



# I/O Management

# Goals of this Lecture



## Help you to learn about:

- The C/Unix **file** abstraction
- Standard C I/O
  - Data structures & functions
- Unix I/O
  - Data structures & functions
- The implementation of Standard C I/O using Unix I/O
- Programmatic redirection of stdin, stdout, and stderr
- Pipes

# Agenda



## **The C/Unix file abstraction**

Unix I/O system calls

C's Standard IO library (FILE \*)

Implementing standard C I/O using Unix I/O

Redirecting standard files

Pipes



# C/Unix File Abstraction

## Problem:

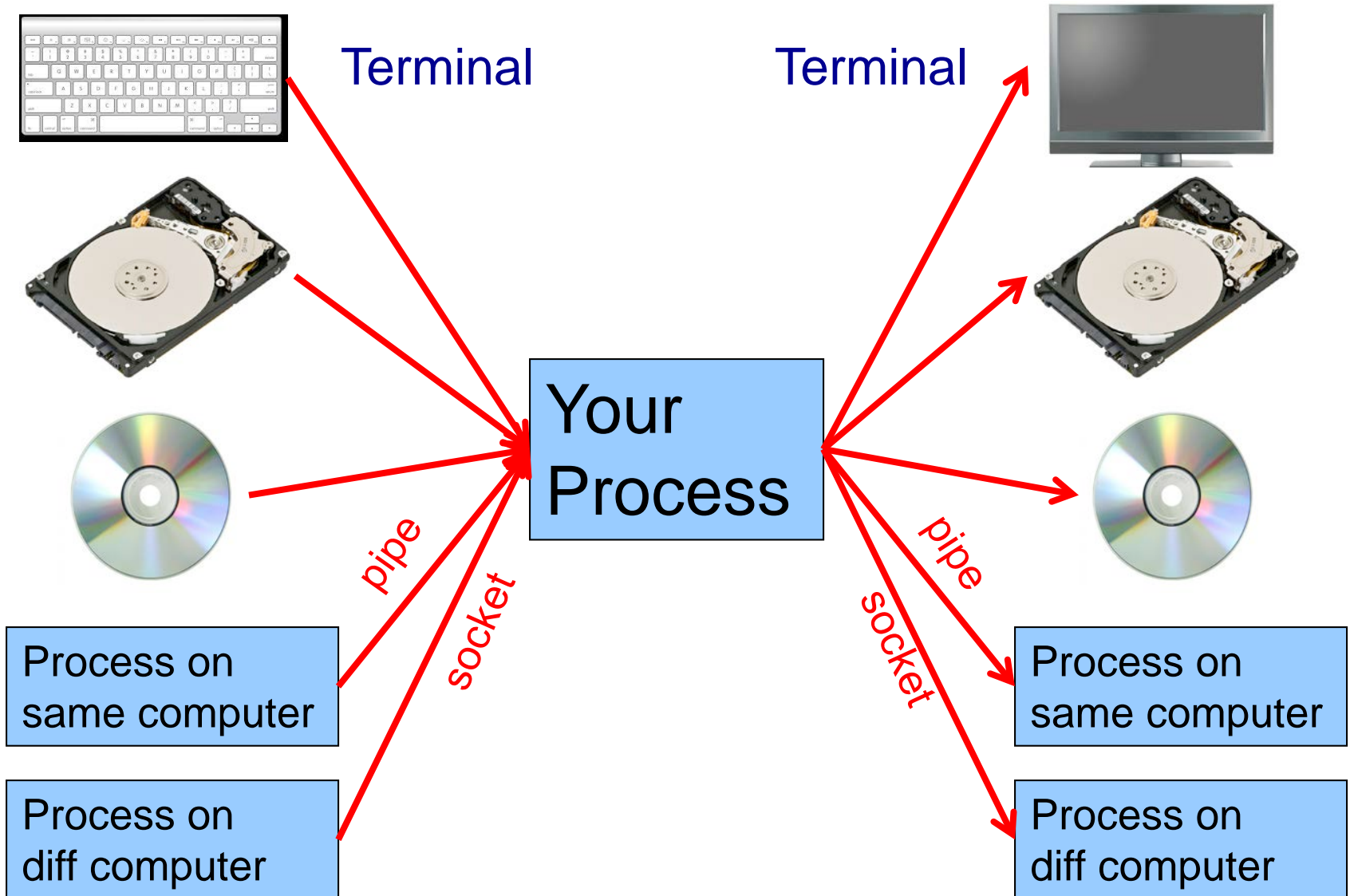
- At the physical level...
- Code that **reads** from **keyboard** is very different from code that reads from **disk**, etc.
- Code that **writes** to **video screen** is very different from code that writes to **disk**, etc.
- Would be nice if application programmer didn't need to worry about such details

## Solution:

- **File**: a sequence of bytes
- C and Unix allow application program to treat any data source/destination as a **file**

Commentary: **Beautiful** abstraction!

# Data Sources and Destinations

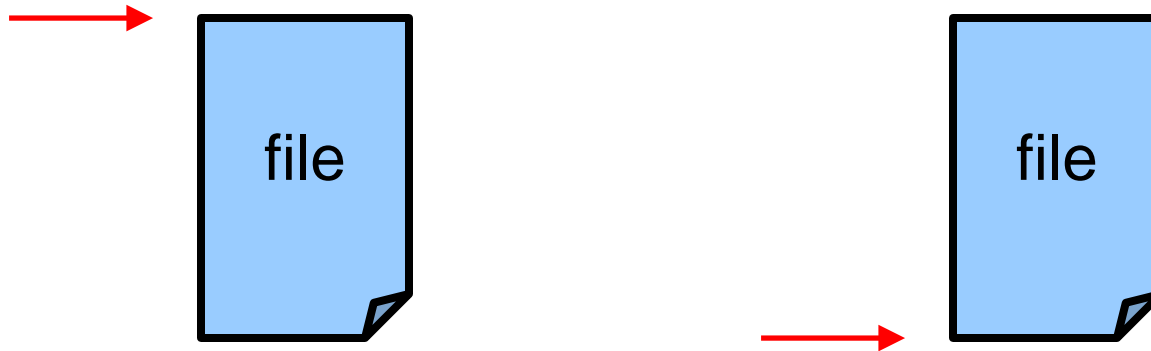


# C/Unix File Abstraction



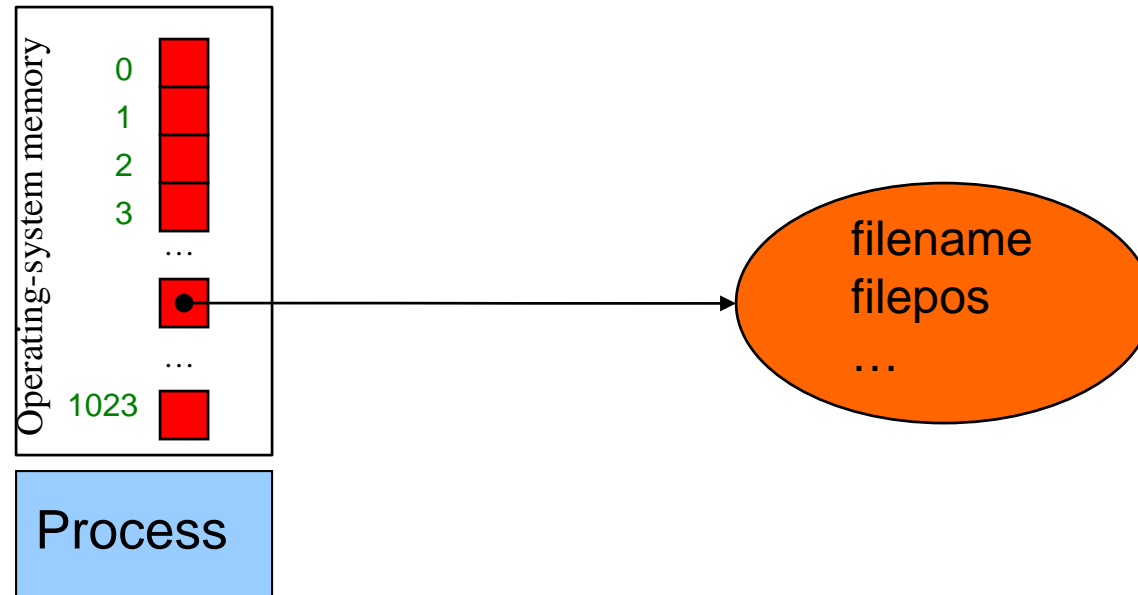
Each file has an associated **file position**

- Starts at beginning of file (if opened to read or write)
- Starts at end of file (if opened to append)





# Unix I/O Data Structures



**File descriptor:** Integer that uniquely identifies an open file

**File descriptor table:** an array

Indices are file descriptors; elements are pointers to file tables

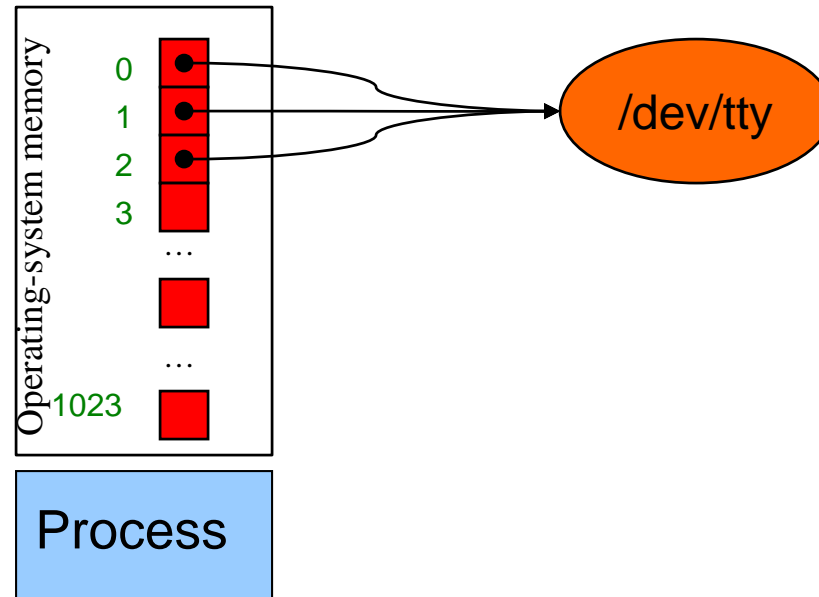
One unique file descriptor table for each process

