

RECONSTRUCTING CONTINUOUS DISTRIBUTIONS OF 3D PROTEIN STRUCTURE FROM CRYO-EM IMAGES

Ellen D. Zhong

MIT

zhonge@mit.edu

Tristan Bepler

MIT

tbepler@mit.edu

Joseph H. Davis*

MIT

jhdavis@mit.edu

Bonnie Berger*

MIT

bab@mit.edu

CryoDRGN

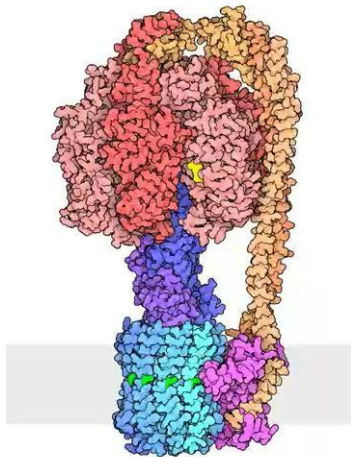


Ariana Vlad and Philipp Janke

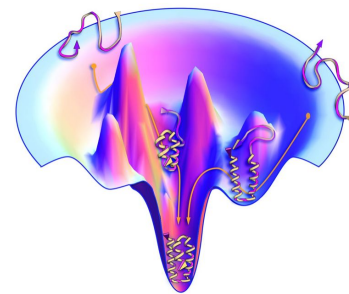
09/16/2026

Why Cryo-EM?

Structure encodes function

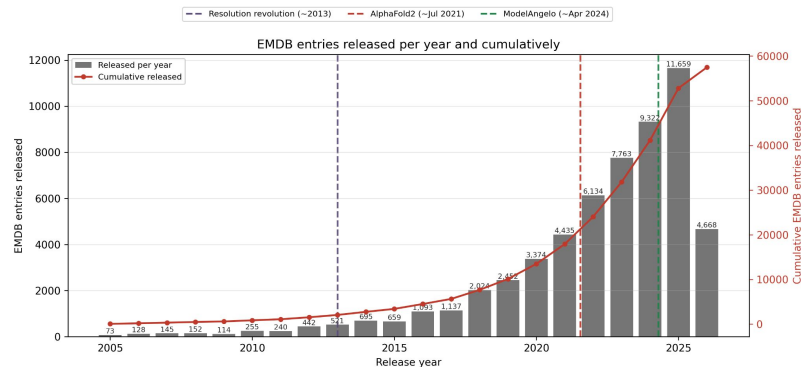


Structure is a collection of conformations sampled from a native energy landscape → this is what we want to measure!

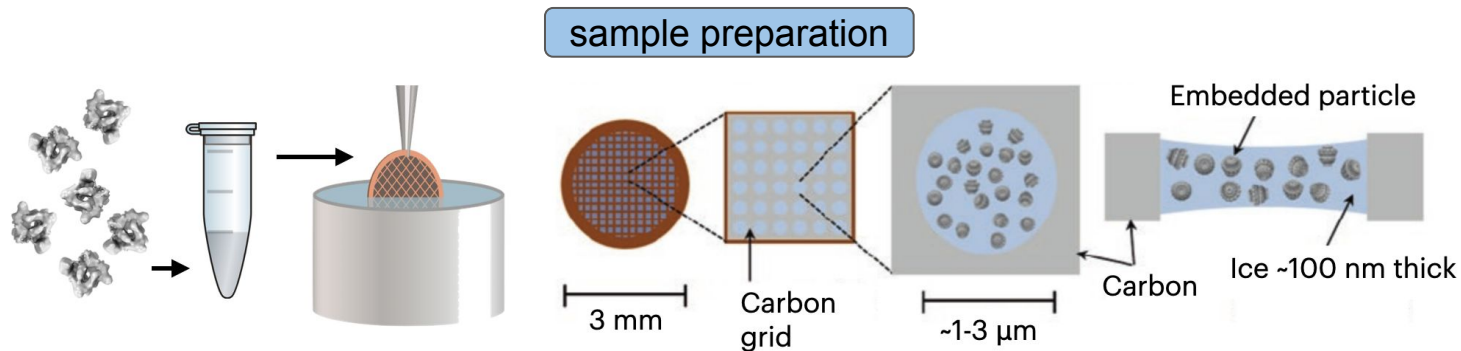


Experiments often break the native landscape through sample processing – cryo-EM flash freezing allows for near-native conformation measurements.

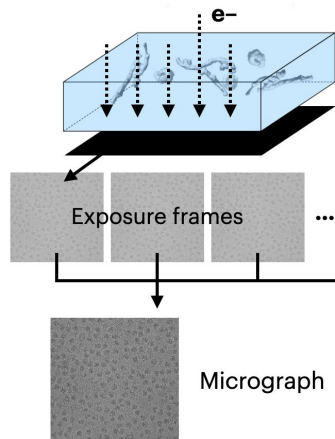
Hardware and software breakthroughs made cryo-EM the fastest growing structure determination method – perfect moment for robust software development.



