

## 1.3 BAGS, QUEUES, AND STACKS

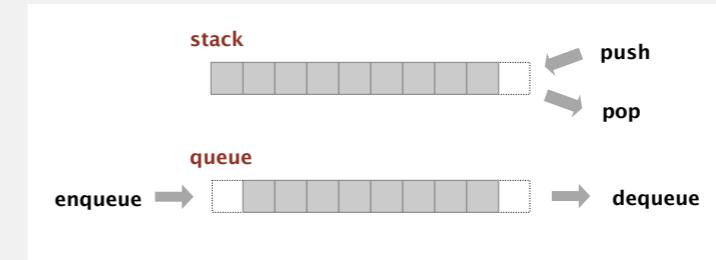
- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

Last updated on Feb 9, 2015, 5:18 AM

## Stacks and queues

### Fundamental data types.

- Value: collection of objects.
- Operations: **add**, **remove**, **iterate**, test if empty.
- Intent is clear when we add.
- Which item do we remove?



**Stack.** Examine the item most recently added. ← LIFO = "last in first out"

**Queue.** Examine the item least recently added. ← FIFO = "first in first out"

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## Client, implementation, interface

### Separate interface and implementation.

Ex: stack, queue, bag, priority queue, symbol table, union-find, ....

### Benefits.

- Client cannot know details of implementation ⇒ client has many implementation from which to choose.
- Implementation cannot know details of client needs ⇒ many clients can re-use the same implementation.
- **Design:** creates modular, reusable libraries.
- **Performance:** substitute optimized implementation when it matters.

**Client:** program using operations defined in interface.

**Implementation:** actual code implementing operations.

**Interface:** description of data type, basic operations.

## 1.3 BAGS, QUEUES, AND STACKS

- ▶ *stacks*
- ▶ *resizing arrays*
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- ▶ *generics*
- ▶ *iterators*
- ▶ *applications*

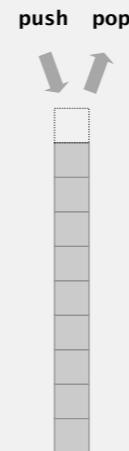
ROBERT SEDGEWICK | KEVIN WAYNE

<http://algs4.cs.princeton.edu>

## Stack API

Warmup API. Stack of strings data type.

```
public class StackOfStrings
{
    StackOfStrings()           create an empty stack
    void push(String item)     add a new string to stack
    String pop()               remove and return the string
                               most recently added
    boolean isEmpty()          is the stack empty?
    int size()                 number of strings on the stack
```

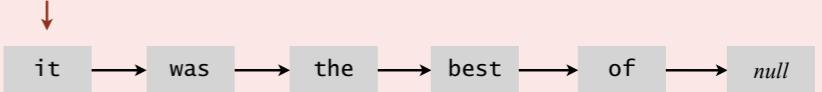


Warmup client. Reverse sequence of strings from standard input.

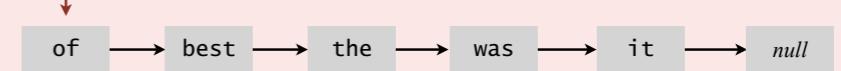
## Stacks quiz 1

How to implement a stack with a singly-linked list?

- A. least recently added



- B. most recently added

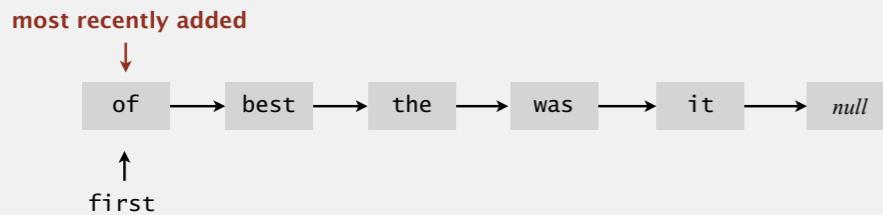


- C. None of the above.

- D. I don't know.

## Stack: linked-list implementation

- Maintain pointer first to first node in a singly-linked list.
- Push new item before first.
- Pop item from first.



## Stack pop: linked-list implementation

save item to return  
String item = first.item;

