

Princeton University

Computer Science 217: Introduction to Programming Systems



I/O Management

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Goals of this Lecture



Help you to learn about:

- The C/Unix **file** abstraction
- Standard C I/O
 - Data structures & functions
- Unix I/O
 - Data structures & functions
- The implementation of Standard C I/O using Unix I/O
- Programmatic redirection of stdin, stdout, and stderr
- Pipes

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Agenda



The C/Unix file abstraction

Unix I/O system calls

C's Standard IO library (FILE *)

Implementing standard C I/O using Unix I/O

(next time) Redirecting standard files

(next time) Pipes

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C/Unix File Abstraction



Problem:

- At the physical level...
- Code that reads from **keyboard** is very different from code that reads from **disk**, etc.
- Code that writes to **video screen** is very different from code that writes to **disk**, etc.
- Would be nice if application programmer didn't need to worry about such details

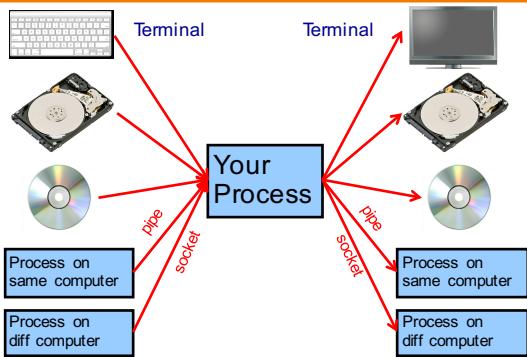
Solution:

- **File**: a sequence of bytes
- C and Unix allow application program to treat any data source/destination as a file

Commentary: **Beautiful abstraction!**

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Data Sources and Destinations



C/Unix File Abstraction



Each file has an associated **file position**

- Starts at beginning of file (if opened to read or write)
- Starts at end of file (if opened to append)



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Agenda

The C/Unix file abstraction
Unix I/O system calls
C's Standard IO library (FILE *)
Implementing standard C I/O using Unix I/O
(next time) Redirecting standard files
(next time) Pipes

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System-Level Functions Covered

As noted in the *Exceptions and Processes* lecture...

Linux system-level functions for I/O management

| Number | Function | Description |
|--------|----------|--|
| 0 | read() | Read data from file descriptor Called by getchar(), scanf(), etc. |
| 1 | write() | Write data to file descriptor Called by putchar(), printf(), etc. |
| 2 | open() | Open file or device Called by fopen(..., "r") |
| 3 | close() | Close file descriptor Called by fclose() |
| 85 | creat() | Open file or device for writing Called by fopen(..., "w") |
| 8 | lseek() | Change file position Called by fseek() |

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System-Level Functions

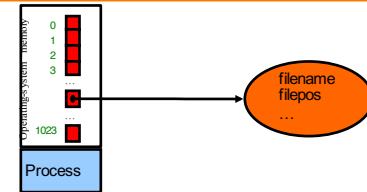
As noted in the *Exceptions and Processes* lecture..

Linux system-level functions for I/O redirection and inter-process communication

| Number | Function | Description |
|--------|----------|---|
| 32 | dup() | Duplicate an open file descriptor |
| 22 | pipe() | Create a channel of communication between processes |

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Unix I/O Data Structures



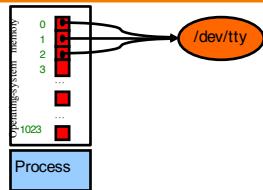
File descriptor: Integer that uniquely identifies an open file

File descriptor table: an array
Indices are file descriptors; elements are pointers to file tables
One unique file descriptor table for each process

File table: a structure
In-memory surrogate for an open file
Created when process opens file; maintains file position

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Unix I/O Data Structures

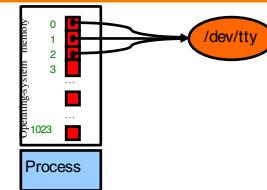


At process start-up files with fd 0, 1, 2 are open automatically
(By default) each references file table for a file named /dev/tty
/dev/tty
In-memory surrogate for the terminal