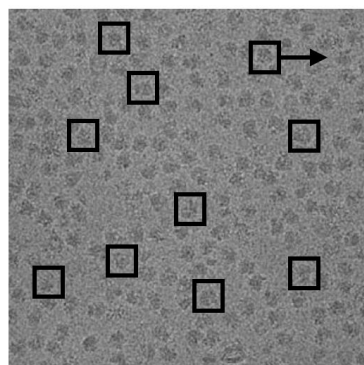
Cryo-EM crash course



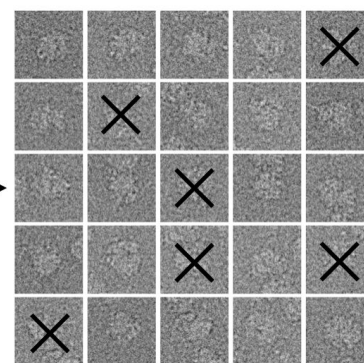
data collection



particle picking

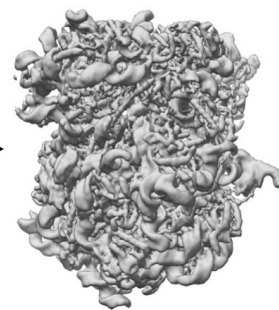


Micrograph stack



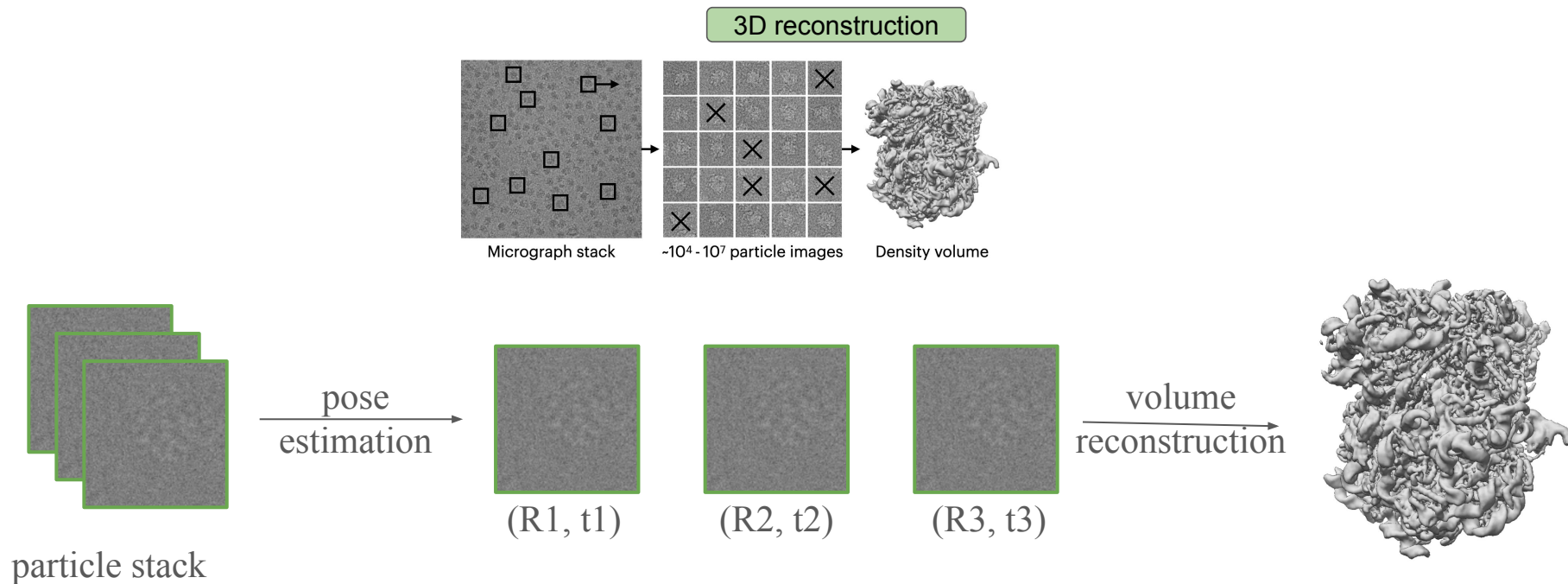
~10⁴ - 10⁷ particle images

3D reconstruction



Density volume

The cryo-EM reconstruction task



Task: Given a set of N particle images, find the optimal poses and volume.

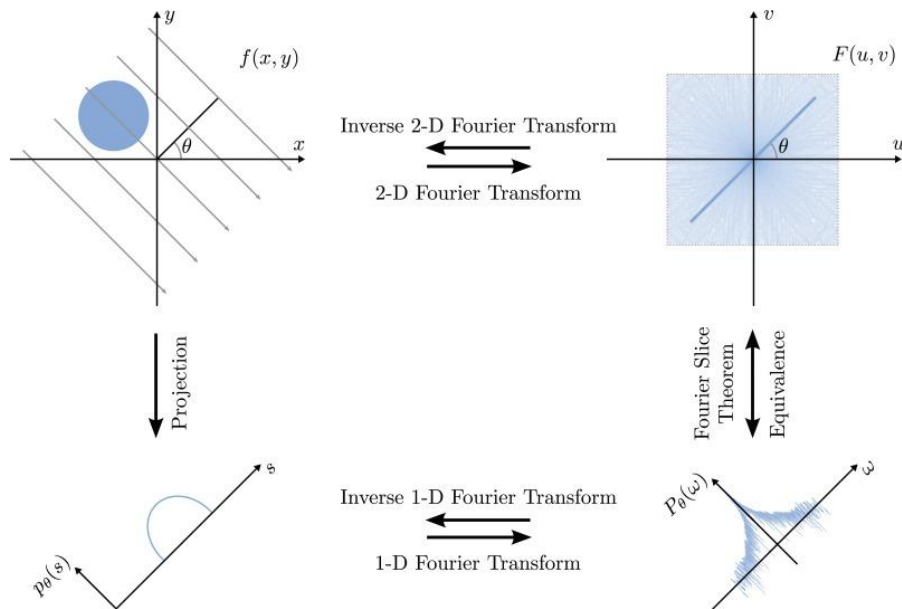
The image formation model

$$\overset{\text{image}}{X}(r_x, r_y) = \overset{\text{PSF}}{g} * \overset{\text{volume}}{\int_{\mathbb{R}} \overset{\text{pose}}{V}(R^T \mathbf{r} + t) dr_z} + \textit{noise} \qquad \mathbf{r} = (r_x, r_y, r_z)^T$$

The image formation model

$$\overset{\text{image}}{X(r_x, r_y)} = \overset{\text{PSF}}{g} * \overset{\text{volume}}{\int_{\mathbb{R}} \overset{\text{pose}}{V(R^T \mathbf{r} + t) dr_z} + \text{noise}} \quad \mathbf{r} = (r_x, r_y, r_z)^T$$

Fourier Slice Theorem



The image formation model

$$\overset{\text{image}}{X}(r_x, r_y) = \overset{\text{PSF}}{g} * \overset{\text{volume}}{\int_{\mathbb{R}}} \overset{\text{pose}}{\downarrow} V(R^T \mathbf{r} + t) dr_z + \text{noise} \quad \mathbf{r} = (r_x, r_y, r_z)^T$$

$$\overset{\text{image}}{\hat{X}}(k_x, k_y) = \overset{\text{CTF}}{\hat{g}} \overset{\text{pose operators}}{S(t)A(R)} \overset{\text{volume}}{\hat{V}}(k_x, k_y) + \overset{\text{N}(0, \text{I})}{\epsilon}$$

The image formation model

$$\overset{\text{image}}{X}(r_x, r_y) = \overset{\text{PSF}}{g} * \overset{\text{volume}}{\int_{\mathbb{R}} \overset{\text{pose}}{V}(R^T \mathbf{r} + t) dr_z} + \text{noise} \quad \mathbf{r} = (r_x, r_y, r_z)^T$$

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pose operators

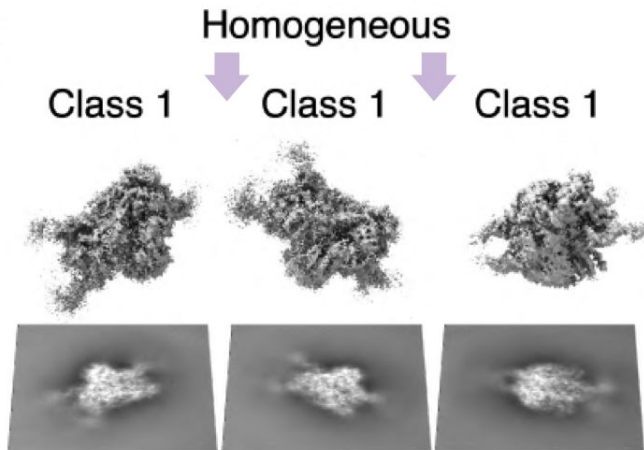
find pose + volume that maximizes probability

$$p(\hat{X} | \phi, \hat{V}) = p(\hat{X} | R, t, \hat{V}) = \frac{1}{Z} \exp \left(\sum_l \frac{-1}{2\sigma_l^2} \left| \hat{g}_l A_l(R) \hat{V} - S_l(t) \hat{X}_l \right|^2 \right)$$

