Concurrency in Go

September 2025

Go Resources

https://tour.golang.org/list

https://play.golang.org

https://gobyexample.com

Today's Precept...

Two synchronization mechanisms

Locks

Channels

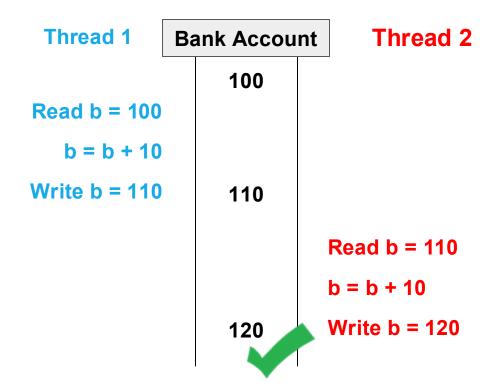
Mapreduce

Two synchronization mechanisms

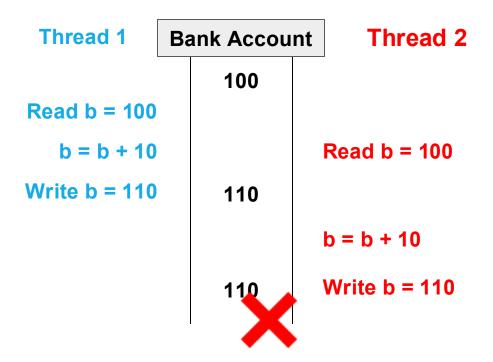
Locks - limit access to a critical section

Channels - pass information across processes using a queue

Example: Bank account



Example: Bank account



Changes to balance are not atomic

```
func Deposit(amount) {
    read balance
    balance = balance + amount
    write balance
}
```

Suppose the function is called in two threads, with the Thread 1 chosen to run first.

```
Thread 1
                                      Thread 2
func Deposit(amount) {
                                         func Deposit(amount) {
   read balance
                                             read balance
   balance = balance + amount
                                             balance = balance + amount
    write balance
                                             write balance
```

Suppose the function is called in two threads, with the Thread 1 chosen to run first.

```
Thread 1
                                     Thread 2
func Deposit(amount) {
                                        func Deposit(amount) {
                                            read balance
   read balance
                                            balance = balance + amount
       write balance
                                                write balance
```

Then, an interrupt happens, and the OS scheduler selects Thread 2 to run.

```
Thread 1
                                      Thread 2
func Deposit(amount) {
                                        func Deposit(amount) {
   read balance
                                            read balance
                                            balance = balance + amount
    write balance
                                             write balance
```

Thread 1 did not write new balance to shared storage, so Thread 2 reads the old value.

```
Thread 1
                                      Thread 2
                                        func Deposit(amount) {
func Deposit(amount) {
                                            read balance
   read balance
                                            balance = balance + amount
                                             write balance
    write balance
```

This is called a race condition.

```
Thread 1
                                      Thread 2
func Deposit(amount) {
                                        func Deposit(amount) {
   read balance
                                            read balance
                                            balance = balance + amount
    write balance
                                             write balance
```

Solution - Locks

Changes to balance are now atomic.

```
func Deposit(amount) {
   lock balanceLock
   read balance
   balance = balance + amount
   write balance
   unlock balanceLock
}
Critical section
```

Good Video Explanations

https://www.youtube.com/watch?v=FY9livorrJI

https://www.youtube.com/watch?v=LjWug2tvSBU

Locks in Go

```
package account
import "sync"
type Account struct {
    balance int
func NewAccount(init int) Account {
   return Account{balance: init}
```

```
func (a *Account) CheckBalance() int {
    return a.balance
func (a *Account) Withdraw(v int) {
    a.balance -= v
func (a *Account) Deposit(v int) {
    a.balance += v
```

