bar{y} \vee z)$  conjunctive normal form:

conjunct ("and") of clauses

$$x \vee \bar{x}$$

tautology: true for all choices  
of variables

$F$  is sat iff  $\bar{F}$  is not a tautology:  
can be falsified

Reduction of CNF sat to 3-CNF sat  
(at most 3 literals/clause)

$$\begin{aligned}(x \vee y \vee z \vee w \vee u \vee v) &\Rightarrow \\(x \vee y \vee a) \wedge (\bar{a} \vee z \vee b) \wedge (\bar{b} \vee w \vee c) \\&\wedge (\bar{c} \vee u \vee v)\end{aligned}$$

Needs  $k-3$  extra vars per clause of length  $k$ .

Graph coloring reducible to sat,

and vice-versa (p-time reductions)

Must phrase graph coloring as a yes-no question: can graph  $G$  be colored with  $k$  colors?

$G$ :  $n$  vertices,  $m$  edges

$F$ :  $nk$  variables  $x_{ij}$ , one per vertex per color

$x_{ij}$  true iff vertex  $i$  colored color  $j$

Classes:

Each vertex colored

$$(x_{i1} \vee x_{i2} \vee \dots \vee x_{ik}) \quad i \in V \quad n$$

No vertex colored twice

$$(\bar{x}_{ij} \vee \bar{x}_{il}) \quad i \in V, j \neq l \text{ colors} \quad n \binom{k}{2}$$

No adjacent vertices the same color

$$(\bar{x}_{il} \vee \bar{x}_{jl}) \quad (i, j) \in E, l \text{ a color} \quad nk$$

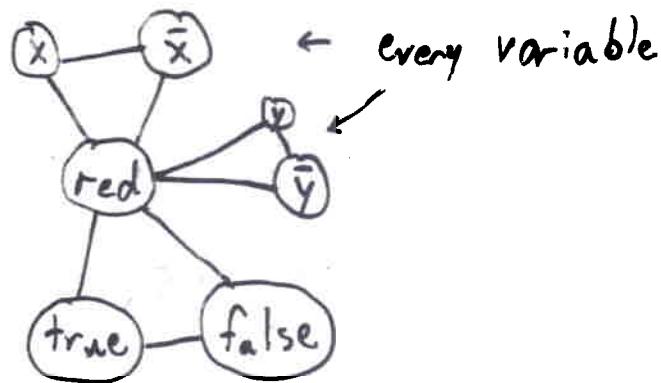
$$\# \text{ literals} = nk + 2n \binom{k}{2} + 2mk$$

Vice-versa: (3-sat)

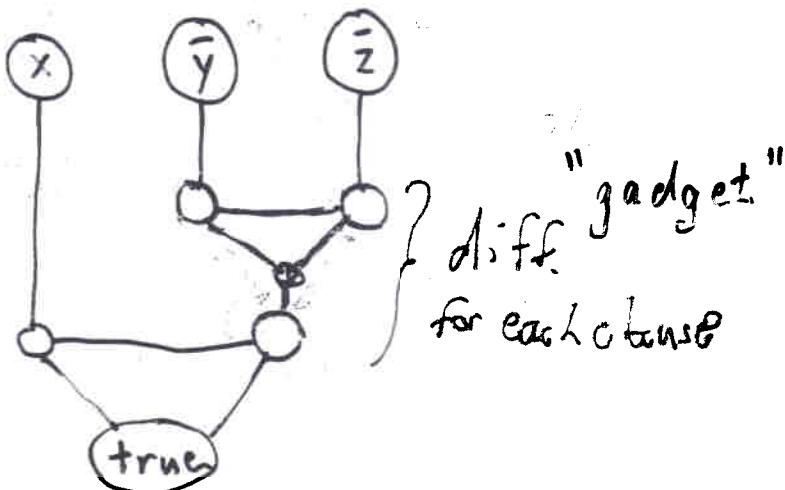
Reduction to 3-coloring

Vertices:  $x, \bar{x}$ , true, false, red, 5 per clause

$x, \bar{x}$  colored  
true, false



Clause  $x \vee \bar{y} \vee \bar{z}$



Colorable iff formula satisfiable

Clique  $\Rightarrow$  Sat

$$x_{ij} \quad i \in V, 1 \leq j \leq k$$

$x_{ij}$  true = vertex  $i$  is  $j^{th}$  in clique

$i, l \in V$  not adj.

$$(x_{ij} \vee \bar{x}_{lj}) \quad \forall j, j'$$

$$(x_{ij} \vee \bar{x}_{i'j}) \quad i \neq i' \quad \forall j$$

$$(x_{1j} \vee x_{2j} \vee \dots \vee x_{kj}) \quad \forall j$$

Sat  $\Rightarrow$  Clique

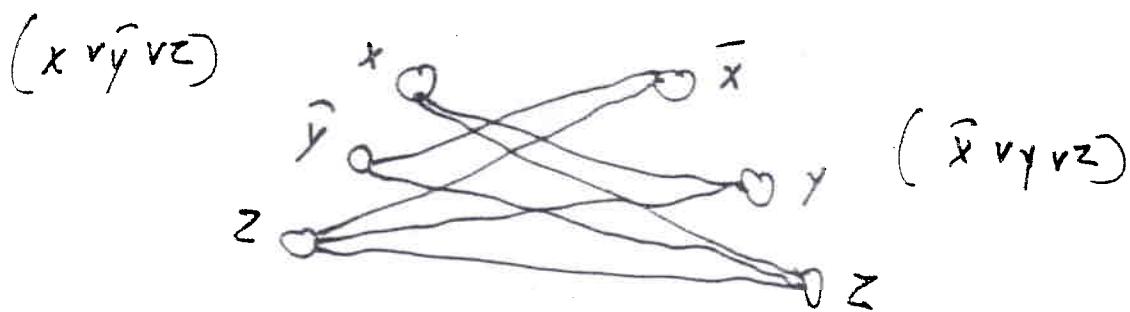
Given a graph, are there  $k$  pairwise adjacent vertices?

One vertex per literal occurrence

two vertices in different clauses joined by

an edge if compatible ( $\text{not } x, \bar{x}$ )

$k = \# \text{ clauses}$



$$\begin{array}{c} \circ \\ + \\ \circ \\ \circ \\ \circ \\ \text{( } x \vee y \vee \bar{w} \vee \bar{v} \text{)} \end{array}$$

Clique  $\Leftrightarrow$  Independent set

Are there  $k$  pairwise nonadjacent vertices?

Complement graph

Clique  $\Leftrightarrow$  Vertex cover

Are there  $k$  vertices "covering" all edges

$S$  a vertex cover in  $G$  iff

$V-S$  is an independent set in  $G$  iff

$V-S$  is a clique in  $\bar{G}$

$P$  = problems solvable in p-time

$NP$  = yes-no problems s.t. if answer is  
"yes", can be verified in p-time  
given a ( $p$ -length) "proof" (hint).

p-time on a Turing machine

or random-access machine

