Princeton University

Computer Science 217: Introduction to Programming Systems



Dynamic Memory Management

Agenda



The need for DMM

- DMM using the heap section
- **DMMgr 1: Minimal implementation**
- **DMMgr 2: Pad implementation**
- Fragmentation
- **DMMgr 3: List implementation**
- DMMgr 4: Doubly-linked list implementation
- **DMMgr 5: Bins implementation**
- DMM using virtual memory
- **DMMgr 6: VM implementation**

Why Allocate Memory Dynamically?



Why allocate memory dynamically?

Problem

- Unknown object size
 - E.g. unknown element count in array
 - E.g. unknown node count in linked list or tree
- How much memory to allocate?

Solution 1

• Guess (i.e., fixed size buffers. i.e., problems!)

Solution 2

Allocate memory dynamically

Why Free Memory Dynamically?



Why free memory dynamically?

Problem

- Program should use little memory, i.e.
- Program should **map** few pages of virtual memory
 - Mapping unnecessary VM pages bloats page tables, wastes memory/disk space

Solution

• Free dynamically allocated memory that is no longer needed

Option A: Automatic Freeing



Run-time system frees unneeded memory

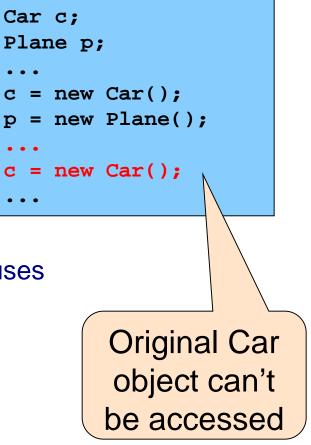
- Java, Python, ...
- Garbage collection

Pros:

• Easy for programmer

Cons:

- Performed constantly => overhead
- Performed periodically => unexpected pauses



Option B: Manual Freeing



Programmer frees unneeded memory

• C, C++, Objective-C, ...

Pros

- Less overhead
- No unexpected pauses

Cons

- More complex for programmer
- Opens possibility of memory-related bugs
 - Dereferences of dangling pointers, double frees, memory leaks

Option A vs. Option B



Implications...

If you can, use an automatic-freeing language

Such as Java or Python

If you must, use a manual-freeing language

- Such as C or C++
- For OS kernels, device drivers, garbage collectors, dynamic memory managers, real-time applications, ...

We'll focus on manual freeing

Standard C DMM Functions



Standard C DMM functions:

void *malloc(size_t size); void free(void *ptr); void *calloc(size_t nmemb, size_t size); void *realloc(void *ptr, size_t size);

Collectively define a dynamic memory manager (DMMgr)

We'll focus on malloc() and free()

Goals for DMM



Goals for effective DMM:

- Time efficiency
 - Allocating and freeing memory should be fast
- Space efficiency
 - Pgm should use little memory

Note

- Easy to reduce time or space
- Hard to reduce time and space

Implementing malloc() and free()



Question:

- How to implement malloc() and free()?
- How to implement a DMMgr?

Answer 1:

Use the heap section of memory

Answer 2:

• (Later in this lecture)

Agenda



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DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

The Heap Section of Memory Low High memory memory **Program break** Heap start Supported by Unix/Linux, MS Windows, ... Heap start is stable Program break points to end At process start-up, heap start == program break Can grow dynamically By moving program break to higher address Thereby (indirectly) mapping pages of virtual mem Can shrink dynamically By moving program break to lower address Thereby (indirectly) unmapping pages of virtual mem 14

Unix Heap Management



Unix system-level functions for heap mgmt:

int brk(void *p);

- Move the program break to address p
- Return 0 if successful and -1 otherwise

void *sbrk(intptr_t n);

- Increment the program break by n bytes
- If n is 0, then return the current location of the program break
- Return 0 if successful and (void*)(-1) otherwise
- Beware: should call only with argument 0 buggy implementation in the case of overflow

Note: minimal interface (good!)

Agenda



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DMMgr 2: Pad implementation

Fragmentation

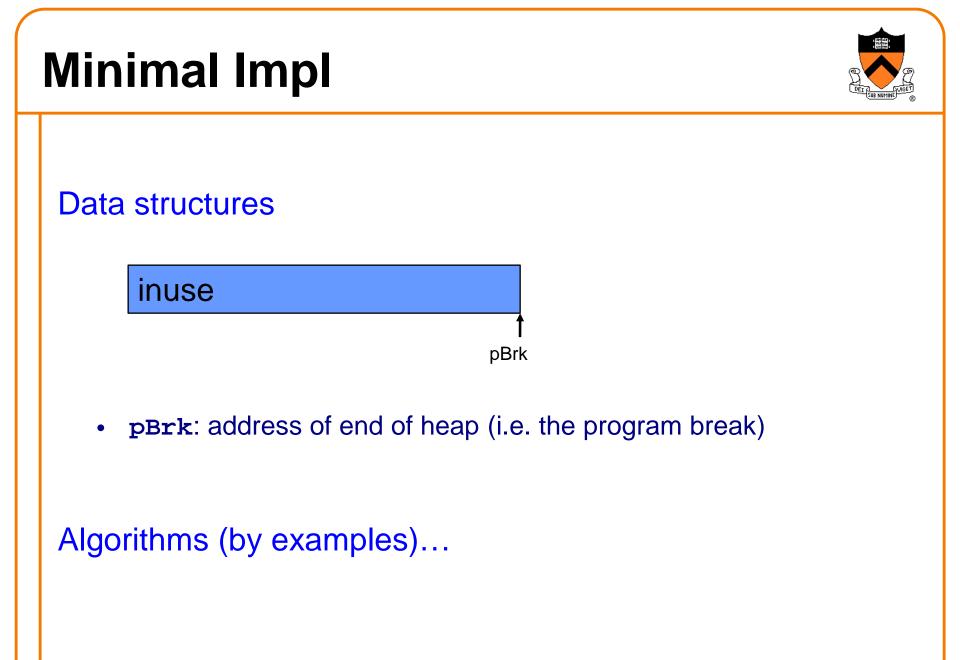
DMMgr 3: List implementation

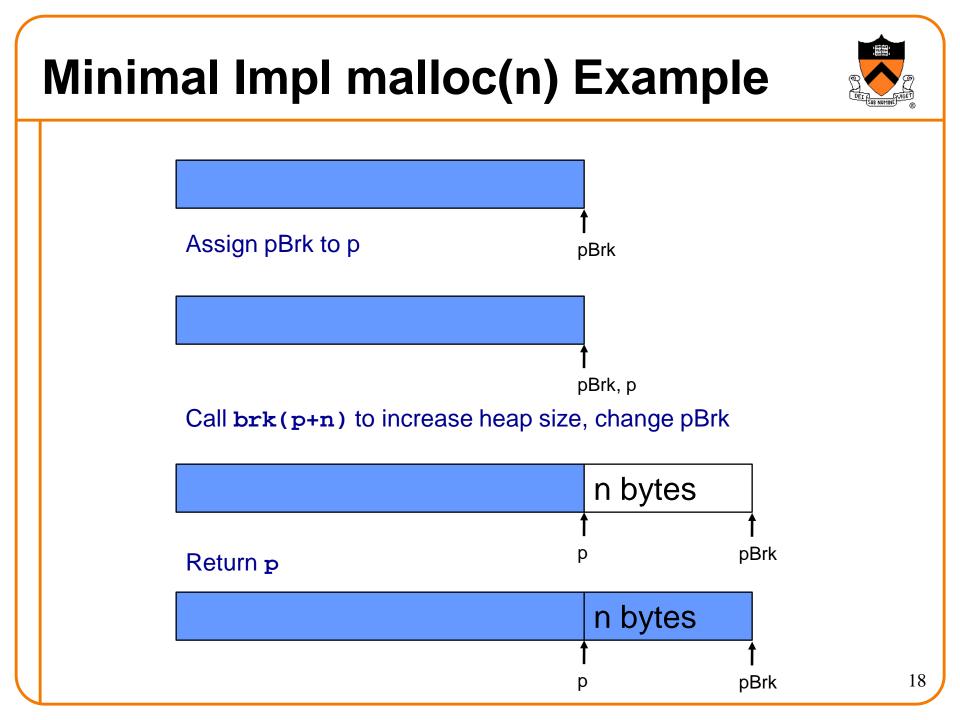
DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