Traditional cryo-EM reconstruction

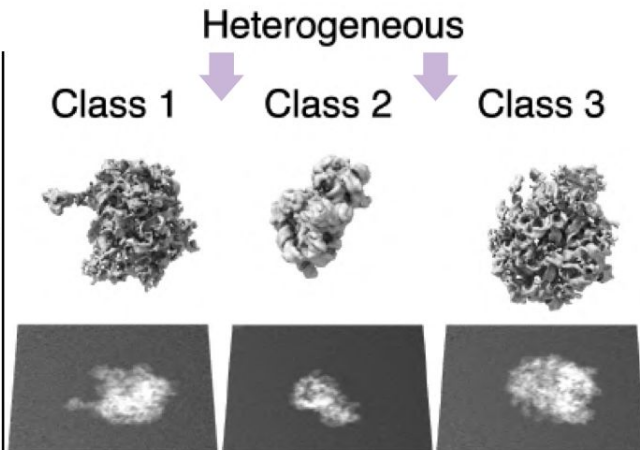
Homogeneous reconstruction:

- alternating iterative refinement of pose and volume
 - expectation-maximization
 - branch-and-bound pose estimation + gradient descent



Heterogeneous reconstruction:

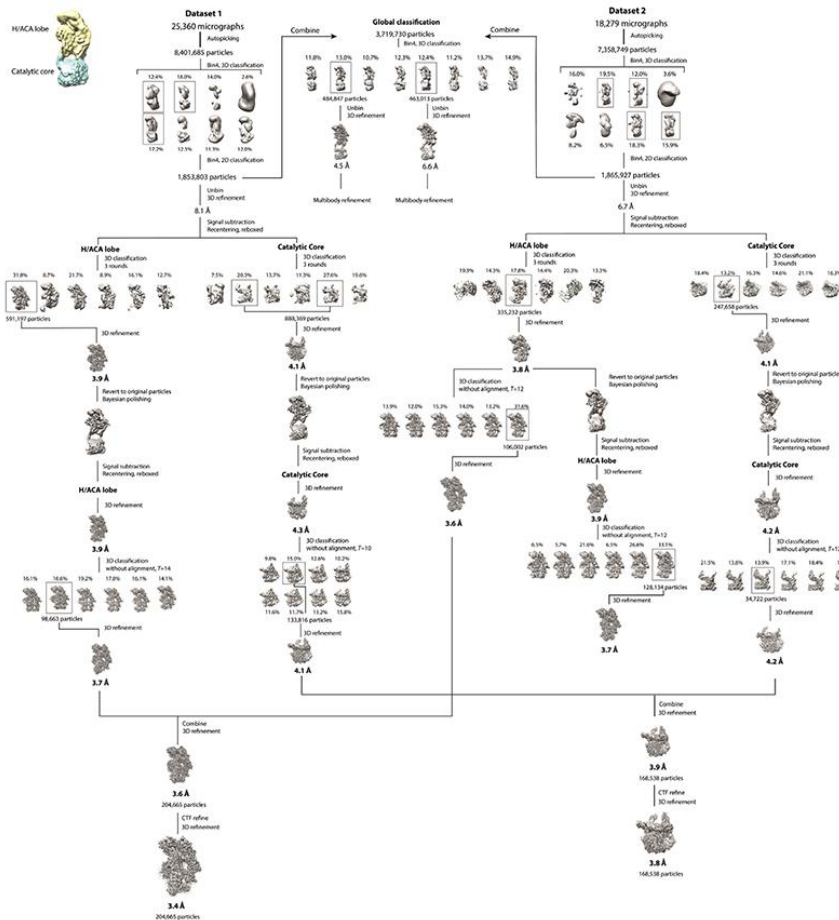
- discrete volumes: *multiclass refinement*
- the number of clusters K obtained is a hyperparameter that has to be iterated on



Traditional cryo-EM reconstruction

Homogeneous reconstructions:

- alternating iterations of 3D classification, CTF estimation, defocus, defocus, and volume
- expectation-maximization
- branch-and-bound
- estimation

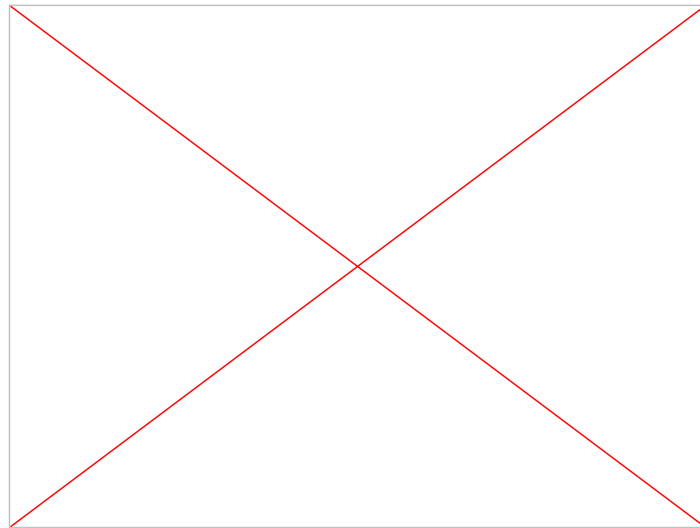


Instruction:

es: *multiclass refinement*
clusters K obtained is a
that has to be iterated on

Motivation

- A lot important biology happens through conformational changes
- Number and nature of the conformational states is unknown during reconstruction
 - assumptions change quality of results and several iterations are needed before finding the “best” hyperparameter fit for the data
- Some heterogeneity is continuous and can't be modeled by K classes
 - hinge motions, opening/closing of channels



