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## INTRACTABILITY

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- ▶ *introduction*
- ▶ *P vs. NP*
- ▶ *poly-time reductions*
- ▶ *NP-completeness*
- ▶ *dealing with intractability*

# Overview: introduction to advanced topics

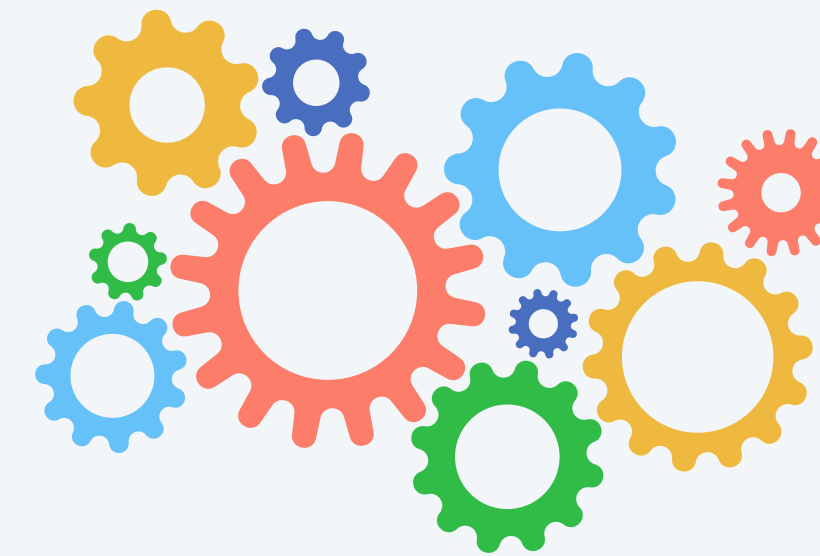
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## Main topics. [final two lectures]

- **Intractability:** barriers to designing efficient algorithms.
- **Algorithm design:** paradigms for solving problems.

## Shifting gears.

- From individual problems to problem-solving models.
- From linear/quadratic to poly-time/exponential scale.
- From implementation details to conceptual frameworks.



## Goals.

- Introduce you to essential ideas.
- Place algorithms and techniques we've studied in a larger context.



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# INTRACTABILITY

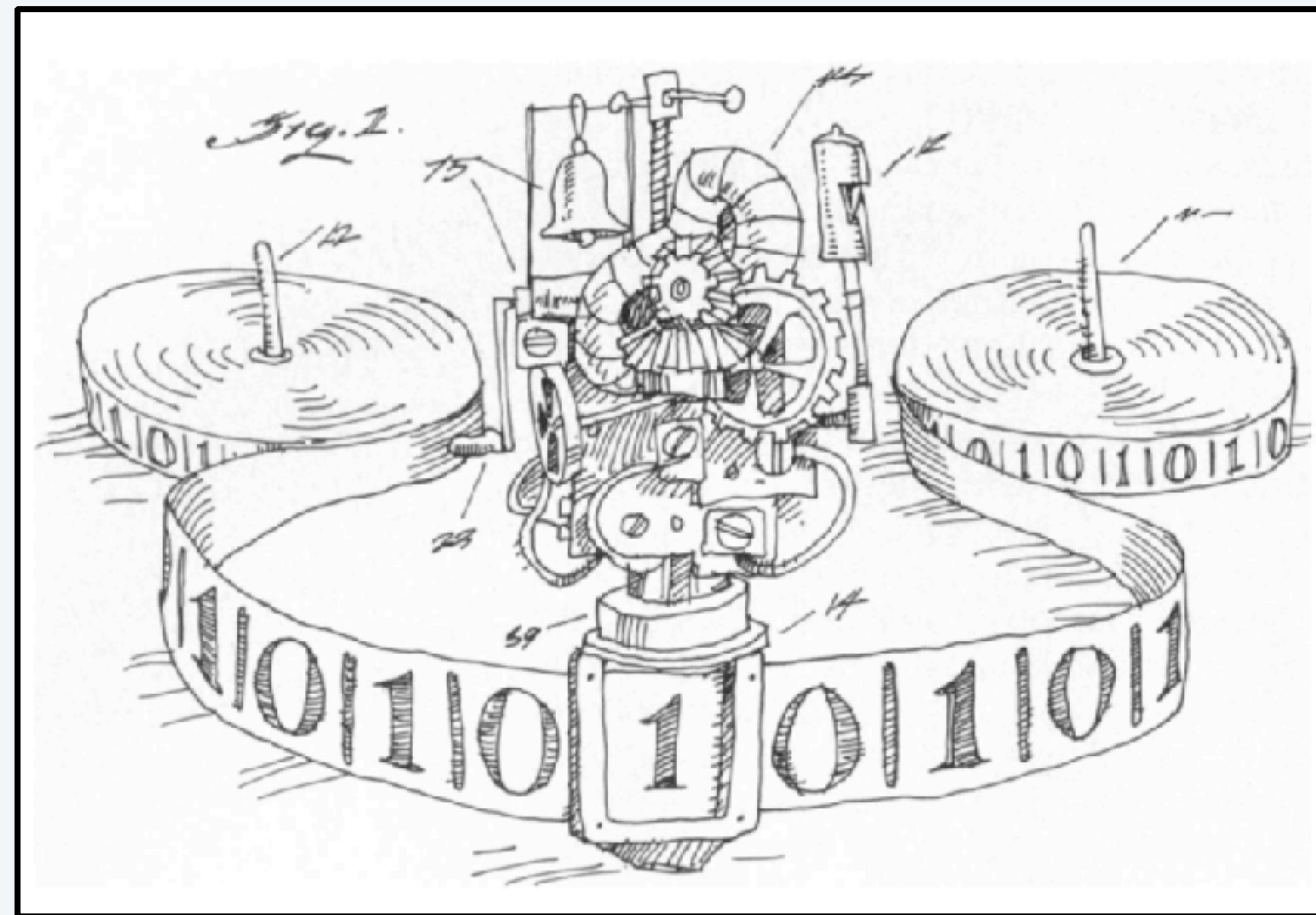
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# Fundamental questions

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- Q1. What is an **algorithm**?
- Q2. What is an **efficient** algorithm?
- Q3. Which **problems** can be solved efficiently?

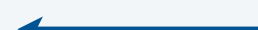


A Turing machine



# A difficult problem: integer factorization

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Integer factorization. Given an integer  $x$ , find a nontrivial factor.  *or report that no such factor exists*

*not 1 nor  $x$*

Ex.

147573952589676412927

**a FACTOR instance**

193707721


**a factor**

1350664108659952233496032162788059699388814756056670  
2752448514385152651060485953383394028715057190944179  
8207282164471551373680419703964191743046496589274256  
2393410208643832021103729587257623585096431105640735  
0150818751067659462920556368552947521350085287941637  
7328533906109750544334999811150056977236890927563

**a very challenging FACTOR instance**  
**(factor to earn an A+ in COS 226)**

Core application area. Cryptography.

Brute-force search. Try all possible divisors between 2 and  $\sqrt{x}$ .

 *if there's a nontrivial factor larger than  $\sqrt{x}$ , there is one smaller than  $\sqrt{x}$*

# Another difficult problem: boolean satisfiability

**Boolean satisfiability.** Given a system of boolean equations, find a satisfying truth assignment.

Ex.

$$\begin{array}{l} \neg x_1 \text{ or } x_2 \text{ or } x_3 = \text{true} \\ x_1 \text{ or } \neg x_2 \text{ or } x_3 = \text{true} \\ \neg x_1 \text{ or } \neg x_2 \text{ or } \neg x_3 = \text{true} \\ \neg x_1 \text{ or } \neg x_2 \text{ or } \text{ or } x_4 = \text{true} \\ \neg x_2 \text{ or } x_3 \text{ or } x_4 = \text{true} \end{array}$$

a SAT instance

$$\begin{array}{l} x_1 = \text{false} \\ x_2 = \text{false} \\ x_3 = \text{true} \\ x_4 = \text{true} \end{array}$$

a satisfying truth assignment

*or report that no such assignment is possible*



## Applications.

- Automatic verification systems for software.
- Mean field diluted spin glass model in physics.
- Electronic design automation (EDA) for hardware.
- ...

# Another difficult problem: boolean satisfiability

**Boolean satisfiability.** Given a system of boolean equations, find a satisfying truth assignment.

Ex.

$$\begin{array}{l} \neg x_1 \text{ or } x_2 \text{ or } x_3 = \text{true} \\ x_1 \text{ or } \neg x_2 \text{ or } x_3 = \text{true} \\ \neg x_1 \text{ or } \neg x_2 \text{ or } \neg x_3 = \text{true} \\ \neg x_1 \text{ or } \neg x_2 \text{ or } \text{ or } x_4 = \text{true} \\ \neg x_2 \text{ or } x_3 \text{ or } x_4 = \text{true} \end{array}$$

a SAT instance



**Brute-force search.** Try all  $2^n$  possible truth assignments, where  $n = \#$  variables.

Q. Can we do anything substantially more clever?

A. Probably no. [stay tuned]

# How difficult can it be?

---

Imagine a galactic computer...