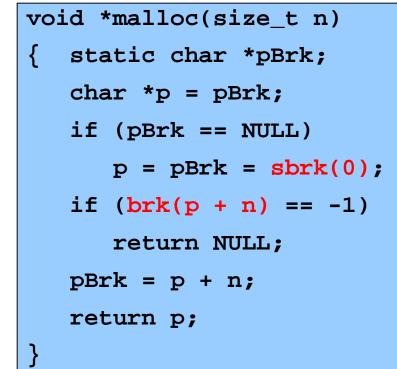
DMMgr 6: VM implementation





Minimal Impl free(p) Example

```
Minimal Impl
```



```
void free(void *p)
{
}
```



Minimal Impl Performance

PLET FOR NUTINE

Performance (general case)

- Time: bad
 - One system call per malloc()
- Space: bad
 - Each call of malloc() extends heap size
 - No reuse of freed chunks

What's Wrong?



Problem

• malloc() executes a system call each time

Solution

- Redesign malloc() so it does fewer system calls
- Maintain a pad at the end of the heap...

Agenda



The need for DMM

DMM using the heap section

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DMMgr 2: Pad implementation

Fragmentation

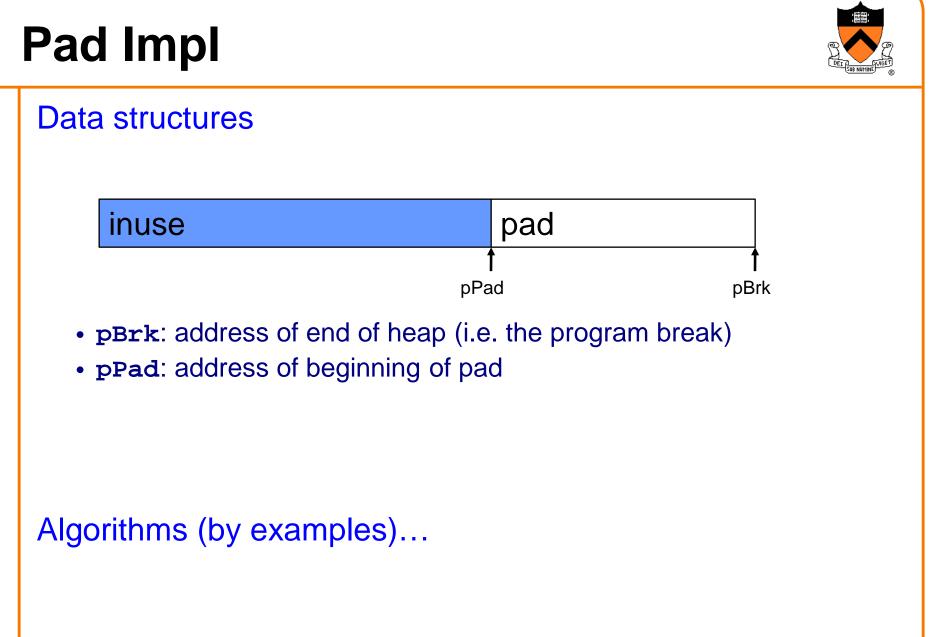
DMMgr 3: List implementation

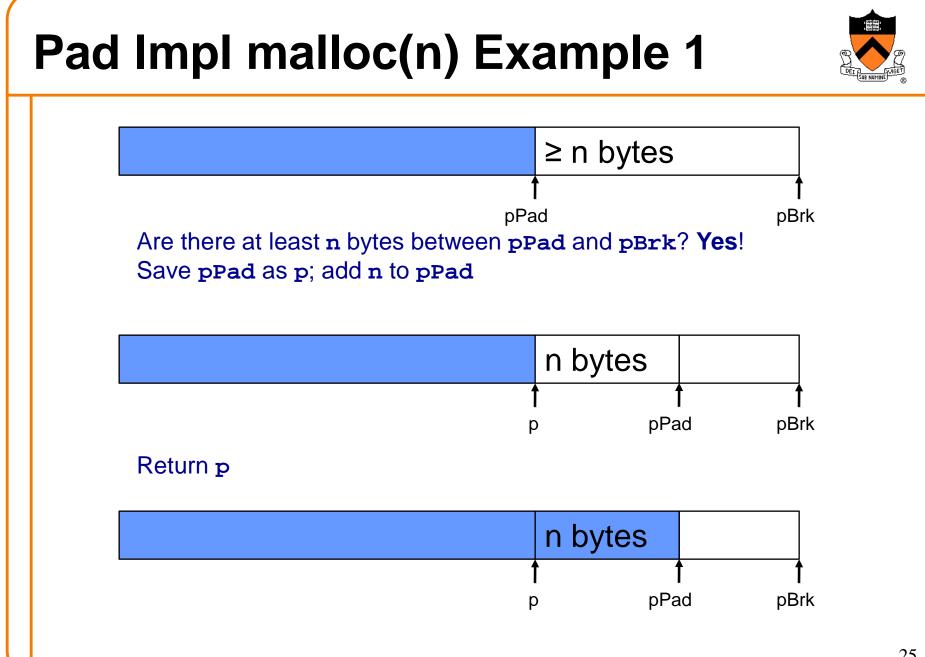
DMMgr 4: Doubly-linked list implementation

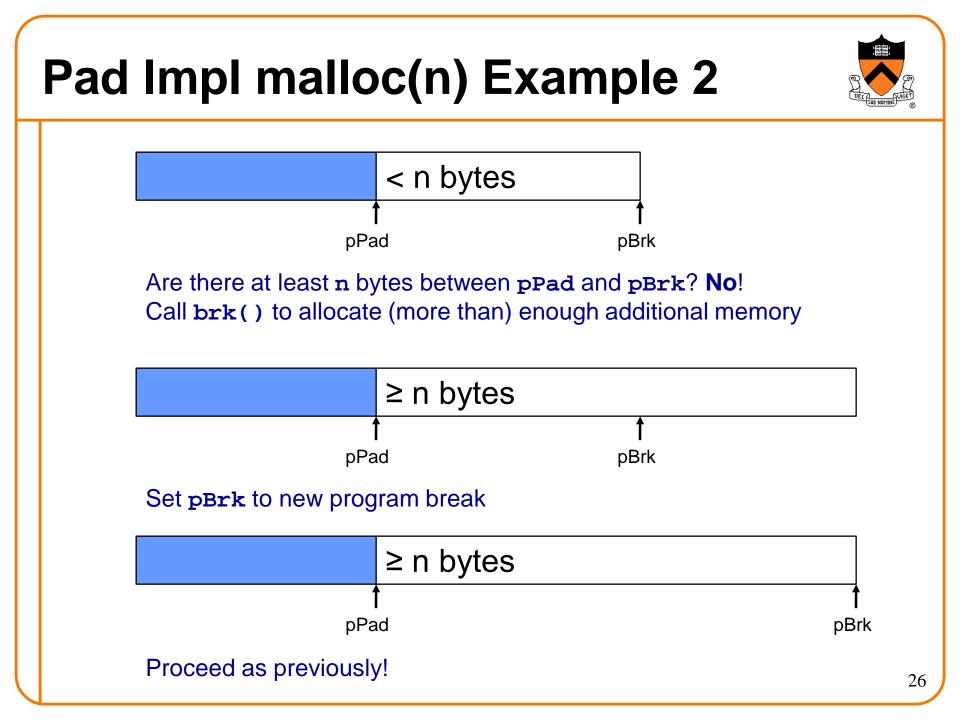
DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation







Pad Impl free(p) Example

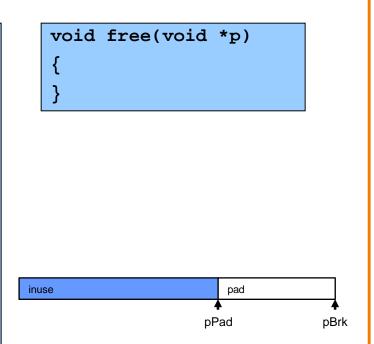


Do nothing!