The cryoDRGN model

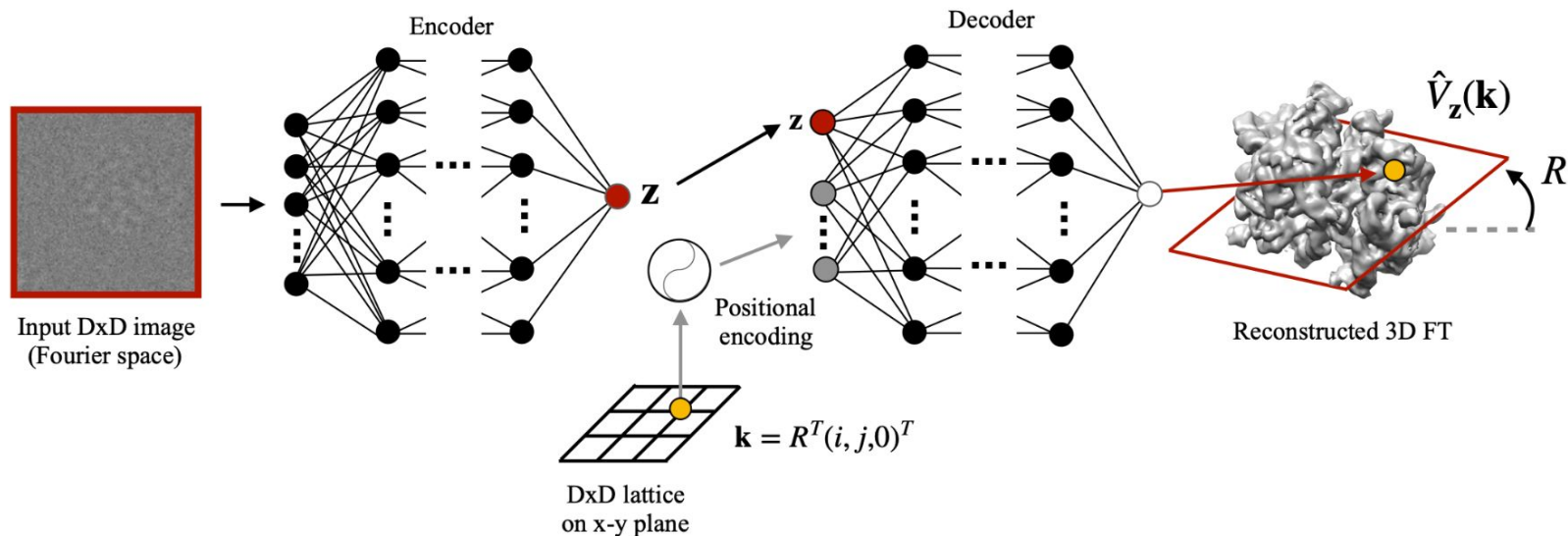


Figure 2: CryoDRGN model architecture. We use a VAE to perform approximate inference for latent variable z denoting image heterogeneity. The decoder reconstructs an image pixel by pixel given z and $pe(k)$, the positional encoding of 3D Cartesian coordinates. The 3D coordinates corresponding to each image pixel are obtained by rotating a DxD lattice on the x-y plane by R , the image orientation. The latent orientation for each image is inferred through a branch and bound global optimization procedure (not shown).

The cryoDRGN model – encoder

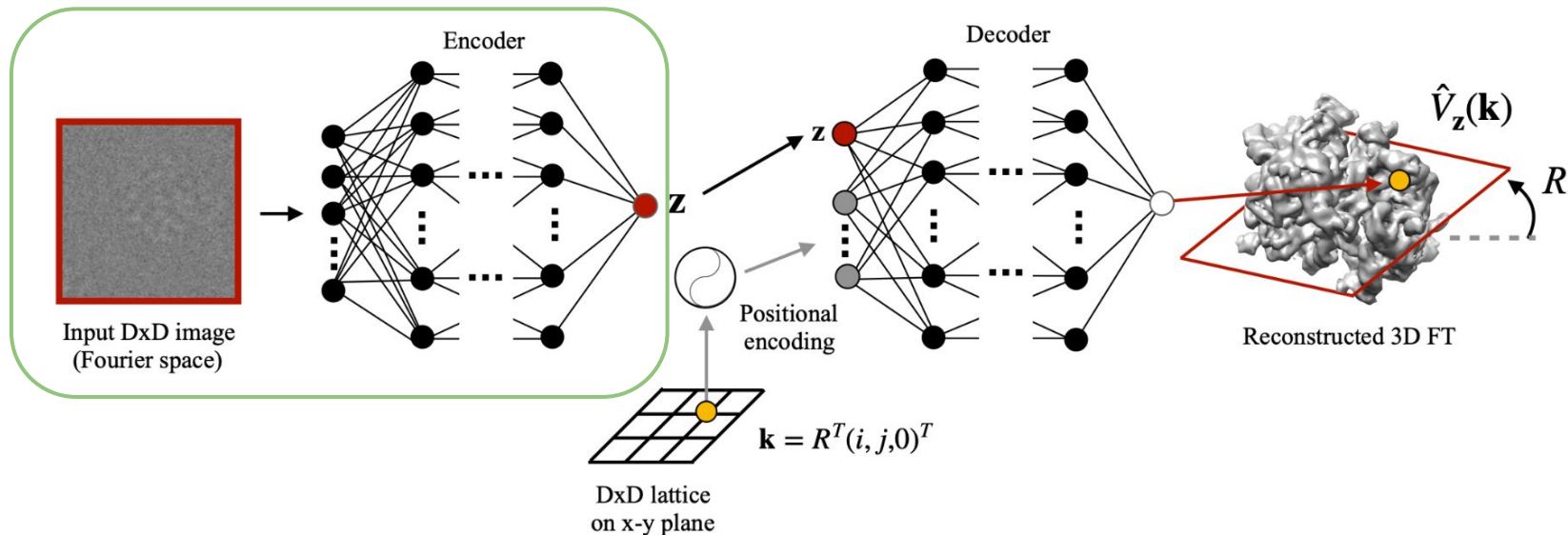
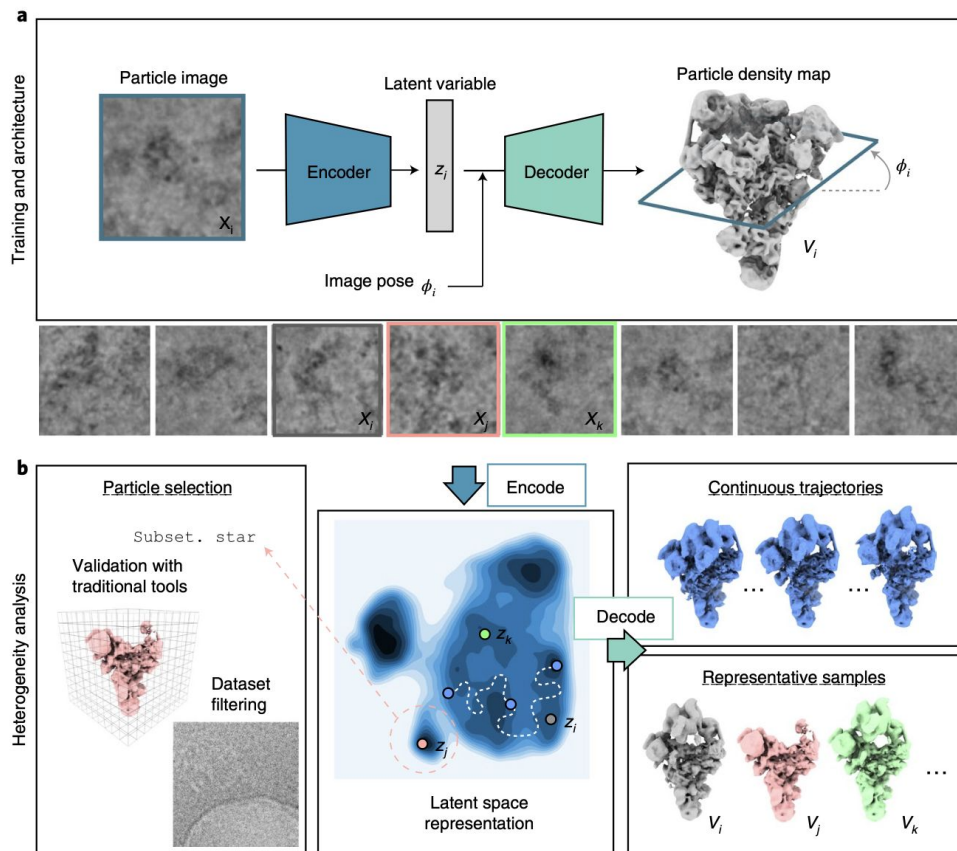


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The cryoDRGN model – encoder



- cryoDRGN works exclusively in Fourier space
- Images are represented through Hartley space representation
 - needed since MLPs need real numbers
- Instead of classifying the image, the encoder maps it to a continuous latent variable
 - note that the pose is not encoded in the latent!

