

Princeton University

Computer Science 217: Introduction to Programming Systems



Dynamic Memory Management

1

Goals of this Lecture



Help you learn about:

- The need for dynamic* memory mgmt (DMM)
- Implementing DMM using the heap section
- Implementing DMM using virtual memory

* During program execution

2

System-Level Functions Covered



As noted in the *Exceptions and Processes* lecture...

Linux system-level functions for **dynamic memory management (DMM)**

Number	Function	Description
12	brk()	Move the program break, thus changing the amount of memory allocated to the HEAP
12	sbrk()	(Variant of previous)
9	mmap()	Map a virtual memory page
11	munmap()	Unmap a virtual memory page

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Goals for DMM



Goals for effective DMM:

- Time efficiency
 - Allocating and freeing memory should be fast
- Space efficiency
 - Pgm should use little memory

Note

- Easy to reduce time **or** space
- Hard to reduce time **and** space

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Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

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Why Allocate Memory Dynamically?



Why allocate memory dynamically?

Problem

- Number of objects needed not known in advance
 - e.g., how many elements of linked list or tree?
 - Unknown object size
 - e.g., how large should the array be, in hash table?
- How much memory to allocate?

Solution 1

- Guess!

Solution 2

- Allocate memory dynamically

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Why Free Memory Dynamically?

Why **free** memory dynamically?

Problem

- Pgm should use little memory, i.e.
- Pgm should **map** few pages of virtual memory
- Mapping unnecessary VM pages bloats page tables, wastes memory/disk space

Solution

- Free dynamically allocated memory that is no longer needed



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Option 1: Automatic Freeing

Run-time system frees unneeded memory

- Java, Python, ...
- **Garbage collection**

Pros:

- Easy for programmer
- Fewer bugs
- Simpler interfaces between modules
- Fewer bugs

```
Car c;  
Plane p;  
...  
c = new Car();  
p = new Plane();  
...  
c = new Car();  
...
```

Original Car
object can't
be accessed

Cons:

- Performed constantly \Rightarrow overhead
- Performed periodically \Rightarrow unexpected pauses
(these days, high-performance garbage collectors minimize overhead and pause latency)

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Option 2: Manual Freeing



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Programmer frees unneeded memory

- C, C++, Objective-C, ...

Pros

- No overhead
- No unexpected pauses

Cons

- More complex for programmer
- Opens possibility of memory-related bugs
 - Dereferences of dangling pointers, double frees, memory leaks

Conclusion:



Program in a safe,
garbage-collected
language!

(not in C)

Use unsafe languages with
manual memory
management (such as C)

only for low-level programs
where the overhead or
latency of garbage collection
is intolerable

such as: OS kernels,
device drivers

All right then, let's see how manual memory
management works in C

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C memory allocation library



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Standard C dynamic-memory-management functions:

```
void *malloc(size_t size);  
void free(void *ptr);  
void *calloc(size_t nmemb, size_t size);  
void *realloc(void *ptr, size_t size);
```

Collectively define a **dynamic memory manager (DMMgr)**

We'll focus on **malloc()** and **free()**

Implementing malloc() and free()



Question:

- How to implement **malloc()** and **free()**?
- How to implement a DMMgr?

Answer 1:

- Use the heap section of memory

Answer 2:

- (Later in this lecture)

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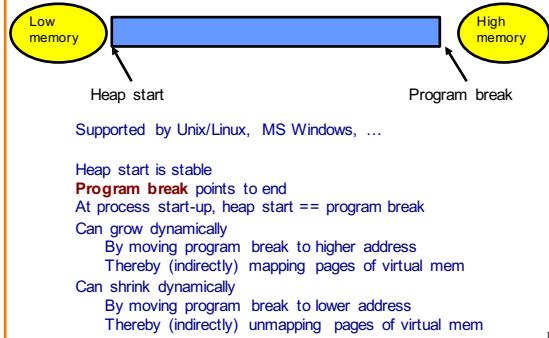
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- DMM using virtual memory
- DMMgr 6: VM implementation



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The Heap Section of Memory



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Unix Heap Management



Unix system-level functions for heap mgmt:

```
int brk(void *p);
    • Move the program break to address p
    • Return 0 if successful and -1 otherwise

void *sbrk(intptr_t n);
    • Increment the program break by n bytes
    • Return previous break if successful and (void*) -1 otherwise
    • [Therefore] If n is 0, return the current location of the program break
    • Beware: On Linux has a known bug (overflow not handled); should call only with argument 0.
```

Note: minimal interface (good!)