delete first node

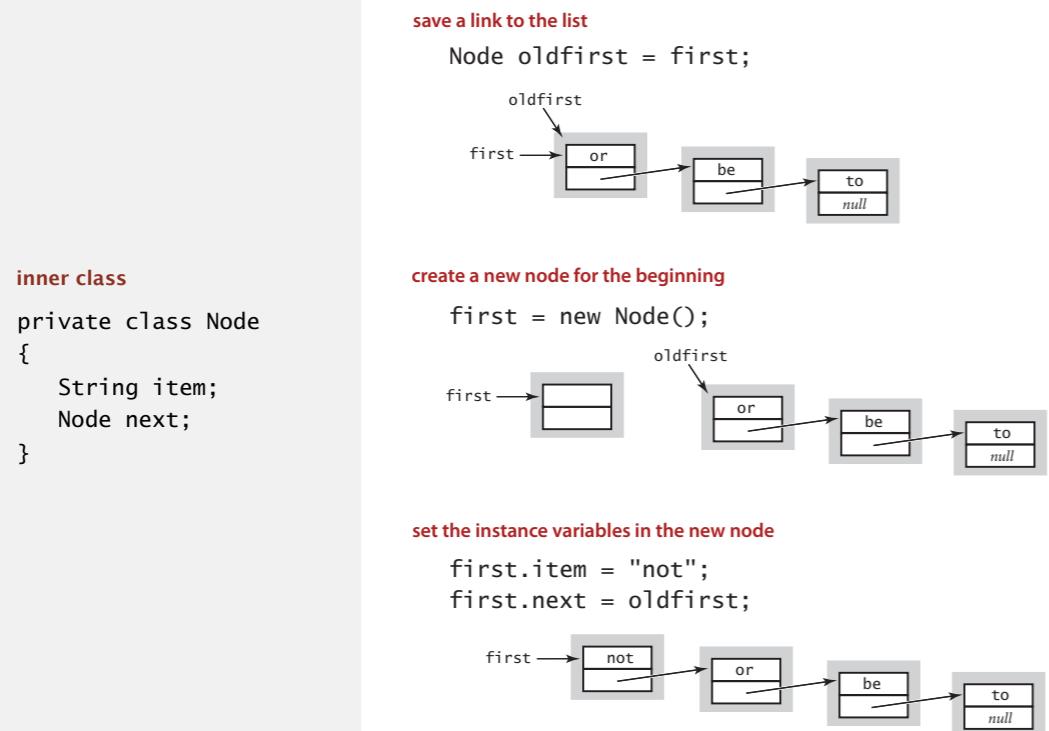
first = first.next;



return saved item

return item;

## Stack push: linked-list implementation



## Stack: linked-list implementation in Java

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        private String item;
        private Node next;
    }

    public boolean isEmpty()
    {   return first == null;   }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

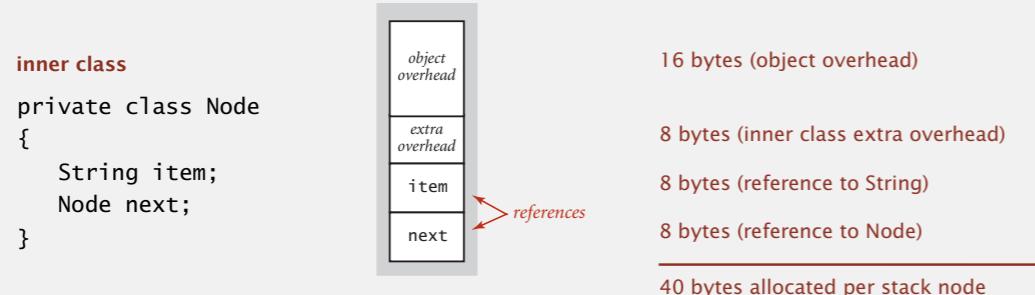
    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

private inner class  
(access modifiers for instance  
variables don't matter)

## Stack: linked-list implementation performance

**Proposition.** Every operation takes constant time in the worst case.

**Proposition.** A stack with  $N$  items uses  $\sim 40N$  bytes.



**Remark.** This accounts for the memory for the stack  
(but not memory for the strings themselves, which the client owns).

## Stacks quiz 2

**How to implement a fixed-capacity stack with an array?**

**A. least recently added**

it	was	the	best	of	times	null	null	null	null
0	1	2	3	4	5	6	7	8	9

**B. most recently added**

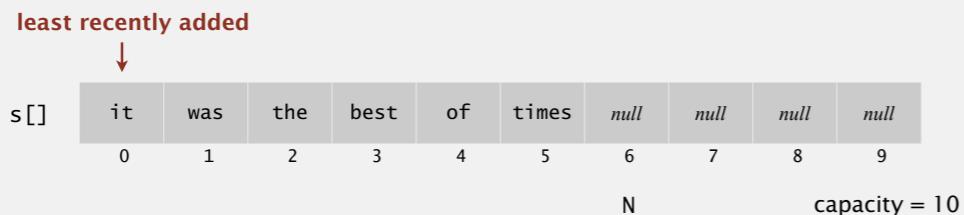
times	of	best	the	was	it	null	null	null	null
0	1	2	3	4	5	6	7	8	9

**C. None of the above.**

**D. I don't know.**

## Fixed-capacity stack: array implementation

- Use array `s[]` to store  $N$  items on stack.
- `push()`: add new item at `s[N]`.
- `pop()`: remove item from `s[N-1]`.



**Defect.** Stack overflows when  $N$  exceeds capacity. [stay tuned]

## Fixed-capacity stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public FixedCapacityStackOfStrings(int capacity)
    {   s = new String[capacity]; }

    public boolean isEmpty()
    {   return N == 0; }

    public void push(String item)
    {   s[N++] = item; }

    public String pop()
    {   return s[--N]; }
}
```

a cheat  
(stay tuned)

use to index into array;  
then increment  $N$

decrement  $N$ ;  
then use to index into array

## Stack considerations

### Overflow and underflow.

- Underflow: throw exception if pop from an empty stack.
- Overflow: use "resizing array" for array implementation. [stay tuned]

**Null items.** We allow null items to be added.

**Duplicate items.** We allow an item to be added more than once.

**Loitering.** Holding a reference to an object when it is no longer needed.