The cryoDRGN model – decoder

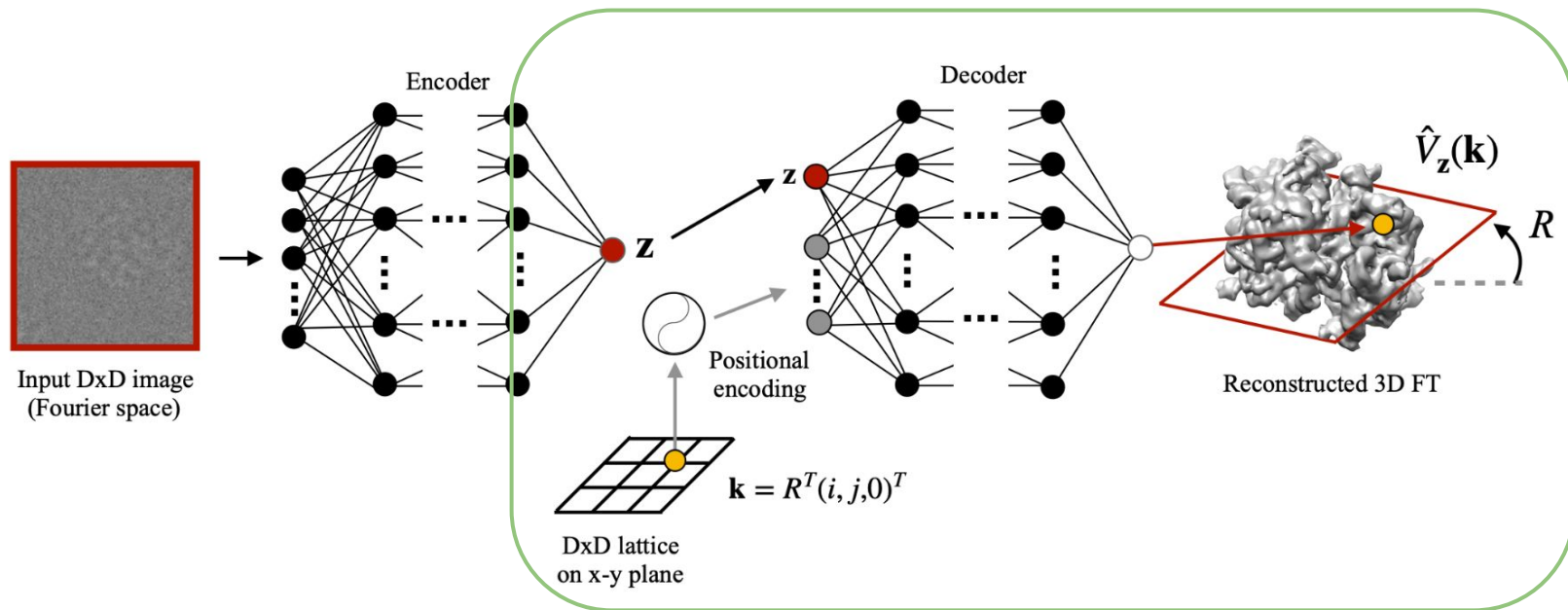
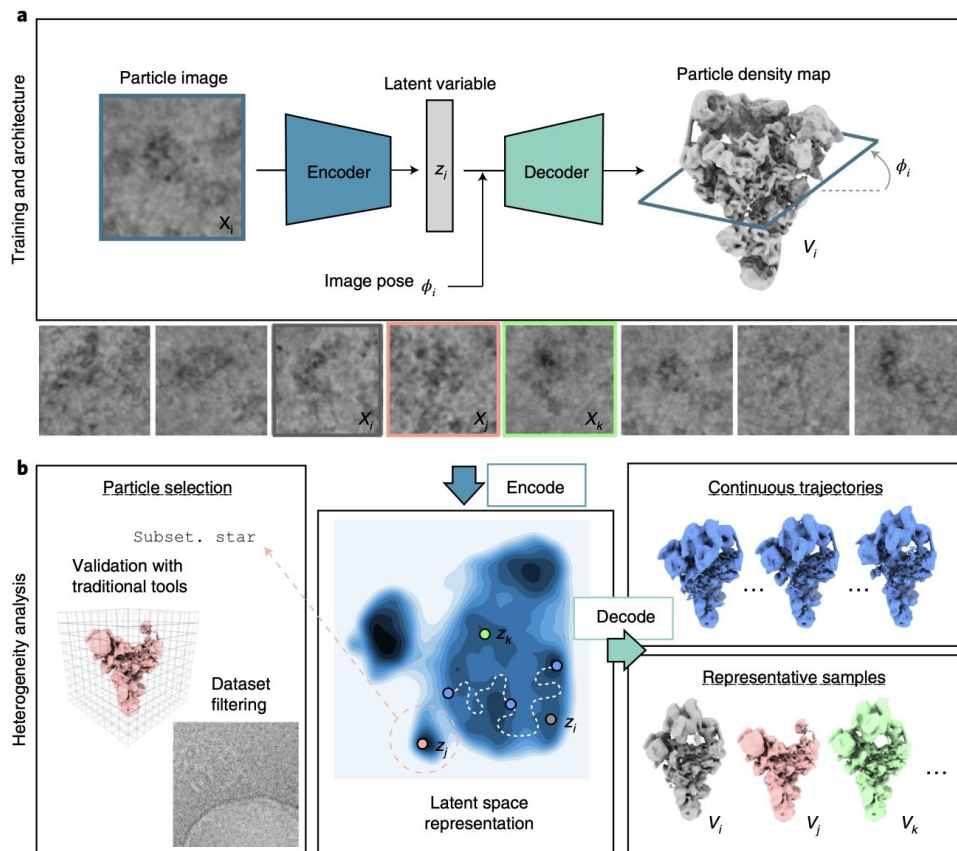


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The cryoDRGN model – decoder



- Moving away from the classical grid representation $\rightarrow$ continuous implicit function
 - instead of sampling position (x, y, z) , the decoder samples (kx, ky, kz)
- Decoder reconstructs a slice in Fourier space, then compares to the input particle image
- Sampling is possible from any point of the latent space: representative structures vs. continuous trajectories