Locks in Go

```
package account
import "sync"
type Account struct {
    balance int
    mu sync.Mutex
func NewAccount(init int) Account {
   return Account{balance: init}
```

```
func (a *Account) CheckBalance() int {
    a.mu.Lock()
    defer a.mu.Unlock()
    return a.balance
func (a *Account) Withdraw(v int) {
    a.mu.Lock()
    defer a.mu.Unlock()
    a.balance -= v
func (a *Account) Deposit(v int) {
    a.mu.Lock()
    defer a.mu.Unlock()
    a.balance += v
```

Read Write Locks in Go

```
package account
import "sync"
type Account struct {
    balance int
func NewAccount(init int) Account {
   return Account{balance: init}
```

```
func (a *Account) CheckBalance() int {
    a.rwLock.RLock()
func (a *Account) Withdraw(v int) {
    a.balance -= v
func (a *Account) Deposit(v int) {
    a.balance += v
```

Read Write Locks in Go

```
package account
import "sync"
type Account struct {
    balance int
    rwLock sync.RWMutex
func NewAccount(init int) Account {
   return Account{balance: init}
```

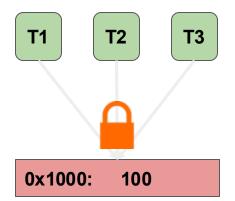
```
func (a *Account) CheckBalance() int {
    a.rwLock.RLock()
    defer a.rwLock.RUnlock()
    return a.balance
func (a *Account) Withdraw(v int) {
    a.rwLock.Lock()
    defer a.rwLock.Unlock()
    a.balance -= v
func (a *Account) Deposit(v int) {
    a.rwLock.Lock()
    defer a.rwLock.Unlock()
    a.balance += v
```

Two Solutions to the Same Problem

Locks:

Multiple threads can reference same memory location

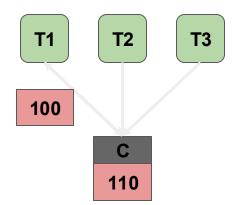
Use lock to ensure only one thread is updating it at any given time



Channels:

Data item initially stored in channel

Threads must request item from channel, make updates, and return item to channel



```
func (a *Account) CheckBalance() int {
    // What goes Here?
}

func (a *Account) Withdraw(v int) {
    // ????
}

func (a *Account) Deposit(v int) {
    // ????
}
```

```
package account
type Account struct {
    balance chan int
func NewAccount(init int) Account {
    a := Account{
         balance: make(chan int, 1)
    a.balance <- init
    return a
```

```
func (a *Account) CheckBalance() int {
    // What goes Here?
func (a *Account) Withdraw(v int) {
    // ???
func (a *Account) Deposit(v int) {
    // ???
```

```
package account
type Account struct {
    balance chan int
func NewAccount(init int) Account {
    a := Account{
         balance: make(chan int, 1)
    a.balance <- init
    return a
```

```
func (a *Account) CheckBalance() int {
    bal := <-a.balance
    a.balance <- bal
    return bal
func (a *Account) Withdraw(v int) {
    // ???
func (a *Account) Deposit(v int) {
    //???
```

```
package account
type Account struct {
    balance chan int
func NewAccount(init int) Account {
    a := Account{
         balance: make(chan int, 1)
    a.balance <- init
    return a
```

```
func (a *Account) CheckBalance() int {
    bal := <-a.balance
    a.balance <- bal
    return bal
func (a *Account) Withdraw(v int) {
    bal := <-a.balance
    a.balance <- (bal - v)
func (a *Account) Deposit(v int) {
    //???
```

```
package account
type Account struct {
    balance chan int
func NewAccount(init int) Account {
    a := Account{
         balance: make(chan int, 1)
    a.balance <- init
    return a
```

```
func (a *Account) CheckBalance() int {
    bal := <-a.balance
    a.balance <- bal
    return bal
func (a *Account) Withdraw(v int) {
    bal := <-a.balance
    a.balance <- (bal - v)
func (a *Account) Deposit(v int) {
    bal := <-a.balance
    a.balance <- (bal + v)</pre>
```

Select statement

select allows a goroutine to wait on multiple channels at once

```
for {
    select {
        case money := <-dad:
            buySnacks(money)
        case money := <-mom:
            buySnacks(money)
        }
}</pre>
```