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Minimal Impl



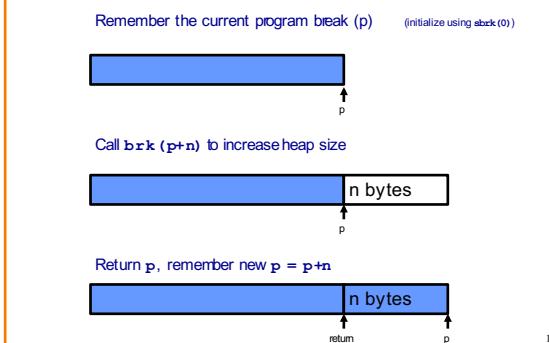
Data structures

- One word: remember the current value of program break

Algorithms (by examples)...

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Minimal Impl malloc(n) Example



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Minimal Impl free(p) Example

Do nothing!



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Minimal Impl

Algorithms

```
static void *current_break;
void *malloc(size_t n)
{
    char *p = current_break;
    if (!p) p=(char *)sbrk(0);
    if (brk(p+n) == -1)
        return NULL;
    current_break = p+n;
    return (void*)p;
}
```

```
void free(void *p)
{
}
```

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Minimal Impl Performance

Performance (general case)

- **Time:** bad
 - One system call per `malloc()`
- **Space:** bad
 - Each call of `malloc()` extends heap size
 - No reuse of freed chunks

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What's Wrong?

Problem

- `malloc()` executes a system call every time

Solution

- Redesign `malloc()` so it does fewer system calls
- Maintain a pad at the end of the heap...

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Pad Impl

Data structures



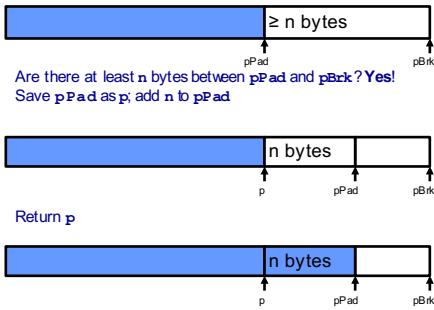
- `pBrk`: address of end of heap (i.e. the program break)
- `pPad`: address of beginning of pad

```
char *pPad = NULL;
char *pBrk = NULL;
```

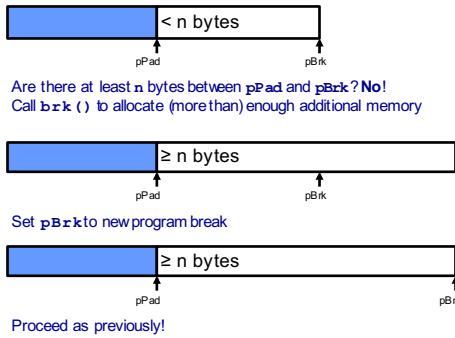
Algorithms (by examples)...

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Pad Impl malloc(n) Example 1



Pad Impl malloc(n) Example 2

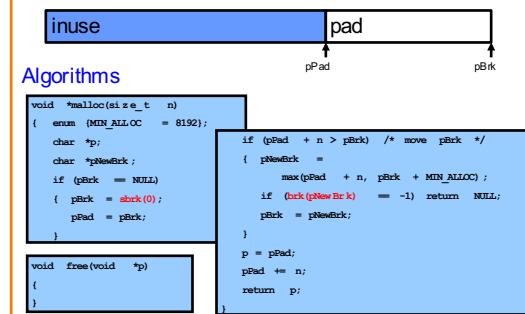


Pad Impl free(p) Example

Do nothing!

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Pad Impl



Pad Impl Performance

Performance (general case)

- Time: good
 - `malloc()` calls `sbrk()` initially
 - `malloc()` calls `brk()` infrequently thereafter
- Space: bad
 - No reuse of freed chunks

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What's Wrong?