Terminal
Combination keyboard/video screen

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Unix I/O Data Structures



Read from stdin ⇒ read from fd 0
Write to stdout ⇒ write to fd 1
Write to stderr ⇒ write to fd 2

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Unix I/O Functions



```
int creat(char *filename, mode_t mode);

- Create a new empty file named filename
  - mode indicates permissions of new file
- Implementation:
  - Create new empty file on disk
  - Create file table
  - Set first unused file descriptor to point to file table
  - Return file descriptor used, -1 upon failure

```

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Unix I/O Functions



```
int open(char *filename, int flags, ...);

- Open the file whose name is filename
  - flags often is O_RDONLY
- Implementation (assuming O_RDONLY):
  - Find existing file on disk
  - Create file table
  - Set first unused file descriptor to point to file table
  - Return file descriptor used, -1 upon failure

```

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Unix I/O Functions



```
int close(int fd);

- Close the file fd
- Implementation:
  - Destroy file table referenced by element fd of file descriptor table
    - As long as no other process is pointing to it!
  - Set element fd of file descriptor table to NULL

```

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Unix I/O Functions



```
int read(int fd, void *buf, int count);

- Read into buf up to count bytes from file fd
- Return the number of bytes read; 0 indicates end-of-file


```
int write(int fd, void *buf, int count);

- Writes up to count bytes from buf to file fd
- Return the number of bytes written; -1 indicates error


```
int lseek(int fd, int offset, int whence);

- Set the file position of file fd to file position offset. whence indicates if the file position is measured from the beginning of the file (SEEK_SET), from the current file position (SEEK_CUR), or from the end of the file (SEEK_END)
- Return the file position from the beginning of the file

```


```


```

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Unix I/O Functions



Note

- Only 6 system-level functions support all I/O from all kinds of devices!

Commentary: **Beautiful** interface!

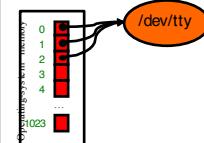
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Unix I/O Example 0



Proto-getchar()

```
#include <iostream.h>  
#include <unistd.h>  
  
int proto_getchar(void)  
{  
    char buf[1];  
    int n;  
  
    n = read(0, buf, 1); // # of bytes to try to read  
    if (n==1)  
        return buf[0]; // 0 is the file descriptor  
    else return EOF;  
}
```



and the problem is . . . too slow.

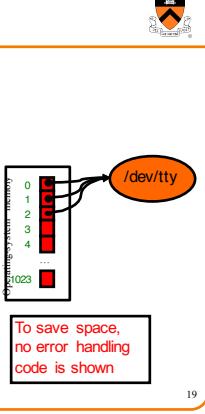
Does a system call for every character.

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Unix I/O Example 1

Write "hello, world\n" to /dev/tty

```
#include <string.h>
#include <unistd.h>
int main(void)
{
    char hi[] = "hello, world\n";
    size_t countWritten = 0;
    size_t countToWrite = strlen(hi);
    while (countWritten < countToWrite)
        countWritten += write(1, hi + countWritten,
                               countToWrite - countWritten);
    return 0;
}
```

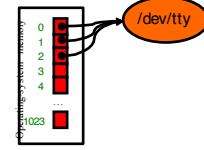


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Unix I/O Example 2

```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
    enum {BUFSIZE = 10};
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = creat("outfile", 0600);
    for (;;)
    {
        countRead =
            read(fdIn, buf, BUFSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
            countWritten +=
                write(fdOut,
                      buf + countWritten,
                      countRead - countWritten);
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```

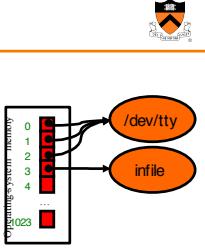
Copy all bytes
from infile to outfile



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Unix I/O Example 2

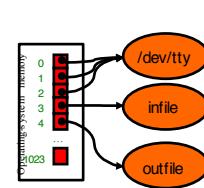
```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
    enum {BUFSIZE = 10};
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = creat("outfile", 0600);
    for (;;)
    {
        countRead =
            read(fdIn, buf, BUFSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
            countWritten +=
                write(fdOut,
                      buf + countWritten,
                      countRead - countWritten);
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```