Select statement

select allows a goroutine to wait on multiple channels at once

```
for {
    select {
        case money := <-dad:
            buySnacks(money)
        case money := <-mom:
            buySnacks(money)
        case default:
        starve()
        time.Sleep(5 * time.Second)
    }
}</pre>
```

Handle timeouts using select

```
// Asynchronously request an answer
// from server, timing out after X
// seconds
result := make(chan int)
timeout := make(chan bool)
// Ask server
go func() {
    response := // ... send RPC
    result <- response
}()
// Start timer
go func() {
    time.Sleep(5 * time.Second)
    timeout <- true</pre>
}()
```

```
// Wait on both channels
select {
   case res := <-result:
          handleResult(res)
   case <-timeout:</pre>
          fmt.Println("Timeout!")
```

```
type Lock struct {
    // ???
func NewLock() Lock {
         // ???
func (1 *Lock) Lock() {
    // ???
func (1 *Lock) Unlock() {
    // ???
```

```
type Lock struct {
    ch chan bool
func NewLock() Lock {
         // 333
func (1 *Lock) Lock() {
    // ???
func (1 *Lock) Unlock() {
    // ???
```

```
type Lock struct {
    ch chan bool
func NewLock() Lock {
    lock := Lock{make(chan bool, 1)}
    lock.ch <- true</pre>
    return lock
func (1 *Lock) Lock() {
     // ;;;
func (1 *Lock) Unlock() {
     // ???
```

```
type Lock struct {
    ch chan bool
func NewLock() Lock {
    lock := Lock{make(chan bool, 1)}
    lock.ch <- true</pre>
    return lock
func (1 *Lock) Lock() {
    <-lock.ch
func (1 *Lock) Unlock() {
    // ???
```

```
type Lock struct {
    ch chan bool
func NewLock() Lock {
    lock := Lock{make(chan bool, 1)}
    lock.ch <- true</pre>
    return lock
func (1 *Lock) Lock() {
     <-lock.ch
func (1 *Lock) Unlock() {
    lock.ch <- true</pre>
```

Outline

Two synchronization mechanisms

Locks

Channels

MapReduce

Application: Word count

How much wood would a woodchuck chuck if a woodchuck could chuck wood?

```
how: 1, much: 1, wood: 2, would: 1, a: 2, woodchuck: 2, chuck: 2, if: 1, could: 1
```

Application: Word count

Locally: tokenize and put words in a hash map

How do you parallelize this?

Partition the document into *n* partitions.

Build *n* hash maps, one for each partition

Merge the *n* hash maps (by key)

How do you do this in a distributed environment?



When in the Course of human events, it becomes necessary for one people to dissolve the political bands which have connected them with another, and to assume, among the Powers of the earth, the separate and equal station to which the Laws of Nature and of Nature's God entitle them, a decent respect to the opinions of mankind requires that they should declare the causes which impel them to the separation.



Input document

When in the Course of human events, it becomes necessary for one people to

dissolve the political bands which have connected them with another, and to assume,

among the Powers of the earth, the separate and equal station to which the Laws of

Nature and of Nature's God entitle them, a decent respect to the opinions of mankind

requires that they should declare the causes which impel them to the separation.



Partition

When in the Course of human events, it Shard 1 becomes necessary for one people to Shard 2 dissolve the political bands which have connected them with another, and to assume, Shard 3 among the Powers of the earth, the separate and equal station to which the Laws of Nature and of Nature's God entitle them, a Shard 4 decent respect to the opinions of mankind requires that they should declare the Shard 5 causes which impel them to the separation.

Partition

requires that they should declare the causes which impel them to the separation.