**File table:** a structure

In-memory surrogate for an open file

Created when process opens file; maintains file position

# Unix I/O Data Structures



At process start-up files with fd 0, 1, 2 are open automatically  
(By default) each references file table for a file named /dev/tty

**/dev/tty**

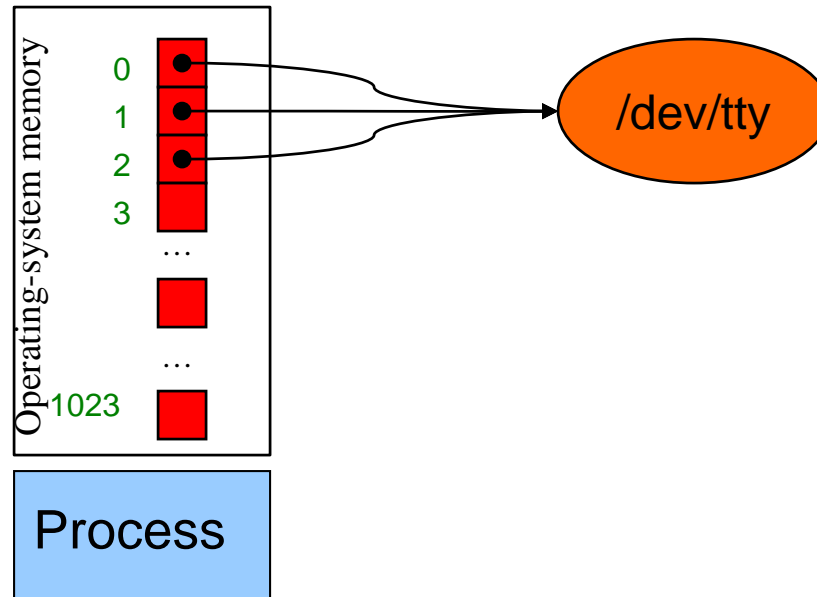
In-memory surrogate for the terminal

**Terminal**

Combination keyboard/video screen



# Unix I/O Data Structures



Read from stdin  $\Rightarrow$  read from fd 0

Write to stdout  $\Rightarrow$  write to fd 1

Write to stderr  $\Rightarrow$  write to fd 2

# System-Level Functions



As noted in the *Exceptions and Processes* lecture...

Linux system-level functions for **I/O management**

Number	Function	Description
0	read()	Read data from file descriptor Called by getchar(), scanf(), etc.
1	write()	Write data to file descriptor Called by putchar(), printf(), etc.
2	open()	Open file or device Called by fopen(..., "r")
3	close()	Close file descriptor Called by fclose()
85	creat()	Open file or device for writing Called by fopen(..., "w")
8	lseek()	Change file position Called by fseek()

# System-Level Functions



As noted in the *Exceptions and Processes* lecture..

Linux system-level functions for **I/O redirection** and **inter-process communication**

Number	Function	Description
32	dup()	Duplicate an open file descriptor
22	pipe()	Create a channel of communication between processes

# Agenda



The C/Unix file abstraction

**Unix I/O system calls**

C's Standard IO library (FILE \*)

Implementing standard C I/O using Unix I/O

Redirecting standard files

Pipes



# Unix I/O Functions

```
int creat(char *filename, mode_t mode);
```

- Create a new empty file named `filename`
  - `mode` indicates permissions of new file
- Implementation:
  - Create new empty file on disk
  - Create file table
  - Set first unused file descriptor to point to file table
  - Return file descriptor used, -1 upon failure



# Unix I/O Functions

```
int open(char *filename, int flags, ...);
```

- Open the file whose name is `filename`
  - `flags` often is `O_RDONLY`
- Implementation (assuming `O_RDONLY`):
  - Find existing file on disk
  - Create file table
  - Set first unused file descriptor to point to file table
  - Return file descriptor used, -1 upon failure

# Unix I/O Functions



```
int close(int fd);
```

- Close the file `fd`
- Implementation:
  - Destroy file table referenced by element `fd` of file descriptor table
    - As long as no other process is pointing to it!
  - Set element `fd` of file descriptor table to **NULL**



# Unix I/O Functions

```
int read(int fd, void *buf, int count);
```

- Read into `buf` up to `count` bytes from file `fd`
- Return the number of bytes read; 0 indicates end-of-file

```
int write(int fd, void *buf, int count);
```

- Writes up to `count` bytes from `buf` to file `fd`
- Return the number of bytes written; -1 indicates error

```
int lseek(int fd, int offset, int whence);
```

- Set the file position of file `fd` to file position `offset`. `whence` indicates if the file position is measured from the beginning of the file (`SEEK_SET`), from the current file position (`SEEK_CUR`), or from the end of the file (`SEEK_END`)
- Return the file position from the beginning of the file



# Unix I/O Functions



## Note

- Only 6 system-level functions support all I/O from all kinds of devices!

Commentary: **Beautiful** interface!



# Unix I/O Example 0

## Proto-getchar()

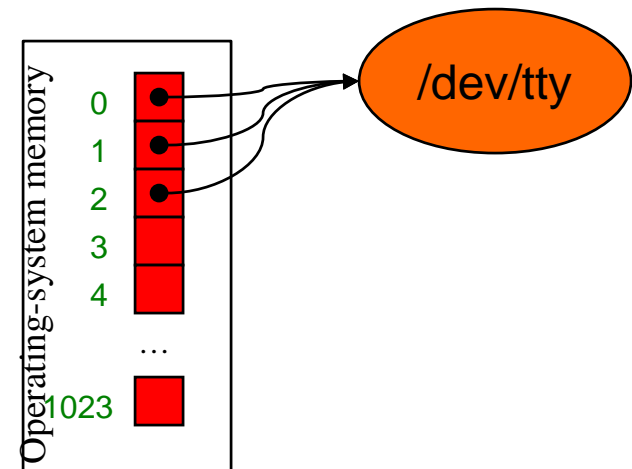
```
#include <string.h>
#include <unistd.h>

int proto_getchar(void)
{ char buf[1];
  int n;

  n = read(0, buf, 1);
  if (n==1)
    return buf[0];
  else return EOF;
}
```

# of bytes to (try to) read

0 is the file descriptor of the standard input



and the problem is . . . too slow.

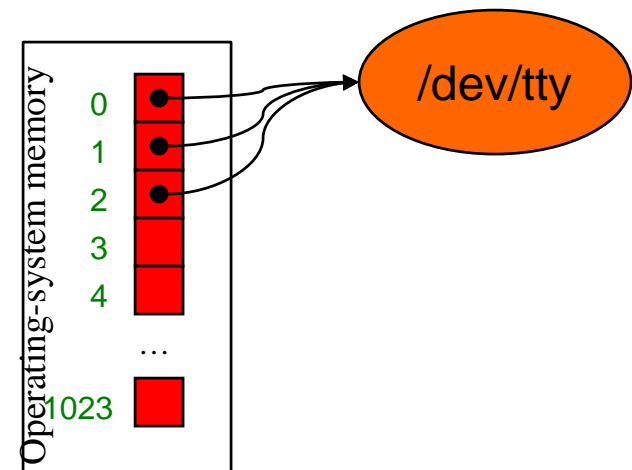
Does a system call for every character.



# Unix I/O Example 1

Write "hello, world\n" to /dev/tty

```
#include <string.h>
#include <unistd.h>
int main(void)
{ char hi[] = "hello, world\n";
  size_t countWritten = 0;
  size_t countToWrite = strlen(hi);
  while (countWritten < countToWrite)
    countWritten +=
      write(1, hi + countWritten,
           countToWrite - countWritten);
  return 0;
}
```



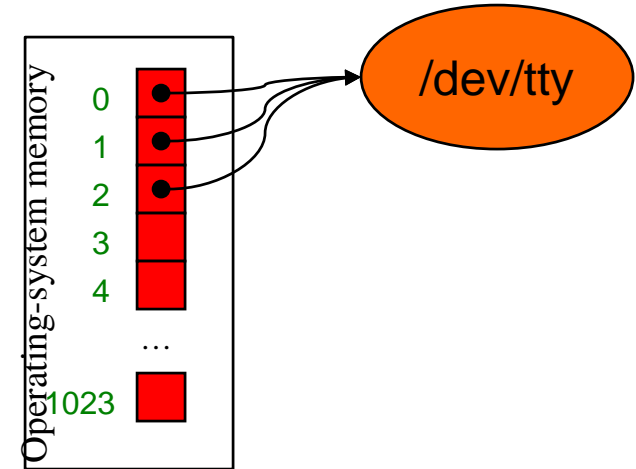
To save space,  
no error handling  
code is shown



# Unix I/O Example 2

```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
    enum {BUFFERSIZE = 10};
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFFERSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = creat("outfile", 0600);
    for (;;)
    {
        countRead =
            read(fdIn, buf, BUFFERSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
            countWritten +=
                write(fdOut,
                    buf + countWritten,
                    countRead - countWritten);
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```

Copy all bytes  
from infile to outfile



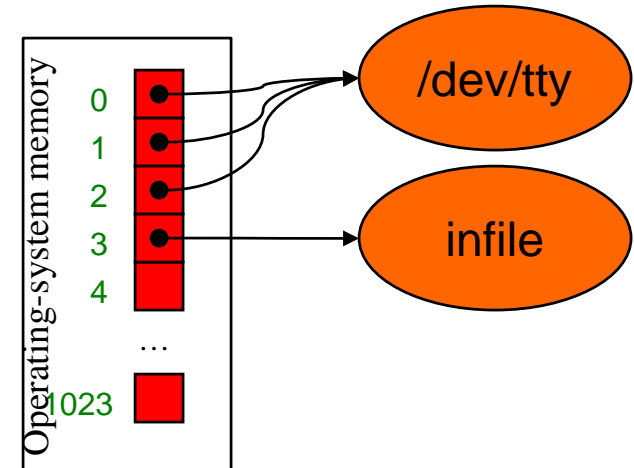
To save space,  
no error handling  
code is shown



# Unix I/O Example 2