The cryoDRGN model – pose search

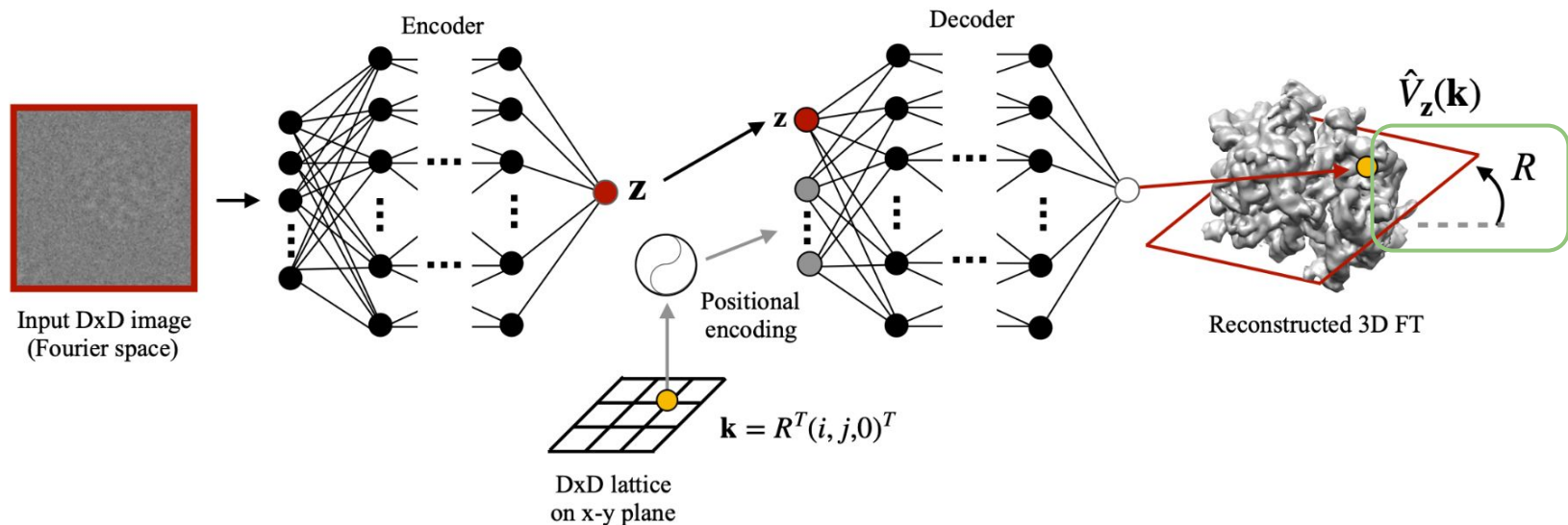


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The cryoDRGN model – pose search

- Pose space is huge – cryoDRGN discretizes it uniformly and iteratively
- Prune the poses by comparing a cheap lower bound evaluated at each position to an upper bound evaluated at one point
- At each iteration, increase k_max
 - computation gets more expensive
 - low-frequency dominates pose estimation
- Final resolution $\sim 1^\circ$

Algorithm 1 CryoDRGN branch and bound with frequency marching

```
1: procedure OPTPHI( $\hat{X}, \hat{V}_z$ ) ▷ Find the optimal image pose given the current decoder
2:    $k_{min} \leftarrow 12, k_{max} \leftarrow D/2, N_{iter} \leftarrow 5$ 
3:    $\Phi \leftarrow SO(3) \times \mathbb{R}^2$  grid at base resolution
4:    $k \leftarrow k_{min}$ 
5:   for  $iter = 1 \dots N_{iter}$  do
6:     for  $\phi_i \in \Phi$  do ▷ Compute lower bound at all grid points
7:        $lb(\phi_i) \leftarrow$  loss between  $\hat{X}$  and SLICE( $\hat{V}_z, \phi_i$ ) at  $k < k$ 
8:        $\phi^* \leftarrow \arg \min(lb)$ 
9:        $ub \leftarrow$  loss between  $\hat{X}$  and SLICE( $\hat{V}_z, \phi^*$ ) at  $k < k_{max}$  ▷ Compute upper bound
10:       $\Phi_{new} \leftarrow \{\}$ 
11:      for  $\phi_i \in \Phi$  do ▷ Subdivide grid points below the upper bound
12:        if  $lb(\phi_i) < ub$  then
13:           $\Phi_{new} \leftarrow \Phi_{new} \cup \text{SUBDIVIDE}(\phi_i)$ 
14:       $\Phi \leftarrow \Phi_{new}$ 
15:       $k \leftarrow k + (k_{max} - k_{min}) / (N_{iter} - 1)$  ▷ Increase frequency band limit
16: return  $\phi^*$ 
```

How the ICLR paper builds up the result

ICLR 2020 · Original proof of concept

Homogeneous, unknown pose

- One structure
- Pose inferred
- Decoder only

Validates reconstruction

Heterogeneous, known pose

- Multiple conformations
- Pose supplied
- Encoder + Decoder

Recovers known
heterogeneity

Heterogeneous, unknown pose

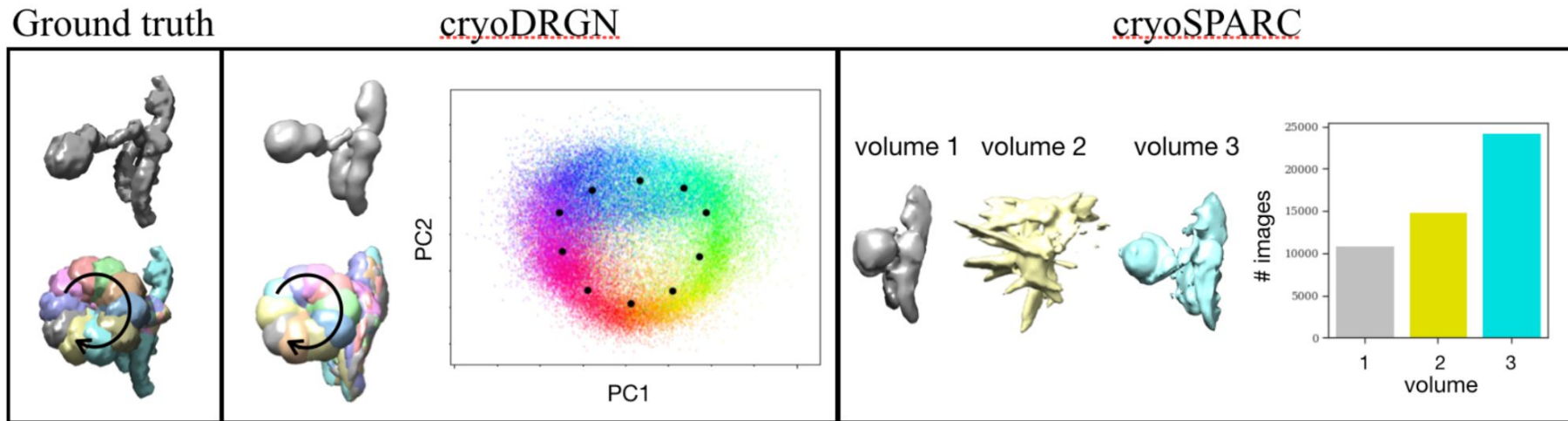
- Multiple conformations
- Pose inferred
- Encoder + decoder + pose inference

Fully unsupervised
heterogeneous reconstruction

The paper adds complexity step-by-step.

Can cryoDRGN recover continuous heterogeneity?

