

Assignment #4

COS 326

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Assignment Overview

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Given: a *substitution-based* interpreter with more features (booleans, pairs, lists, match)

Goal: Build a new *environment-based* interpreter that does the same thing, but faster.

substitution: good for reasoning about programs

environments: more efficient

AN ENVIRONMENT MODEL FOR PROGRAM EXECUTION

Substitution

4

Consider the following program:

```
let choose (arg:bool * int * int) : int -> int
=
  let (b, x, y) = arg in
  if b then
    (fun n -> n + x)
  else
    (fun n -> n + y)

choose (true, 1, 2)
```

Substitution

5

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```

Its execution behavior according to the substitution model:

```
choose (true, 1, 2)
```

Substitution

6

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choose (true, 1, 2)
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Its execution behavior according to the substitution model:

```
choose (true, 1, 2)
-->
let (b, x, y) = (true, 1, 2) in
if b then (fun n -> n + x)
else (fun n -> n + y)
```

Substitution

7

Consider the following program:

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=
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  else
    (fun n -> n + y)

choose (true, 1, 2)
```

Its execution behavior according to the substitution model:

```
choose (true, 1, 2)
-->
let (b, x, y) = (true, 1, 2) in
if b then (fun n -> n + x)
else (fun n -> n + y)
-->
if true then (fun n -> n + 1)
else (fun n -> n + 2)
```

Substitution

8

Consider the following program:

```
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=
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Its execution behavior according to the substitution model:

```
choose (true, 1, 2)
-->
  let (b, x, y) = (true, 1, 2) in
  if b then (fun n -> n + x)
  else (fun n -> n + y)
-->
  if true then (fun n -> n + 1)
  else (fun n -> n + 2)
-->
  (fun n -> n + 1)
```


Substitution

9

How much work does the interpreter have to do?

traverse the
entire function
body, making
a new copy with
substituted values

```
choose (true, 1, 2)
-->
let (b, x, y) = (true, 1, 2) in
  if b then (fun n -> n + x)
  else (fun n -> n + y)
-->
  if true then (fun n -> n + 1)
  else (fun n -> n + 2)
-->
  (fun n -> n + 1)
```



Substitution

10

How much work does the interpreter have to do?

```
choose (true, 1, 2)
-->
let (b, x, y) = (true, 1, 2) in
if b then (fun n -> n + x)
else (fun n -> n + y)
-->
if true then (fun n -> n + 1)
else (fun n -> n + 2)
-->
(fun n -> n + 1)
```

traverse the
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Substitution

11

How much work does the interpreter have to do?

```
choose (true, 1, 2)
-->
let (b, x, y) = (true, 1, 2) in
if b then (fun n -> n + x)
else (fun n -> n + y)
-->
if true then (fun n -> n + 1)
else (fun n -> n + 2)
-->
(fun n -> n + 1)
```

traverse the
entire function
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traverse the
entire function
body, making
a new copy with
substituted values

Every step takes time proportional
to the size of the program.

We had to traverse the “else” branch
of the if twice, even though we never executed it!

The Substitution Model is Expensive

The substitution model of evaluation is *just a model*. It says that we generate new code at each step of a computation. We don't do that in reality. Too expensive!

The substitution model is good for reasoning about the input-output behavior of a function but doesn't tell us much about the resources used along the way.

Efficient interpreters use *environments* rather than substitution.

You can think of an environment as *delaying* substitution until it is needed.

Environment Models

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An *environment* is a key-value store where the keys are variables and the values are ... programming language values.

Example:

[x -> 1; b -> true; y -> 2]

this environment:

- binds 1 to x
- binds true to b
- binds 2 to y

Execution with Environment Models

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Execution with substitution:

```
let x = 3 in  
let b = true in  
if b then x else 0  
-->  
let b = true in  
if b then 3 else 0  
-->  
if true then 3 else 0  
-->  
3
```

Form of the semantic relation:

$e1 \rightarrow e2$

Execution with Environment Models

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Execution with substitution:

```
let x = 3 in
let b = true in
if b then x else 0
-->
let b = true in
if b then 3 else 0
-->
if true then 3 else 0
-->
3
```

Form of the semantic relation:

$e1 \rightarrow e2$

Execution with environments:

```
([], let x = 3 in
  let b = true in
  if b then x else 0)
```

Form of the semantic relation:

$(env1, e1) \rightarrow (env2, e2)$

Execution with Environment Models

16

Execution with substitution:

```
let x = 3 in
let b = true in
if b then x else 0
-->
let b = true in
if b then 3 else 0
-->
if true then 3 else 0
-->
3
```