Problem

- `malloc()` doesn't reuse freed chunks

Solution

- `free()` marks freed chunks as "free"
- `malloc()` uses marked chunks whenever possible
- `malloc()` extends size of heap only when necessary

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Fragmentation

At any given time, some heap memory chunks are in use, some are marked “free”



DMMgr must be concerned about **fragmentation...**

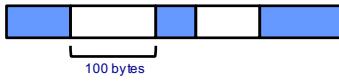


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Internal Fragmentation

Internal fragmentation: waste **within** chunks

Example



Client asks for 90 bytes
DMMgr provides chunk of size 100 bytes
10 bytes wasted

Generally

Program asks for n bytes
DMMgr provides chunk of size $n + \Delta$ bytes

Δ bytes wasted

Space efficiency ⇒

DMMgr should reduce internal fragmentation

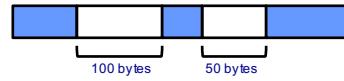


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External Fragmentation

External fragmentation: waste **between** chunks

Example



Client asks for 150 bytes
150 bytes are available, but not contiguously
DMMgr must extend size of heap

Generally

Program asks for n bytes
n bytes are available, but not *contiguously*
DMMgr must extend size of heap to satisfy request

Space efficiency ⇒

DMMgr should reduce external fragmentation



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DMMgr Desired Behavior Demo



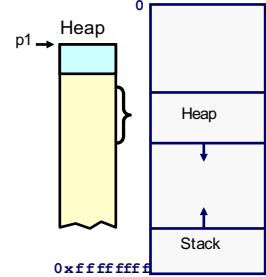
```
char *p1 = malloc(3);  
char *p2 = malloc(1);  
char *p3 = malloc(4);  
free(p2);  
char *p4 = malloc(6);  
free(p3);  
char *p5 = malloc(2);  
free(p1);  
free(p4);  
free(p5);
```

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DMMgr Desired Behavior Demo

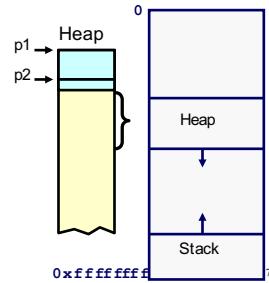


```
char *p1 = malloc(3);  
char *p2 = malloc(1);  
char *p3 = malloc(4);  
free(p2);  
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free(p1);  
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free(p5);
```



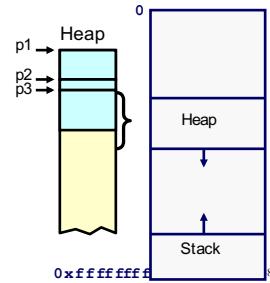
DMMgr Desired Behavior Demo

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char *p1 = malloc(3);
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DMMgr Desired Behavior Demo

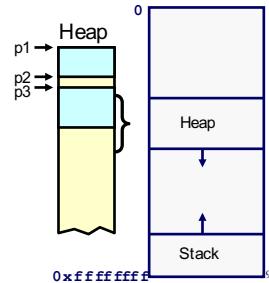
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```



DMMgr Desired Behavior Demo

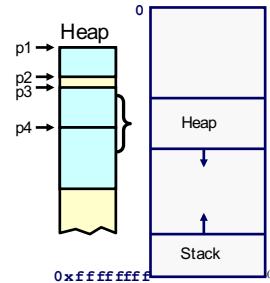
External fragmentation occurred

```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
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DMMgr Desired Behavior Demo

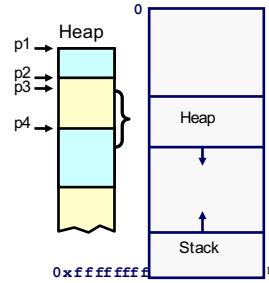
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free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



DMMgr Desired Behavior Demo

DMMgr coalesced two free chunks

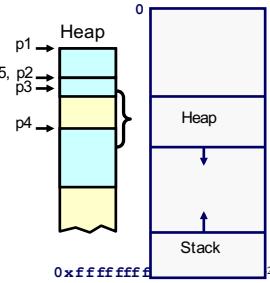
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free(p5);
```



DMMgr Desired Behavior Demo

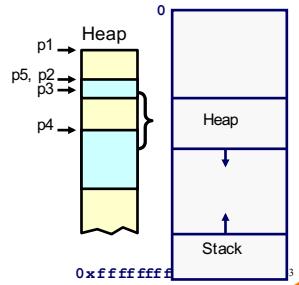
DMMgr reused previously freed chunk

```
char *p1 = malloc(3);
char *p2 = malloc(1);
char *p3 = malloc(4);
free(p2);
char *p4 = malloc(6);
free(p3);
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free(p5);
```