- With as many processors as electrons in the universe.
- Each processor having the power of today's supercomputers.
- Each processor working for the lifetime of the universe.

quantity	estimate
<i>electrons in universe</i>	$10^{79}$
<i>instructions per second</i>	$10^{13}$
<i>age of universe in seconds</i>	$10^{17}$



**Q.** Could galactic computer solve satisfiability instance with 1,000 variables using brute-force search?

**A.** Not even close:  $2^{1000} > 10^{300} \gg 10^{79} \cdot 10^{13} \cdot 10^{17} = 10^{109}$ .

**Lesson.** Exponential growth dwarfs technological change.



# Polynomial time

Q2. What is an **efficient algorithm**?

A2. Algorithm whose running time is polynomial in input size  $n$ .  $\longleftarrow n = \# \text{ of bits in input}$

*with respect to some reasonable model of computation  
(Turing machine,  $\lambda$ -calculus, word RAM, ...)*

**Polynomial time.** Number of elementary operations is at most  $n^k$  for some constant  $k$ .

*must hold for all inputs of size  $n$   
(worst-case running time)*

We use to a **poly-time algorithm** as a surrogate for **useful in practice**.  $\longleftarrow$  *more on practicality shortly!*

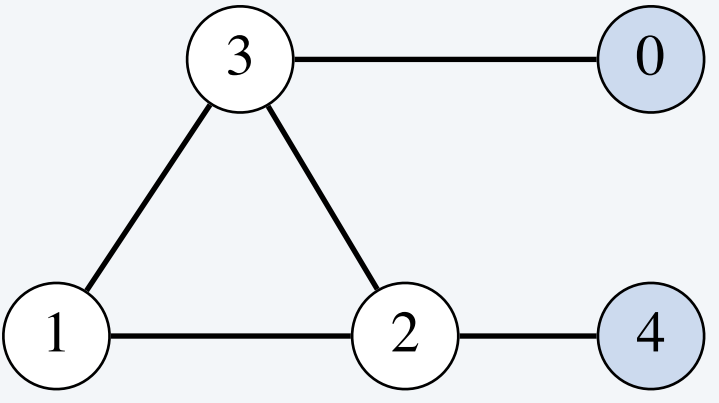
order of growth	emoji	name	today
$\Theta(1)$	😍	<b>constant</b>	😊
$\Theta(\log n)$	😎	<b>logarithmic</b>	😊
$\Theta(n)$	😄	<b>linear</b>	😊
$\Theta(n \log n)$	😁	<b>linearithmic</b>	😊
$\Theta(n^2)$	😞	<b>quadratic</b>	😊
$\Theta(n^3)$	😞	<b>cubic</b>	😊
$\Theta(n^{\log n})$	😬	<b>quasipolynomial</b>	😡
$\Theta(1.1^n)$	😭	<b>exponential</b>	😡
$\Theta(2^n)$	😡	<b>exponential</b>	😡
$\Theta(n!)$	😡	<b>factorial</b>	😡



Which of the following are poly-time algorithms?

- A. Brute-force search for satisfiability.
- B. Brute-force search for factoring.
- C. Both A and B.
- D. Neither A nor B.

# Some computational problems

problem	description	example instance	a solution	poly-time algorithm
<p>FACTOR <i>(integer factorization)</i></p>	<p>given an integer, find a nontrivial factor</p>	<p>147573952589676412927</p>	<p>193707721</p>	<p>?</p>
<p>SAT <i>(boolean satisfiability)</i></p>	<p>given a system of boolean equations, find a satisfying assignment</p>	$\neg x_2 \text{ or } x_3 = \text{true}$ $\neg x_1 \text{ or } \neg x_2 \text{ or } \neg x_3 = \text{true}$ $x_2 \text{ or } \neg x_3 = \text{true}$	$x_1 = \text{false}$ $x_2 = \text{true}$ $x_3 = \text{true}$	<p>?</p>
<p>SORT <i>(sorting)</i></p>	<p>given an array of integers, find a permutation that puts the elements in ascending order</p>	<p>[45, 32, 21, 67, 226]</p>	<p>[2, 1, 0, 3, 4]</p>	<p><i>insertion sort</i></p>
<p>ST-CONN <i>(graph connectivity)</i></p>	<p>given a graph and two vertices, find a path that connects them</p>		<p>0-3-2-4</p>	<p><i>depth-first search</i></p>
<p>⋮</p>	<p>⋮</p>	<p>⋮</p>	<p>⋮</p>	<p>⋮</p>

# Intractable problems

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Q3. Which **problems** can be solved efficiently?

A3. Those for which poly-time algorithms exist.

Def. A problem is **intractable** if no poly-time algorithm exists to solve it.

Q4. How to prove that a problem is intractable?

A4. Generally no easy way. Focus of today's lecture.

<b>tractable</b>	<b>intractable?</b>
<i>primality</i>	<i>integer factorization</i>
<i>shortest path</i>	<i>longest path</i>
<i>min cut</i>	<i>max cut</i>
2-SAT	3-SAT ← <i>3 boolean variables per equation</i>
⋮	⋮



# Intractable problems

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# Search problems

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**Search problem.** Computational problem for which you can **check a solution** in poly-time.

**Ex 1.** [integer factorization] Given an  $n$ -bit integer  $x$ , find a nontrivial factor.

147573952589676412927

instance I

193707721

solution S

**Poly-time checking algorithm.** Check whether solution is a divisor of  $x$ .  $\longleftarrow O(n^2)$  time via long division

**Remark.** Suffices to verify a purported solution.

- Doesn't need to find the solution from scratch.
- Doesn't need to address case when no solution exists (e.g., if  $x$  is prime).

# Search problems

---

**Search problem.** Computational problem for which you can **check a solution** in poly-time.

**Ex 2.** [boolean satisfiability] Given a system of  $m$  boolean equations in  $n$  variables, find a satisfying assignment.

$$\begin{array}{l} \neg x_1 \quad \text{or} \quad x_2 \quad \text{or} \quad x_3 \quad = \quad \text{true} \\ x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad x_3 \quad = \quad \text{true} \\ \neg x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad \neg x_3 \quad = \quad \text{true} \\ \neg x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad \quad \quad \text{or} \quad x_4 \quad = \quad \text{true} \\ \quad \quad \quad \neg x_2 \quad \text{or} \quad x_3 \quad \text{or} \quad x_4 \quad = \quad \text{true} \end{array}$$

instance I

$$\begin{array}{l} x_1 = \text{false} \\ x_2 = \text{false} \\ x_3 = \text{true} \\ x_4 = \text{true} \end{array}$$

solution S

**Poly-time checking algorithm.** Plug values of solution into system of equations and check.

$O(mn)$  time



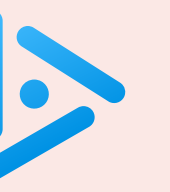
# NP

Definition. NP is the class of all search problems.

← *More accurately, FNP.*  
**NP** = *nondeterministic poly-time*

problem	description	poly-time checking algorithm
FACTOR <i>(integer factorization)</i>	given an integer, find a nontrivial factor	<i>long division</i>
SAT <i>(boolean satisfiability)</i>	given a boolean formula, find a satisfying assignment	<i>plug in boolean values and evaluate boolean equations</i>
SORT <i>(sorting)</i>	given an array of integers, find a permutation that puts the elements in ascending order	<i>compare all adjacent integers in permutation</i>
ST-CONN <i>(graph connectivity)</i>	given a digraph and two vertices, find a path that connects them	<i>check for existence of edges between consecutive vertices in path</i>
BLOCK-CHAIN <i>(hash verification)</i>	given strings <b>s</b> and <b>h</b> , find a string <b>t</b> whose concatenation with <b>s</b> hashes to <b>h</b>	<i>compute the hash of the concatenation and compare with <b>h</b></i>
⋮	⋮	⋮

Significance. Problems that scientists, engineers, and programmers aspire to solve in practice.



Which of these problems are in NP?

- A. Given a graph  $G$ , find a simple path with the most edges.
- B. Given a graph  $G$  and an integer  $k$ , find a simple path with  $\geq k$  edges.
- C. Both A and B.
- D. Neither A nor B.