Pad Impl



```
void *malloc(size t n)
{ enum {MIN_ALLOC = 8192};
   static char *pPad = NULL;
   static char *pBrk = NULL;
   char *p;
   if (pBrk == NULL)
      pPad = pBrk = sbrk(0);
   if (pPad + n > pBrk) /* move pBrk */
     char *pNewBrk =
         max(pPad + n, pBrk + MIN_ALLOC);
      if (brk(pNewBrk) == -1) return NULL;
      pBrk = pNewBrk;
   p = pPad;
   pPad += n;
   return p;
}
```



Pad Impl Performance



Performance (general case)

- Time: good
 - malloc() calls sbrk() initially
 - malloc() calls brk() infrequently thereafter
- Space: bad
 - No reuse of freed chunks

What's Wrong?



Problem

malloc() doesn't reuse freed chunks

Solution

- free() marks freed chunks as "free"
- malloc() uses marked chunks whenever possible
- malloc() extends size of heap only when necessary

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Fragmentation



At any given time, some heap memory chunks are in use, some are marked "free"

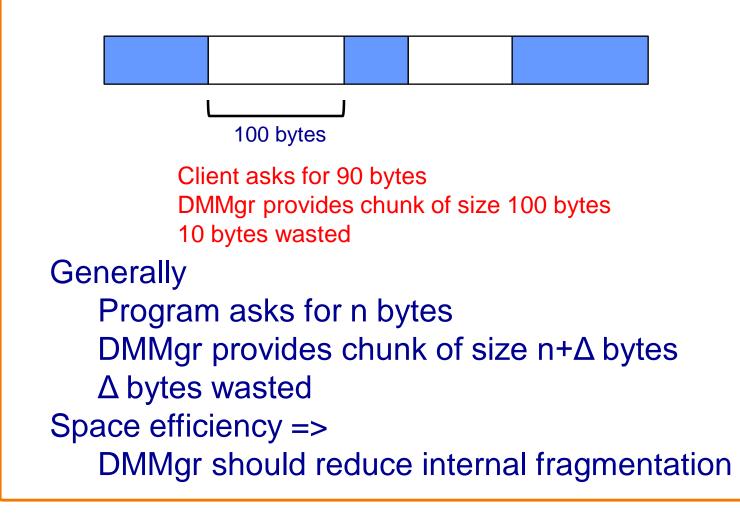


DMMgr must be concerned about fragmentation...

Internal Fragmentation



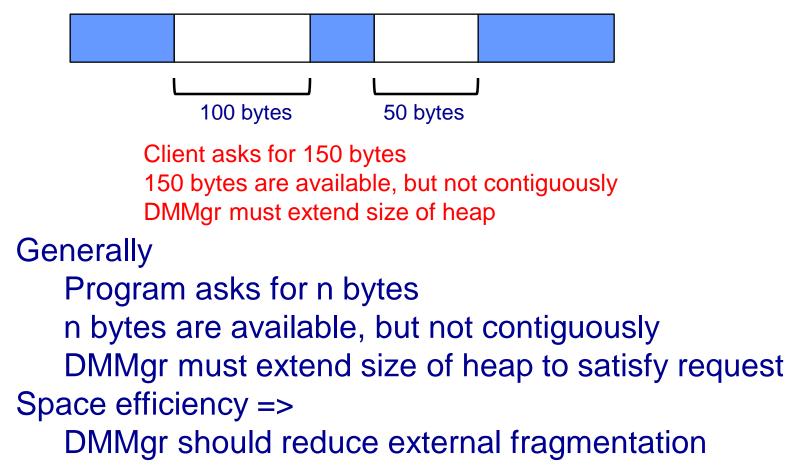
Internal fragmentation: waste within chunks



External Fragmentation

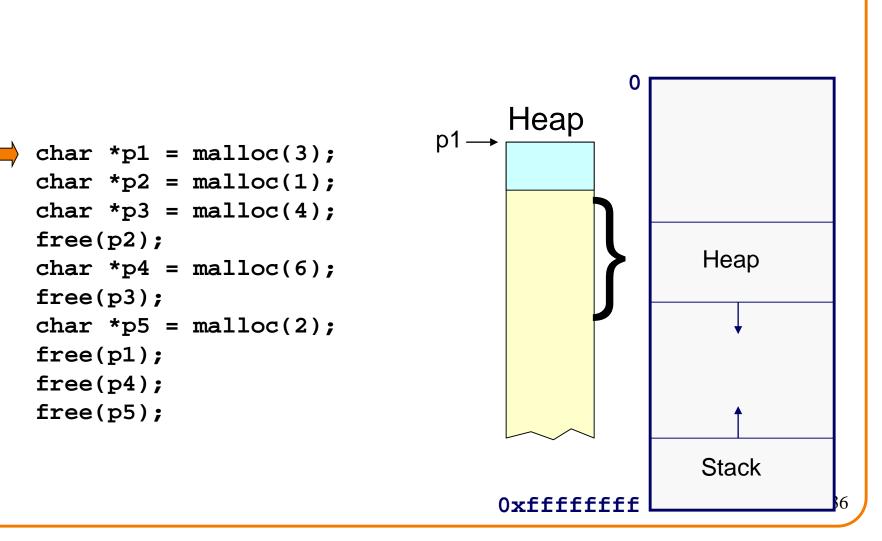


External fragmentation: waste because of non-contiguous chunks

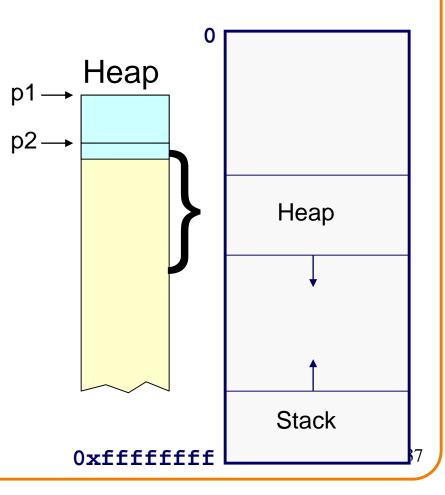




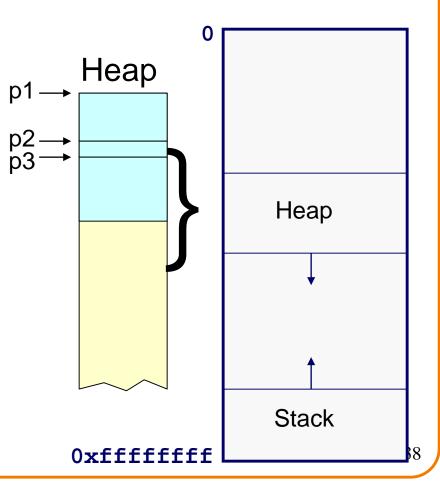
<pre>char *p1 = malloc(3);</pre>
<pre>char *p2 = malloc(1);</pre>
char $*p3 = malloc(4);$
<pre>free(p2);</pre>
char $*p4 = malloc(6);$
<pre>free(p3);</pre>
char $*p5 = malloc(2);$
<pre>free(p1);</pre>
<pre>free(p4);</pre>
<pre>free(p5);</pre>



```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
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free(p1);
free(p4);
free(p5);
```