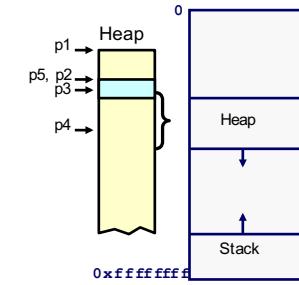
DMMgr Desired Behavior Demo

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free(p5);
```



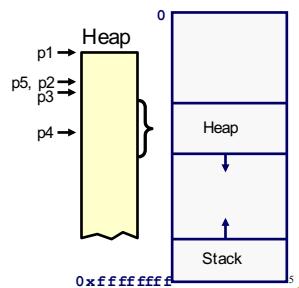
DMMgr Desired Behavior Demo

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DMMgr Desired Behavior Demo

```
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free(p3);
char *p5 = malloc(2);
free(p1);
free(p4);
free(p5);
```



DMMgr Desired Behavior Demo

DMMgr cannot:

- Reorder requests
 - Client may allocate & free in arbitrary order
 - Any allocation may request arbitrary number of bytes
- Move memory chunks to improve performance
 - Client stores addresses
 - Moving a memory chunk would invalidate client pointer!

Some external fragmentation is unavoidable

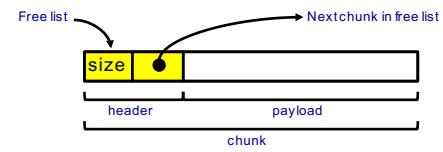
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List Impl

Data structures



Free list contains all free chunks

In order by mem addr

Each chunk contains header & payload

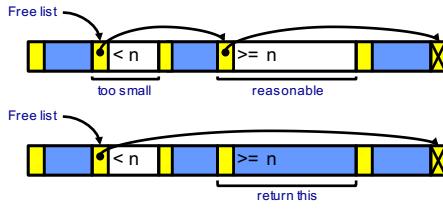
Payload is used by client

Header contains chunk size & (if free) addr of next chunk in free list

Algorithms (by examples)...

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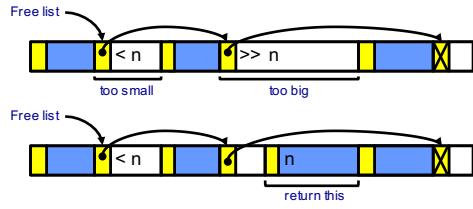
List Impl: malloc(n) Example 1



Search list for big-enough chunk
Note: **first-fit** (not **best-fit**) strategy
Found & reasonable size \Rightarrow
Remove from list and return payload

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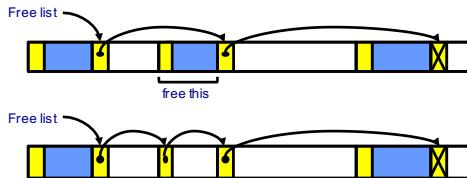
List Impl: malloc(n) Example 2



Search list for big-enough chunk
Found & too big \Rightarrow
Split chunk, return payload of tail end
Note: Need not change links

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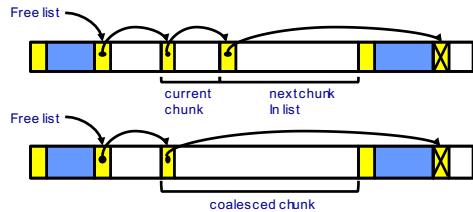
List Impl: free(p) Example



Search list for proper insertion spot
Insert chunk into list
(Not finished yet!)

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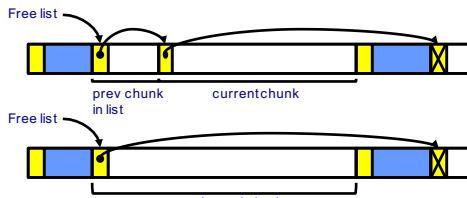
List Impl: free(p) Example (cont.)



Look at current chunk
Next chunk in memory == next chunk in list \Rightarrow
Remove both chunks from list
Coalesce
Insert chunk into list
(Not finished yet!)

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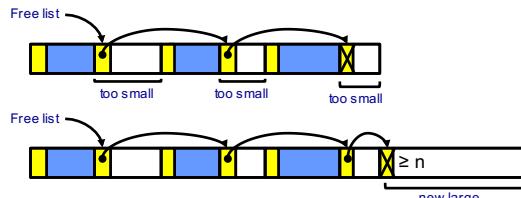
List Impl: free(p) Example (cont.)



Look at prev chunk in list
Next in memory == next in list \Rightarrow
Remove both chunks from list
Coalesce
Insert chunk into list
(Finished!)