When in the Course of human events, it becomes necessary for one people to



Nature and of Nature's God entitle them, a decent respect to the opinions of mankind

dissolve the political bands which have connected them with another, and to assume,

among the Powers of the earth, the separate and equal station to which the Laws of

```
requires: 1, that: 1,
                              they: 1, should: 1,
                              declare: 1, the: 1,
                              causes: 1, which: 1 ...
when: 1, in: 1,
the: 1, course: 1,
of: 1, human: 1,
                                                              nature: 2, and: 1, of: 2,
events: 1, it: 1
                                                              god: 1, entitle: 1, them: 1,
                                                              decent: 1, respect: 1,
                                                              mankind: 1, opinion: 1 ...
       dissolve: 1, the: 2,
                                                      among: 1, the: 2,
       political: 1, bands: 1,
                                                       powers: 1, of: 2,
       which: 1, have: 1,
                                                       earth: 1, separate: 1,
       connected: 1, them: 1 ...
                                                      equal: 1, and: 1 ...
```

Compute word counts locally

```
they: 1, should: 1,
                         declare: 1, the: 1,
                         causes: 1, which: 1 ...
when: 1, in: 1,
the: 1, course: 1,
of: 1, human: 1,
                                                    nature: 2, and: 1, of: 2,
                   Now what...
events: 1, it: 1
                                                    god: 1, entitle: 1, them: 1,
                                                   decent: 1, respect: 1,
                                                    mankind: 1, opinion: 1 ...
    How to merge results?
      dissolve: 1, the: 2,
                                              among: 1, the: 2,
      political: 1, bands: 1,
                                              powers: 1, of: 2,
      which: 1, have: 1,
                                              earth: 1, separate: 1,
      connected: 1, them: 1 ...
                                              equal: 1, and: 1 ...
```

requires: 1, that: 1,

Compute word counts locally

Merging results computed locally

Several options

Don't merge — requires additional computation for correct results

Send everything to one node — what if data is too big? Too slow...

Partition key space among nodes in cluster (e.g. [a-e], [f-j], [k-p] ...)

- 1. Assign a key space to each node
- 2. Split local results by the key spaces
- 3. Fetch and merge results that correspond to the node's key space

requires: 1, that: 1, they: 1, should: 1, declare: 1, the: 1, causes: 1, which: 1 ...

when: 1, in: 1, the: 1, course: 1, of: 1, human: 1, events: 1, it: 1





nature: 2, and: 1, of: 2,
god: 1, entitle: 1, them: 1,
decent: 1, respect: 1,
mankind: 1, opinion: 1 ...

dissolve: 1, the: 2,
political: 1, bands: 1,
which: 1, have: 1,
connected: 1, them: 1 ...

among: 1, the: 2,
powers: 1, of: 2,
earth: 1, separate: 1,
equal: 1, and: 1 ...

```
causes: 1, declare: 1,
                               requires: 1, should: 1,
                               that: 1, they: 1, the: 1,
                               which: 1
when: 1, the: 1,
in: 1, it: 1, human: 1,
                                                               nature: 2, of: 2,
course: 1, events: 1,
                                                               mankind: 1, opinion: 1,
of: 1
                                                               entitle: 1, and: 1,
                                                               decent: 1, god: 1,
                                                               them: 1, respect: 1,
         bands: 1, dissolve: 1,
                                                        among: 1, and: 1,
         connected: 1, have: 1,
                                                        equal: 1, earth: 1,
         political: 1, the: 1,
                                                        separate: 1, the: 2,
         them: 1, which: 1
                                                        powers: 1, of: 2
```

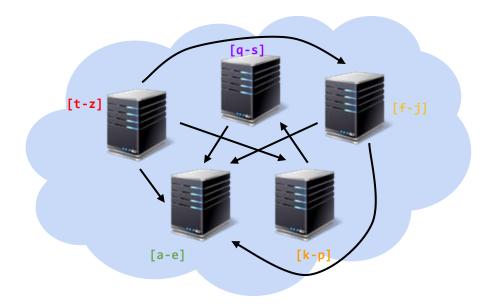
[a-e] [f-j]

[k-p]

[q-s]

[t-z]