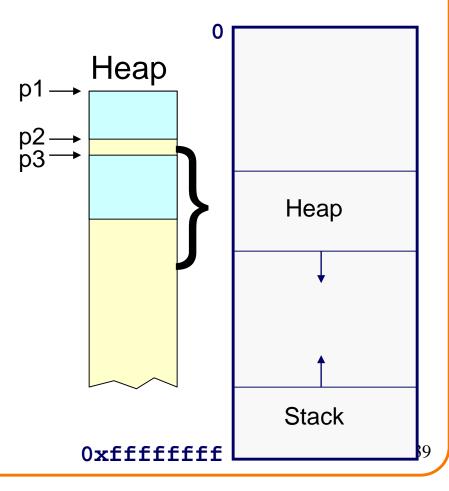
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char *p3 = malloc(4);
free(p2);
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free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



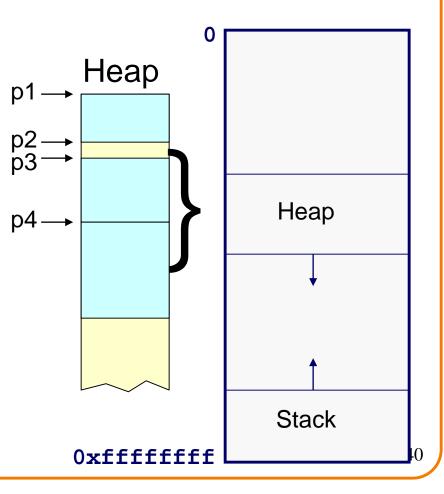


External fragmentation occurred

```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



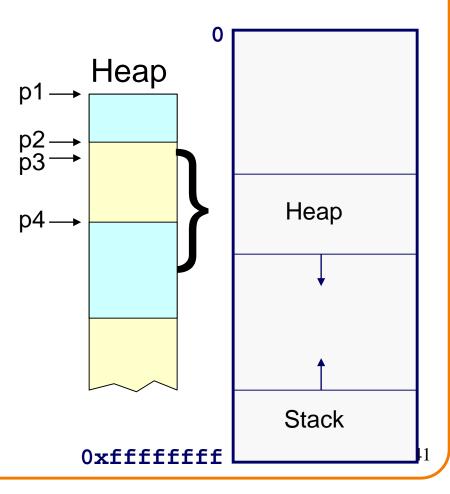
```
char *p1 = malloc(3);
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char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```





DMMgr coalesced two free chunks

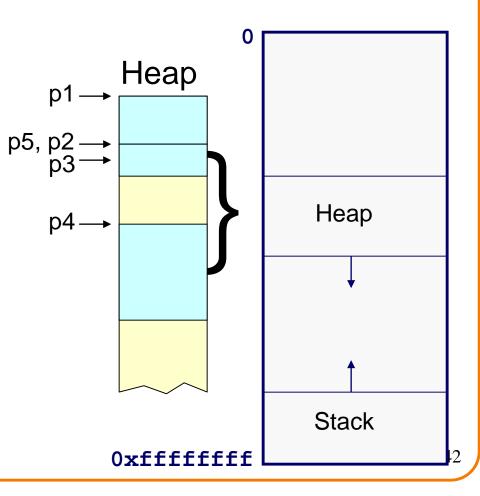
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char *p1 = malloc(3);
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char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```

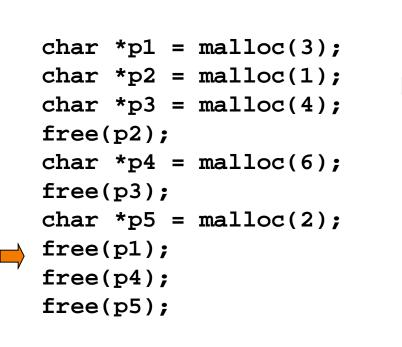


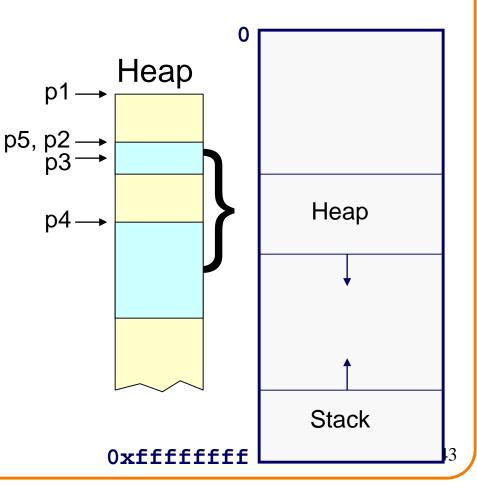


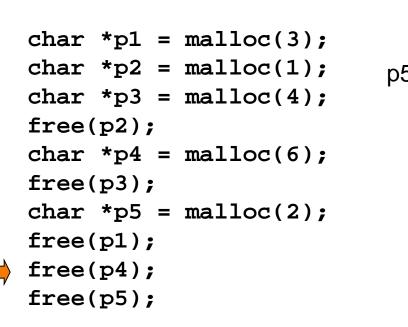
DMMgr reused previously freed chunk

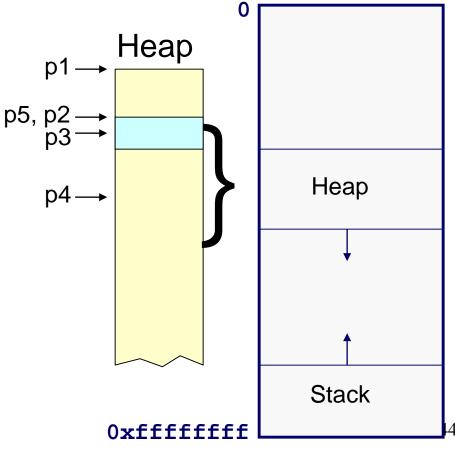
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char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



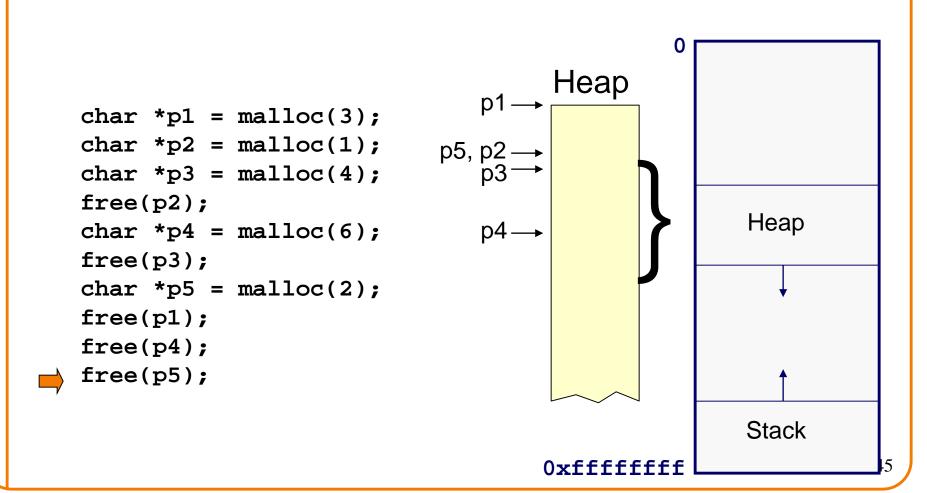














DMMgr cannot:

- Reorder requests
 - Client may allocate & free in arbitrary order
 - Any allocation may request arbitrary number of bytes
- Move memory chunks to improve performance
 - Client stores addresses
 - Moving a memory chunk would invalidate client pointer!