```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
    enum {BUFFERSIZE = 10};
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFFERSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = creat("outfile", 0600);
    for (;;)
    {
        countRead =
            read(fdIn, buf, BUFFERSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
            countWritten +=
                write(fdOut,
                    buf + countWritten,
                    countRead - countWritten);
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```

3



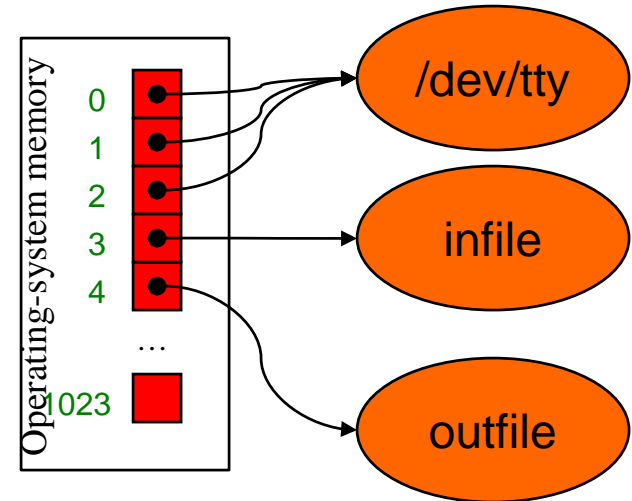


# Unix I/O Example 2

```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
  enum {BUFFERSIZE = 10};
  int fdIn, fdOut;
  int countRead, countWritten;
  char buf[BUFFERSIZE];
  fdIn = open("infile", O_RDONLY);
  fdOut = creat("outfile", 0600);
  for (;;)
  {
    countRead =
      read(fdIn, buf, BUFFERSIZE);
    if (countRead == 0) break;
    countWritten = 0;
    while (countWritten < countRead)
      countWritten +=
        write(fdOut,
              buf + countWritten,
              countRead - countWritten);
  }
  close(fdOut);
  close(fdIn);
  return 0;
}
```

3

4



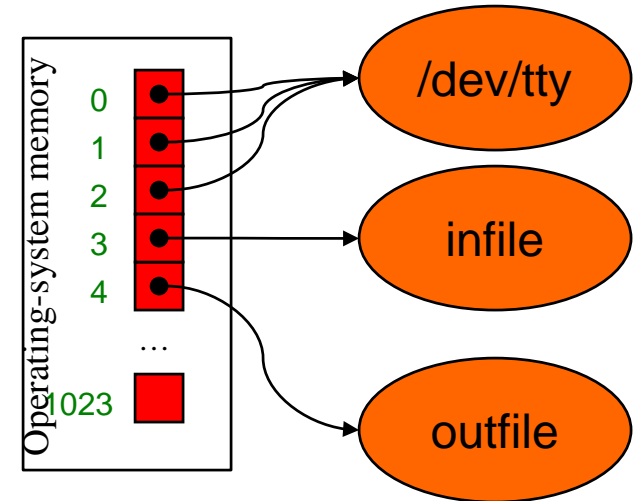


# Unix I/O Example 2

```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
  enum {BUFFERSIZE = 10};
  int fdIn, fdOut;
  int countRead, countWritten;
  char buf[BUFFERSIZE];
  fdIn<= open("infile", O_RDONLY);
  fdOut<= creat("outfile", 0600);
  for (;;)
  {
    countRead =
      read(fdIn, buf, BUFFERSIZE);
    if (countRead == 0) break;
    countWritten = 0;
    while (countWritten < countRead)
      countWritten +=
        write(fdOut,
              buf + countWritten,
              countRead - countWritten);
  }
  close(fdOut);
  close(fdIn);
  return 0;
}
```

3

4



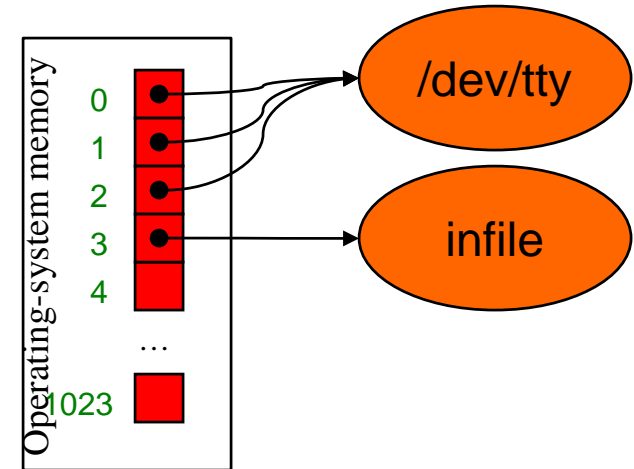


# Unix I/O Example 2

```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
  enum {BUFFERSIZE = 10};
  int fdIn, fdOut;
  int countRead, countWritten;
  char buf[BUFFERSIZE];
  fdIn<= open("infile", O_RDONLY);
  fdOut<= creat("outfile", 0600);
  for (;;)
  {
    countRead =
      read(fdIn, buf, BUFFERSIZE);
    if (countRead == 0) break;
    countWritten = 0;
    while (countWritten < countRead)
      countWritten +=
        write(fdOut,
              buf + countWritten,
              countRead - countWritten);
  }
  close(fdOut);
  close(fdIn);
  return 0;
}
```

3

4





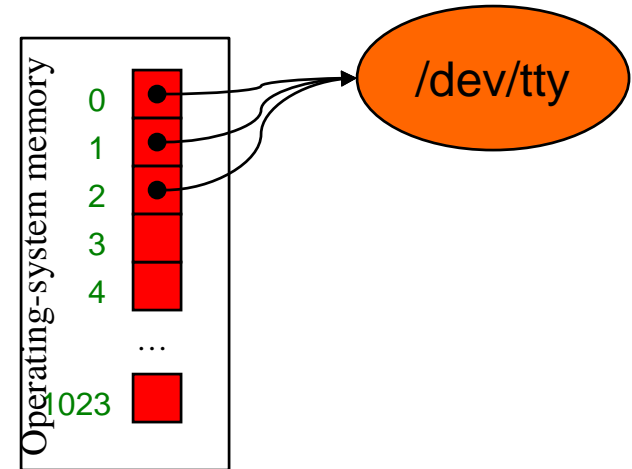


# Unix I/O Example 2

```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
  enum {BUFFERSIZE = 10};
  int fdIn, fdOut;
  int countRead, countWritten;
  char buf[BUFFERSIZE];
  fdIn<= open("infile", O_RDONLY);
  fdOut<= creat("outfile", 0600);
  for (;;)
  {
    countRead =
      read(fdIn, buf, BUFFERSIZE);
    if (countRead == 0) break;
    countWritten = 0;
    while (countWritten < countRead)
      countWritten +=
        write(fdOut,
              buf + countWritten,
              countRead - countWritten);
  }
  close(fdOut);
  close(fdIn);
  return 0;
}
```

3

4



# Agenda



The C/Unix file abstraction

Unix I/O system calls

**C's Standard IO library (FILE \*)**

Implementing standard C I/O using Unix I/O

Redirecting standard files

Pipes



# Standard C I/O Data Structure

We want 1-character-at-a-time I/O (`getc()`, `putc()`)

We want a-few-characters-at-a-time I/O (`scanf`, `printf`)

We *could* do this with `read()` and `write()` system calls,  
BUT IT WOULD BE TOO SLOW to do 1 syscall per byte

Solution: Buffered input/output as an Abstract Data Type

## The **FILE** ADT

- A **FILE** object is an in-memory surrogate for an opened file
  - Created by `fopen()`
  - Destroyed by `fclose()`
- Used by reading/writing functions

# Standard C I/O Functions



Some of the most popular:

```
FILE *fopen(const char *filename, const char *mode);
```

- Open the file named **filename** for reading or writing
- **mode** indicates data flow direction
  - “r” means read; “w” means write, “a” means append)
- Creates **FILE** structure
- Returns address of **FILE** structure

```
int fclose(FILE *file);
```

- Close the file identified by **file**
- Destroys **FILE** structure whose address is **file**
- Returns 0 on success, EOF on failure



# Standard C Input Functions

Some of the most popular:

```
int fgetc(FILE *file);
```

- Read a char from the file identified by `file`
- Return the char on success, `EOF` on failure

```
int getchar(void);
```

- Same as `fgetc(stdin)`

```
char *fgets(char *s, int n, FILE *file);
```

- Read at most `n` characters from `file` into array `s`
- Returns `s` on success, `NULL` on failure

```
char *gets(char *s);
```

- Essentially same as `fgets(s, INT_MAX, stdin)`
- *Buffer overflow waiting to happen*

# Standard C Input Functions



Some of the most popular:

```
int fscanf(FILE *file, const char *format, ...);
```

- Read chars from the file identified by **file**
- Convert to values, as directed by **format**
- Copy values to memory
- Return count of values successfully scanned

```
int scanf(const char *format, ...);
```

- Same as **fscanf(stdin, format, ...)**



# Standard C Output Functions

Some of the most popular:

```
int fputc(int c, FILE *file);
```

- Write `c` (converted to a char) to file
- Return `c` on success, `EOF` on failure

```
int putchar(int c);
```

- Same as `fputc(c, stdout)`

```
int fputs(const char *s, FILE *file);
```

- Write string `s` to `file`
- Return non-negative on success, `EOF` on error

```
int puts(const char *s);
```

- Essentially same as `fputs(s, stdout)`

# Standard C Output Functions



Some of the most popular:

```
int fprintf(FILE *file, const char *format, ...);
```

- Write chars to the file identified by **file**
- Convert values to chars, as directed by **format**
- Return count of chars successfully written
- Works by calling **fputc( )** repeatedly

```
int printf(const char *format, ...);
```