# P

**Definition.** **P** is the class of all search problems that can be solved in poly-time. ← *more accurately, FP*

problem	description	poly-time algorithm
<b>SORT</b> <i>(sorting)</i>	given an array of integers, find a permutation that puts the elements in ascending order	<i>insertion sort</i>
<b>ST-CONN</b> <i>(graph connectivity)</i>	given a digraph and two vertices, find a path that connects them	<i>depth-first search</i>
<b>JAVA</b> <i>(legal Java program)</i>	given a string, is it a legal Java program?	<i>javac</i>
<b>L-SOLVE</b> <i>(system of linear equations)</i>	given a system of linear equations, find a solution	<i>Gaussian elimination</i> <sup>†</sup>
⋮	⋮	⋮

**Significance.** Problems that scientists, engineers, and programmers do solve in practice.

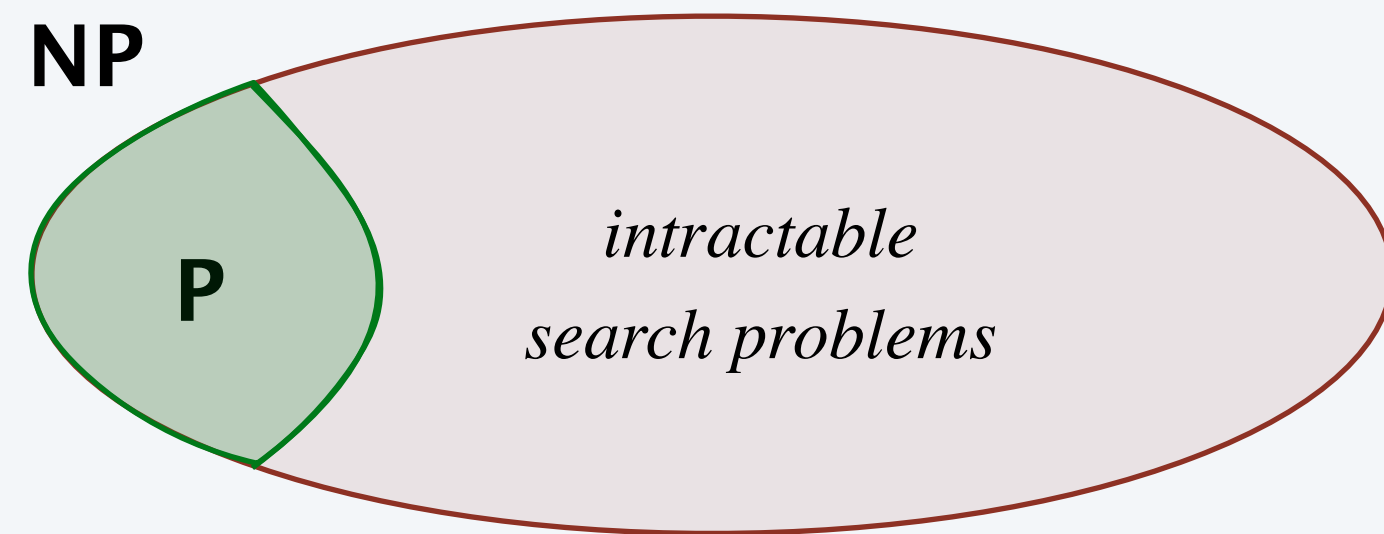
**Note.** All problems in **P** are also in **NP**. ← *any string serves as certificate*

# P vs. NP

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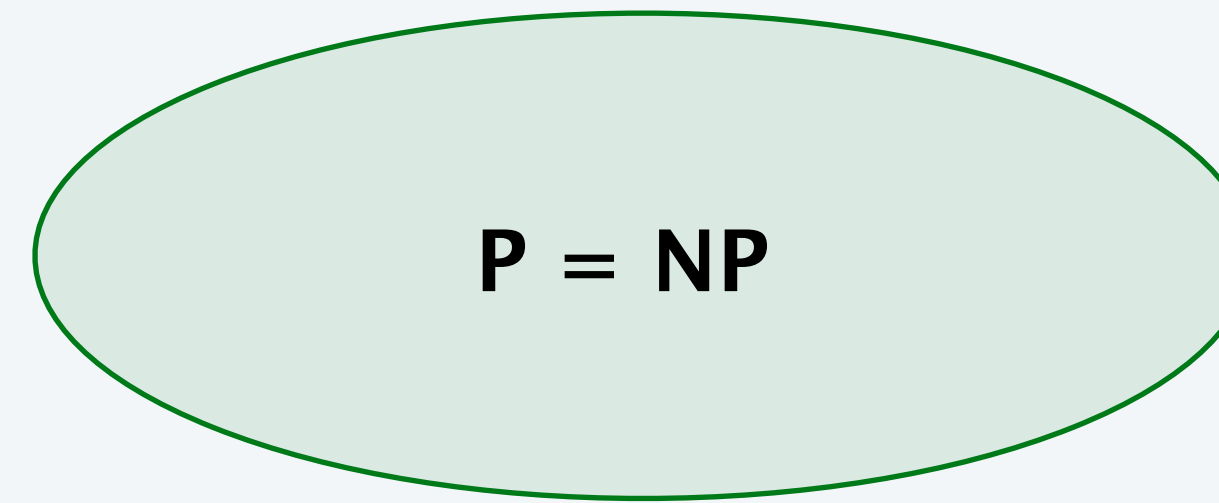
The central question. Does  $P = NP$  ?

- $P$  = set of search problems that are solvable in poly-time.
- $NP$  = set of search problems (checkable in poly-time).



**$P \neq NP$**

*brute-force search may be  
the best we can do*



**$P = NP$**

*poly-time algorithms for  
FACTOR, SAT, LONGEST-PATH, ...*

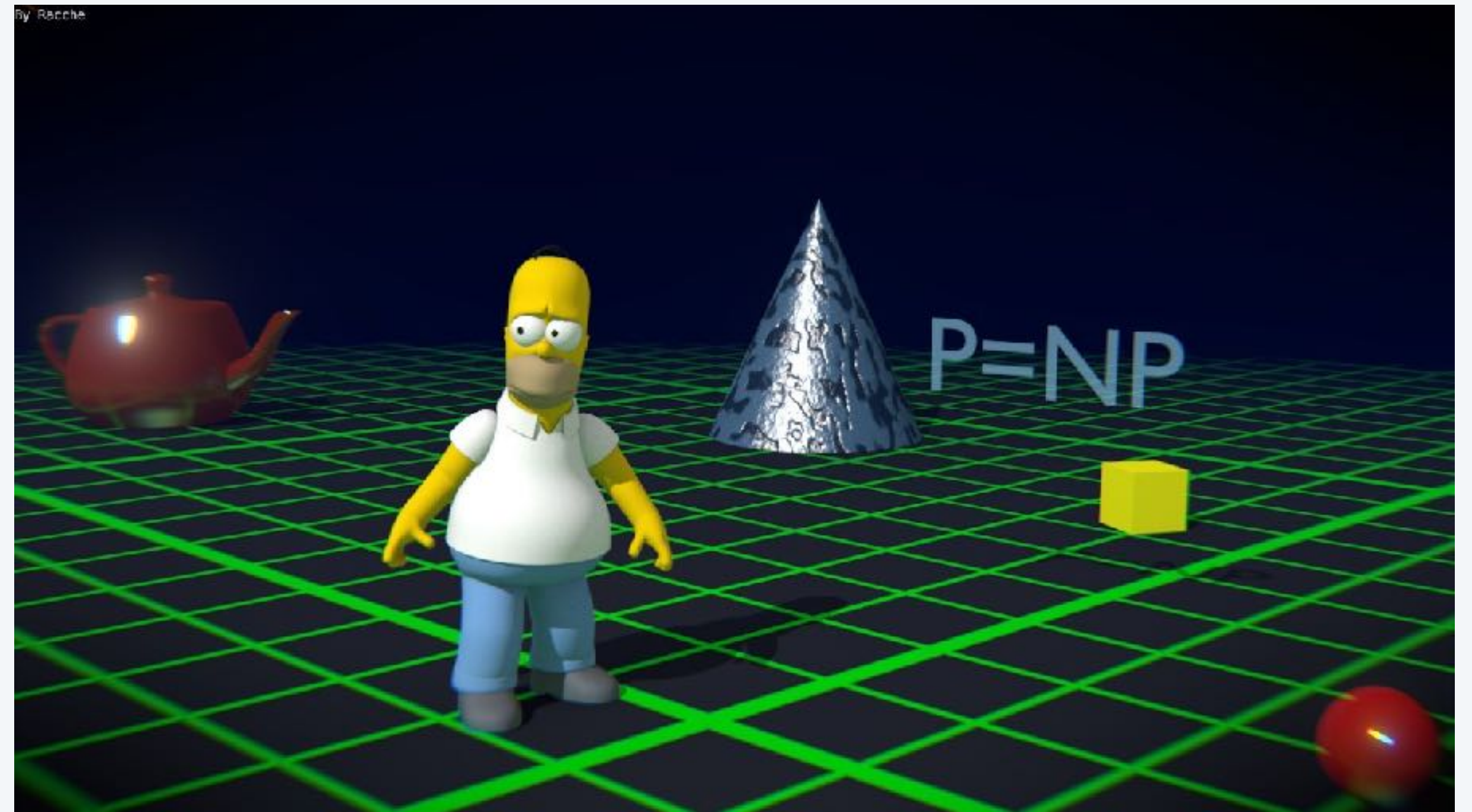
Consensus opinion.  **$P \neq NP$** .  $\leftarrow$  *but nobody has been able to  
prove or disprove (!!!)*



# P vs. NP

The central question. Does  $P = NP$  ?

- $P$  = set of search problems that are solvable in poly-time.
- $NP$  = set of search problems (checkable in poly-time).