```
public String pop()
{   return s[--N]; }
```

loitering

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    return item;
}
```

this version avoids "loitering":  
garbage collector can reclaim memory for  
an object only if no remaining references

## 1.3 BAGS, QUEUES, AND STACKS

► stacks

► resizing arrays

► queues

► generics

► iterators

► applications

Algorithms

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## Stack: resizing-array implementation

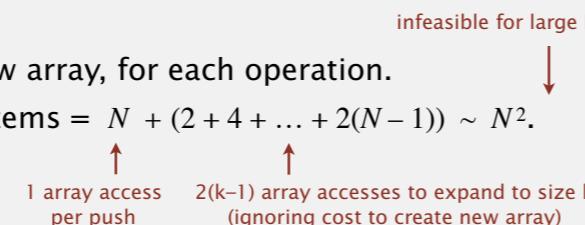
**Problem.** Requiring client to provide capacity does not implement API!

**Q.** How to grow and shrink array?

**First try.**

- `push()`: increase size of array `s[]` by 1.
- `pop()`: decrease size of array `s[]` by 1.

**Too expensive.**

- Need to copy all items to a new array, for each operation.
- Array accesses to add first  $N$  items =  $N + (2 + 4 + \dots + 2(N-1)) \sim N^2$ .  


**Challenge.** Ensure that array resizing happens infrequently.

## Stack: resizing-array implementation

**Q.** How to grow array?

**A.** If array is full, create a new array of **twice** the size, and copy items.

```
public ResizingArrayStackOfStrings()
{ s = new String[1]; }

public void push(String item)
{
    if (N == s.length) resize(2 * s.length);
    s[N++] = item;
}

private void resize(int capacity)
{
    String[] copy = new String[capacity];
    for (int i = 0; i < N; i++)
        copy[i] = s[i];
    s = copy;
}
```

**Array accesses to add first  $N = 2^i$  items.**  $N + (2 + 4 + 8 + \dots + N) \sim 3N$ .



## Stack: resizing-array implementation

**Q.** How to shrink array?

**First try.**

- `push()`: double size of array `s[]` when array is full.
- `pop()`: halve size of array `s[]` when array is **one-half full**.

**Too expensive in worst case.**

- Consider push-pop-push-pop-... sequence when array is full.
- Each operation takes time proportional to  $N$ .

full	to	be	or	not			
push("to")	to	be	or	not	to	null	null
pop()	to	be	or	not			
push("be")	to	be	or	not	be	null	null

## Stack: resizing-array implementation

**Q.** How to shrink array?

**Efficient solution.**

- `push()`: double size of array `s[]` when array is full.
- `pop()`: halve size of array `s[]` when array is **one-quarter full**.

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    if (N > 0 && N == s.length/4) resize(s.length/2);
    return item;
}
```

**Invariant.** Array is between 25% and 100% full.

## Stack resizing-array implementation: performance

**Amortized analysis.** Starting from an empty data structure, average running time per operation over a worst-case sequence of operations.

**Proposition.** Starting from an empty stack, any sequence of  $M$  push and pop operations takes time proportional to  $M$ .

	typical	worst	amortized
construct	1	1	1
push	1	$N$	1
pop	1	$N$	1
size	1	1	1

**order of growth of running time  
for resizing array stack with  $N$  items**

doubling and  
halving operations

## Stack resizing-array implementation: memory usage

**Proposition.** A `ResizingArrayStackOfStrings` uses  $\sim 8N$  to  $\sim 32N$  bytes of memory for a stack with  $N$  items.

- $\sim 8N$  when full.
- $\sim 32N$  when one-quarter full.

```
public class ResizingArrayStackOfStrings
{
    private String[] s; ← 8 bytes × array size
    private int N = 0;
    ...
}
```

**Remark.** This accounts for the memory for the stack (but not the memory for strings themselves, which the client owns).

## Stack implementations: resizing array vs. linked list

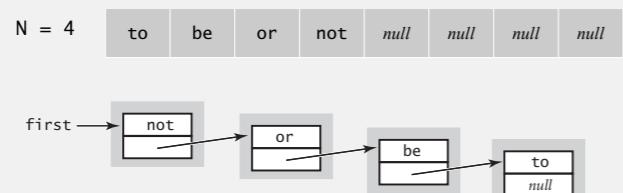
**Tradeoffs.** Can implement a stack with either resizing array or linked list; client can use interchangeably. Which one is better?

### Linked-list implementation.

- Every operation takes constant time in the **worst case**.
- Uses extra time and space to deal with the links.

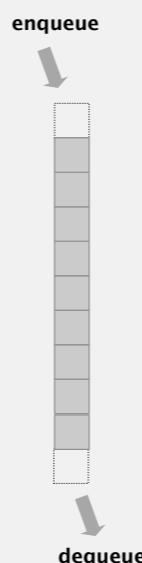
### Resizing-array implementation.

- Every operation takes constant **amortized** time.
- Less wasted space.



## Queue API