- Same as **fprintf(stdout, format, ...)**



# Standard C I/O Functions



Some of the most popular:

```
int fflush(FILE *file);
```

- On an output file: write any buffered chars to **file**
- On an input file: behavior undefined
- **file** == **NULL**  $\Rightarrow$  flush buffers of **all** open files

```
int fseek(FILE *file, long offset, int origin);
```

- Set the file position of **file**
- Subsequent read/write accesses data starting at that position
- Origin: **SEEK\_SET**, **SEEK\_CUR**, **SEEK\_END**

```
int ftell(FILE *file);
```

- Return file position of **file** on success, -1 on error

# Standard C I/O Example 1



Write “hello, world\n” to `stdout`

```
#include <stdio.h>
int main(void)
{
    char hi[] = "hello world\n";
    size_t i = 0;
    while (hi[i] != '\0')
    {
        putchar(hi[i]);
        i++;
    }
    return 0;
}
```

Simple  
Portable  
Efficient (via buffering)

```
#include <stdio.h>
int main(void)
{
    puts("hello, world");
    return 0;
}
```

```
#include <stdio.h>
int main(void)
{
    printf("hello, world\n");
    return 0;
}
```

# Standard C I/O Example 2



Copy all bytes from infile to outfile

```
#include <stdio.h>
int main(void)
{
    int c;
    FILE *infile;
    FILE *outfile;
    infile = fopen("infile", "r");
    outfile = fopen("outfile", "w");
    while ((c = fgetc(infile)) != EOF)
        fputc(c, outfile);
    fclose(outfile);
    fclose(infile);
    return 0;
}
```

Simple  
Portable  
Efficient (via buffering)

# Standard C Buffering



Question: Exactly when are buffers flushed?

Answers:

If reading from a file

(1) When buffer is empty

# Standard C Buffering



Question: Exactly when are buffers flushed?

Answers:

If writing to an ordinary file

- (1) File's buffer becomes full
- (2) Process calls `fflush()` on that file
- (3) Process terminates normally

If writing to `stdout` (in addition to previous)

- (4) `stdout` is bound to terminal and `'\n'` is appended to buffer
- (5) `stdin` and `stdout` are bound to terminal  
*and* read from `stdin` occurs

If writing to `stderr`

- Irrelevant; `stderr` is unbuffered



# Standard C Buffering Example

```
#include <stdio.h>
int main(void)
{  int dividend, divisor, quotient;

    printf("Dividend: ");
    scanf("%d", &dividend);

    printf("Divisor: ");
    scanf("%d", &divisor);

    printf("The quotient is ");
    quotient = dividend / divisor;
    printf("%d\n", quotient);
    return 0;
}
```

Output buffered

Buffer flushed

Output buffered

Buffer flushed

Output buffered

Buffer flushed

```
$ pgm
Dividend: 6
Divisor: 2
The quotient is 3
$
```

```
$ pgm
Dividend: 6
Divisor: 0
Floating point exception
$
```

# Agenda



The C/Unix file abstraction

Unix I/O system calls

C's Standard IO library (FILE \*)

**Implementing standard C I/O using Unix I/O**

Redirecting standard files

Pipes



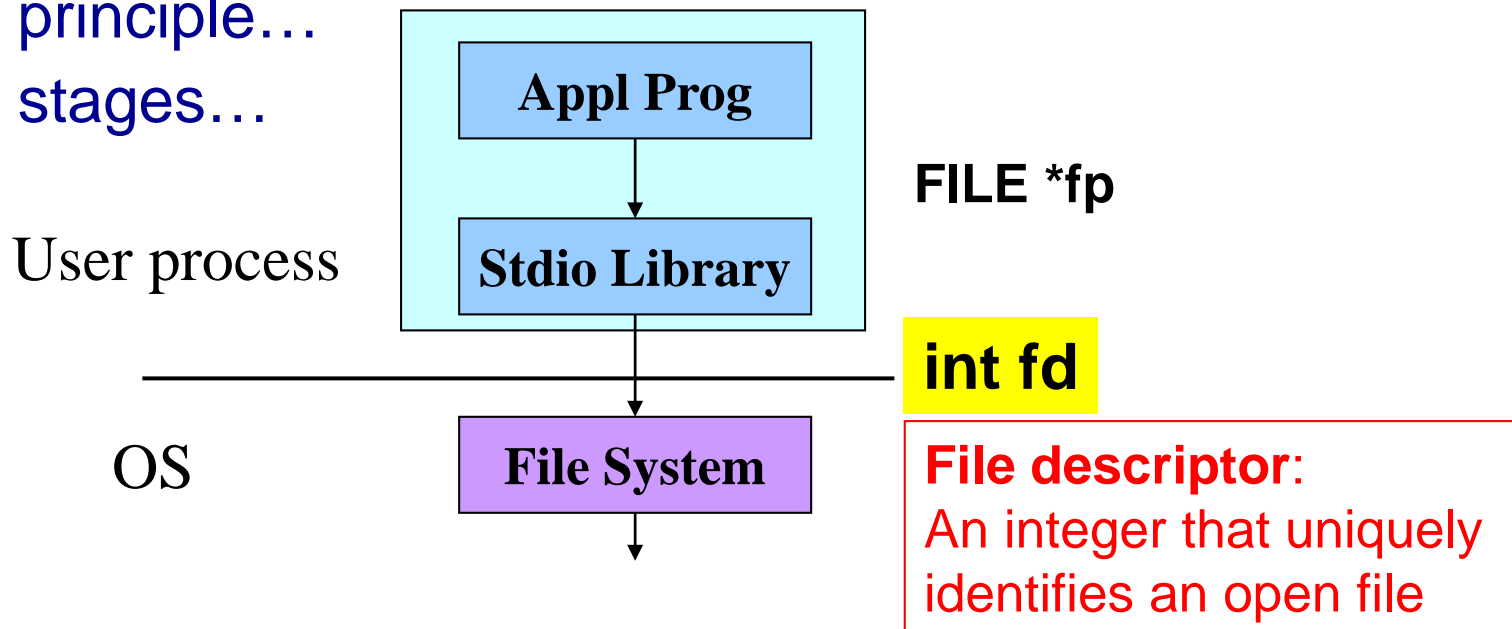
# Standard C I/O

## Question:

- How to implement standard C I/O data structure and functions using Unix I/O data structures and functions?

## Answer:

- In principle...
- In stages...





# Implementing getchar and putchar



`getchar()` calls `read()` to read one byte from fd 0

`putchar()` calls `write()` to write one byte to fd 1

```
int getchar(void)
{  unsigned char c;
   if (read(0, &c, 1) == 1)
       return (int)c;
   else
       return EOF;
}
```

```
int putchar(int c)
{  if (write(1, &c, 1) == 1)
    return c;
   else
    return EOF;
}
```

# Implementing Buffering



## Problem: poor performance

- `read()` and `write()` access a physical device (e.g., a disk)
- Reading/writing one char at a time can be time consuming
- Better to read and write in larger blocks
  - Recall ***Storage Management*** lecture

## Solution: buffered I/O

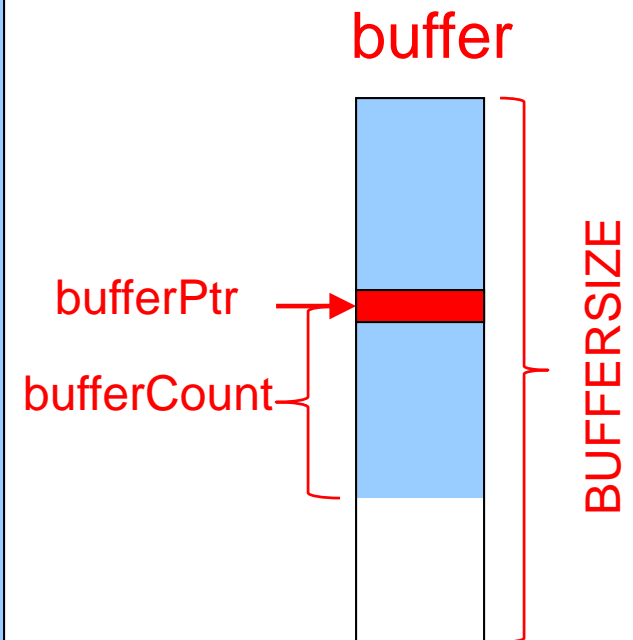
- **Read** a large block of chars from source device into a buffer
  - Provide chars from buffer to the client as needed
- **Write** individual chars to a buffer
  - “Flush” buffer contents to destination device when buffer is full, or when file is closed, or upon client request

# Implementing getchar Version 2



`getchar()` calls `read()` to read multiple chars from fd 0 into buffer

```
int getchar(void)
{
    enum {BUFFERSIZE = 4096}; /*arbitrary*/
    static unsigned char buffer[BUFFERSIZE];
    static unsigned char *bufferPtr;
    static int bufferCount = 0;
    if (bufferCount == 0) /* must read */
    {
        bufferCount =
            read(0, buffer, BUFFERSIZE);
        if (bufferCount <= 0) return EOF;
        bufferPtr = buffer;
    }
    bufferCount--;
    bufferPtr++;
    return (int)(*bufferPtr-1);
}
```



# Implementing putchar Version 2



`putchar()` calls `write()` to write multiple chars from buffer to fd 1

```
int putchar(int c)
{
    enum {BUFFERSIZE = 4096};
    static char buffer[BUFFERSIZE];
    static int bufferCount = 0;
    if (bufferCount == BUFFERSIZE) /* must write */
    {
        int countWritten = 0;
        while (countWritten < bufferCount)
        {
            int count =
                write(1, buffer+countWritten, BUFFERSIZE-countWritten);
            if (count <= 0) return EOF;
            countWritten += count;
        }
        bufferCount = 0;
    }
    buffer[bufferCount] = (char)c;
    bufferCount++;
    return c;
}
```

Real implementation  
also flushes buffer  
at other times

# Implementing the **FILE** ADT



## Observation:

- `getchar( )` reads from `stdin` (fd 0)
- `putchar( )` writes to `stdout` (fd 1)

## Problem:

- How to read/write from/to files other than `stdin` (fd 0) and `stdout` (fd 1)?
- Example: How to define `fgetc( )` and `fputc( )`?