Execution with environments:

```
([], let x = 3 in
  let b = true in
  if b then x else 0)
-->
([x->3], let b = true in
  if b then x else 0)
```


Execution with Environment Models

17

Execution with substitution:

```
let x = 3 in
let b = true in
if b then x else 0
-->
let b = true in
if b then 3 else 0
-->
if true then 3 else 0
-->
3
```

Execution with environments:

```
([], let x = 3 in
  let b = true in
  if b then x else 0)
-->
([x->3], let b = true in
  if b then x else 0)
-->
([x->3; b->true], if b then x else 0)
```

Execution with Environment Models

18

Execution with substitution:

```
let x = 3 in
let b = true in
if b then x else 0
-->
let b = true in
if b then 3 else 0
-->
if true then 3 else 0
-->
3
```

Execution with environments:

```
([], let x = 3 in
  let b = true in
  if b then x else 0)
-->
([x->3], let b = true in
  if b then x else 0)
-->
([x->3; b->true], if b then x else 0)
-->
([x->3; b->true], if true then x else 0)
```

Execution with Environment Models

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Execution with substitution:

```
let x = 3 in
let b = true in
if b then x else 0
-->
let b = true in
if b then 3 else 0
-->
if true then 3 else 0
-->
3
```

Execution with environments:

```
([], let x = 3 in
  let b = true in
  if b then x else 0)
-->
([x->3], let b = true in
  if b then x else 0)
-->
([x->3; b->true], if b then x else 0)
-->
([x->3; b->true], if true then x else 0)
-->
([x->3; b->true], x)
```

Execution with Environment Models

20

Execution with substitution:

```
let x = 3 in
let b = true in
if b then x else 0
-->
let b = true in
if b then 3 else 0
-->
if true then 3 else 0
-->
3
```

Execution with environments:

```
([], let x = 3 in
  let b = true in
  if b then x else 0)
-->
([x->3], let b = true in
  if b then x else 0)
-->
([x->3; b->true], if b then x else 0)
-->
([x->3; b->true], if true then x else 0)
-->
([x->3; b->true], x)
-->
([x->3; b->true], 3)
```

Another Example

21

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

Another Example

22

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```

Another Example

23

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```

-->

```
([x -> 10; f -> fun y -> y + x],  
 let x = 3 in  
 f x )
```

Another Example

24

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```

-->

```
([x -> 10; f -> fun y -> y + x],  
 let x = 3 in  
 f x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 f x )
```


Another Example

25

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```

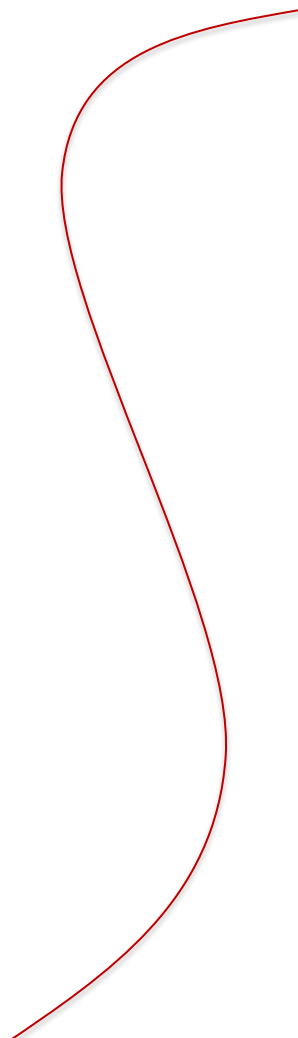
-->

```
([x -> 10; f -> fun y -> y + x],  
 let x = 3 in  
 f x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 f x )
```

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) x )
```



Another Example

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```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```

-->

```
([x -> 10; f -> fun y -> y + x],  
 let x = 3 in  
 f x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 f x )
```

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) 3 )
```

Another Example

27

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```

-->

```
([x -> 10; f -> fun y -> y + x],  
 let x = 3 in  
 f x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 f x )
```

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) 3 )
```

-->

```
([x -> 3; f -> fun y -> y + x; y -> 3],  
 y + x )
```

Another Example

28

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```

-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```

-->

```
([x -> 10; f -> fun y -> y + x],  
 let x = 3 in  
 f x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 f x )
```

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) 3 )
```

-->

```
([x -> 3; f -> fun y -> y + x; y -> 3],  
 y + x )
```

-->

```
([x -> 3; f -> fun y -> y + x; y -> 3],  
 3 + 3 )
```

-->

```
([x -> 3; f -> fun y -> y + x; y -> 3],  
 6 )
```

Recall our Problem with Inlining/Substitution

```
let g x =
  let f = fun y -> y + x in
  let x = 3 in
  f x
```