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Unix I/O Example 2

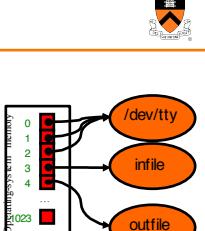
```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
    enum {BUFSIZE = 10};
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = creat("outfile", 0600);
    for (;;)
    {
        countRead =
            read(fdIn, buf, BUFSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
            countWritten +=
                write(fdOut,
                      buf + countWritten,
                      countRead - countWritten);
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```



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Unix I/O Example 2

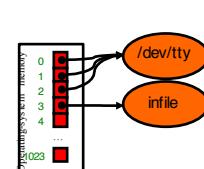
```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
    enum {BUFSIZE = 10};
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = creat("outfile", 0600);
    for (;;)
    {
        countRead =
            read(fdIn, buf, BUFSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
            countWritten +=
                write(fdOut,
                      buf + countWritten,
                      countRead - countWritten);
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```



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Unix I/O Example 2

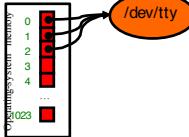
```
#include <fcntl.h>
#include <unistd.h>
int main(void)
{
    enum {BUFSIZE = 10};
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = creat("outfile", 0600);
    for (;;)
    {
        countRead =
            read(fdIn, buf, BUFSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
            countWritten +=
                write(fdOut,
                      buf + countWritten,
                      countRead - countWritten);
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```



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Unix I/O Example 2

```
#include <fcntl.h>
#include <sys/types.h>
int main(void)
{
    const int BUFSIZE = 10;
    int fdIn, fdOut;
    int countRead, countWritten;
    char buf[BUFSIZE];
    fdIn = open("infile", O_RDONLY);
    fdOut = open("outfile", O_WRONLY);
    for(;;)
    {
        countRead =
            read(fdIn, buf, BUFSIZE);
        if (countRead == 0) break;
        countWritten = 0;
        while (countWritten < countRead)
        {
            countWritten +=
                write(fdOut,
                  buf + countWritten,
                  countRead - countWritten);
        }
    }
    close(fdOut);
    close(fdIn);
    return 0;
}
```



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Agenda

The C/Unix file abstraction

Unix I/O system calls

C's Standard IO library (FILE *)

Implementing standard C I/O using Unix I/O

(next time) Redirecting standard files

(next time) Pipes



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Standard C I/O Data Structure



We want 1-character-at-a-time I/O (getc(), putc())
We want a-few-characters-at-a-time I/O (scanf, printf)
We could do this with read() and write() system calls,
BUT IT WOULD BE TOO SLOW to do 1 syscall per byte

Solution: Buffered input/output as an Abstract Data Type

The FILE ADT

- A FILE object is an in-memory surrogate for an opened file
- Created by `fopen()`
- Destroyed by `fclose()`
- Used by reading/writing functions

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Standard C I/O Functions



Some of the most popular:

```
FILE *fopen(const char *filename, const char *mode);
• Open the file named filename for reading or writing
• mode indicates data flow direction
  • "r" means read; "w" means write, "a" means append
• Creates FILE structure
• Returns address of FILE structure

int fclose(FILE *file);
• Close the file identified by file
• Destroys FILE structure whose address is file
• Returns 0 on success, EOF on failure
```

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Standard C Input Functions



Some of the most popular:

```
int fgetc(FILE *file);
• Read a char from the file identified by file
• Return the char on success, EOF on failure

int getchar(void);
• Same as fgetc(stdin)

char *fgets(char *s, int n, FILE *file);
• Read at most n characters from file into array s
• Returns s on success, NULL on failure

char *gets(char *s);
• Essentially same as fgets(s, INT_MAX, stdin)
• Using "gets" counts as Moral Turpitude for software engineers
```

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Standard C Input Functions



Some of the most popular:

```
int fscanf(FILE *file, const char *format, ...);
• Read chars from the file identified by file
• Convert to values, as directed by format
• Copy values to memory
• Return count of values successfully scanned

int scanf(const char *format, ...);
• Same as fscanf(stdin, format, ...)
```

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Standard C Output Functions



Some of the most popular:

```
int fputc(int c, FILE *file);
• Write c (converted to a char) to file
• Return c on success, EOF on failure

int putchar(int c);
• Same as fputc(c, stdout)

int fputs(const char *s, FILE *file);
• Write string s to file
• Return non-negative on success, EOF on error

int puts(const char *s);
• Essentially same as fputs(s, stdout)
```

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Standard C Output Functions



Some of the most popular:

```
int fprintf(FILE *file, const char *format, ...);
• Write chars to the file identified by file
• Convert values to chars, as directed by format
• Return count of chars successfully written
• Works by calling fputc() repeatedly

int printf(const char *format, ...);
• Same as fprintf(stdout, format, ...)
```

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Standard C I/O Functions



Some of the most popular:

```
int fflush(FILE *file);
• On an output file: write any buffered chars to file
• On an input file: behavior undefined
• file == NULL → flush buffers of all open files

int fseek(FILE *file, long offset, int origin);
• Set the file position of file
• Subsequent read/write accesses data starting at that position
• Origin: SEEK_SET, SEEK_CUR, SEEK_END

int ftell(FILE *file);
• Return file position of file on success, -1 on error
```

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Standard C I/O Example 1



Write "hello, world\n" to stdout

```
#include <stdio.h>
int main(void)
{
    char hi[] = "hello world\n";
    size_t i = 0;
    while (hi[i] != '\0')
    {
        putchar(hi[i]);
        i++;
    }
    return 0;
}
```

Simple
Portable
Efficient (via buffering)

```
#include <stdio.h>
int main(void)
{
    puts("hello, world");
    return 0;
}
```

```
#include <stdio.h>
int main(void)
{
    printf("hello, world\n");
    return 0;
}
```

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Standard C I/O Example 2



Copy all bytes from infile to outfile

```
#include <stdio.h>
int main(void)
{
    int c;
    FILE *inFile;
    FILE *outFile;
    inFile = fopen("infile", "r");
    outFile = fopen("outfile", "w");
    while ((c = fgetc(inFile)) != EOF)
        fputc(c, outFile);
    fclose(outFile);
    fclose(inFile);
    return 0;
}
```

Simple
Portable
Efficient (via buffering)

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Standard C Buffering



Question: Exactly when are buffers flushed?

Answers:

If reading from a file

- (1) When buffer is empty

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Standard C Buffering



Question: Exactly when are buffers flushed?

Answers:

If writing to an ordinary file

- (1) File's buffer becomes full
- (2) Process calls `fflush()` on that file
- (3) Process terminates normally

If writing to `stdout` (in addition to previous)

- (4) `stdout` is bound to terminal and '`\n`' is appended to buffer
- (5) `stdin` and `stdout` are bound to terminal and read from `stdin` occurs

If writing to `stderr`

- irrelevant; `stderr` is unbuffered

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Standard C Buffering Example



```
#include <stdio.h>
int main(void)
{ int dividend, divisor, quotient;

    printf("Dividend: ");
    scanf("%d", &dividend); ← Output buffered
    printf("Divisor: ");
    scanf("%d", &divisor); ← Buffer flushed
    ← Output buffered
    printf("The quotient is ");
    quotient = dividend / divisor;
    printf("%d\n", quotient); ← Output buffered
    return 0;
} ← Buffer flushed
```