## Solution:

- Use **FILE** structure

# Implementing the FILE ADT



```
enum {BUFFERSIZE = 4096};

struct File
{
    unsigned char    buffer[BUFFERSIZE]; /* buffer */
    int              bufferCount; /* num chars left in buffer */
    unsigned char *bufferPtr; /* ptr to next char in buffer */
    int              flags; /* open mode flags, etc. */
    int              fd; /* file descriptor */
};

typedef struct File FILE;

/* Initialize standard files. */
FILE *stdin = ...
FILE *stdout = ...
FILE *stderr = ...
```

Derived from  
K&R Section 8.5

More complex  
on our system

# Implementing `fopen` and `fclose`



```
f = fopen(filename, "r")
```

- Create new **FILE** structure; set **f** to point to it
- Initialize all fields
- **f->fd = open(filename, ...)**
- Return **f**

```
f = fopen(filename, "w")
```

- Create new **FILE** structure; set **f** to point to it
- Initialize all fields
- **f->fd = creat(filename, ...)**
- Return **f**

```
fclose(f)
```

- **close(f->fd)**
- Destroy **FILE** structure



# Implementing fgetc

```
int fgetc(FILE *f)
{
    if (f->bufferCount == 0) /* must read */
    {
        f->bufferCount =
            read(f->fd, f->buffer, BUFFERSIZE);
        if (f->bufferCount <= 0) return EOF;
        f->bufferPtr = f->buffer;
    }
    f->bufferCount--;
    f->bufferPtr++;
    return (int)(*(f->bufferPtr-1));
}
```

- Accepts FILE pointer f as parameter
- Uses fields within f
- Reads from f->fd instead of 0



# Implementing fputc



```
int fputc(int c, FILE *f)
{
    if (f->bufferCount == BUFFERSIZE) /* must write */
    {
        int countWritten = 0;
        while (countWritten < f->bufferCount)
        {
            int count =
                write(f->fd, f->buffer+countWritten,
                    BUFFERSIZE-countWritten);
            if (count <= 0) return EOF;
            countWritten += count;
        }
        f->bufferCount = 0;
    }
    f->buffer[f->bufferCount] = (char)c;
    f->bufferCount++;
    return c;
}
```

Real implementation  
also flushes buffer  
at other times

- Accepts FILE pointer f as parameter
- Uses fields within f
- Writes to f->fd instead of 1

# Implementing Standard C I/O Functions



<b>Standard C Function</b>	<b>In Unix Implemented by Calling</b>
<code>fopen()</code>	<code>open()</code> or <code>creat()</code>
<code>fclose()</code>	<code>close()</code>

# Implementing Standard C I/O Functions



Standard C Function	In Unix Implemented by Calling
fgetc()	read()
getchar()	fgetc()
fgets()	fgetc()
gets()	fgets()
fscanf()	fgetc()
scanf()	fscanf()

# Implementing Standard C I/O Functions



Standard C Function	In Unix Implemented by Calling
fputc()	write()
putchar()	fputc()
fputs()	fputc()
puts()	fputs()
fprintf()	fputc()
printf()	fprintf()

# Implementing Standard C I/O Functions



Standard C Function	In Unix Implemented by Calling
<code>fflush()</code>	<code>write()</code>
<code>fseek()</code>	<code>lseek()</code>
<code>ftell()</code>	<code>lseek()</code>

# Agenda



The C/Unix file abstraction

Unix I/O system calls

C's Standard IO library (FILE \*)

Implementing standard C I/O using Unix I/O

**Redirecting standard files**

Pipes



# Redirection

Unix allows programmatic redirection of `stdin`, `stdout`, or `stderr`

How?

- Use `open()`, `creat()`, and `close()` system-level functions
- Use `dup()` system-level function

`int dup(int oldfd);`

- Create a copy of file descriptor `oldfd`
- Old and new file descriptors may be used interchangeably; they refer to the same open file table and thus share file position and file status flags
- Uses the **lowest-numbered** unused descriptor for the new descriptor
- Returns the new descriptor, or -1 if an error occurred.



# Redirection Example

How does shell implement `somepgm > somefile`?

```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

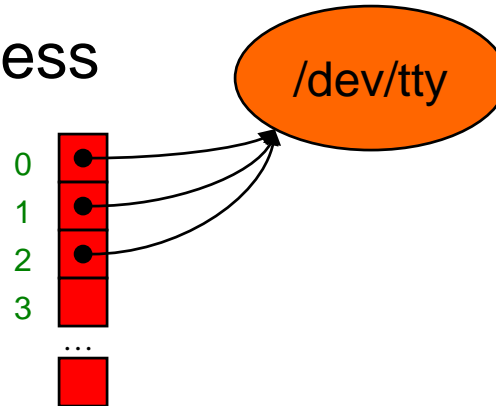




# Redirection Example Trace (1)

Parent Process

File  
descriptor  
table

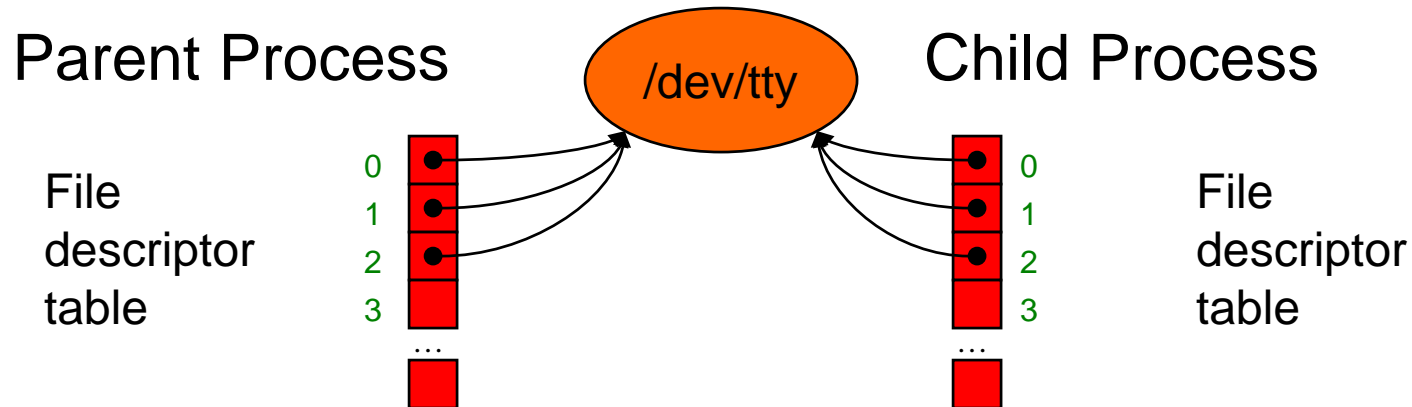


```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Parent has file descriptor table; first three point to “terminal”



# Redirection Example Trace (2)



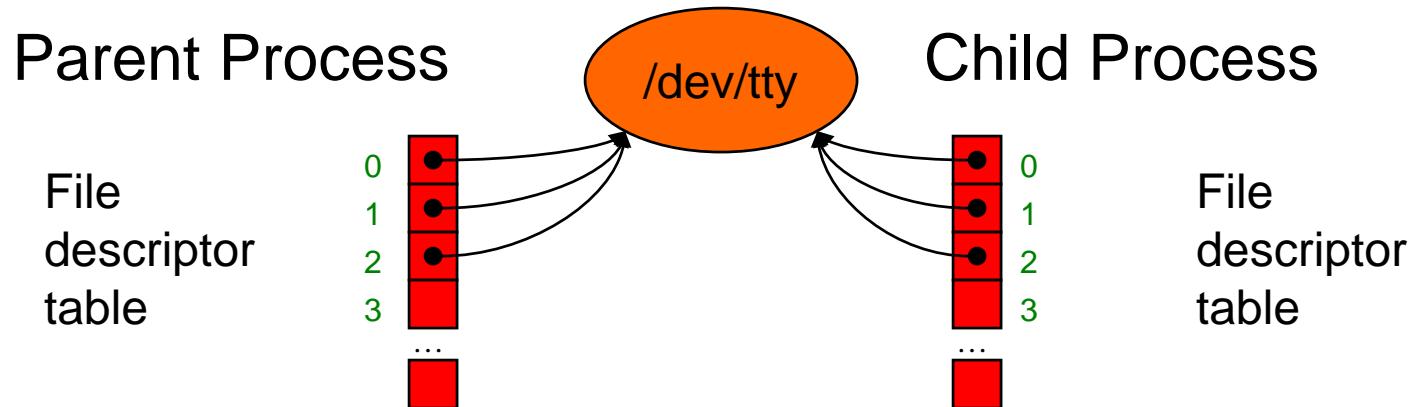
```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Parent forks child; child has identical-but distinct file descriptor table 58



# Redirection Example Trace (3)



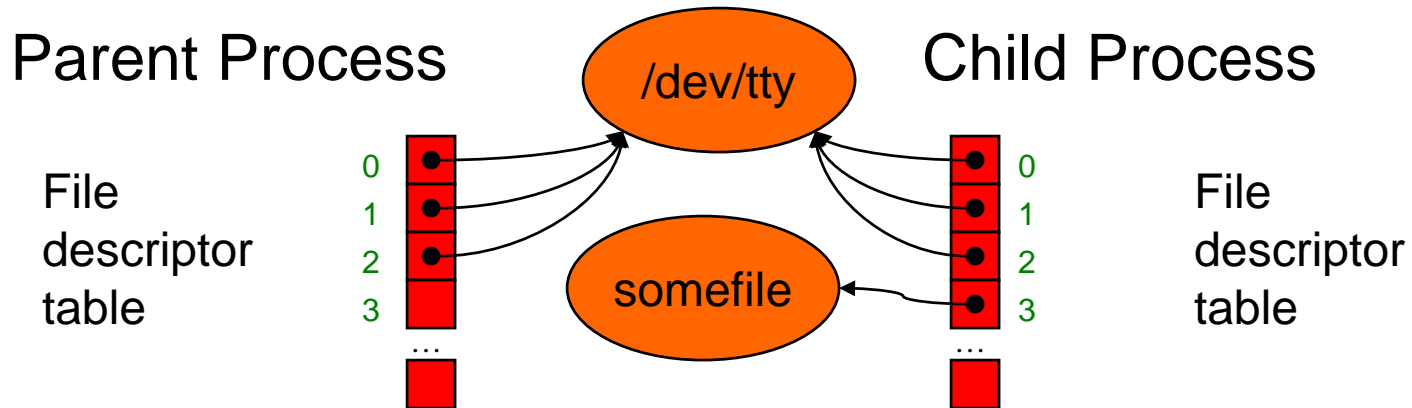
```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 060);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Let's say OS gives CPU to parent; parent waits