Incorrect
Inlining

```
let g x =
  let x = 3 in
  x + x
```

```
g 10 -->* 13
```

```
g 10 -->* 6
```

```
([],
 (fun x ->
   let f = fun y -> y + x in
   let x = 3 in
   f x) 10 )
```

Incorrect
Execution

```
([], ...) -->*
([...], 6)
```

Another Example

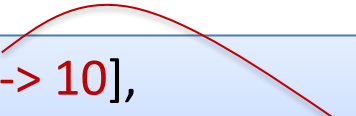
30

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```



-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```



-->

```
([x -> 10; f -> fun y -> y + x],  
 let x = 3 in  
 f x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 f x )
```



```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) x )
```

-->

```
([x -> 3; f -> fun y -> y + x],  
 (fun y -> y + x) 3 )
```

-->

```
([x -> 3; f -> fun y -> y + x; y -> 3],  
 y + x )
```

-->

```
([x -> 3; f -> fun y -> y + x; y -> 3],  
 3 + 3 )
```

-->

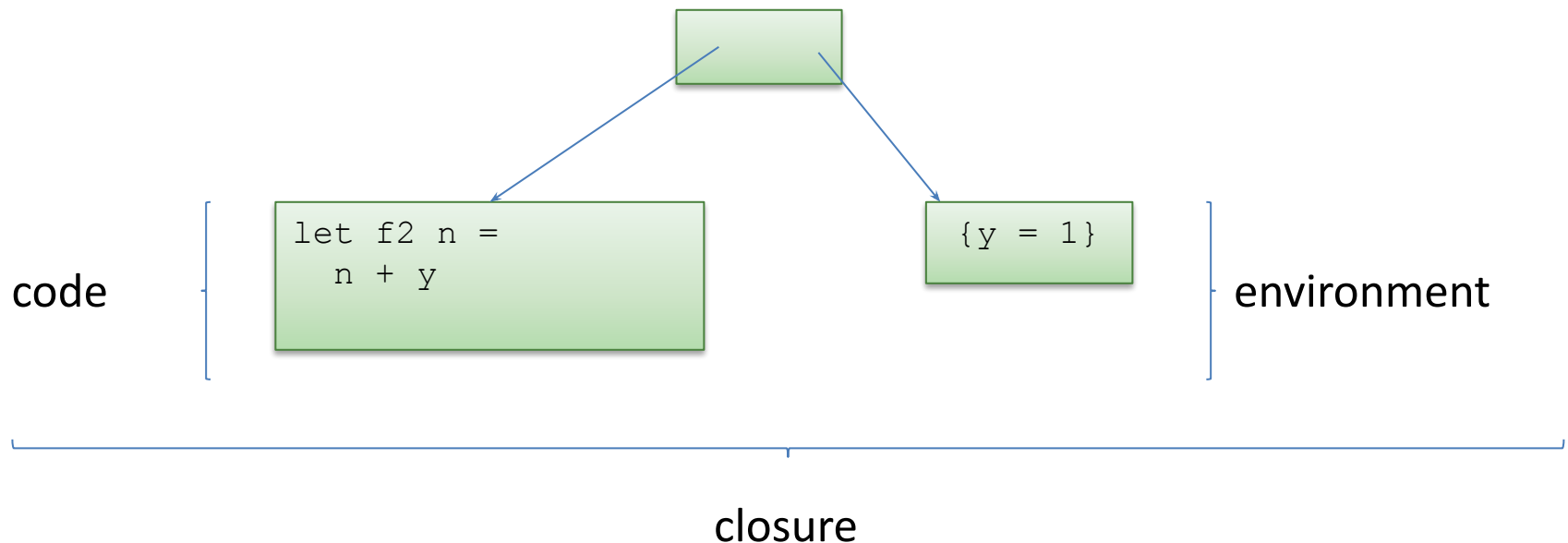
```
([x -> 3; f -> fun y -> y + x; y -> 3],  
 6 )
```

Solution

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Functions must carry with them the appropriate environment

A *closure* is a pair of code and environment

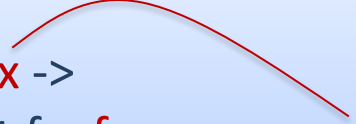


In the environment model, *function definitions* evaluate to *function closures*

Another Example

32

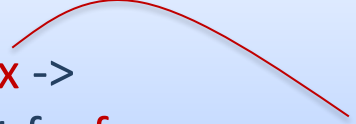
```
([],  
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  let x = 3 in  
  f x) 10 )
```