Consensus opinion.  $P \neq NP$ . ← *but nobody has been able to prove or disprove (!!!)*



# Creativity: another way to view the situation

---

**Analogy.** Creative genius vs. ordinary appreciation of creativity.

domain	creative genius	ordinary appreciation
<i>music</i>	Taylor Swift writes a song	a Swiftie appreciates it
<i>mathematics</i>	Wiles proves a deep theorem	a colleague checks it
<i>engineering</i>	Boeing designs an efficient airfoil	a simulator verifies it
<i>science</i>	Einstein proposes a theory	an experimentalist validates it
<i>programming</i>	GitHub Copilot generates a program	a programmer verifies it



**creative genius**



**ordinary appreciation**

**Intuition.** Checking a solution seems like it should be way easier than finding it.



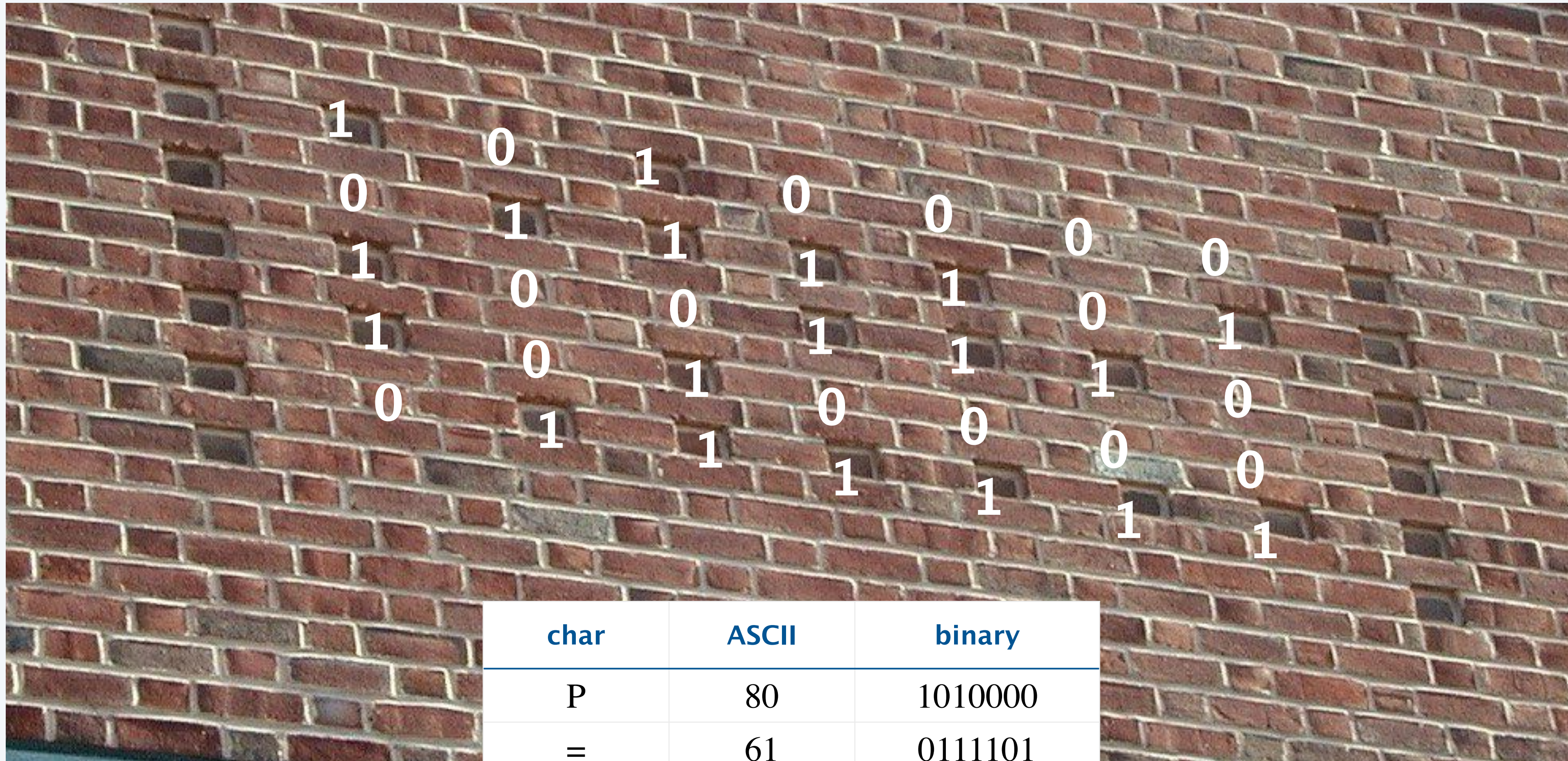
# Princeton computer science building

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# Princeton computer science building (closeup)



char	ASCII	binary
P	80	1010000
=	61	0111101
N	78	1001110
P	80	1010000
?	63	0111111





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## Bird's-eye view

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**Desiderata.** Classify **problems** according to computational requirements.

**Desiderata'.** Suppose we could (not) solve problem  $X$  efficiently.

What else could we (not) solve efficiently?

*“ Give me a lever long enough and a fulcrum on which to place it, and I shall move the world.” — Archimedes*

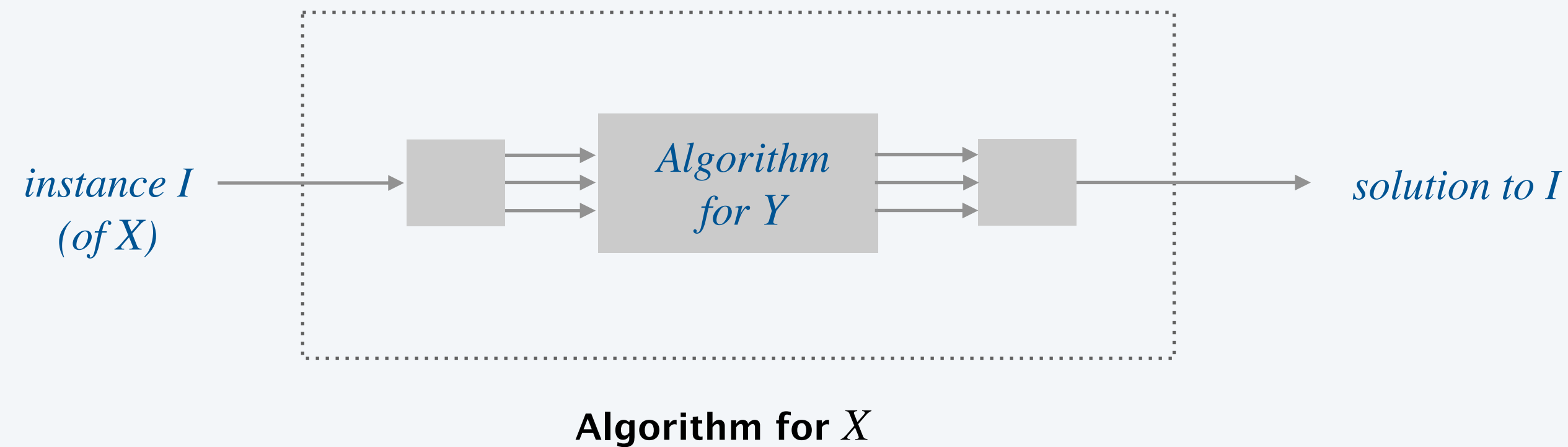


# Poly-time reduction

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**Def.** Problem  $X$  **poly-time reduces to** problem  $Y$  if  $X$  can be solved with:

- Polynomial number of elementary operations.
- Polynomial number of calls to  $Y$ . ← *Cook reduction*



**Design algorithms.** If  $X$  poly-time reduces to  $Y$ , and can solve  $Y$  efficiently, then can also solve  $X$ .

**Ex 1.** MEDIAN reduces to SORT.

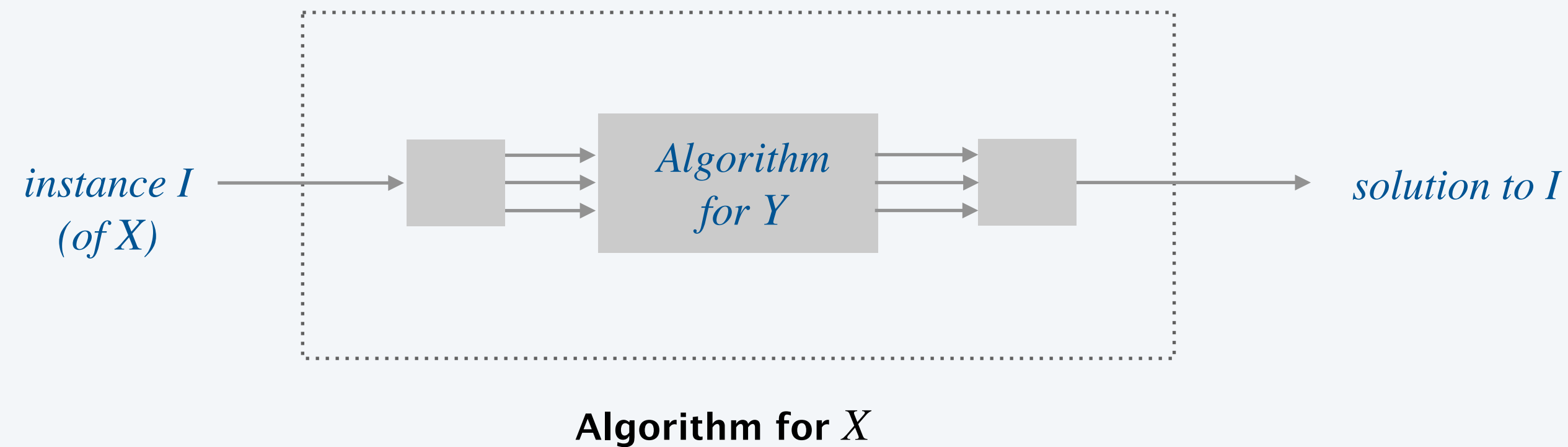
**Ex 2.** BIPARTITE-MATCHING reduces to MAX-FLOW.