```
public class QueueOfStrings
{
    QueueOfStrings()           create an empty queue
    void enqueue(String item)   add a new string to queue
    String dequeue()           remove and return the string
                               least recently added
    boolean isEmpty()          is the queue empty?
    int size()                 number of strings on the queue
```



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## Queues quiz 1

### How to implement a queue with a singly-linked linked list?

- A. most recently added



- B. least recently added



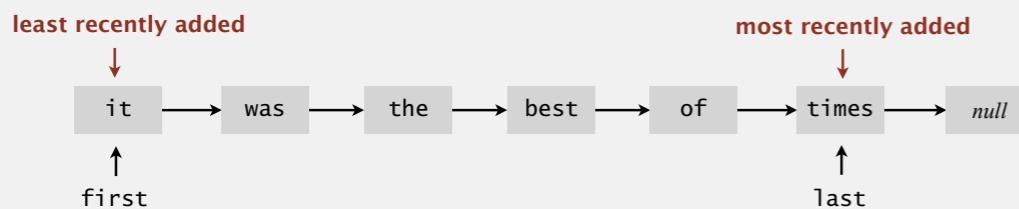
- C. None of the above.

- D. I don't know.

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## Queue: linked-list implementation

- Maintain one pointer `first` to first node in a singly-linked list.
- Maintain another pointer `last` to last node.
- Dequeue from `first`.
- Enqueue after `last`.



## Queue dequeue: linked-list implementation

```
save item to return
String item = first.item;

delete first node
first = first.next;

inner class
private class Node
{
    String item;
    Node next;
}

first → 
         → 
         → 
         → null
last → 
       → null

first → 
       → 
       → null

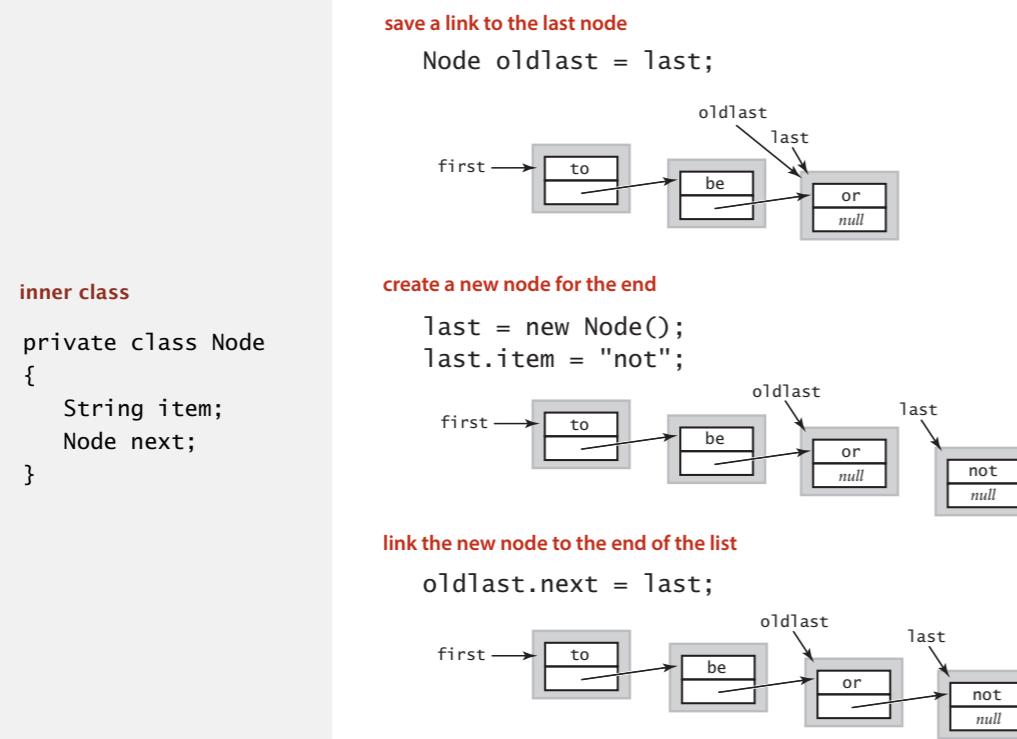
return saved item
return item;
```

Remark. Identical code to linked-list stack `pop()`.

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## Queue enqueue: linked-list implementation



## Queue: linked-list implementation in Java

```
public class LinkedQueueOfStrings
{
    private Node first, last;

    private class Node
    { /* same as in LinkedStackOfStrings */ }

    public boolean isEmpty()
    { return first == null; }

    public void enqueue(String item)
    {
        Node oldlast = last;
        last = new Node();
        last.item = item;
        last.next = null;
        if (isEmpty()) first = last;
        else oldlast.next = last;
    }

    public String dequeue()
    {
        String item = first.item;
        first = first.next;
        if (isEmpty()) last = null;
        return item;
    }
}
```

special cases for empty queue

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## Queues quiz 2

### How to implement a fixed-capacity queue with an array?

A. least recently added

it	was	the	best	of	times	null	null	null	null
0	1	2	3	4	5	6	7	8	9

B.

most recently added

times	of	best	the	was	it	null	null	null	null
0	1	2	3	4	5	6	7	8	9

C. None of the above.

D. I don't know.

## Queue: resizing-array implementation

- Use array q[] to store items in queue.
- enqueue(): add new item at q[tail].
- dequeue(): remove item from q[head].
- Update head and tail modulo the capacity.
- Add resizing array.



Q. How to resize?

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## QUEUE WITH TWO STACKS

**Problem.** Implement a queue with two stacks so that:

- Each queue op uses a constant **amortized** number of stack ops.
- At most constant extra memory (besides two stacks).

**Applications.**

- Job interview.
- Implement an **immutable** or **persistent** queue.
- Implement a queue in a (purely) **functional programming language**.



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## Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfApples, StackOfOranges, ....

Solution in Java: generics.