# Redirection Example Trace (4)



```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

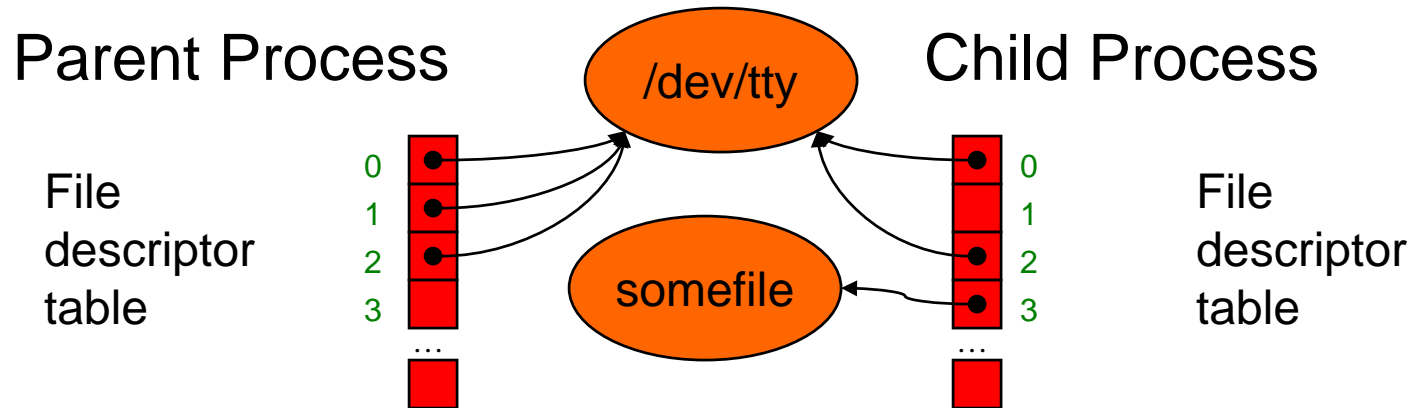
3

```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

OS gives CPU to child; child creates somefile



# Redirection Example Trace (5)



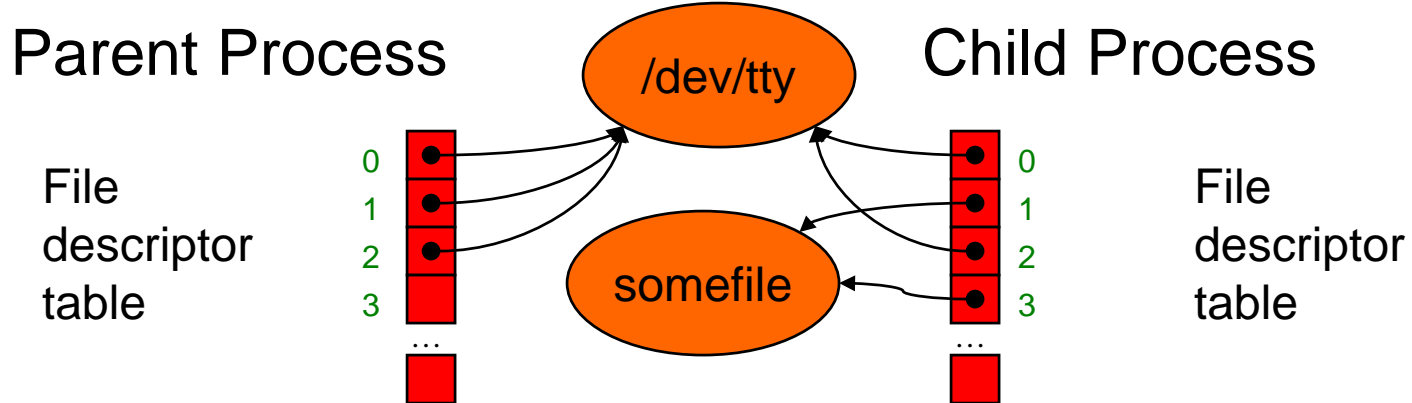
```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child closes file descriptor 1 (stdout)



# Redirection Example Trace (6)



```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

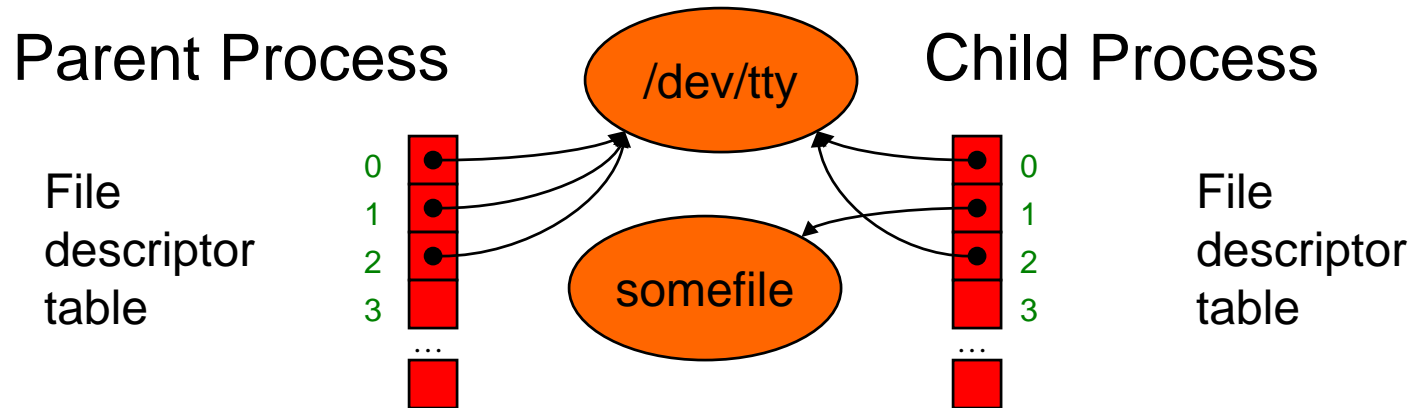
3

```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child duplicates file descriptor 3 into first unused spot



# Redirection Example Trace (7)



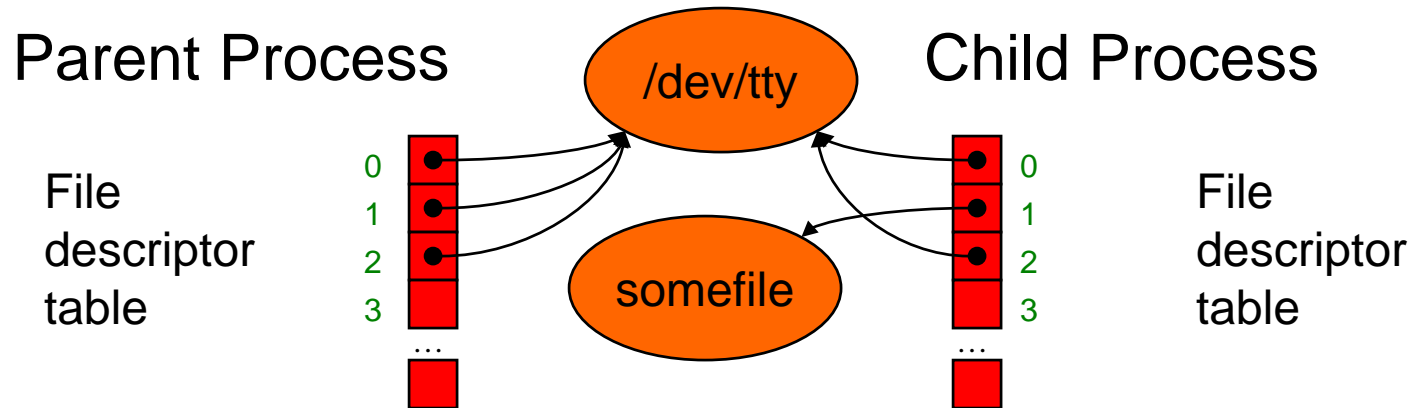
```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child closes file descriptor 3



# Redirection Example Trace (8)



```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child calls `execvp()`

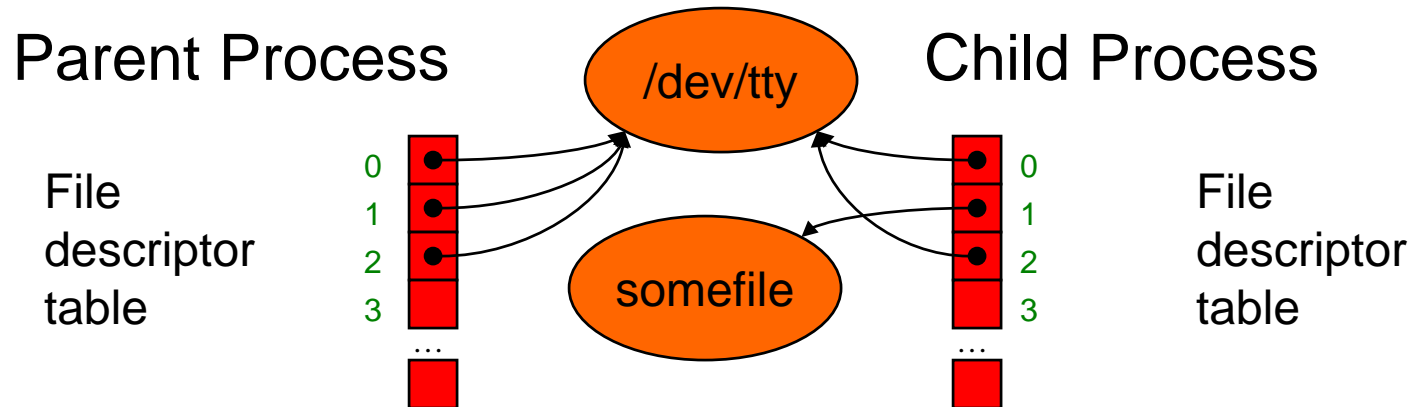
```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