# Poly-time reduction

---

**Def.** Problem  $X$  **poly-time reduces to** problem  $Y$  if  $X$  can be solved with:

- Polynomial number of elementary operations.
- Polynomial number of calls to  $Y$ .



**Establish intractability.** If SAT poly-time reduces to  $Y$ , then  $Y$  is intractable. ← assuming SAT is intractable

**Mentality (to establish intractability).**

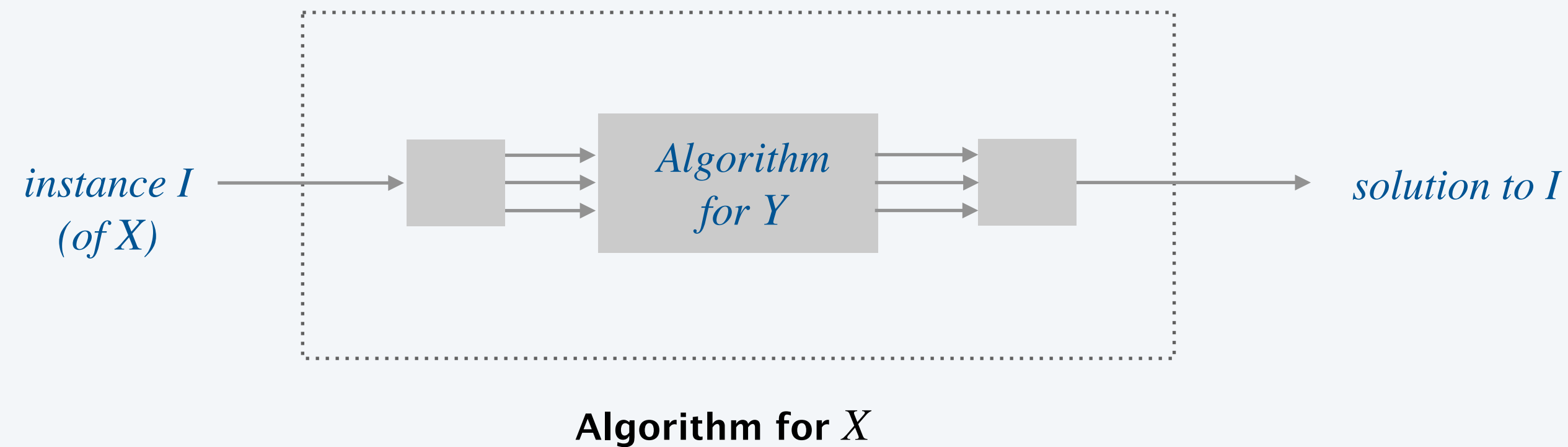
- If I could solve  $Y$  in poly-time, then I could also solve SAT in poly-time.
- SAT is believed to be intractable.
- Therefore, so is  $Y$ .

# Poly-time reduction

---

**Def.** Problem  $X$  **poly-time reduces to** problem  $Y$  if  $X$  can be solved with:

- Polynomial number of elementary operations.
- Polynomial number of calls to  $Y$ .



**Common mistake.** Confusing  $X$  poly-time reduces to  $Y$  with  $Y$  poly-time reduces to  $X$ .

$X$  reduces to SAT:  $X$  is no harder than SAT. (If I can solve SAT, then I can solve  $X$ .)

SAT reduces to  $X$ :  $X$  is no easier than SAT. (If I can solve  $X$ , then I can solve SAT.)





# Integer linear programming

**ILP.** Given a system of linear inequalities, find an **integer-valued** solution.

instance I

$$\begin{array}{rccccccccccc} 3x_1 & + & 5x_2 & + & 2x_3 & + & x_4 & + & 4x_5 & \geq & 10 \\ 5x_1 & + & 2x_2 & & & + & 4x_4 & + & x_5 & \leq & 7 \\ x_1 & & & + & x_3 & + & 2x_4 & & & \leq & 2 \\ 3x_1 & & & + & 4x_3 & + & 7x_4 & & & \leq & 7 \\ x_1 & & & & & + & x_4 & & & \leq & 1 \\ x_1 & & & + & x_3 & & & + & x_5 & \leq & 1 \\ x_1 & , & x_2 & , & x_3 & , & x_4 & , & x_5 & \in & \mathbb{Z} \end{array}$$

*linear inequalities*

*integer variables*

solution S

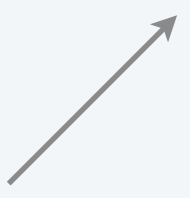
$$\begin{array}{rcl} x_1 & = & 0 \\ x_2 & = & 1 \\ x_3 & = & 0 \\ x_4 & = & 1 \\ x_5 & = & 1 \end{array}$$

**Context.** Cornerstone problem in operations research.

**Remark.** Finding a **real-valued** solution can be solved in poly-time (linear programming).

# SAT poly-time reduces to ILP

**SAT.** Given a system of boolean equations in CNF, find a solution.

  
*conjunctive normal form*  
*(AND of ORs)*

$$\begin{aligned}
 \neg x_1 \quad \text{or} \quad x_2 \quad \text{or} \quad x_3 &= \text{true} \\
 x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad x_3 &= \text{true} \\
 \neg x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad \neg x_3 &= \text{true} \\
 \neg x_1 \quad \text{or} \quad \neg x_2 \quad \text{or} \quad \text{or} \quad x_4 &= \text{true} \\
 \neg x_2 \quad \text{or} \quad x_3 \quad \text{or} \quad x_4 &= \text{true}
 \end{aligned}$$

**ILP.** Given a system of linear inequalities, find an integer-valued solution.

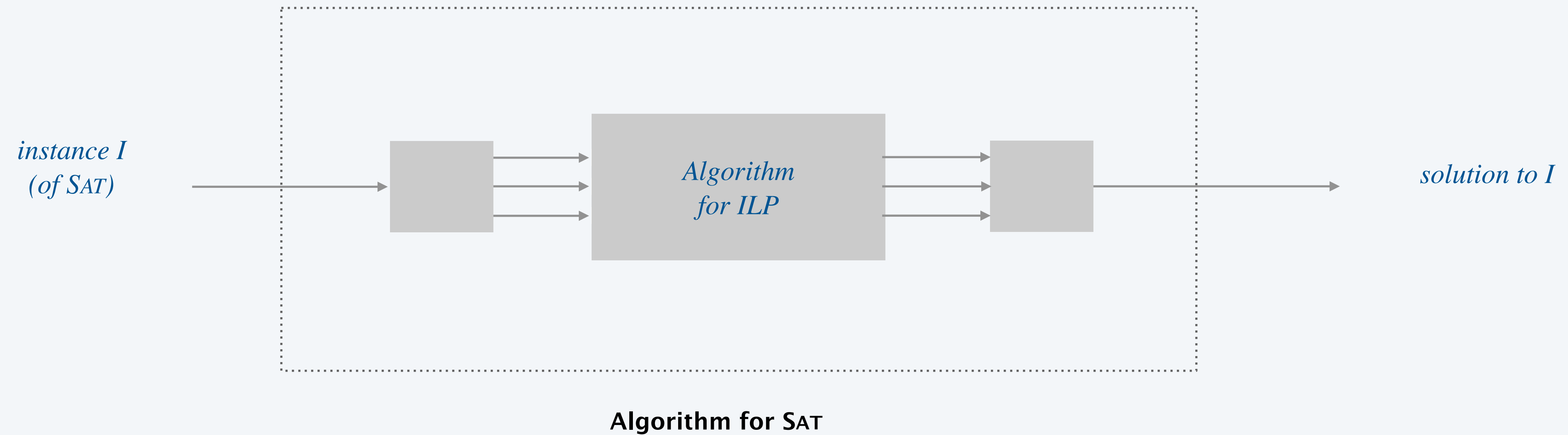
$$\begin{aligned}
 y_i = 0 &\Rightarrow x_i = \text{false} \\
 y_i = 1 &\Rightarrow x_i = \text{true}
 \end{aligned}
 \longrightarrow
 \begin{aligned}
 0 &\leq y_1 \leq 1 \\
 0 &\leq y_2 \leq 1 \\
 0 &\leq y_3 \leq 1 \\
 0 &\leq y_4 \leq 1
 \end{aligned}$$

$$\begin{aligned}
 (1 - y_1) + y_2 + y_3 &\geq 1 \\
 y_1 + (1 - y_2) + y_3 &\geq 1 \\
 (1 - y_1) + (1 - y_2) + (1 - y_3) + y_4 &\geq 1 \\
 (1 - y_1) + (1 - y_2) + y_4 &\geq 1 \\
 (1 - y_2) + y_3 + y_4 &\geq 1
 \end{aligned}$$

**Solution to ILP instance provides solution to SAT instance.**

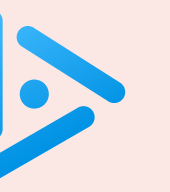
# SAT poly-time reduces to ILP

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**Preprocessing:** boolean equations to linear inequalities

**Post-processing:**  $y_i = 0 \implies x_i = \text{false}$   
 $y_i = 1 \implies x_i = \text{true}$



Suppose that Problem  $X$  poly-time reduces to Problem  $Y$ .