```
$ pgm
Dividend: 6
```

```
$ pgm
Dividend: 6
Divisor: 0
Floating point exception
$
```

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Agenda



The C/Unix file abstraction

Unix I/O system calls

C's Standard IO library (`FILE *`)

Implementing standard C I/O using Unix I/O

(next time) Redirecting standard files

(next time) Pipes

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Standard C I/O

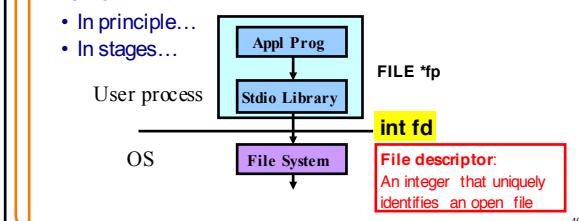


Question:

- How to implement standard C I/O data structure and functions using Unix I/O data structures and functions?

Answer:

- In principle...
- In stages...



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Implementing getchar and putchar



`getchar()` calls `read()` to read one byte from fd 0
`putchar()` calls `write()` to write one byte to fd 1

```
int getchar(void)
{ unsigned char c;
  if (read(0, &c, 1) == 1)
    return (int)c;
  else
    return EOF;
}
```

```
int putchar(int c)
{ if (write(1, &c, 1) == 1)
  return c;
  else
  return EOF;
}
```

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Implementing Buffering



Problem: poor performance

- `read()` and `write()` access a physical device (e.g., a disk)
- Reading/writing one char at a time can be time consuming
- Better to read and write in larger blocks
- Recall *Storage Management* lecture

Solution: buffered I/O

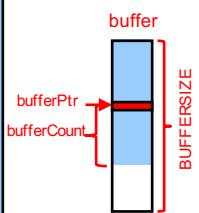
- Read a large block of chars from source device into a buffer
- Provide chars from buffer to the client as needed
- Write individual chars to a buffer
 - "Flush" buffer contents to destination device when buffer is full, or when file is closed, or upon client request

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Implementing getchar Version 2

getchar() calls read() to read multiple chars from fd 0 into buffer

```
int getchar(void)
{
    enum {BUFSIZE = 4096}; /*arbitrary*/
    static unsigned char buffer[BUFSIZE];
    static unsigned char *bufferPtr;
    static int bufferCount = 0;
    if (bufferCount == 0) /* must read */
    {
        bufferCount =
            read(0, buffer, BUFSIZE);
        if (bufferCount <= 0) return EOF;
        bufferPtr = buffer;
    }
    bufferCount--;
    bufferPtr++;
    return (int) (*bufferPtr-1);
}
```



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Implementing putchar Version 2

putchar() calls write() to write multiple chars from buffer to fd 1

```
int putchar(int c)
{
    enum {BUFSIZE = 4096};
    static char buffer[BUFSIZE];
    static int bufferCount = 0;
    if (bufferCount == BUFSIZE) /* must write */
    {
        int countWritten = 0;
        while (countWritten < bufferCount)
        {
            int count =
                write(1, buffer+countWritten, BUFSIZE - countWritten);
            if (count <= 0) return EOF;
            countWritten += count;
        }
        bufferCount = 0;
    }
    buffer[bufSzCount] = (char)c;
    bufferCount++;
    return c;
}
```

Real implementation also flushes buffer at other times

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Implementing the FILE ADT

Observation:

- getchar() reads from stdin (fd 0)
- putchar() writes to stdout (fd 1)

Problem:

- How to read/write from/to files other than stdin (fd 0) and stdout (fd 1)?
- Example: How to define fgetc() and fputc()?

Solution:

- Use FILE structure

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Implementing the FILE ADT

```
enum {BUFSIZE = 4096};

struct File
{
    unsigned char buffer[BUFSIZE]; /* buffer */
    int bufferCount; /* num chars left in buffer */
    unsigned char *bufferPtr; /* ptr to next char in buffer */
    int flags; /* open mode flags, etc. */
    int fd; /* file descriptor */
};

typedef struct File FILE;

/* Initialize standard files. */
FILE *stdin = ...;
FILE *stdout = ...;
FILE *stderr = ...;
```

Derived from
K&R Section 8.5
More complex
on our system

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Implementing fopen and fclose

```
f = fopen(filename, "r")
    • Create new FILE structure; set f to point to it
    • Initialize all fields
    • f->fd = open(filename, ...)
    • Return f

f = fopen(filename, "w")
    • Create new FILE structure; set f to point to it
    • Initialize all fields
    • f->fd = creat(filename, ...)
    • Return f

fclose(f)
    • close(f->fd)
    • Destroy FILE structure
```

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Implementing fgetc