Some external fragmentation is unavoidable

Agenda



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Fragmentation

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DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

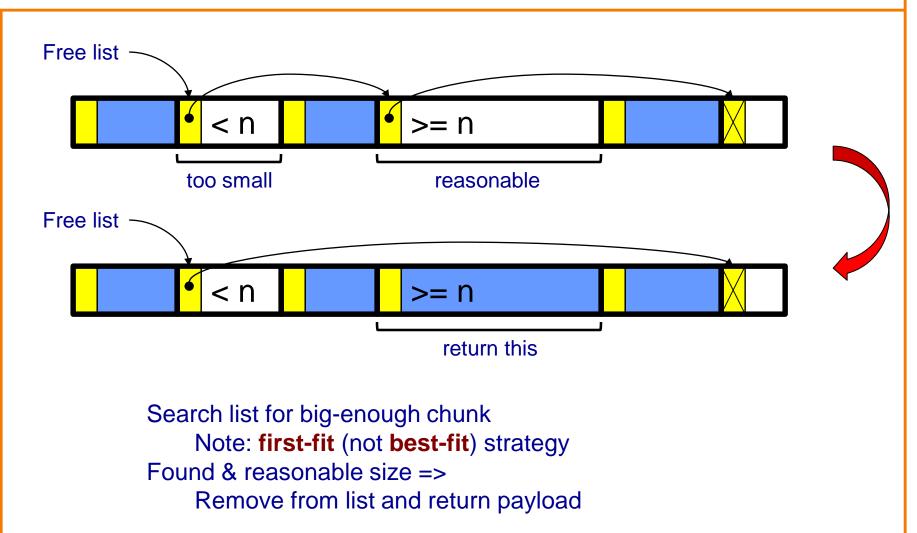
List Impl



Data structures Free list Next chunk in free list size header payload chunk Free list contains all free chunks In order by mem addr Each chunk contains header & payload **Payload** is used by client **Header** contains chunk size & (if free) addr of next chunk in free list

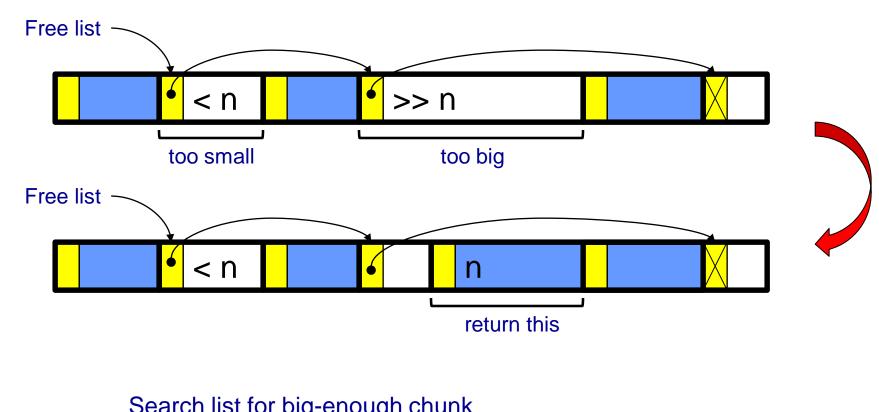
Algorithms (by examples)...

List Impl: malloc(n) Example 1



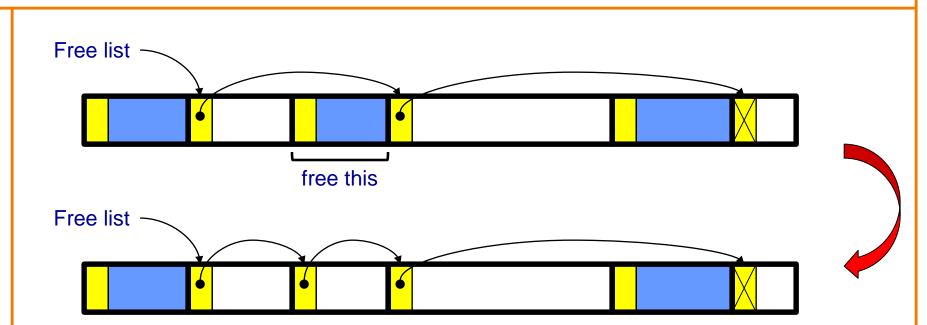


List Impl: malloc(n) Example 2



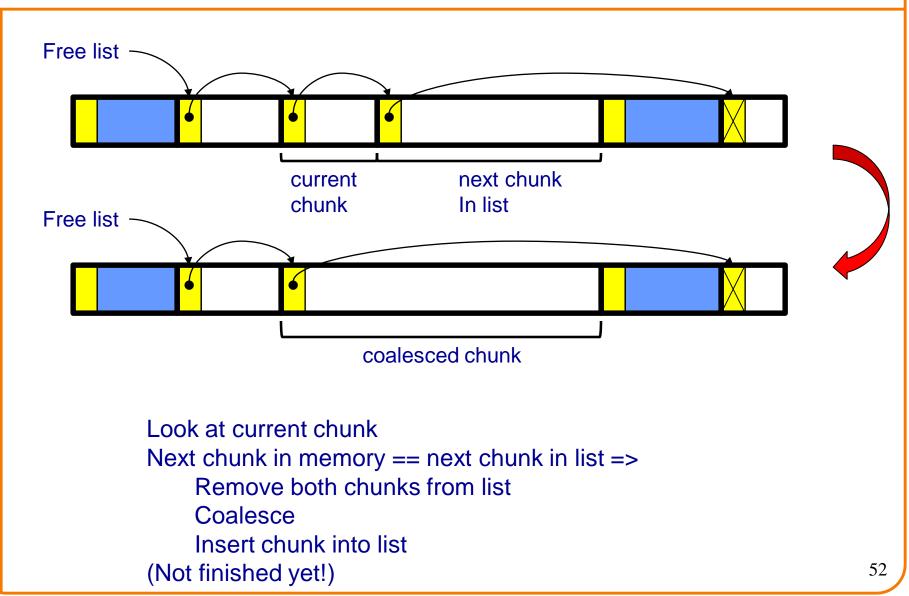
Search list for big-enough chunk Found & too big => Split chunk, return payload of tail end Note: Need not change links

List Impl: free(p) Example

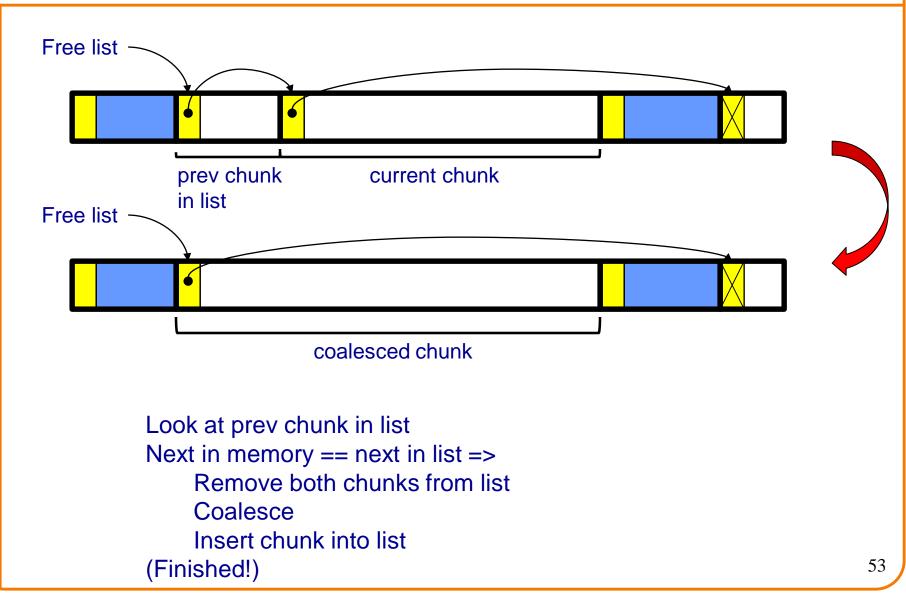


Search list for proper insertion spot Insert chunk into list (Not finished yet!)