```
type parameter  
(use both to specify type and to call constructor)  
  
Stack<Apple> stack = new Stack<Apple>();  
Apple apple = new Apple();  
Orange orange = new Orange();  
stack.push(apple);  
stack.push(orange); ← compile-time error  
...
```



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## Generic stack: linked-list implementation

```
public class LinkedStackOfStrings  
{  
    private Node first = null;  
  
    private class Node  
    {  
        String item;  
        Node next;  
    }  
  
    public boolean isEmpty()  
    {  
        return first == null;  
    }  
  
    public void push(String item)  
    {  
        Node oldfirst = first;  
        first = new Node();  
        first.item = item;  
        first.next = oldfirst;  
    }  
  
    public String pop()  
    {  
        String item = first.item;  
        first = first.next;  
        return item;  
    }  
}
```

```
public class Stack<Item>  
{  
    private Node first = null;  
  
    private class Node  
    {  
        Item item;  
        Node next;  
    }  
  
    public boolean isEmpty()  
    {  
        return first == null;  
    }  
  
    public void push(Item item)  
    {  
        Node oldfirst = first;  
        first = new Node();  
        first.item = item;  
        first.next = oldfirst;  
    }  
  
    public Item pop()  
    {  
        Item item = first.item;  
        first = first.next;  
        return item;  
    }  
}
```

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## Generic stack: array implementation

the way it should be

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}

public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int N = 0;

    public FixedCapacityStack(int capacity)
    { s = new Item[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

@#\$\*! generic array creation not allowed in Java

## Generic stack: array implementation

the way it is

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int N = 0;

    public ..StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(String item)
    { s[N++] = item; }

    public String pop()
    { return s[--N]; }
}

public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int N = 0;

    public FixedCapacityStack(int capacity)
    { s = (Item[]) new Object[capacity]; }

    public boolean isEmpty()
    { return N == 0; }

    public void push(Item item)
    { s[N++] = item; }

    public Item pop()
    { return s[--N]; }
}
```

the ugly cast

## Unchecked cast

```
% javac FixedCapacityStack.java
Note: FixedCapacityStack.java uses unchecked or unsafe operations.
Note: Recompile with -Xlint:unchecked for details.

% javac -Xlint:unchecked FixedCapacityStack.java
FixedCapacityStack.java:26: warning: [unchecked] unchecked cast
found   : java.lang.Object[]
required: Item[]
        a = (Item[]) new Object[capacity];
                           ^
1 warning
```

Q. Why does Java make me cast (or use reflection)?

Short answer. Backward compatibility.

Long answer. Need to learn about type erasure and covariant arrays.



## Generic data types: autoboxing

Q. What to do about primitive types?

Wrapper type.

- Each primitive type has a wrapper object type.
- Ex: Integer is wrapper type for int.

Autoboxing. Automatic cast between a primitive type and its wrapper.

```
Stack<Integer> stack = new Stack<Integer>();
stack.push(17);           // stack.push(Integer.valueOf(17));
int a = stack.pop();     // int a = stack.pop().intValue();
```