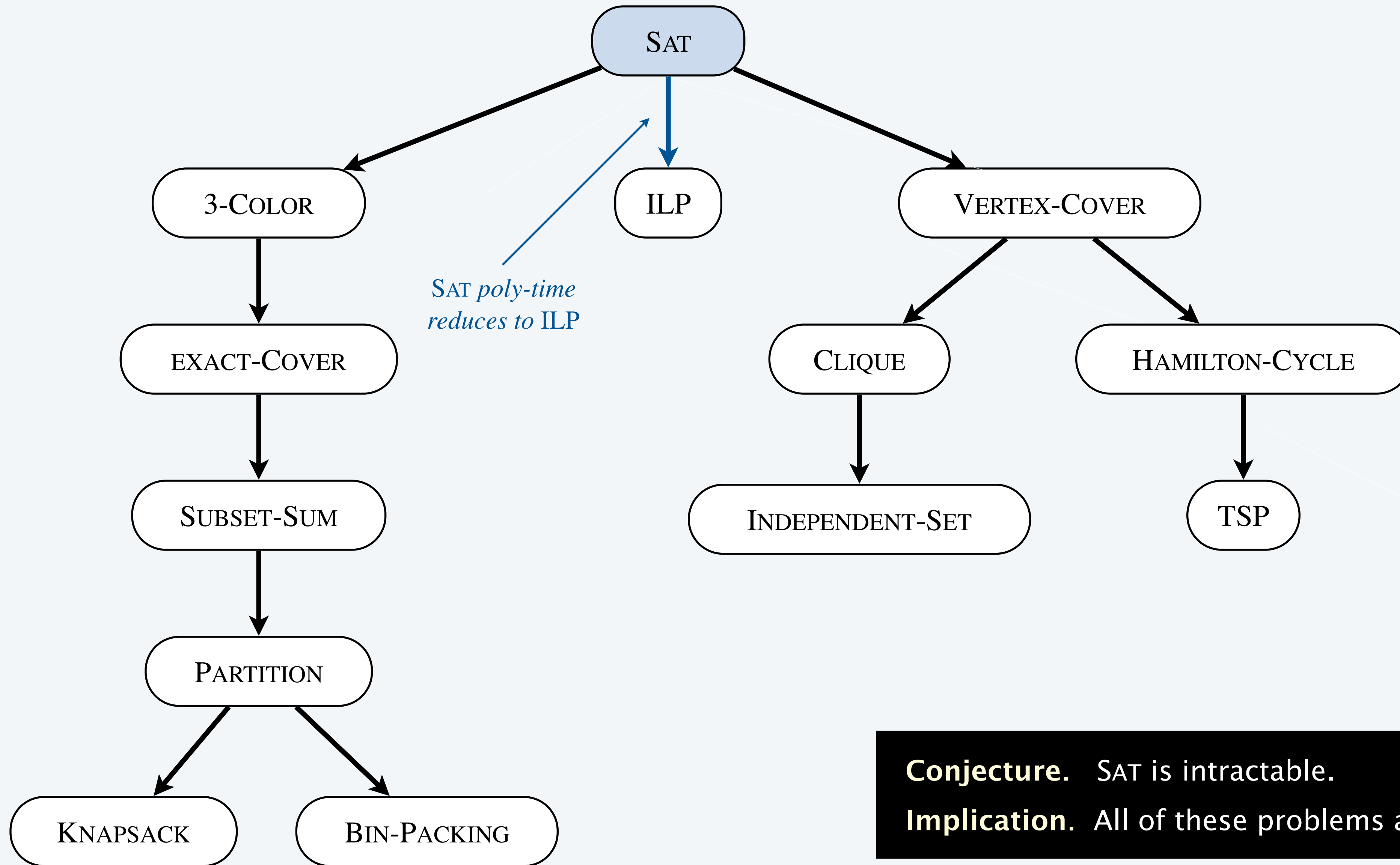
Which of the following can we infer?

- A. If  $X$  can be solved in poly-time, then so can  $Y$ .
- B. If  $X$  cannot be solved in  $\Theta(n^3)$  time,  $Y$  cannot be solved in poly-time.
- C. If  $Y$  can be solved in  $\Theta(n^3)$  time, then  $X$  can be solved in poly-time.
- D. If  $Y$  cannot be solved in poly-time, then neither can  $X$ .

# More poly-time reductions from SAT



Richard Karp  
(1972)



**Conjecture.** SAT is intractable.

**Implication.** All of these problems are intractable.





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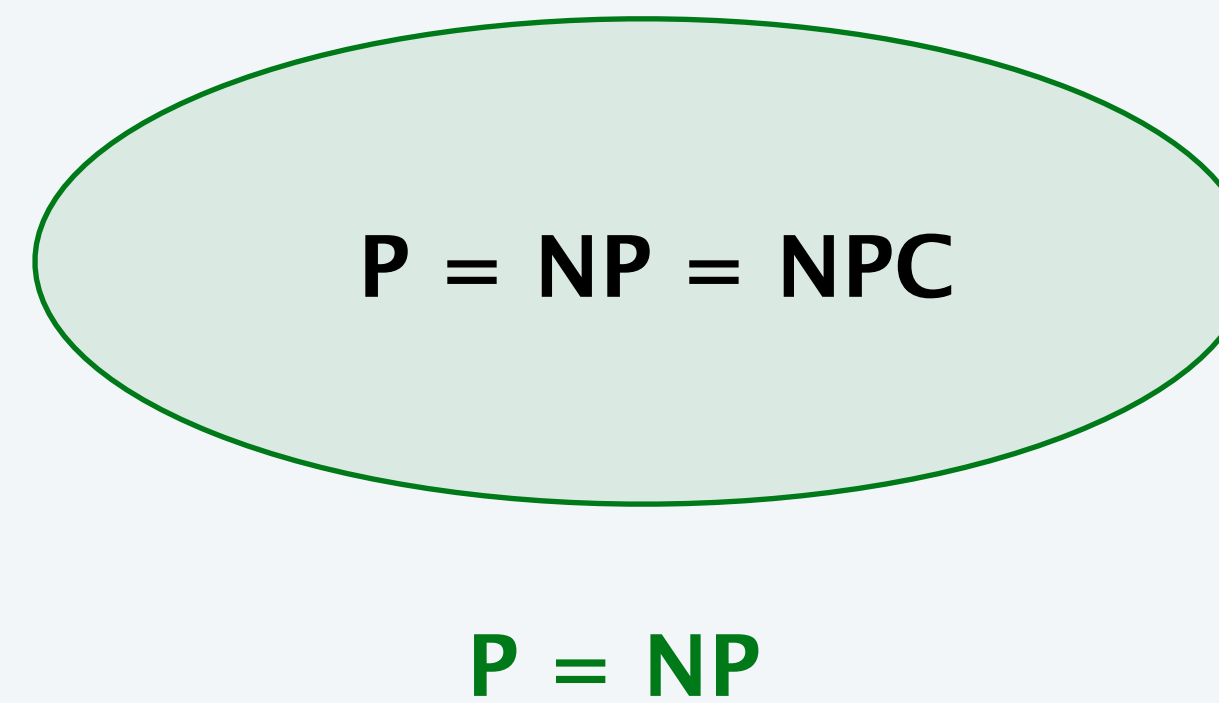
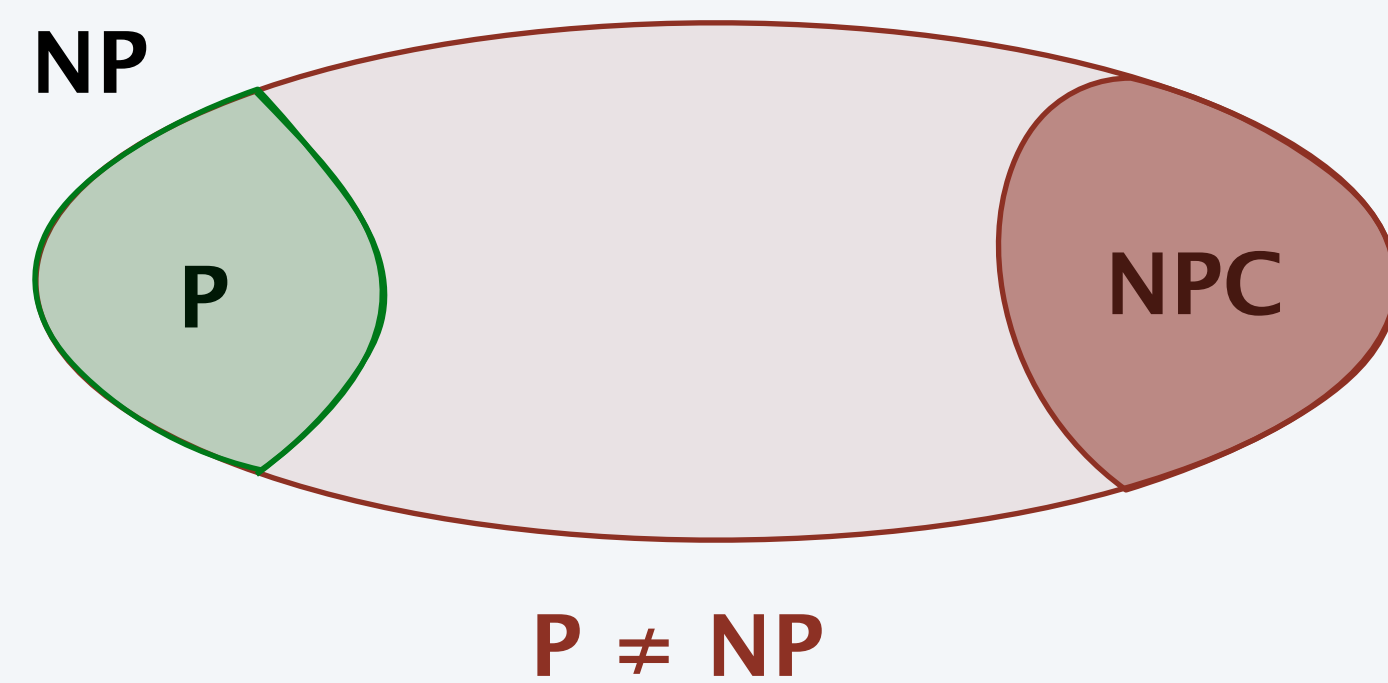
# NP-completeness