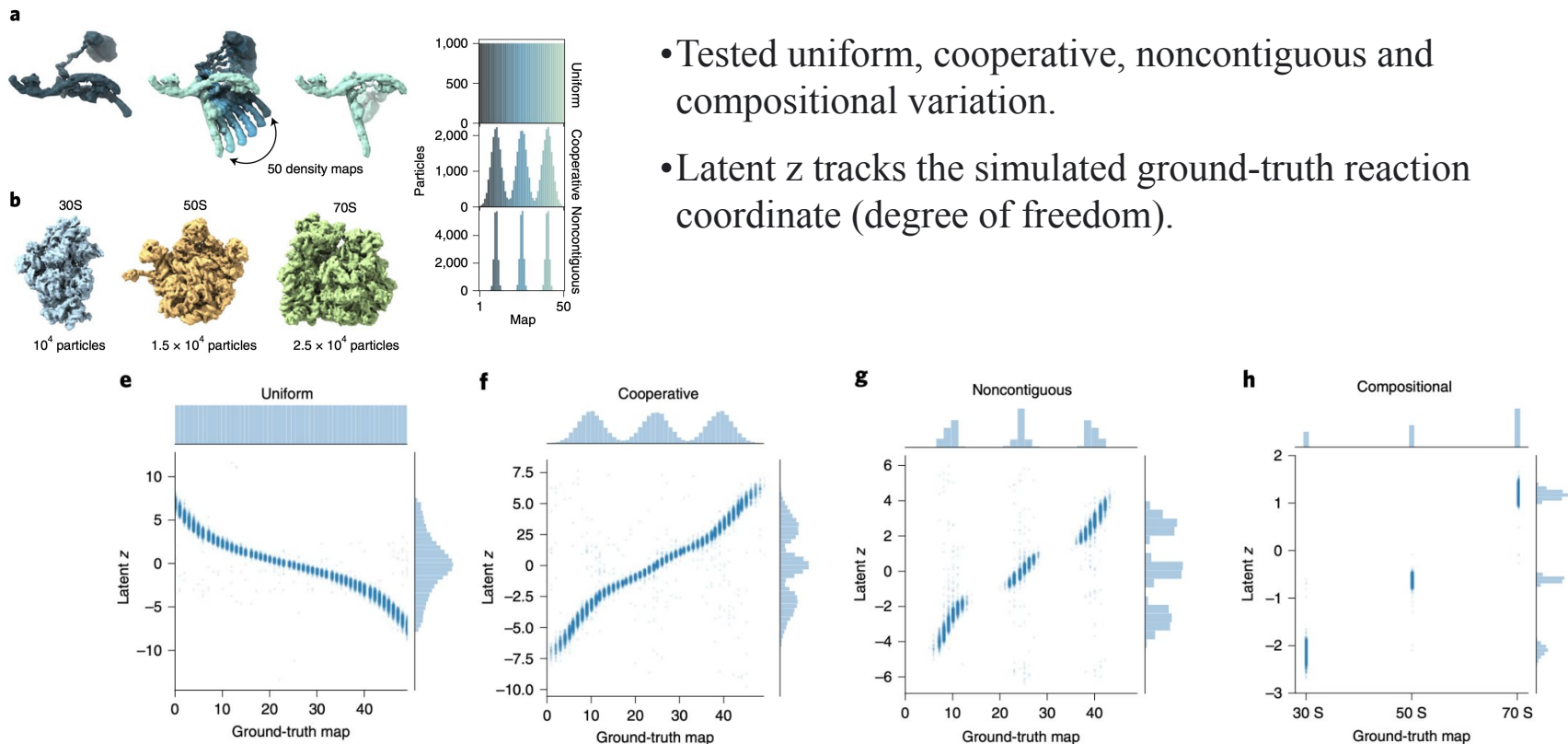
ICLR 2020 · Original proof of concept · Figure 4



- CryoDRGN's learned manifold preserves the circular motion and its topology
- CryoSPARC's three discrete classes blur together different conformations (only 2 out of 3 structures resemble the ground truth)

Does the learned latent space reflect the true structural landscape?

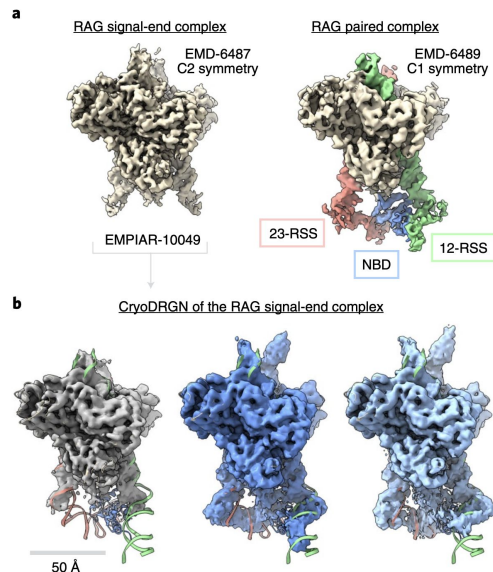
Nature Methods 2021 · Follow-up work · Figure 3a,b,e–h



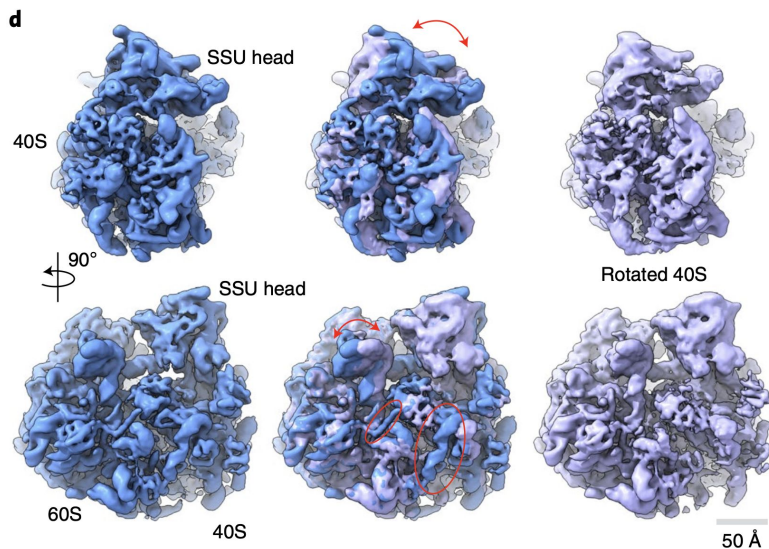
Heterogeneity hidden by a homogeneous reconstruction