```
int fgetc(FILE *f)
{
    if (f->bufferCount == 0) /* must read */
    {
        f->bufferCount =
            read(f->fd, f->buffer, BUFSIZE);
        if (f->bufferCount <= 0) return EOF;
        f->bufferPtr = f->buffer;
    }
    f->bufferCount--;
    f->bufferPtr++;
    return (int) (*f->bufferPtr-1);
}
```

- Accepts FILE pointer f as parameter
- Uses fields within f
- Reads from f->fd instead of 0

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Implementing fputc



```

int fputc(int c, FILE *f)
{
    if (f->buff->Count == BUFSIZE) /* must write */
    {
        int countWritten = 0;
        while (countWritten < f->bufferCount)
        {
            int count =
                write(f->fd,
                      f->buffer->CountWritten,
                      BUFSIZE - countWritten);
            if (count < 0) return EOF;
            countWritten += count;
        }
        f->bufferCount = 0;
    }
    f->buffer[f->bufferCount] = (char)c;
    f->bufferCount++;
    return c;
}

```

Real implementation
also flushes buffer
at other times

- Accepts FILE pointer f as parameter
- Uses fields within f
- Writes to f->fd instead of 1

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Implementing Standard C I/O Functions



| Standard C Function | In Unix Implemented by Calling |
|---------------------|--------------------------------|
| fopen() | open() or creat() |
| fclose() | close() |

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Implementing Standard C I/O Functions



| Standard C Function | In Unix Implemented by Calling |
|---------------------|--------------------------------|
| fgetc() | read() |
| getchar() | fgetc() |
| fgets() | fgetc() |
| gets() | fgets() |
| fscanf() | fgetc() |
| scanf() | fscanf() |

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Implementing Standard C I/O Functions



| Standard C Function | In Unix Implemented by Calling |
|---------------------|--------------------------------|
| fputc() | write() |
| putchar() | fputc() |
| fputs() | fputc() |
| puts() | fputs() |
| fprintf() | fputc() |
| printf() | fprintf() |

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Implementing Standard C I/O Functions



| Standard C Function | In Unix Implemented by Calling |
|---------------------|--------------------------------|
| fflush() | |
| fseek() | Iseek() |
| ftell() | Iseek() |

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Agenda



- The C/Unix file abstraction
- Unix I/O system calls
- C's Standard IO library (FILE *)
- Implementing standard C I/O using Unix I/O
- (next time) Redirecting standard files
- (next time) Pipes

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Redirection

Unix allows programmatic redirection of `stdin`, `stdout`, or `stderr`

How?

- Use `open()`, `creat()`, and `close()` system-level functions
 - Use `dup()` system-level function
- ```
int dup(int oldfd);
 • Create a copy of file descriptor oldfd
 • Old and new file descriptors may be used interchangeably; they refer to the same open file table and thus share file position and file status flags
 • Uses the lowest-numbered unused descriptor for the new descriptor
 • Returns the new descriptor, or -1 if an error occurred.
```

Paraphrasing man page

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## Redirection Example

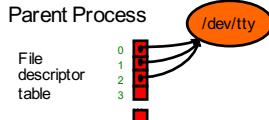
How does shell implement `somename > somefile`?

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execvp(somename, someargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```



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## Redirection Example Trace (1)



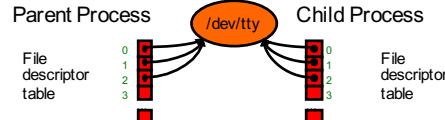
```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execvp(somename, someargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Parent has file descriptor table; first three point to "terminal"



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## Redirection Example Trace (2)



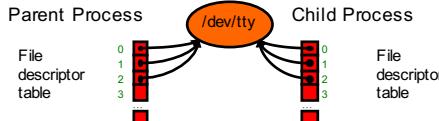
```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execvp(somename, someargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Parent forks child; child has identical-but distinct file descriptor table



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## Redirection Example Trace (3)



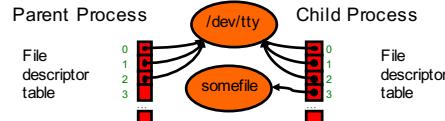
```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execvp(somename, someargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Let's say OS gives CPU to parent; parent waits