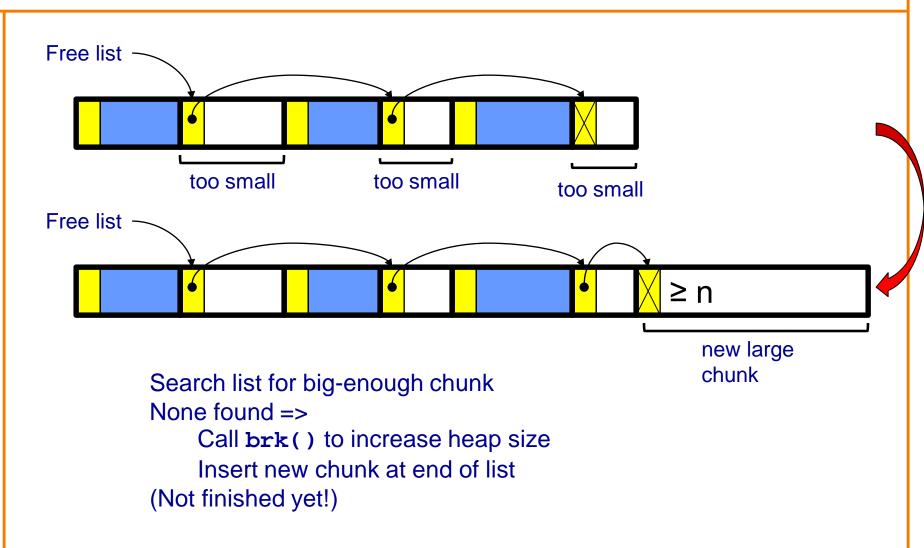
List Impl: free(p) Example (cont.)



List Impl: free(p) Example (cont.)

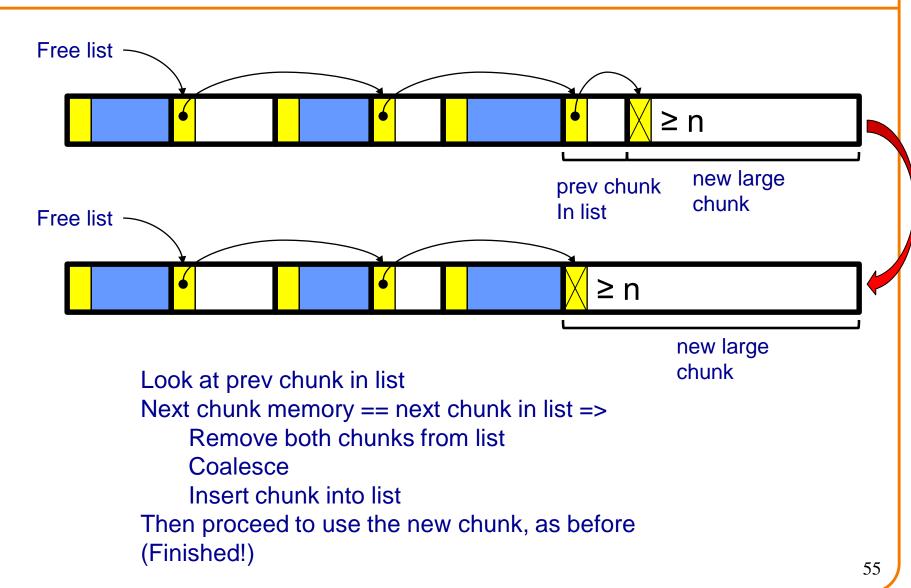


List Impl: malloc(n) Example 3



List Impl: malloc(n) Example 3 (cont.)





List Impl



Algorithms (see precepts for more precision)

malloc(n)

- Search free list for big-enough chunk
- Chunk found & reasonable size => remove, use
- Chunk found & too big => split, use tail end
- Chunk not found => increase heap size, create new chunk
- New chunk reasonable size => remove, use
- New chunk too big => split, use tail end

free(p)

- Search free list for proper insertion spot
- Insert chunk into free list
- Next chunk in memory also free => remove both, coalesce, insert
- Prev chunk in memory free => remove both, coalesce, insert

List Impl Performance



Space

- Some internal & external fragmentation is unavoidable
- Headers are overhead
- Overall: good

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- But often acceptable

Time: free()

• ???

iClicker Question coming up . . .

iClicker Question

Q: How fast is **free()** in the List implementation?

- A. O(1), always with a small constant
- B. O(1), usually but not always with a small constant
- C. O(1), often with a large constant
- D. Even worse than that...

List Impl Performance



Space

- Some internal & external fragmentation is unavoidable
- Headers are overhead
- Overall: good

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- But often acceptable

Time: free()

- Must search free list for insertion spot
- Bad: O(n)
- Often very bad

What's Wrong?



Problem

• free() must traverse (long) free list, so can be (very) slow

Solution

• Use a doubly linked list...

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Fragmentation

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DMMgr 4: Doubly-linked list implementation

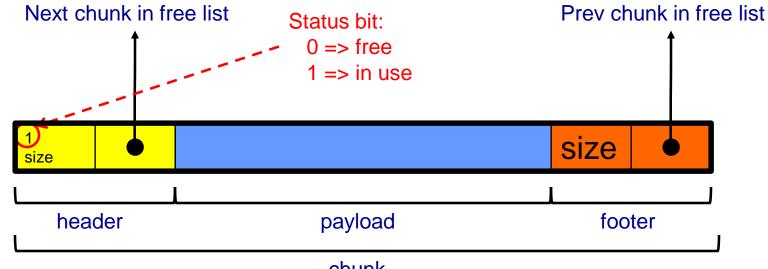
DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation



Data structures



chunk

Free list is doubly-linked

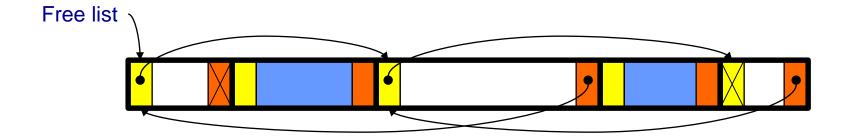
Each chunk contains header, payload, footer

Payload is used by client

Header contains status bit, chunk size, & (if free) addr of next chunk in list Footer contains redundant chunk size & (if free) addr of prev chunk in list Free list is unordered



Typical heap during program execution:





Algorithms (see precepts for more precision)

malloc(n)

- Search free list for big-enough chunk
- Chunk found & reasonable size => remove, set status, use
- Chunk found & too big => remove, split, insert tail, set status, use front
- Chunk not found => increase heap size, create new chunk, insert
- New chunk reasonable size => remove, set status, use
- New chunk too big => remove, split, insert tail, set status, use front



Algorithms (see precepts for more precision)

- free(p)
 - Set status
 - Search free list for proper insertion spot
 - Insert chunk into free list
 - Next chunk in memory also free => remove both, coalesce, insert
 - Prev chunk in memory free => remove both, coalesce, insert



Consider sub-algorithms of free()...

Insert chunk into free list

- Linked list version: slow
 - Traverse list to find proper spot
- Doubly-linked list version: fast
 - Insert at front!

Remove chunk from free list

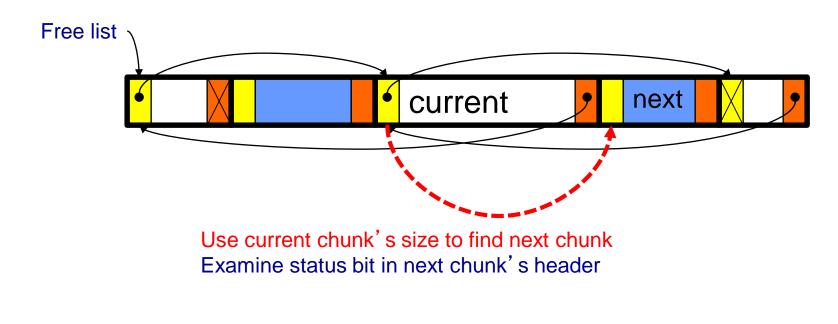
- Linked list version: slow
 - Traverse list to find prev chunk in list
- Doubly-linked list version: fast
 - Use backward pointer of current chunk to find prev chunk in list



Consider sub-algorithms of free()...