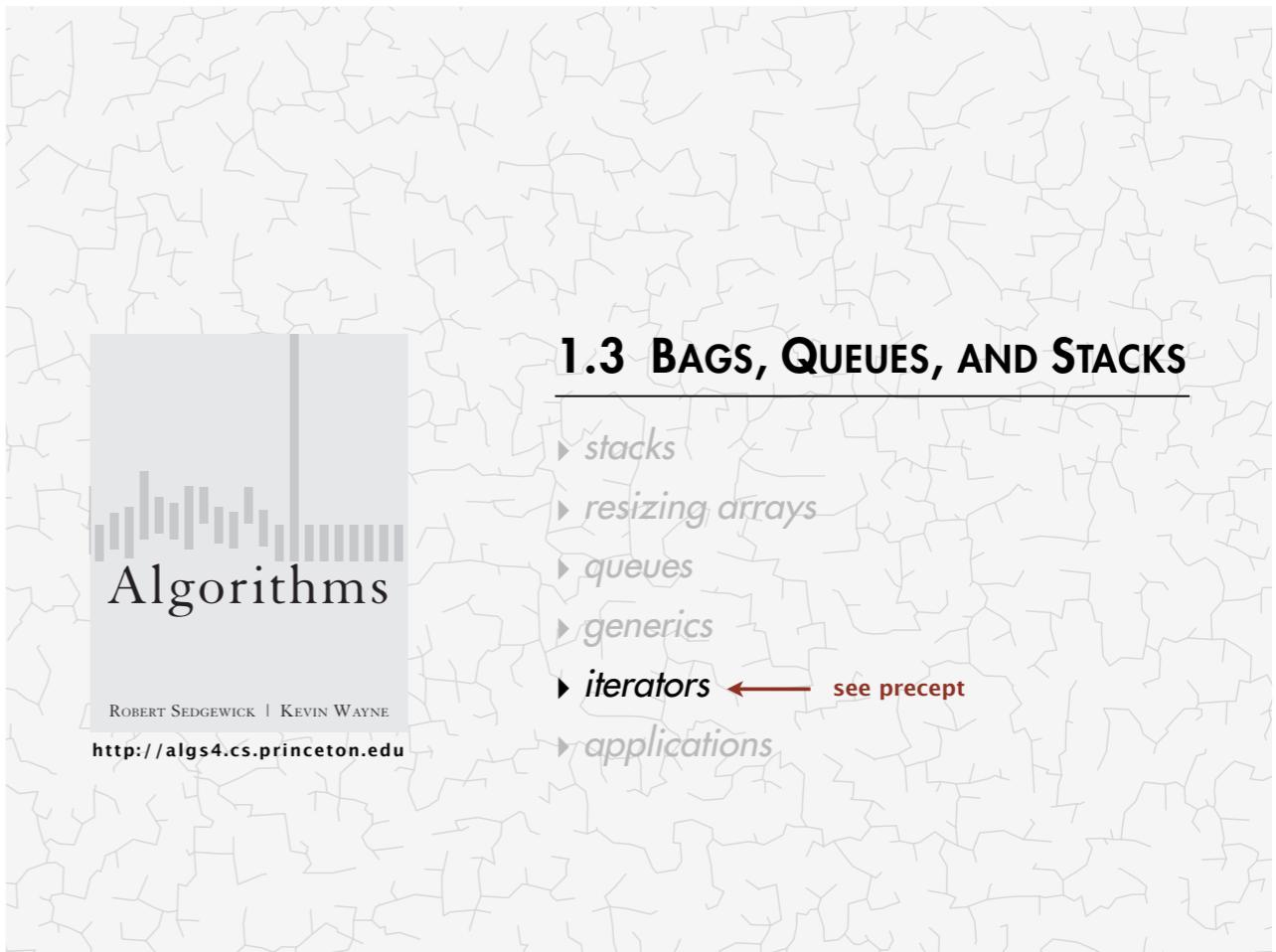
Bottom line. Client code can use generic stack for any type of data.

## Stacks quiz 5

Which of the following is the correct way to declare and initialize an empty stack of characters?

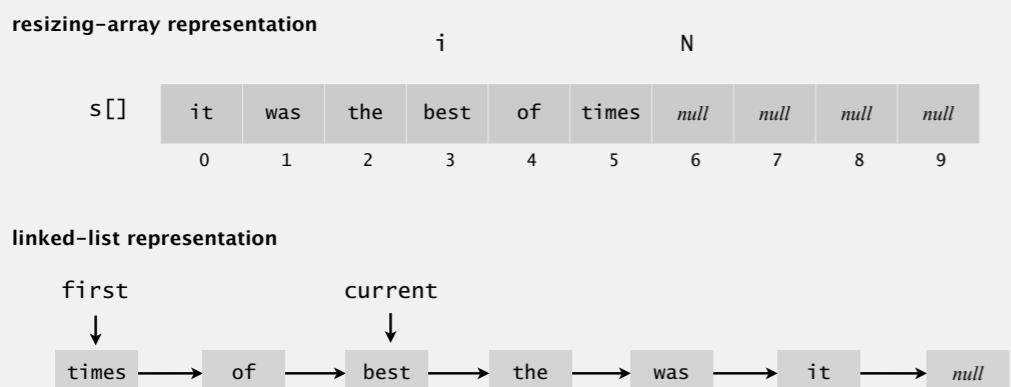
- A. Stack<Character> stack = new Stack();
- B. Stack stack = new Stack<Character>();
- C. Stack<Character> stack = new Stack<Character>();
- D. Stack<char> stack = new Stack<char>();
- E. None of the above.

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## Iteration

**Design challenge.** Support iteration over stack items by client, without revealing the internal representation of the stack.



**Java solution.** Use a **foreach** loop.

## Foreach loop

Java provides elegant syntax for iteration over collections.

### "foreach" loop (shorthand)

```
Stack<String> stack;  
...  
for (String s : stack)  
    ...
```

### equivalent code (longhand)

```
Stack<String> stack;  
...  
Iterator<String> i = stack.iterator();  
while (i.hasNext())  
{  
    String s = i.next();  
    ...  
}
```

**To make user-defined collection support foreach loop:**

- Data type must have a method named `iterator()`.
- The `iterator()` method returns an object that has two core methods:
  - the `hasNext()` method returns `false` when there are no more items
  - the `next()` method returns the next item in the collection

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## Iterators

To support foreach loops, Java provides two interfaces.

- Iterator interface: next() and hasNext() methods.
- Iterable interface: iterator() method that returns an Iterator.
- Both should be used with generics.

### java.util.Iterator interface

```
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();
    void remove(); ← optional; use
                    at your own risk
}
```

### java.lang.Iterable interface

```
public interface Iterable<Item>
{
    Iterator<Item> iterator();
}
```

## Type safety.

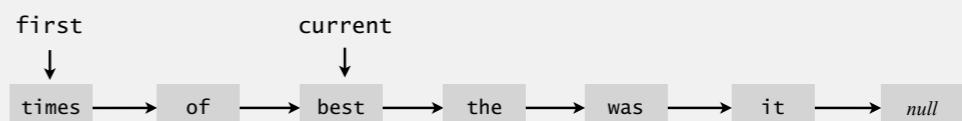
- Data type must use these interfaces to support foreach loop.
- Client program won't compile if implementation doesn't.