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## Redirection Example Trace (4)



```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execvp(somename, someargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

3

OS gives CPU to child; child creates somefile



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## Redirection Example Trace (5)

Parent Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child closes file descriptor 1 (stdout)



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## Redirection Example Trace (6)

Parent Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

3



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## Redirection Example Trace (7)

Parent Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child closes file descriptor 3



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## Redirection Example Trace (8)

Parent Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

3



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## Redirection Example Trace (9)

Parent Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somepgm, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child Process

File descriptor table

somepgm

Somepgm executes with stdout redirected to somefile



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## Redirection Example Trace (10)

Parent Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somefile, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Child Process

File descriptor table

```
pid = fork();
if (pid == 0)
{
 /* in child */
 fd = creat("somefile", 0600);
 close(1);
 dup(fd);
 close(fd);
 execv(somefile, somargv);
 fprintf(stderr, "exec failed\n");
 exit(EXIT_FAILURE);
}
/* in parent */
wait(NULL);
```

Somepgm exits; parent returns from `wait()` and proceeds



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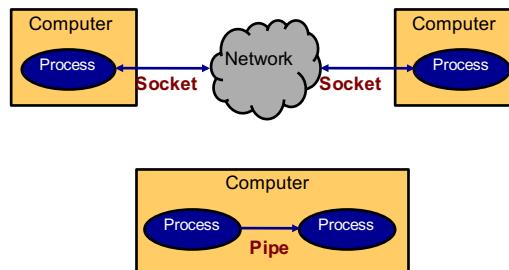
## Agenda

- The C/Unix file abstraction
- Unix I/O system calls
- C's Standard IO library (FILE \*)
- Implementing standard C I/O using Unix I/O
- Redirecting standard files
- Pipes



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## Inter-Process Communication (IPC)



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## IPC Mechanisms



### Socket

- Mechanism for **two-way** communication between processes on **any computers** on same network
- Processes created independently
- Used for client/server communication (e.g., Web)

### Pipe

- Mechanism for **one-way** communication between processes on the **same computer**
- Allows parent process to communicate with child process
- Allows two "sibling" processes to communicate
- Used mostly for a **pipeline of filters**

Both support **file abstraction**

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## Pipes, Filters, and Pipelines



### Pipe



**Filter:** Program that reads from stdin and writes to stdout



**Pipeline:** Combination of pipes and filters



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## Pipeline Examples



When debugging your shell program...

```
grep alloc *.c
 • In all of the .c files in the working directory, display all lines that contain "alloc"

cat *.c | decomment | grep alloc
 • In all of the .c files in the working directory, display all non-comment lines that contain "alloc"

cat *.c | decomment | grep alloc | more
 • In all of the .c files in the working directory, display all non-comment lines that contain "alloc", one screen at a time
```

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## Creating a Pipe



```
int pipe(int pipefd[2])
```

- `pipe()` creates a pipe, a unidirectional data channel that can be used for interprocess communication
- The array `pipefd` is used to return two file descriptors referring to the ends of the pipe
- `pipefd[0]` refers to the read end of the pipe
- `pipefd[1]` refers to the write end of the pipe
- Data written to the write end of the pipe is buffered by the kernel until it is read from the read end of the pipe

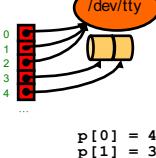
- Quoting `man -s2 pipe`

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## Pipe Example 1 (1)

Parent process sends data to child process

```
int p[2];
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(p[1]);
 /* Read from fd p[0] */
 exit(0);
}
/* in parent */
close(p[0]);
/* Write to fd p[1] */
wait(NULL);
```



$p[0] = 4$   
 $p[1] = 3$



## Pipe Example 1 (2)

Parent process sends data to child process