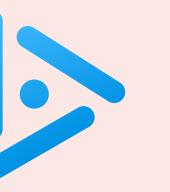
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**Def.** A problem is **NP-complete** if

- It is in **NP**.
- All problems in **NP** poly-time to reduce to it.  $\longleftarrow$  *intuitively, the “hardest problems” in NP*

Two worlds.





Suppose that  $X$  is NP-complete. What can you infer?

- I.  $X$  is in NP.
- II. If  $X$  can be solved in poly-time, then  $P = NP$ .
- III. If  $X$  cannot be solved in poly-time, then  $P \neq NP$ .

- A. I only.
- B. II only.
- C. I and II only.
- D. I, II, and III.

**Key property.** An NP-complete problem can be solved in poly-time if and only if  $P = NP$ .

# Cook–Levin theorem

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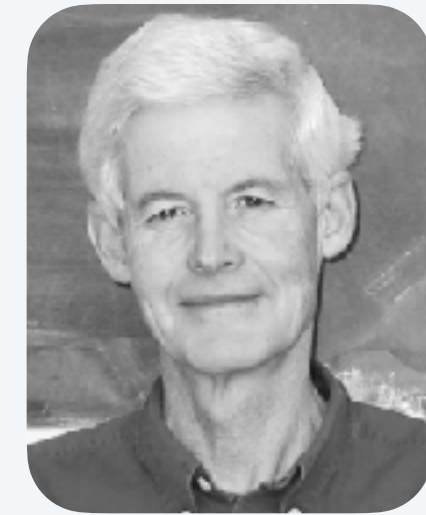
**Cook–Levin theorem.** SAT is **NP**-complete.

**Pf.** Pioneering result in computer science.

**Corollary.** SAT can be solved in poly-time if and only if **P = NP**.

**Impact.** To provide that a new problem  $Y$  is **NP**-complete, suffices to show that:

- $Y$  is in **NP**.
- SAT poly-time reduces to  $Y$ .



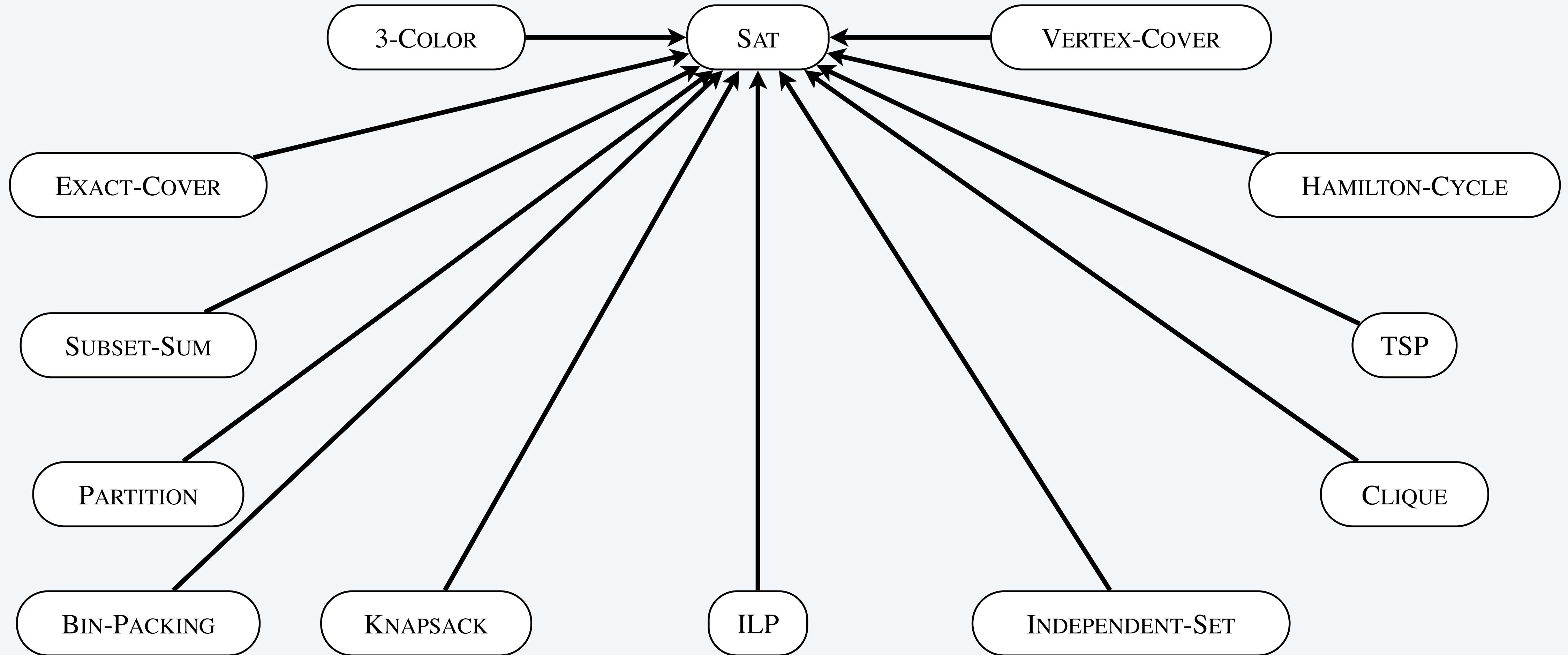
**Stephen Cook**  
(1971)