## Stack iterator: linked-list implementation

```
import java.util.Iterator;
public class Stack<Item> implements Iterable<Item>
{
    ...
    public Iterator<Item> iterator() { return new ListIterator(); }

    private class ListIterator implements Iterator<Item>
    {
        private Node current = first;

        public boolean hasNext() { return current != null; }
        public void remove() { /* not supported */ }
        public Item next() ←
        {
            Item item = current.item;
            current = current.next; ← throw UnsupportedOperationException
            return item; ← throw NoSuchElementException
        }
    }
}
```



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## Stack iterator: array implementation

```
import java.util.Iterator;
public class Stack<Item> implements Iterable<Item>
{
    ...
    public Iterator<Item> iterator()
    { return new ReverseArrayIterator(); }

    private class ReverseArrayIterator implements Iterator<Item>
    {
        private int i = N;

        public boolean hasNext() { return i > 0; }
        public void remove() { /* not supported */ }
        public Item next() { return s[--i]; }
    }
}
```

	i	N
s[]	it	was
	0	1
	the	best
	2	3
	of	the
	3	4
	times	best
	4	5
	null	null
	5	6
	null	null
	6	7
	null	null
	7	8
	null	null
	8	9
	null	null
	9	

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## Bag API

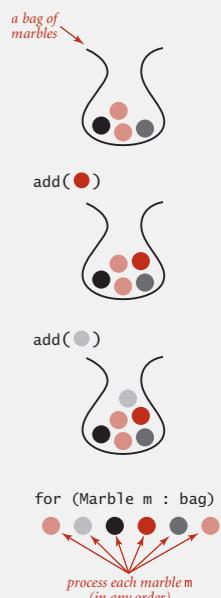
**Main application.** Adding items to a collection and iterating (when order doesn't matter).

```
public class Bag<Item> implements Iterable<Item>
{
    Bag()
        create an empty bag

    void add(Item x)
        add a new item to bag

    int size()
        number of items in bag

    Iterator<Item> iterator()
        iterator for all items in bag
}
```



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**Implementation.** Stack (without pop) or queue (without dequeue).



## Java collections library

**List interface.** `java.util.List` is API for a sequence of items.

<code>public interface List&lt;Item&gt; extends Iterable&lt;Item&gt;</code>		
<code>    List()</code>	<i>create an empty list</i>	
<code>    boolean isEmpty()</code>	<i>is the list empty?</i>	
<code>    int size()</code>	<i>number of items</i>	
<code>    void add(Item item)</code>	<i>add item to the end</i>	
<code>    Item get(int index)</code>	<i>return item at given index</i>	
<code>    Item remove(int index)</code>	<i>return and delete item at given index</i>	
<code>    boolean contains(Item item)</code>	<i>does the list contain the given item?</i>	
<code>    Iterator&lt;Item&gt; iterator()</code>	<i>iterator over all items in the list</i>	
<code>    :</code>		

**Implementations.** `java.util.ArrayList` uses a resizing array;  
`java.util.LinkedList` uses doubly-linked list. ← Caveat: only some operations are efficient.

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## Java collections library

### `java.util.Stack`.

- Supports `push()`, `pop()`, and iteration.
- Inherits from `java.util.Vector`, which implements `java.util.List` interface.



**Java 1.3 bug report (June 27, 2001)**

The iterator method on `java.util.Stack` iterates through a Stack from the bottom up. One would think that it should iterate as if it were popping off the top of the Stack.

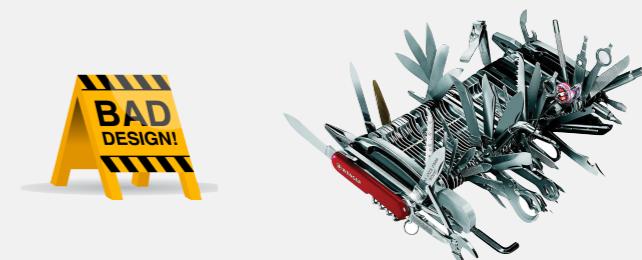
**status (closed, will not fix)**

It was an incorrect design decision to have `Stack` extend `Vector` ("is-a" rather than "has-a"). We sympathize with the submitter but cannot fix this because of compatibility.

## Java collections library

### `java.util.Stack`.

- Supports `push()`, `pop()`, and iteration.
- Inherits from `java.util.Vector`, which implements `java.util.List` interface.



**`java.util.Queue`.** An interface, not an implementation of a queue.

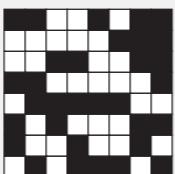
**Best practices.** Use our implementations of `Stack` and `Queue`.

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## War story (from Assignment 1)

Generate random open sites in an  $N$ -by- $N$  percolation system.

- Jenny: pick  $(i, j)$  at random; if already open, repeat.  
Takes  $\sim c_1 N^2$  seconds.
- Kenny: create a `java.util.ArrayList` of  $N^2$  closed sites.  
Pick an index at random and delete.  
Takes  $\sim c_2 N^4$  seconds.