3





# Redirection Example Trace (9)



```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somepgm, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

*somepgm*

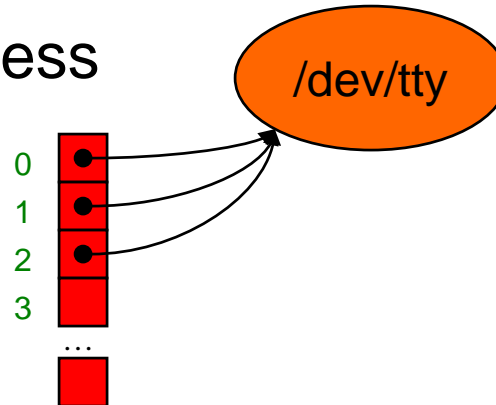
Somepgm executes with stdout redirected to somefile



# Redirection Example Trace (10)

Parent Process

File  
descriptor  
table



```
pid = fork();
if (pid == 0)
{ /* in child */
  fd = creat("somefile", 0600);
  close(1);
  dup(fd);
  close(fd);
  execvp(somefile, someargv);
  fprintf(stderr, "exec failed\n");
  exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Somepgm exits; parent returns from `wait()` and proceeds

# Agenda



The C/Unix file abstraction

Unix I/O system calls

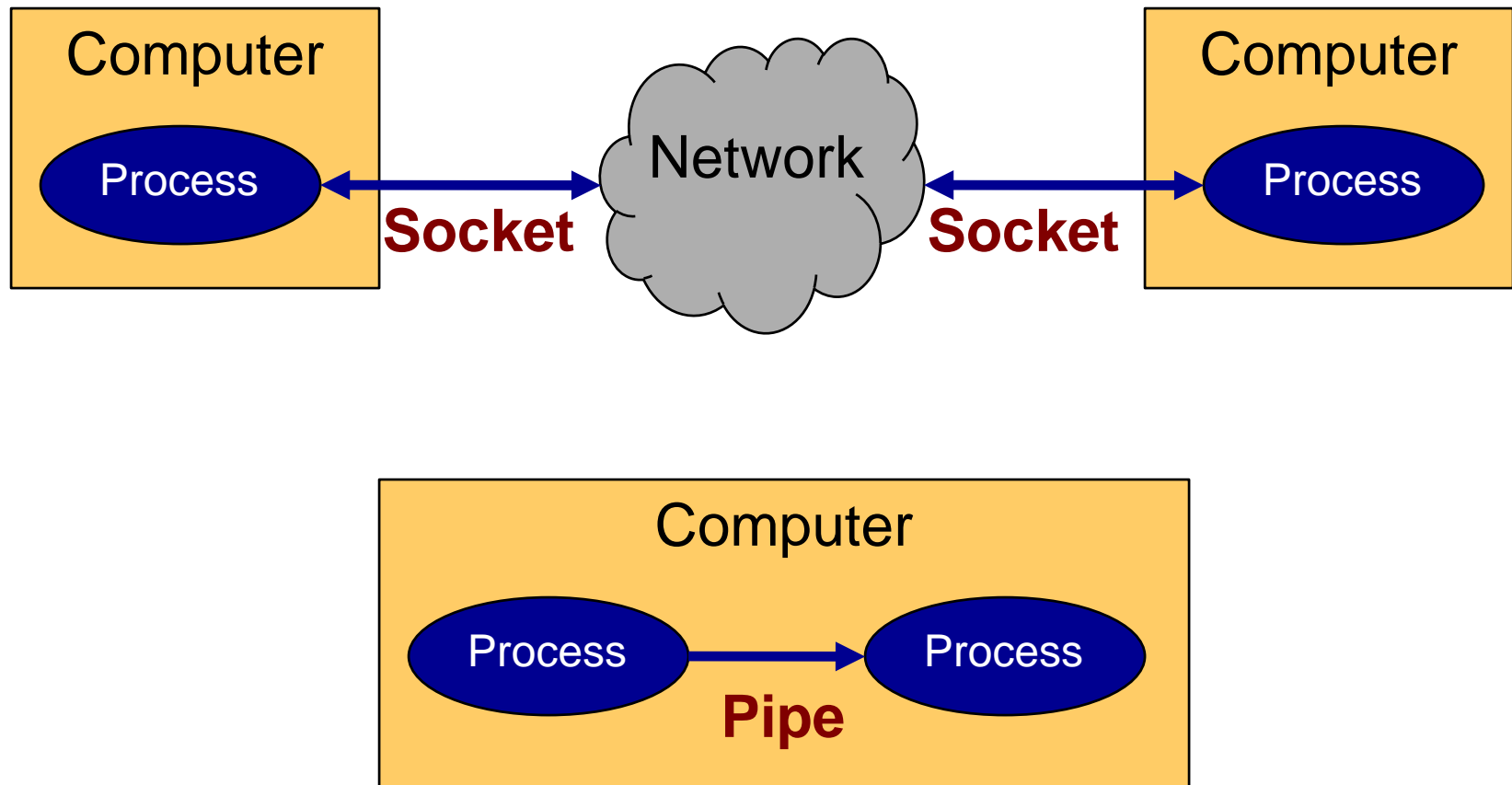
C's Standard IO library (FILE \*)

Implementing standard C I/O using Unix I/O

Redirecting standard files

**Pipes**

# Inter-Process Communication (IPC)



# IPC Mechanisms



## Socket

- Mechanism for **two-way** communication between processes on **any computers** on same network
- Processes created independently
- Used for client/server communication (e.g., Web)

## Pipe

- Mechanism for **one-way** communication between processes on the **same computer**
- Allows parent process to communicate with child process
- Allows two “sibling” processes to communicate
- Used mostly for a **pipeline** of **filters**

Both support **file** abstraction

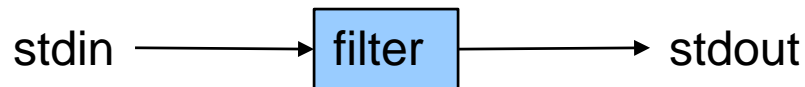
# Pipes, Filters, and Pipelines



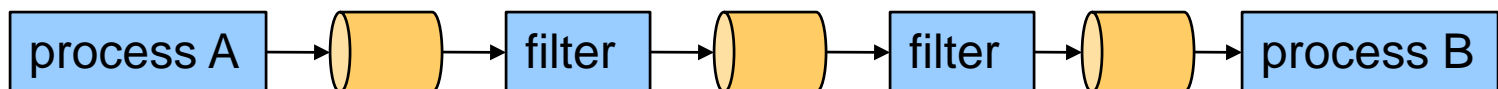
## Pipe



**Filter:** Program that reads from stdin and writes to stdout



**Pipeline:** Combination of pipes and filters





# Pipeline Examples

When debugging your shell program...

```
grep alloc *.c
```

- In all of the .c files in the working directory, display all lines that contain “alloc”

```
cat *.c | decomment | grep alloc
```

- In all of the .c files in the working directory, display all non-comment lines that contain “alloc”

```
cat *.c | decomment | grep alloc | more
```

- In all of the .c files in the working directory, display all non-comment lines that contain “alloc”, one screen at a time

# Creating a Pipe



```
int pipe(int pipefd[2])
```

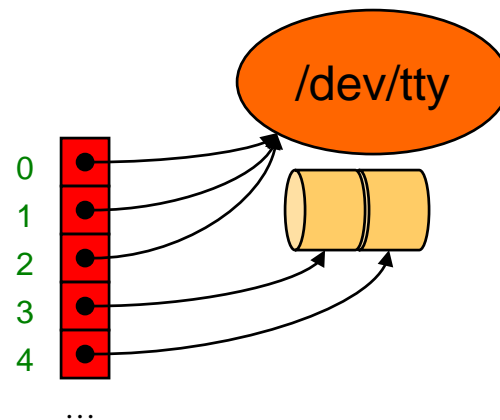
- `pipe()` creates a pipe, a unidirectional data channel that can be used for interprocess communication
- The array `pipefd` is used to return two file descriptors referring to the ends of the pipe
- `pipefd[0]` refers to the read end of the pipe
- `pipefd[1]` refers to the write end of the pipe
- Data written to the write end of the pipe is buffered by the kernel until it is read from the read end of the pipe
- Quoting `man -s2 pipe`



# Pipe Example 1 (1)

Parent process sends data to child process

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(p[1]);
  /* Read from fd p[0] */
  exit(0);
}
/* in parent */
close(p{0});
/* Write to fd p[1] */
wait(NULL);
```



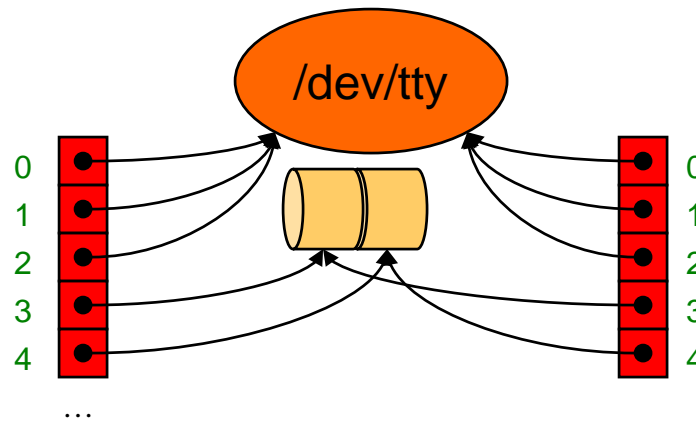
p[0] = 4  
p[1] = 3



# Pipe Example 1 (2)

Parent process sends data to child process

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(p[1]);
  /* Read from fd p[0] */
  exit(0);
}
/* in parent */
close(p{0});
/* Write to fd p[1] */
wait(NULL);
```