Another Example

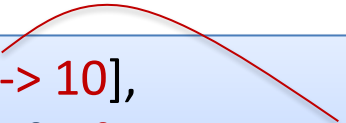
33

```
([],  
(fun x ->  
  let f = fun y -> y + x in  
  let x = 3 in  
  f x) 10 )
```



-->

```
([x -> 10],  
let f = fun y -> y + x in  
let x = 3 in  
f x )
```



Another Example

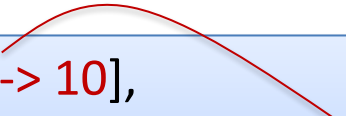
34

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```



-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```



-->

```
([x -> 10; f -> closure [x->10] y = y + x],  
 let x = 3 in  
 f x )
```



Another Example

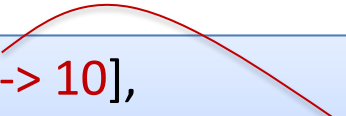
35

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```



-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```



-->

```
([x -> 10; f -> closure [x->10] fun y -> y = y + x],  
 let x = 3 in  
 f x )
```



-->

```
([x -> 3; f -> closure [x->10] fun y -> y = y + x],,  
 f x )
```



Another Example

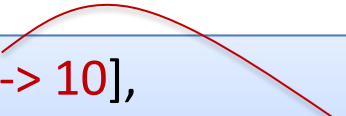
36

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```



-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```



-->

```
([x -> 10; f -> closure [x->10] y = y + x],  
 let x = 3 in  
 f x )
```



-->

```
([x -> 3; f -> closure [x->10] y = y + x],,  
 f x )
```



```
([x -> 3; f -> closure [x->10] y = y + x],  
 (closure [x->10] y = y + x) x )
```



Another Example

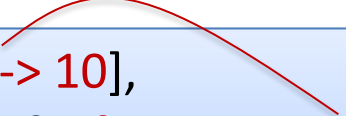
37

```
([],  
 (fun x ->  
   let f = fun y -> y + x in  
   let x = 3 in  
   f x) 10 )
```



-->

```
([x -> 10],  
 let f = fun y -> y + x in  
 let x = 3 in  
 f x )
```



-->

```
([x -> 10; f -> closure [x->10] y = y + x],  
 let x = 3 in  
 f x )
```



-->

```
([x -> 3; f -> closure [x->10] y = y + x],,  
 f x )
```



```
([x -> 3; f -> closure [x->10] y = y + x],  
 (closure [x->10] y = y + x) x )
```

-->

```
([x -> 3; f -> closure [x->10] y = y + x],  
 (closure [x->10] y = y + x) 3 )
```



Another Example

38

```
([],  
(fun x ->  
  let f = fun y -> y + x in  
  let x = 3 in  
  f x) 10)
```

-->

```
([x -> 10],  
let f = fun y -> y + x in  
let x = 3 in  
f x)
```

-->

```
([x -> 10; f -> closure [x->10] y = y + x],  
let x = 3 in  
f x)
```

-->

```
([x -> 3; f -> closure [x->10] y = y + x],  
f x)
```

```
([x -> 3; f -> closure [x->10] y = y + x],  
(closure [x->10] y = y + x) x)
```

-->

```
([x -> 3; f -> closure [x->10] y = y + x],  
(closure [x->10] y = y + x) 3)
```

-->

```
([x -> 10; y -> 3],  
y + x)
```

When you call a closure,
replace the current
environment with the
closure's environment,
and bind the parameter
to the argument

Another Example

39

```
([],  
(fun x ->  
  let f = fun y -> y + x in  
  let x = 3 in  
  f x) 10 )
```

-->

```
([x -> 10],  
  let f = fun y -> y + x in  
  let x = 3 in  
  f x )
```

-->

```
([x -> 10; f -> closure [x->10] y = y + x],  
  let x = 3 in  
  f x )
```

-->

```
([x -> 3; f -> closure [x->10] y = y + x],  
  f x )
```

```
([x -> 3; f -> closure [x->10] y = y + x],  
  (closure [x->10] y = y + x) x )
```

-->

```
([x -> 3; f -> closure [x->10] y = y + x],  
  (closure [x->10] y = y + x) 3 )
```

-->

```
([x -> 10; y -> 3],  
  y + x )
```

-->

```
([x -> 10; y -> 3],  
  3 + 10 )
```

-->

```
([x -> 10; y -> 3],  
  13 )
```

Summary: Environment Models

In environment-based interpreter, values are drawn from an environment. This is more efficient than using substitution.

To implement nested, higher-order functions, pair functions with the environment in play when the function is defined.

Pairs of function code & environment are called *closures*.