Split local results by key space



All-to-all shuffle

```
requires: 1, should: 1,
                                 respect: 1, separate: 1
when: 1, the: 1, that: 1,
they: 1, the: 1, which: 1,
                                                                 god: 1, have: 1,
them: 1, the: 2, the: 1,
                                                                 in: 1, it: 1,
them: 1, which: 1
   bands: 1, dissolve: 1,
                                                          powers: 1, of: 2,
   connected: 1, course: 1,
                                                          nature: 2, of: 2,
   events: 1, among: 1, and: 1,
                                                          mankind: 1, of: 1,
   equal: 1, earth: 1, entitle: 1,
                                                          opinion: 1, political:
   and: 1, decent: 1, causes: 1,
   declare: 1
```

[a-e]
[f-j]
[k-p]

[q-s]

[t-z]

Note the duplicates...

```
requires: 1, should: 1,
                             respect: 1, separate: 1
when: 1, the: 4,
that: 1, they: 1,
                                                              god: 1, have: 1,
which: 2, them: 2
                                                              in: 1, it: 1,
bands: 1, dissolve: 1,
connected: 1, course: 1,
                                                       powers: 1, of: 5,
events: 1, among: 1, and: 2,
                                                       nature: 2, mankind: 1,
equal: 1, earth: 1,
                                                       opinion: 1, political: 1
entitle: 1, decent: 1,
causes: 1, declare: 1
```

Merge results received from other nodes

Mapreduce

Partition dataset into many chunks

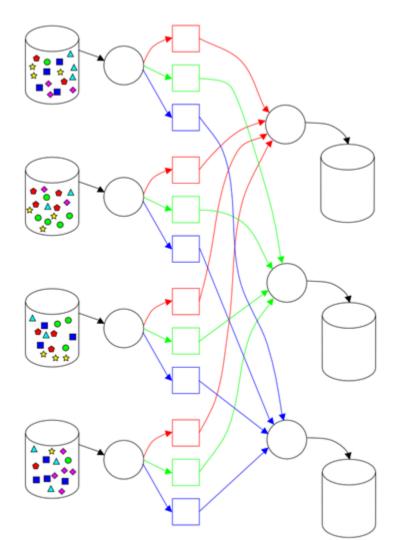
Map stage: Each node processes one or more chunks locally

Reduce stage: Each node fetches and merges partial results from all other nodes

Visualizing MapReduce

Note the 3 stages:

- Map
- Reduce
- Shuffle



Mapreduce Interface

```
map(key, value) \rightarrow list(\langle k', v' \rangle)
```

Apply function to (key, value) pair

Outputs list of intermediate pairs

Mapreduce: Word count

```
map(key, value):
    // key = document name
    // value = document contents
    for each word w in value:
        emit (w, 1)
```

Mapreduce Interface

map(key, value)
$$\rightarrow$$
 list($\langle k', v' \rangle$)

Apply function to (key, value) pair

Outputs list of intermediate pairs

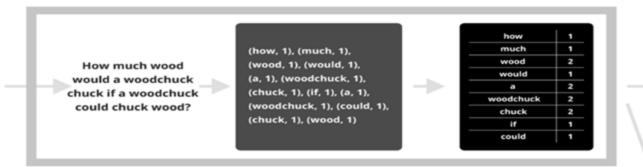
Applies aggregation function to values

Outputs result

Mapreduce: Word count

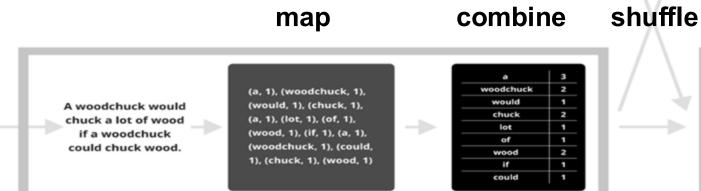
```
map(key, value):
       // key = document name
   // value = document contents
   for each word w in value:
       emit(w, 1)
reduce(key, values):
       // key = the word
       // values = number of occurrences of that word
       count = sum(values)
   emit (key, count)
```

Mapreduce: Word count





reduce





Why is implementing MapReduce hard?

- Failure is common
 - Even if each machine is available p = 99.999% of the time, a datacenter with n = 100,000 machines still encounters failures $(1-p^n) = 63\%$ of the time
- Data skew causes unbalanced performance across cluster

- → Problems occur at scale.
- → Hard to debug!