```
int p[2];
...
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(p[1]);
 /* Read from fd p[0] */
 exit(0);
}
/* in parent */
close(p[0]);
/* Write to fd p[1] */
wait(NULL);
```

$p[0] = 4$   
 $p[1] = 3$

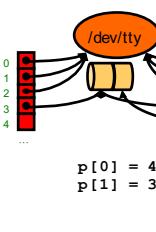
```
int p[2];
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(p[1]);
 /* Read from fd p[0] */
 exit(0);
}
/* in parent */
close(p[1]);
/* Write to fd p[0] */
wait(NULL);
```

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## Pipe Example 1 (3)

Parent process sends data to child process

```
int p[2];
...
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(p[1]);
 /* Read from fd p[0] */
 exit(0);
}
/* in parent */
close(p[0]);
/* Write to fd p[1] */
wait(NULL);
```



$p[0] = 4$   
 $p[1] = 3$



## Pipe Example 1 (4)

Parent process sends data to child process

```
int p[2];
...
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(p[1]);
 /* Read from fd p[0] */
 exit(0);
}
/* in parent */
close(p[0]);
/* Write to fd p[1] */
wait(NULL);
```

$p[0] = 4$   
 $p[1] = 3$

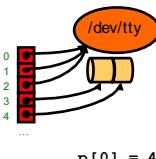
```
int p[2];
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(p[1]);
 /* Read from fd p[0] */
 exit(0);
}
/* in parent */
close(p[1]);
/* Write to fd p[0] */
wait(NULL);
```

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## Pipe Example 2 (1)

Parent process sends data to child process using standard C functions

```
int p[2];
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(0);
 dup(p[0]);
 close(p[0]);
 close(p[1]);
 /* Read from stdin */
 exit(0);
}
/* in parent */
close(1);
dup(p[1]);
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```



$p[0] = 4$   
 $p[1] = 3$



## Pipe Example 2 (2)

Parent process sends data to child process using standard C functions

```
int p[2];
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(0);
 dup(p[0]);
 close(p[0]);
 close(p[1]);
 /* Read from stdin */
 exit(0);
}
/* in parent */
close(1);
dup(p[1]);
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```

$p[0] = 4$   
 $p[1] = 3$

```
int p[2];
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(0);
 dup(p[0]);
 close(p[0]);
 close(p[1]);
 /* Read from stdin */
 exit(0);
}
/* in parent */
close(1);
dup(p[1]);
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```

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## Pipe Example 2 (3)

Parent process sends data to child process using standard C functions

```
int p[2];
...
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(0);
 dup(p[1]);
 close(p[0]);
 close(p[1]);
 /* Read from stdin */
 exit(0);
}
/* in parent */
close(1);
dup(p[0]);
close(p[1]);
close(p[0]);
/* write to stdout */
write(NULL);
```

```
int p[2];
...
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(0);
 dup(p[1]);
 close(p[0]);
 close(p[1]);
 /* Read from stdin */
 exit(0);
}
/* in parent */
close(1);
dup(p[1]);
close(p[0]);
close(p[1]);
/* Read from stdin */
exit(0);
}
```

$p[0] = 4$   
 $p[1] = 3$



## Pipe Example 2 (4)

Parent process sends data to child process using standard C functions

```
int p[2];
...
pipe(p);
pid = fork();
if (pid == 0)
{
 /* in child */
 close(0);
 dup(p[1]);
 close(p[0]);
 close(p[1]);
 close(p[0]);
 /* Read from stdin */
 exit(0);
}
/* in parent */
close(1);
dup(p[1]);
close(p[1]);
close(p[0]);
/* write to stdout */
wait(NULL);
```

$p[0] = 4$   
 $p[1] = 3$



## Summary

The C/Unix file abstraction

Unix I/O

- File descriptors, file descriptor tables, file tables
- `creat()`, `open()`, `close()`, `read()`, `write()`, `lseek()`

C's Standard I/O

- `FILE` structure
- `fopen()`, `fclose()`, `fgetc()`, `fputc()`, ...

Implementing standard C I/O using Unix I/O

- Buffering

Redirecting standard files

- `dup()`
- `pipe()`

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