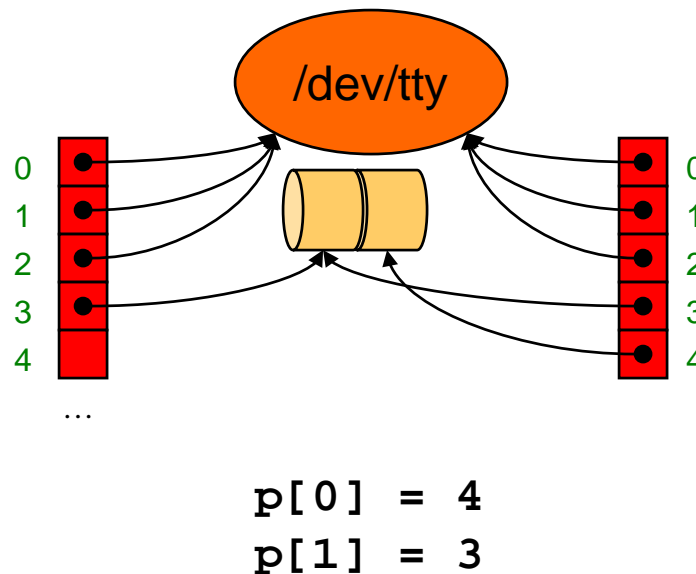
p[0] = 4  
p[1] = 3

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(p[1]);
  /* Read from fd p[0] */
  exit(0);
}
/* in parent */
close(p{0});
/* Write to fd p[1] */
wait(NULL);
```

# Pipe Example 1 (3)

Parent process sends data to child process

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(p[1]);
  /* Read from fd p[0] */
  exit(0);
}
/* in parent */
close(p{0});
/* Write to fd p[1] */
wait(NULL);
```



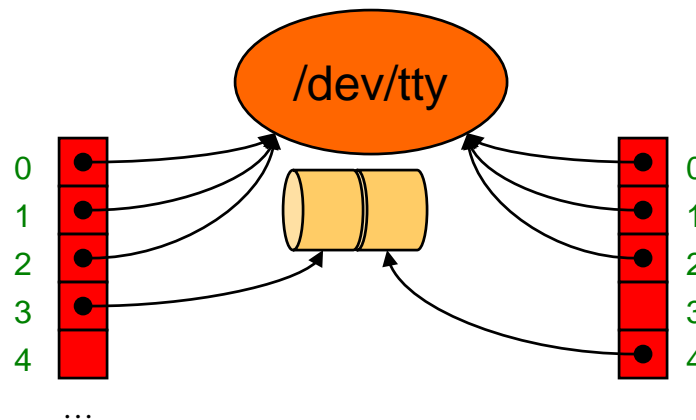
```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(p[1]);
  /* Read from fd p[0] */
  exit(0);
}
/* in parent */
close(p{0});
/* Write to fd p[1] */
wait(NULL);
```



# Pipe Example 1 (4)

Parent process sends data to child process

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(p[1]);
  /* Read from fd p[0] */
  exit(0);
}
/* in parent */
close(p{0});
/* Write to fd p[1] */
wait(NULL);
```



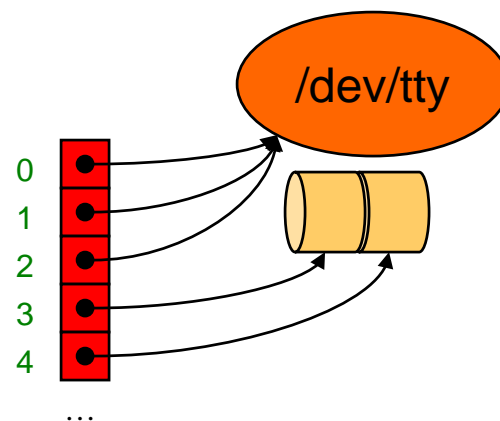
p[0] = 4  
p[1] = 3

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(p[1]);
  /* Read from fd p[0] */
  exit(0);
}
/* in parent */
close(p{0});
/* Write to fd p[1] */
wait(NULL);
```

# Pipe Example 2 (1)

Parent sends data to child through stdin/stdout

```
int p[2];  
...  
pipe(p)  
pid = fork();  
if (pid == 0)  
{ /* in child */  
  close(0);  
  dup(p[0]);  
  close(p[0]);  
  close(p[1]);  
  /* Read from stdin */  
  exit(0);  
}  
/* in parent */  
close(1);  
dup(p[1])  
close(p[1]);  
close(p[0]);  
/* write to stdout */  
wait(NULL);
```



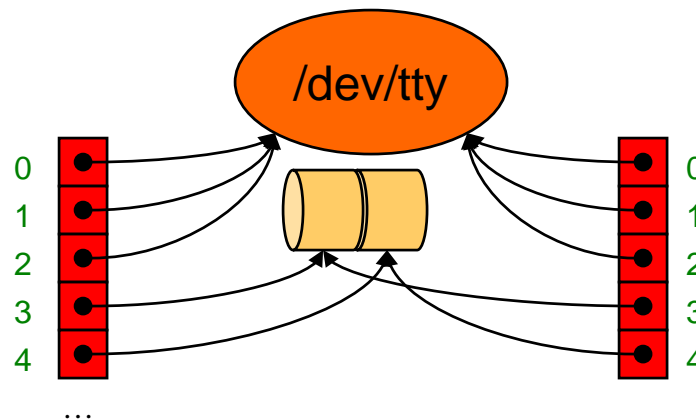
$p[0] = 4$   
 $p[1] = 3$



# Pipe Example 2 (2)

Parent sends data to child through stdin/stdout

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(0);
  dup(p[0]);
  close(p[0]);
  close(p[1]);
  /* Read from stdin */
  exit(0);
}
/* in parent */
close(1);
dup(p[1])
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```



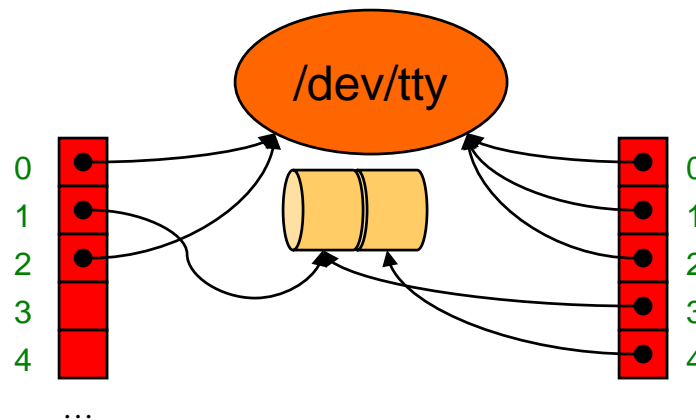
p[0] = 4  
p[1] = 3

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(0);
  dup(p[0]);
  close(p[0]);
  close(p[1]);
  /* Read from stdin */
  exit(0);
}
/* in parent */
close(1);
dup(p[1])
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```

# Pipe Example 2 (3)

Parent sends data to child through **stdin/stdout**

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(0);
  dup(p[0]);
  close(p[0]);
  close(p[1]);
  /* Read from stdin */
  exit(0);
}
/* in parent */
close(1);
dup(p[1])
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```



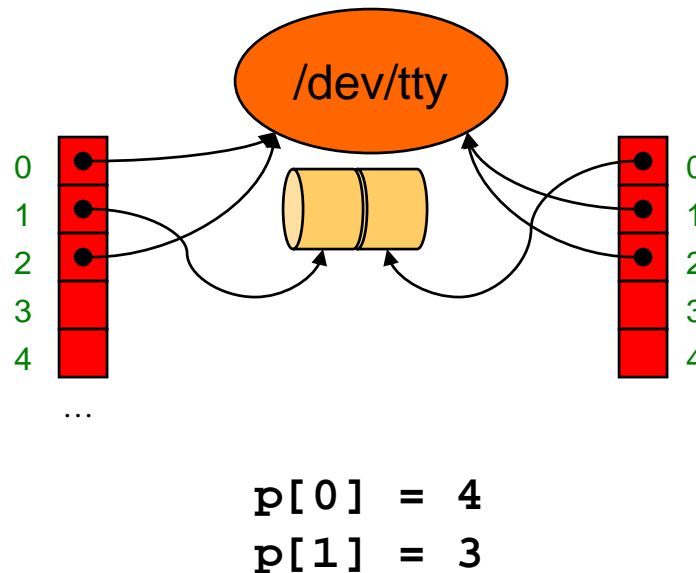
```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(0);
  dup(p[0]);
  close(p[0]);
  close(p[1]);
  /* Read from stdin*/
  exit(0);
}
/* in parent */
close(1);
dup(p[1])
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```



# Pipe Example 2 (4)

Parent sends data to child through stdin/stdout

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(0);
  dup(p[0]);
  close(p[0]);
  close(p[1]);
  /* Read from stdin */
  exit(0);
}
/* in parent */
close(1);
dup(p[1])
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```



Now add in execs, and you get the shell's implementation of pipes!

```
int p[2];
...
pipe(p)
pid = fork();
if (pid == 0)
{ /* in child */
  close(0);
  dup(p[0]);
  close(p[0]);
  close(p[1]);
  /* Read from stdin */
  exit(0);
}
/* in parent */
close(1);
dup(p[1])
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```



# Summary



## The C/Unix file abstraction

### Unix I/O

- File descriptors, file descriptor tables, file tables
- `creat()`, `open()`, `close()`, `read()`, `write()`, `lseek()`

### C's Standard I/O

- `FILE` structure
- `fopen()`, `fclose()`, `fgetc()`, `fputc()`, ...

### Implementing standard C I/O using Unix I/O

- Buffering

### Redirecting standard files

- `dup()`

### Pipes

- `pipe()`