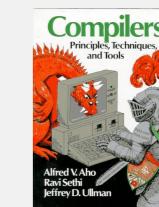


Lesson. Don't use a library until you understand its API!

This course. Cannot use a library until we've implemented it in class.

## Stack applications

- Parsing in a compiler.
- Java virtual machine.
- Undo in a word processor.
- Back button in a Web browser.
- PostScript language for printers.
- Implementing function calls in a compiler.
- ...



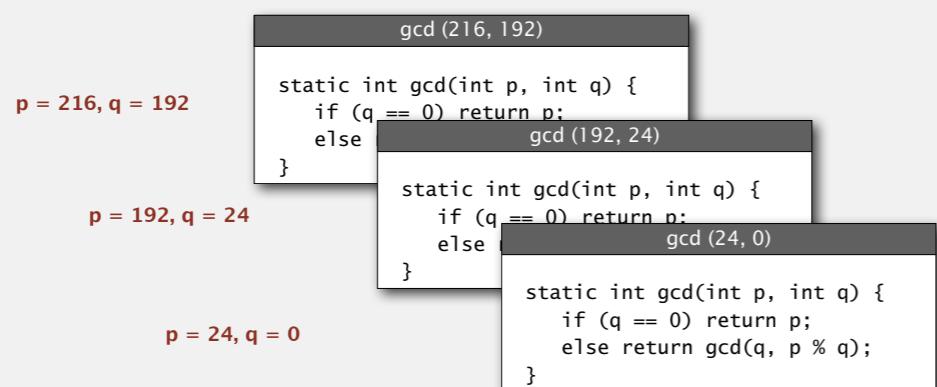
## Function calls

How a compiler implements a function.

- Function call: **push** local environment and return address.
- Return: **pop** return address and local environment.

Recursive function. Function that calls itself.

Note. Can always use an explicit stack to remove recursion.



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## Arithmetic expression evaluation

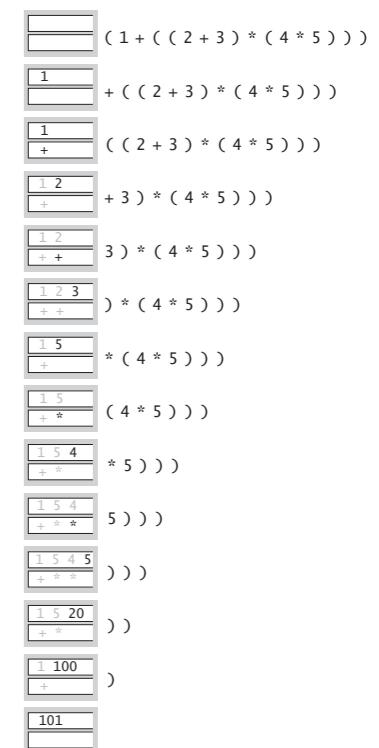
Goal. Evaluate infix expressions.

$(1 + ((2 + 3) * (4 * 5)))$

operand

operator

value stack  
operator stack



Two-stack algorithm. [E. W. Dijkstra]

- Value: push onto the value stack.
- Operator: push onto the operator stack.
- Left parenthesis: ignore.
- Right parenthesis: pop operator and two values; push the result of applying that operator to those values onto the operand stack.

Context. An interpreter!

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## Dijkstra's two-stack algorithm demo



## Arithmetic expression evaluation

```
public class Evaluate
{
    public static void main(String[] args)
    {
        Stack<String> ops = new Stack<String>();
        Stack<Double> vals = new Stack<Double>();
        while (!StdIn.isEmpty())
        {
            String s = StdIn.readString();
            if (s.equals("(")) /* noop */;
            else if (s.equals("+")) ops.push(s);
            else if (s.equals("*")) ops.push(s);
            else if (s.equals(")"))
            {
                String op = ops.pop();
                if (op.equals("+")) vals.push(vals.pop() + vals.pop());
                else if (op.equals("*")) vals.push(vals.pop() * vals.pop());
            }
            else vals.push(Double.parseDouble(s));
        }
        StdOut.println(vals.pop());
    }
}
```

% java Evaluate  
( 1 + ( ( 2 + 3 ) \* ( 4 \* 5 ) ) )  
101.0

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## Correctness

Q. Why correct?

A. When algorithm encounters an operator surrounded by two values within parentheses, it leaves the result on the value stack.

```
( 1 + ( ( 2 + 3 ) * ( 4 * 5 ) ) )
```

as if the original input were:

```
( 1 + ( 5 * ( 4 * 5 ) ) )
```

Repeating the argument:

```
( 1 + ( 5 * 20 ) )
( 1 + 100 )
101
```

Extensions. More ops, precedence order, associativity.

## Stack-based programming languages

Observation 1. Dijkstra's two-stack algorithm computes the same value if the operator occurs **after** the two values.

```
( 1 ( ( 2 3 + ) ( 4 5 * ) * ) + )
```

Observation 2. All of the parentheses are redundant!

```
1 2 3 + 4 5 * * +
```



Jan Lukasiewicz

Bottom line. Postfix or "reverse Polish" notation.

Applications. Postscript, Forth, calculators, Java virtual machine, ...