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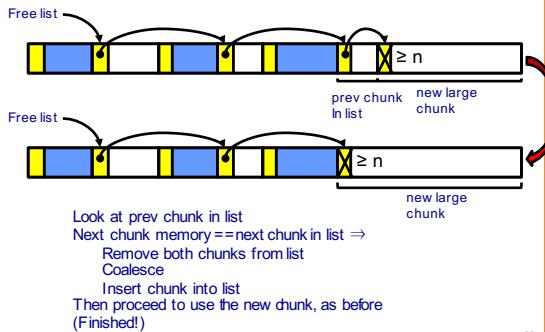
List Impl: malloc(n) Example 3



Search list for big-enough chunk
None found \Rightarrow
Call `bxk()` to increase heap size
Insert new chunk at end of list
(Not finished yet!)

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List Impl: malloc(n) Example 3 (cont.)



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List Impl

Algorithms (see precepts for more precision)

malloc(n)

- Search free list for big-enough chunk
- Chunk found & reasonable size \Rightarrow remove, use
- Chunk found & too big \Rightarrow split, use tail end
- Chunk not found \Rightarrow increase heap size, create new chunk
- New chunk reasonable size \Rightarrow remove, use
- New chunk too big \Rightarrow split, use tail end

free(p)

- Search free list for proper insertion spot
- Insert chunk into free list
- Next chunk in memory also free \Rightarrow remove both, coalesce, insert
- Prev chunk in memory free \Rightarrow remove both, coalesce, insert

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List Impl Performance

Space

- Some internal & external fragmentation is unavoidable
- Headers are overhead
- Overall: good

Time: malloc()

- Must search free list for big-enough chunk
- Bad: $O(n)$
- But often acceptable

Time: free()

- Must search free list for insertion spot
- Bad: $O(n)$
- Often very bad

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What's Wrong?

Problem

- free() must traverse (long) free list, so can be (very) slow

Solution

- Use a doubly-linked list...

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DMMgr 2: Pad implementation

Fragmentation

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DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

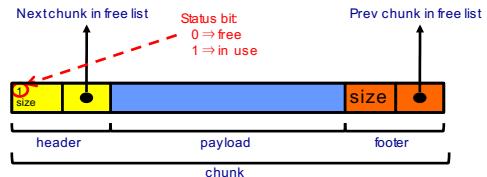
DMM using virtual memory

DMMgr 6: VM implementation

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Doubly-Linked List Impl

Data structures



Free list is doubly-linked

Each chunk contains header, payload, footer

Payload is used by client

Header contains status bit, chunk size, & (if free) addr of next chunk in list

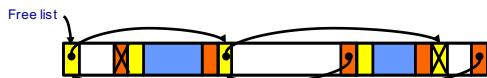
Footer contains redundant chunk size & (if free) addr of prev chunk in list

Free list is unordered (i.e., chunks in freelist not ordered by address)

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Doubly-Linked List Impl

Typical heap during program execution:



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Doubly-Linked List Impl

Algorithms (see precepts for more precision)

`malloc(n)`

- Search free list for big-enough chunk
- Chunk found & reasonable size \Rightarrow remove, set status, use front
- Chunk found & too big \Rightarrow remove, split, insert tail, set status, use front
- Chunk not found \Rightarrow increase heap size, create new chunk, insert
- New chunk reasonable size \Rightarrow remove, set status, use front
- New chunk too big \Rightarrow remove, split, insert tail, set status, use front

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Doubly-Linked List Impl

Algorithms (see precepts for more precision)

`free(p)`

- Set status
- Search free list for proper insertion spot
- Insert chunk into free list
- Next chunk *in memory* also free \Rightarrow remove both, coalesce, insert
- Prev chunk *in memory* free \Rightarrow remove both, coalesce, insert

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Doubly-Linked List Impl Performance

Consider sub-algorithms of `free()`...

Insert chunk into free list

- **Linked list version:** slow
 - Traverse list to find proper spot
- **Doubly-linked list version:** fast
 - Insert at front!

Remove chunk from free list

- **Linked list version:** slow
 - Traverse list to find prev chunk in list
- **Doubly-linked list version:** fast
 - Use backward pointer of current chunk to find prev chunk in list

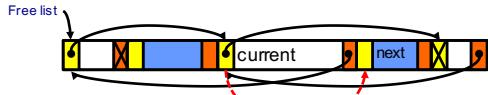
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Doubly-Linked List Impl Performance

Consider sub-algorithms of `free()`...

Determine if next chunk *in memory* is free

- **Linked list version:** slow
 - Traverse free list to see if next chunk in memory is in list
- **Doubly-linked list version:** fast



Use current chunk's size to find next chunk
Examine status bit in next chunk's header

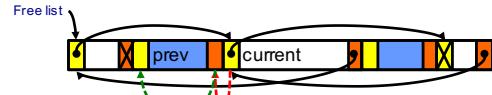
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Doubly-Linked List Impl Performance

Consider sub-algorithms of `free()`...

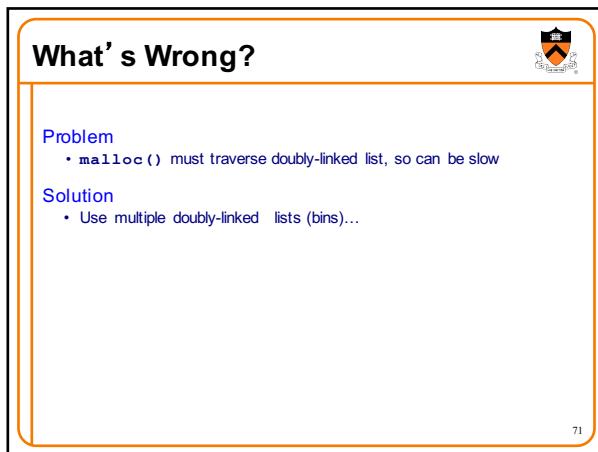
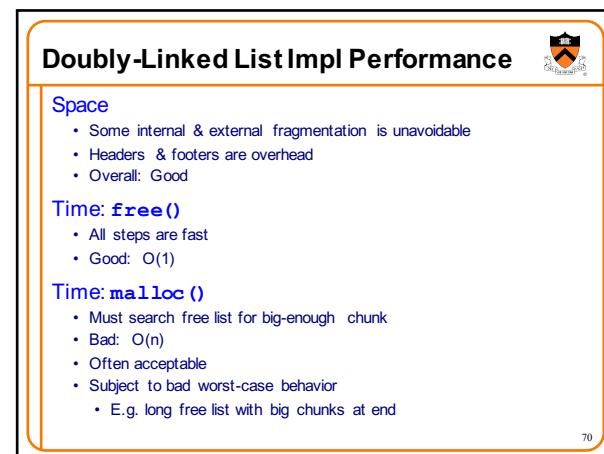
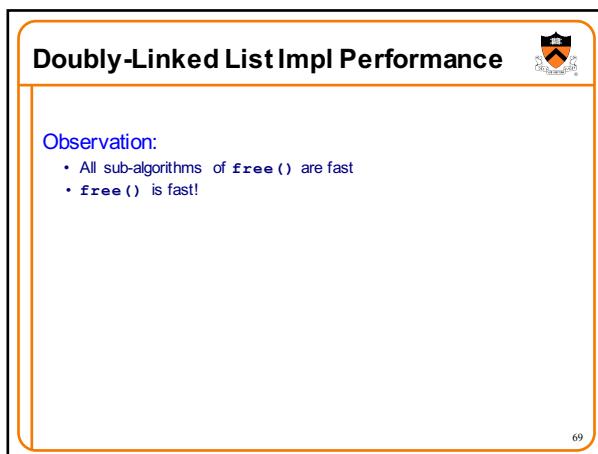
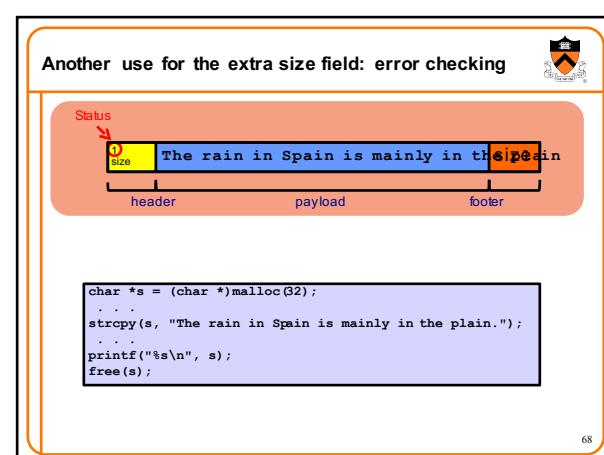
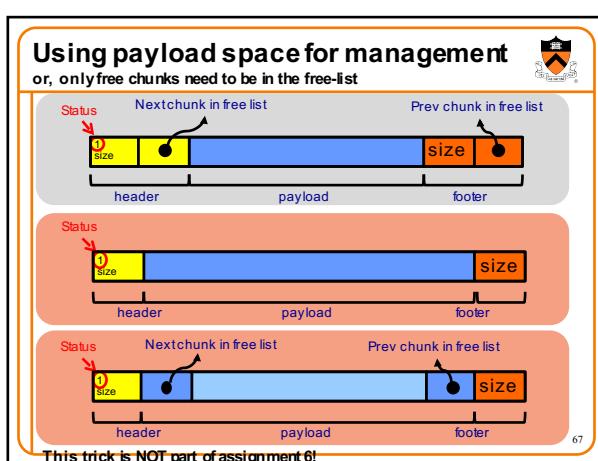
Determine if prev chunk *in memory* is free

- **Linked list version:** slow
 - Traverse free list to see if prev chunk in memory is in list
- **Doubly-linked list version:** fast



Fetch prev chunk's size from its footer