Determine if next chunk in memory is free

- Linked list version: slow
 - Traverse free list to see if next chunk in memory is in list
- Doubly-linked list version: fast

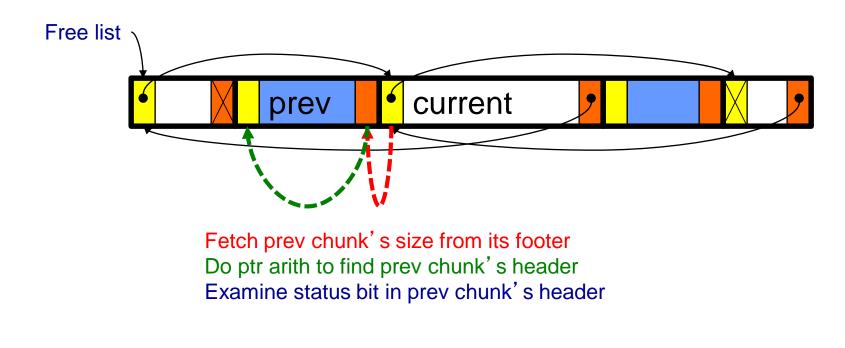




Consider sub-algorithms of free()...

Determine if prev chunk in memory is free

- Linked list version: slow
 - Traverse free list to see if prev chunk in memory is in list
- Doubly-linked list version: fast





Observation:

- All sub-algorithms of free() are fast
- free() is fast!



Space

- Some internal & external fragmentation is unavoidable
- Headers & footers are overhead
- Overall: Good

Time: free()

- All steps are fast
- Good: O(1)

Time: malloc()

- Must search free list for big-enough chunk
- Bad: O(n)
- Often acceptable
- Subject to bad worst-case behavior
 - E.g. long free list with big chunks at end

What's Wrong?



Problem

• malloc() must traverse doubly-linked list, so can be slow

Solution

• Use multiple doubly-linked lists (bins)...

Agenda



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Fragmentation

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DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

Bins Impl



Data structures



Use an array; each element is a **bin** Each bin is a doubly-linked list of free chunks As in previous implementation bin[i] contains free chunks of size i Exception: Final bin contains chunks of size MAX_BIN **or larger**

(More elaborate binning schemes are common)

Bins Impl



Algorithms (see precepts for more precision)

malloc(n)

- Search free list proper bin(s) for big-enough chunk
- Chunk found & reasonable size => remove, set status, use
- Chunk found & too big => remove, split, insert tail, set status, use front
- Chunk not found => increase heap size, create new chunk
- New chunk reasonable size => remove, set status, use
- New chunk too big => remove, split, insert tail, set status, use front

free(p)

- Set status
- Insert chunk into free list proper bin
- Next chunk in memory also free => remove both, coalesce, insert
- Prev chunk in memory free => remove both, coalesce, insert

Bins Impl Performance



Space

- Pro: For small chunks, uses best-fit (not first-fit) strategy
 - Could decrease external fragmentation and splitting
- Con: Some internal & external fragmentation is unavoidable
- Con: Headers, footers, bin array are overhead
- Overall: good

Time: malloc()

- Pro: Binning limits list searching
 - Search for chunk of size i begins at bin i and proceeds downward
- Con: Could be bad for large chunks (i.e. those in final bin)
 - Performance degrades to that of list version
- Overall: good O(1)

Time: free()

• ???

iClicker Question

Q: How fast is **free()** in the Bins implementation?

- A. O(1), always with a small constant
- B. O(1), usually but not always with a small constant
- C. O(1), often with a large constant
- D. Even worse than that...

Bins Impl Performance



Space

- Pro: For small chunks, uses best-fit (not first-fit) strategy
 - Could decrease external fragmentation and splitting
- Con: Some internal & external fragmentation is unavoidable
- Con: Headers, footers, bin array are overhead
- Overall: good

Time: malloc()

- Pro: Binning limits list searching
 - Search for chunk of size i begins at bin i and proceeds downward
- Con: Could be bad for large chunks (i.e. those in final bin)
 - Performance degrades to that of list version
- Overall: good O(1)

Time: free()

• Good: O(1) with a small constant

DMMgr Impl Summary (so far)



Implementation	Space	Time
(1) Minimal	Bad	Malloc: Bad Free: Good
(2) Pad	Bad	Malloc: Good Free: Good
(3) List	Good	Malloc: Bad (but could be OK) Free: Bad
(4) Doubly-Linked List	Good	Malloc: Bad (but could be OK) Free: Good
(5) Bins	Good	Malloc: Good Free: Good

Assignment 6: Given (3), compose (4) and (5)

What's Wrong?



Observations

- Heap mgr might want to free memory chunks by unmapping them rather than marking them
 - Minimizes virtual page count
- Heap mgr can call brk(pBrk-n) to decrease heap size
 - And thereby unmap heap memory
- But often memory to be unmapped is not at high end of heap!

Problem

• How can heap mgr unmap memory effectively?

Solution

• Don't use the heap!

What's Wrong?



Reprising a previous slide...

Question:

- How to implement malloc() and free()?
- How to implement a DMMgr?

Answer 1:

Use the heap section of memory

Answer 2:

• Make use of virtual memory concept...

Agenda



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DMM using virtual memory

DMMgr 6: VM implementation

Unix VM Mapping Functions



Unix allows application programs to map/unmap VM explicitly

- void *mmap(void *p, size_t n, int prot, int flags, int fd, off_t offset);
 - Creates a new mapping in the virtual address space of the calling process
 - p: the starting address for the new mapping
 - n: the length of the mapping
 - If p is NULL, then the kernel chooses the address at which to create the mapping; this is the most portable method of creating a new mapping
 - On success, returns address of the mapped area

int munmap(void *p, size_t n);

• Deletes the mappings for the specified address range

Unix VM Mapping Functions



Typical call of **mmap()** for allocating memory

p = mmap(NULL, n, PROT_READ | PROT_WRITE,

```
MAP_PRIVATE MAP_ANON, 0, 0);
```

- Asks OS to map a new read/write area of virtual memory containing n bytes
- Returns the virtual address of the new area on success, (void*)-1 on failure

Typical call of munmap()

```
status = munmap(p, n);
```

- Unmaps the area of virtual memory at virtual address p consisting of n bytes
- Returns 0 on success, -1 on failure

See Bryant & O' Hallaron book and man pages for details

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DMM using virtual memory

DMMgr 6: VM implementation

VM Mapping Impl



Data structures

size		
header	payload	
	chunk	

Each chunk consists of a header and payload Each header contains size

VM Mapping Impl



Algorithms

```
void free(void *p)
{ size_t ps = (size_t*)p;
    if (ps == NULL) return;
    ps--; /* Move backward from payload to header */
    munmap(ps, *ps);
}
```

VM Mapping Impl Performance



Space

- Fragmentation problem is delegated to OS
- Overall: Depends on OS

Time

- For small chunks
 - One system call (mmap()) per call of malloc()
 - One system call (munmap()) per call of free()
 - Overall: poor
- For large chunks
 - free() unmaps (large) chunks of memory, and so shrinks page table
 - Overall: maybe good!

The GNU Implementation



Observation

 malloc() and free() on ArmLab are from the GNU (the GNU Software Foundation)

Question

• How are GNU malloc() and free() implemented?

Answer

- For small chunks
 - Use heap (**sbrk()** and **brk()**)
 - Use bins implementation
- For large chunks
 - Use VM directly (mmap() and munmap())

Summary



The need for DMM

• Unknown object size

DMM using the heap section

- On Unix: sbrk() and brk()
- Complicated data structures and algorithms
- Good for managing small memory chunks

DMM using virtual memory

- On Unix: mmap() and munmap()
- Good for managing large memory chunks

See Appendix for additional approaches/refinements

iClicker Question

Q: When is coalescing most useful?