Nature Methods 2021 · Follow-up work · Figure 4a,b,d

RAG complex



Pf80S ribosome

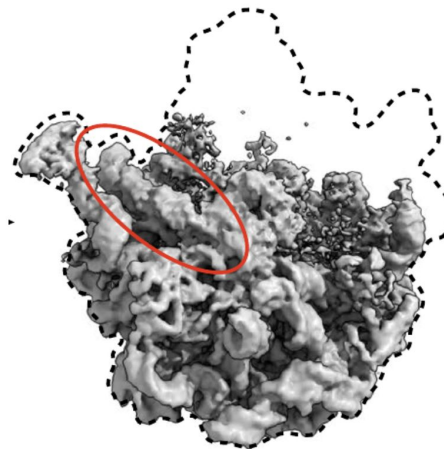
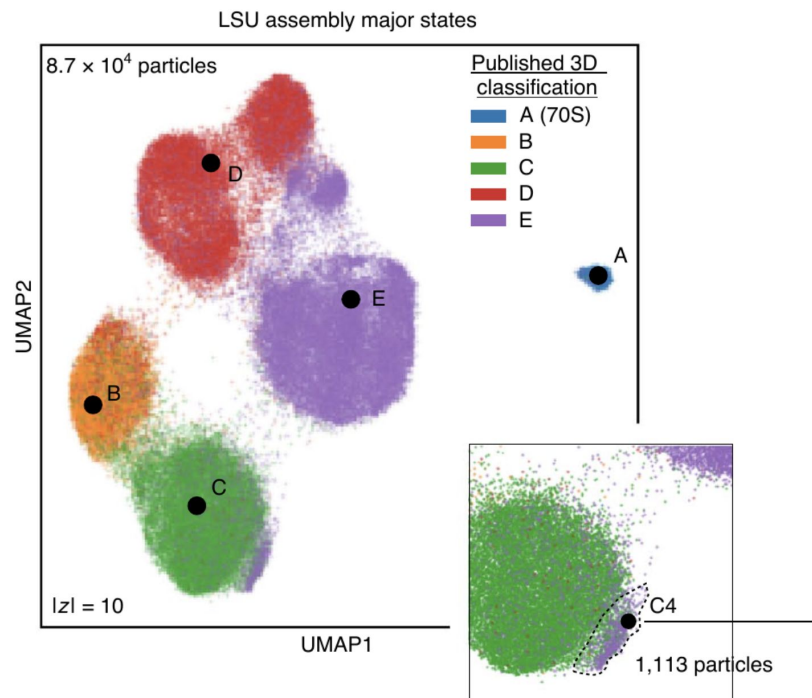


- RAG: cryoDRGN recovers variable RSS DNA and NBD conformations that are blurred out in the consensus reconstruction.
- Pf80S maps reveal 40S rotation and coordinated local rearrangements.

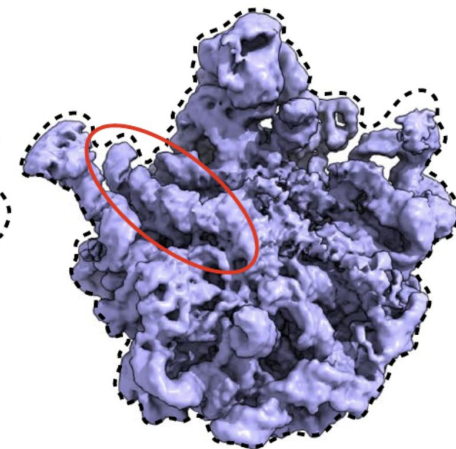
Unsupervised discovery of a rare ribosome assembly state

Nature Methods 2021 · Follow-up work · Figure 5f,i

f



LSU assembly class C4

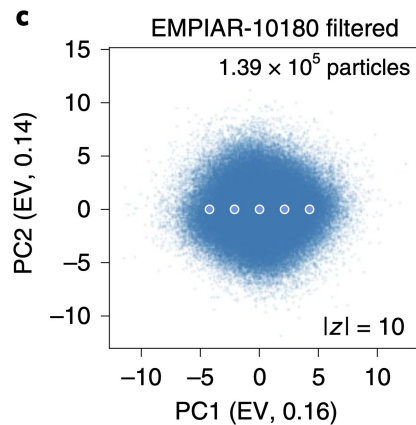


LSU assembly class E5

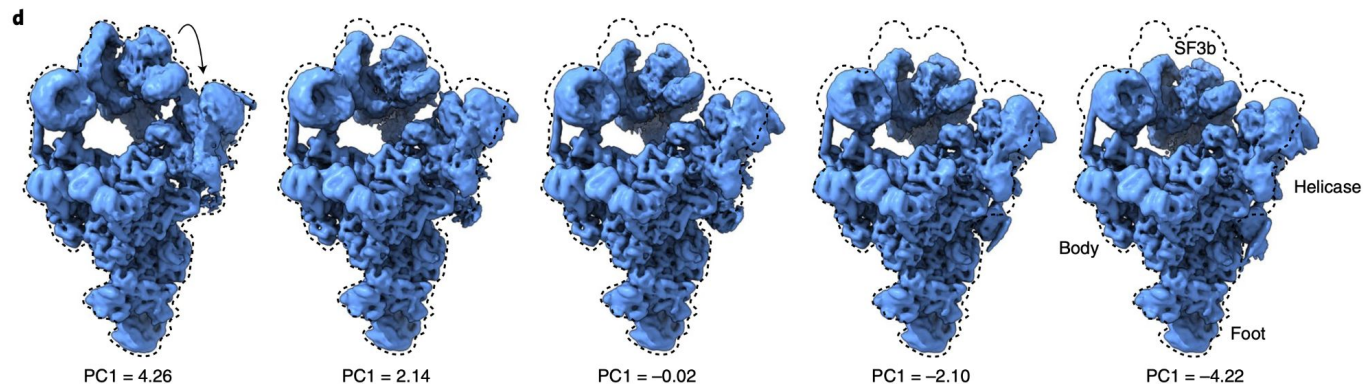
- C4: rare ~1% population (1,113 particles) missed by the original classification.
- Standard refinement of those same particles reproduces the structure.

From discrete states to continuous molecular motion

Nature Methods 2021 · Follow-up work · Figure 6c,d

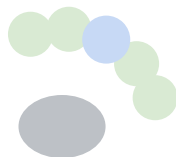


- Traversing latent PC1 reveals coordinated spliceosome motion.
- Latent trajectories show structural variation, not necessarily physical dynamics or preferred pathways.



What did cryoDRGN teach us?

One average



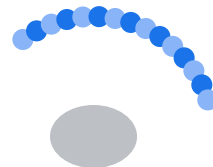
Flexible regions can blur

A few discrete classes



Within-class variation remains

A continuous family



Decode maps throughout latent space

- A continuous representation can reveal variation that averaging hides.
- Validate discoveries with particle subsets and conventional reconstruction.
- Pose errors and sparse latent regions still limit interpretation.

Strength, Limitations, and Open Questions

Strengths

- Flexible representation
- Discovery tool

Limitations

- Little information about kinetics
- Reliance on externally estimated particle poses

Open Questions

- Can pose and conformation be inferred jointly?
- Can we infer kinetics?

What came next?

Selected milestones through 2025

2020 / 2021



cryoDRGN

Continuous neural
structure model

2021



cryoDRGN2

Jointly infer poses
and structures on
real cryo-EM data

2024



cryoDRGN-ET

Learn heterogeneity
from subtomogram
tilt-series images

2025



cryoDRGN-AI

Combine global pose
search with local
optimization

- Later work removes pose assumptions and extends heterogeneous reconstruction to ab initio and in-cell cryo-ET settings.

Discussion

Q1: Describe two ways cryoDRGN differs from a standard VAE or from previous cryo-EM reconstruction approaches.

Q2: How does cryoDRGN represent a 3D volume with a neural network? What roles do the Fourier slice theorem and positional encoding play in the decoder?

Q3: How can we best interpret cryoDRGN's latent space? What does the trajectory from A to B represent?

Q4: If cryoDRGN finds a rare structural state that previous analysis missed (C4), what would convince you that the state is biologically meaningful rather than just an artifact of the analysis?

Q5: What new biological possibilities become possible if we can reconstruct continuous heterogeneity instead of a few discrete states?