Do ptr arith to find prev chunk's header
Examine status bit in prev chunk's header

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Bins Impl

Data structures



Use an array; each element is a **bin**
 Each bin is a doubly-linked list of free chunks
 As in previous implementation
 $\text{bin}[i]$ contains free chunks of size i
 Exception: Final bin contains chunks of size **MAX_BIN or larger**
 (More elaborate binning schemes are common)

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Bins Impl

Algorithms (see precepts for more precision)

malloc(n)

- Search free_list_proper_bin(s) for big-enough chunk
- Chunk found & reasonable size \Rightarrow remove, set status, use front
- Chunk found & too big \Rightarrow remove, split, insert tail, set status, use front
- Chunk not found \Rightarrow increase heap size, create new chunk
- New chunk reasonable size \Rightarrow remove, set status, use front
- New chunk too big \Rightarrow remove, split, insert tail, set status, use front

free(p)

- Set status
- Insert chunk into free_list_proper_bin
- Next chunk in memory also free \Rightarrow remove both, coalesce, insert
- Prev chunk in memory free \Rightarrow remove both, coalesce, insert

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Bins Impl Performance

Space

- Pro:** For small chunks, uses **best-fit** (not **first-fit**) strategy
 - Could decrease internal fragmentation and splitting
- Con:** Some internal & external fragmentation is unavoidable
- Con:** Headers, footers, bin array are overhead
- Overall:** good

Time: malloc()

- Pro:** Binning limits list searching
 - Search for chunk of size i begins at bin i and proceeds downward
- Con:** Could be bad for large chunks (i.e. those in final bin)
 - Performance degrades to that of list version
- Overall:** good $O(1)$

Time: free()

- Good: $O(1)$

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DMMgr Impl Summary (so far)

Implementation	Space	Time
(1) Minimal	Bad	Malloc: Bad Free: Good
(2) Pad	Bad	Malloc: Good Free: Good
(3) List	Good	Malloc: Bad (but could be OK) Free: Bad
(4) Doubly-Linked List	Good	Malloc: Bad (but could be OK) Free: Good
(5) Bins	Good	Malloc: Good Free: Good

Assignment 6: Given (3), compose(4) and (5)

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Agenda

The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

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Unix VM Mapping Functions

Unix allows application programs to map/unmap VM explicitly