- A. Always
- B. When most of the program's objects are the same size
- C. When the program simultaneously uses objects of different sizes
- D. When the program allocates many objects of size A, then frees most of them, then allocates many objects of size B
- E. Never

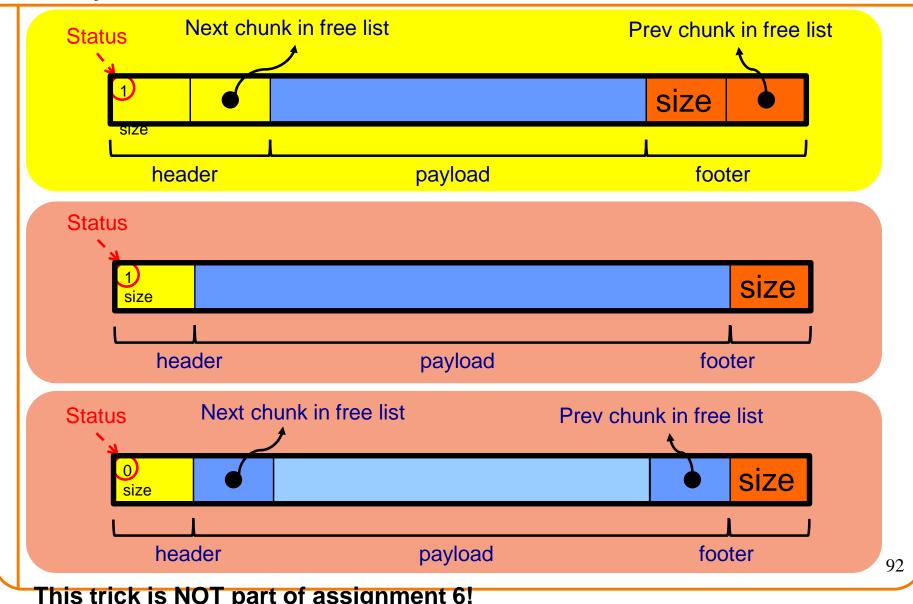
Appendix: Additional Approaches



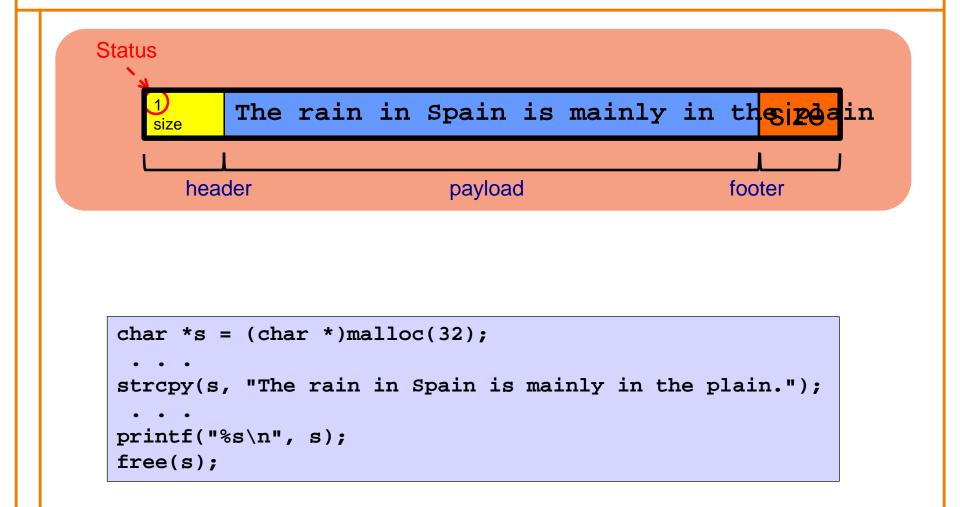
Some additional approaches to dynamic memory mgmt...

Using payload space for management

or, only free chunks need to be in the free-list



Another use for the extra size field: error checking



Selective Splitting



Observation

• In previous implementations, malloc() splits whenever chosen chunk is too big

Alternative: selective splitting

Split only when remainder is above some threshold

Pro

Reduces external fragmentation

Con

• Increases internal fragmentation

Deferred Coalescing



Observation

• Previous implementations do coalescing whenever possible

Alternative: deferred coalescing

• Wait, and coalesce many chunks at a later time

Pro

Handles malloc(n); free(); malloc(n) sequences well

Con

Complicates algorithms

Segregated Data



Observation

Splitting and coalescing consume lots of overhead

Problem

• How to eliminate that overhead?

Solution: segregated data

- Make use of the virtual memory concept...
- Use bins
- Store each bin's chunks in a distinct (segregated) virtual memory page
- Elaboration...

Segregated Data



Segregated data

- Each bin contains chunks of fixed sizes
 - E.g. 32, 64, 128, ...
- All chunks within a bin are from same virtual memory page
- malloc() never splits! Examples:
 - malloc(32) => provide 32
 - malloc(5) => provide 32
 - malloc(100) => provide 128
- free() never coalesces!
 - Free block => examine address, infer virtual memory page, infer bin, insert into that bin

Segregated Data



Pros

- Eliminates splitting and coalescing overhead
- Eliminates most meta-data; only forward links required
 - No backward links, sizes, status bits, footers

Con

- Some usage patterns cause excessive external fragmentation
 - E.g. Only one malloc(32) wastes all but 32 bytes of one virtual page

Segregated Meta-Data



Observations

- Meta-data (chunk sizes, status flags, links, etc.) are scattered across the heap, interspersed with user data
- Heap mgr often must traverse meta-data

Problem 1

• User error easily can corrupt meta-data

Problem 2

• Frequent traversal of meta-data can cause excessive page faults (poor locality)

Solution: segregated meta-data

- Make use of the virtual memory concept...
- Store meta-data in a distinct (segregated) virtual memory page from user data

Segregated metadata

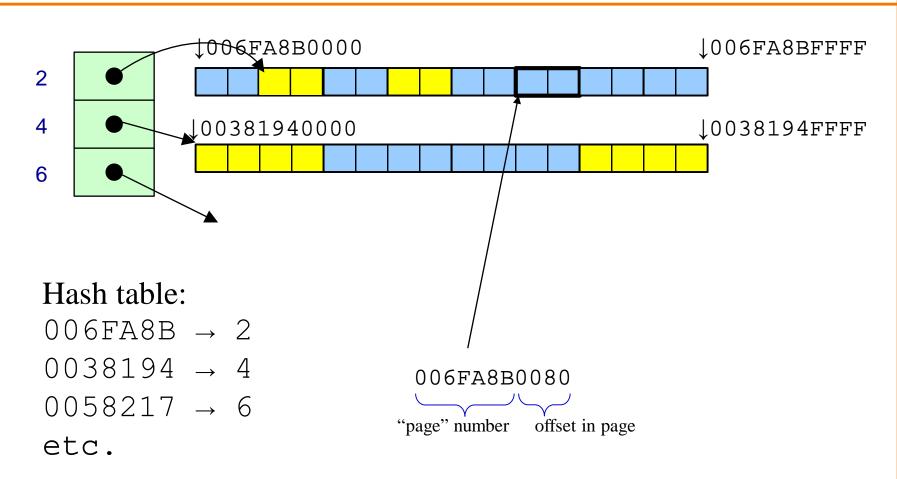
Data layout: no "size" field, no header at all!

Malloc: look up in bins array, use first element of linked list

Free: find size (somehow), put back at head of that bin's list

How free() finds the size





Segregated metadata performance



Space

- No overhead for header: very very good,
- No coalescing, fragmentation may occur, possibly bad

Time

- malloc: very very good, O(1)
- free: hash-table lookup, good, O(1)

Trade-off



Bins+DLL+coalescing

TIME:

☺ fast malloc

☺ fast free

SPACE: 16, if payload overlapped with header 32 bytes overhead per object

© coalescing, *might* reduce fragmentation

Segregated metadataTIME:③ very fast malloc③ fast freeSPACE:③ 0 bytes overhead per object⑧ no coalescing

There's no "one best memory allocator"