```
void *mmap(void *p, size_t n, int prot, int flags, int fd, off_t offset);
```

- Creates a new mapping in the virtual address space of the calling process
- p : the starting address for the new mapping
- n : the length of the mapping
- If p is NULL, then the kernel chooses the address at which to create the mapping; this is the most portable method of creating a new mapping
- On success, returns address of the mapped area

```
int munmap(void *p, size_t n);
```

- Deletes the mappings for the specified address range

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Unix VM Mapping Functions



Typical call of `mmap()` for allocating memory

```
p = mmap(NULL, n, PROT_READ|PROT_WRITE,  
        MAP_PRIVATE|MAP_ANON, 0, 0);
```

- Asks OS to map a new read/write area of virtual memory containing `n` bytes
- Returns the virtual address of the new area on success, `(void*)-1` on failure

Typical call of `munmap()`

```
status = munmap(p, n);
```

- Unmaps the area of virtual memory at virtual address `p` consisting of `n` bytes
- Returns 0 on success, -1 on failure

See Bryant & O' Hallaron book and man pages for details

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Agenda



The need for DMM

DMM using the heap section

DMMgr 1: Minimal implementation

DMMgr 2: Pad implementation

Fragmentation

DMMgr 3: List implementation

DMMgr 4: Doubly-linked list implementation

DMMgr 5: Bins implementation

DMM using virtual memory

DMMgr 6: VM implementation

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VM Mapping Impl



Data structures



Each chunk consists of a header and payload
Each header contains size

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VM Mapping Impl



Algorithms

```
void *malloc(size_t n)  
{  
    size_t *p;  
    if (n == 0) return NULL;  
    p = mmap(NULL, n + sizeof(size_t), PROT_READ|PROT_WRITE,  
            MAP_PRIVATE|MAP_ANONYMOUS, 0, 0);  
    if (p == (void*)-1) return NULL;  
    *p = n + sizeof(size_t); /* Store size in header */  
    p++; /* Move forward from header to payload */  
    return p;  
}  
  
void free(void *p)  
{  
    if (p == NULL) return;  
    p--; /* Move backward from payload to header */  
    munmap(p, *p);  
}
```

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VM Mapping Impl Performance



Space

- Fragmentation problem is delegated to OS
- Overall: Depends on OS

Time

- For small chunks
 - One system call (`mmap()`) per call of `malloc()`
 - One system call (`munmap()`) per call of `free()`
 - Overall: **bad**
- For large chunks
 - `free()` unmaps (large) chunks of memory, and so shrinks page table
 - Overall: **good**

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The GNU Implementation



Observation

- `malloc()` and `free()` on CourseLab are from the **GNU** (the GNU Software Foundation)

Question

- How are GNU `malloc()` and `free()` implemented?

Answer

- For small chunks
 - Use heap (`shrink()` and `brk()`)
 - Use bins implementation
- For large chunks
 - Use VM directly (`mmap()` and `munmap()`)

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Summary



The need for dynamic memory management

- Unknown object size

DMM using the heap section

- On Unix: `sbrk()` and `brk()`
- Complicated data structures and algorithms
- Good for managing small memory chunks

DMM using virtual memory

- On Unix: `mmap()` and `munmap()`
- Good for managing large memory chunks

See Appendix for additional approaches/refinements

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Appendix: Additional Approaches



Some additional approaches to dynamic memory mgmt...

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Selective Splitting



Observation

- In previous implementations, `malloc()` splits whenever chosen chunk is too big

Alternative: selective splitting

- Split only when remainder is above some threshold

Pro

- Reduces external fragmentation

Con

- Increases internal fragmentation

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Deferred Coalescing



Observation

- Previous implementations do coalescing whenever possible

Alternative: deferred coalescing

- Wait, and coalesce many chunks at a later time

Pro

- Handles `malloc(n); free(); malloc(n)` sequences well

Con

- Complicates algorithms

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Segregated Data



Observation

- Splitting and coalescing consume lots of overhead

Problem

- How to eliminate that overhead?

Solution: segregated data

- Make use of the virtual memory concept...
- Use bins
- Store each bin's chunks in a distinct (segregated) virtual memory page
- Elaboration...

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Segregated Data



Segregated data

- Each bin contains chunks of fixed sizes
 - E.g. 32, 64, 128, ...
- All chunks within a bin are from same **virtual memory** page
- `malloc()` never splits! Examples:
 - `malloc(32)` ⇒ provide 32
 - `malloc(5)` ⇒ provide 32
 - `malloc(100)` ⇒ provide 128
- `free()` never coalesces!
 - Free block ⇒ examine address, infer virtual memory page, infer bin, insert into that bin

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Segregated Data



Pros

- Eliminates splitting and coalescing overhead
- Eliminates most meta-data; only forward links required
- No backward links, sizes, status bits, footers

Con

- Some usage patterns cause excessive external fragmentation
- E.g. Only one `malloc(32)` wastes all but 32 bytes of one virtual page

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Segregated Metadata



Observations

- Metadata (chunk sizes, status flags, links, etc.) are scattered across the heap, interspersed with user data
- Heap mgr often must traverse metadata

Problem 1

- User error easily can corrupt metadata

Problem 2

- Frequent traversal of meta-data can cause excessive page faults (poor locality)

Solution: segregated metadata

- Make use of the virtual memory concept...
- Store metadata in a distinct (segregated) virtual memory page from user data

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