**Leonid Levin**  
(1971)

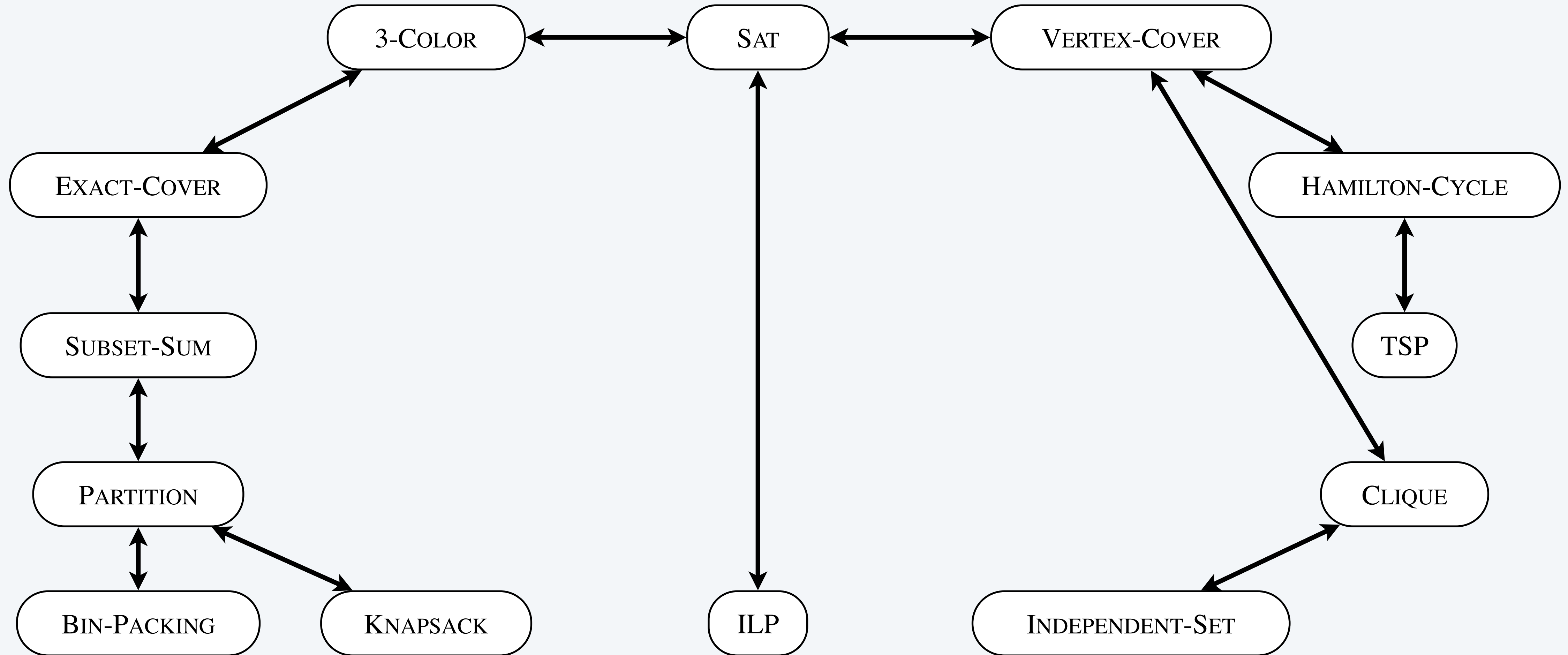
# Implications of Cook-Levin theorem

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All of these problems (and many, many more)  
poly-time reduce to SAT.

# Implications of Karp + Cook-Levin

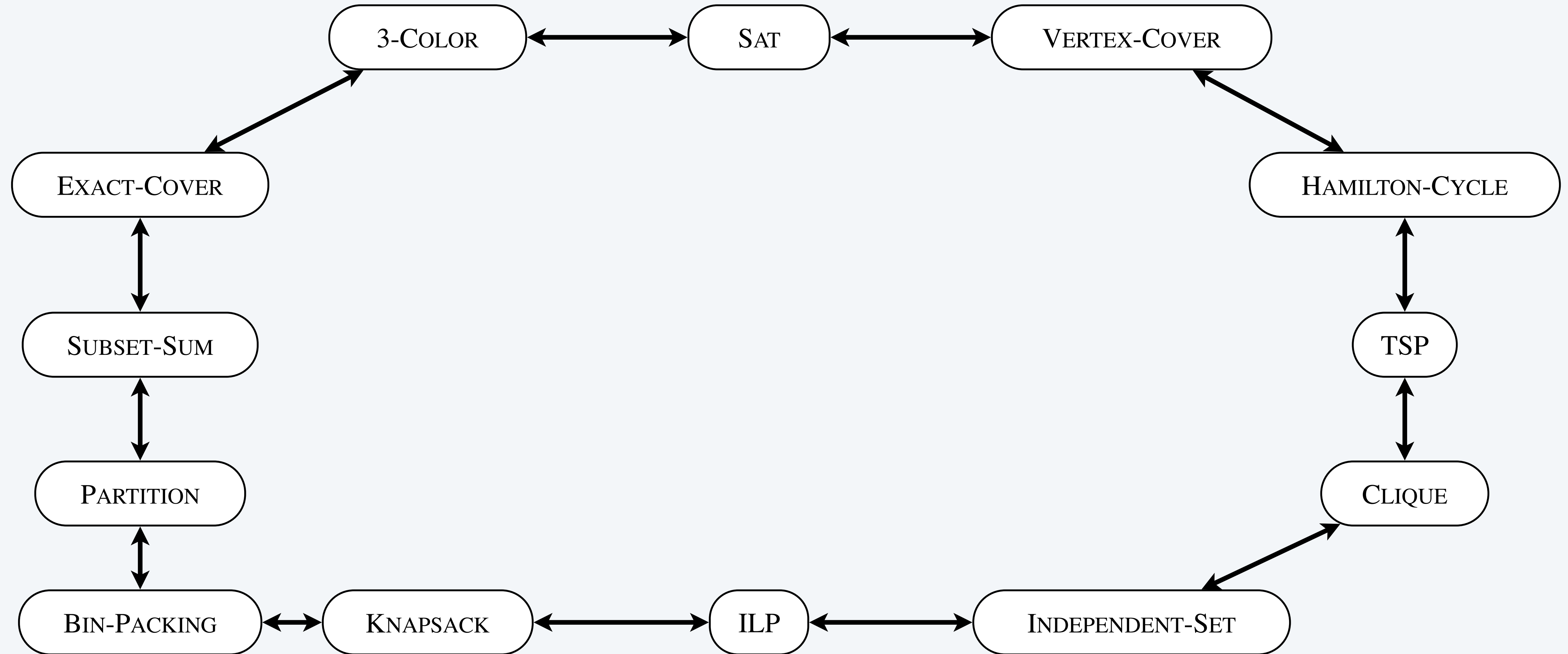


All of these problems are NP-complete; they are manifestations of the same really hard problem.



# Implications of Karp + Cook-Levin

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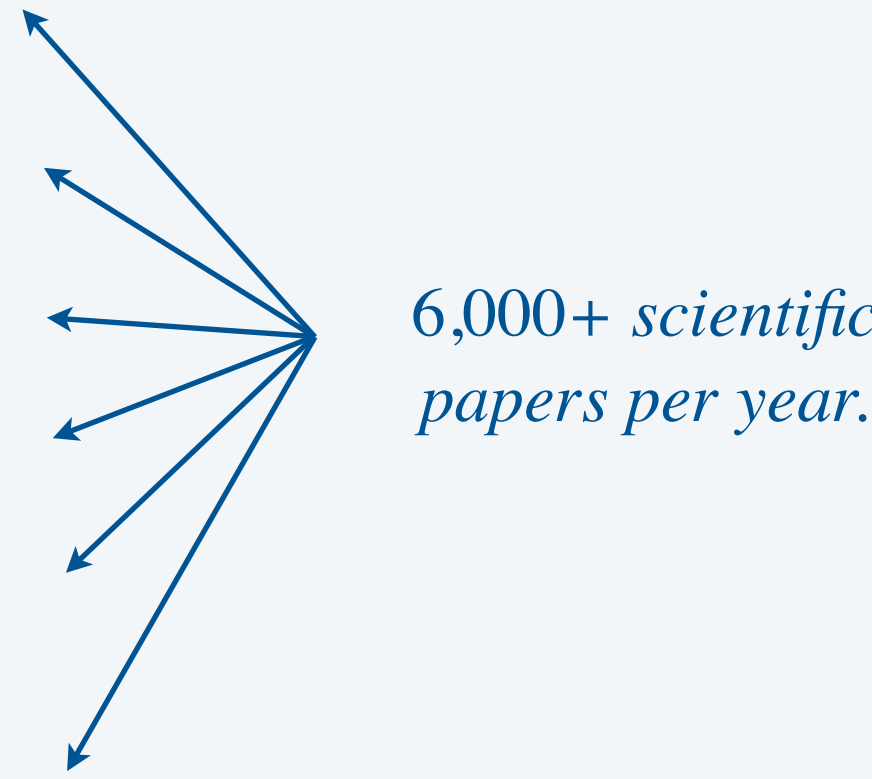


All of these problems are NP-complete; they are manifestations of the same really hard problem.

# More NP-complete problems

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field of study	NP-complete problem
Aerospace engineering	<i>optimal mesh partitioning for finite elements</i>
Biology	<i>phylogeny reconstruction</i>
Chemical engineering	<i>heat exchanger network synthesis</i>
Chemistry	<i>protein folding</i>
Civil engineering	<i>equilibrium of urban traffic flow</i>
Economics	<i>computation of arbitrage in financial markets with friction</i>
Electrical engineering	<i>VLSI layout</i>
Environmental engineering	<i>optimal placement of contaminant sensors</i>
Financial engineering	<i>minimum risk portfolio of given return</i>
Game theory	<i>Nash equilibrium that maximizes social welfare</i>
Mechanical engineering	<i>structure of turbulence in sheared flows</i>
Medicine	<i>reconstructing 3d shape from biplane angiocardialogram</i>
Operations research	<i>traveling salesperson problem, integer programming</i>
Physics	<i>partition function of 3d Ising model</i>
Politics	<i>Shapley–Shubik voting power</i>
Pop culture	<i>versions of Sudoku, Checkers, Minesweeper, Tetris</i>
Statistics	<i>optimal experimental design</i>



6,000+ scientific papers per year.





<https://algs4.cs.princeton.edu>

# INTRACTABILITY

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- ▶ *introduction*
- ▶ *P vs. NP*
- ▶ *poly-time reductions*
- ▶ *NP-completeness*
- ▶ *dealing with intractability*

# Dealing with intractability

---





A program with which of these running times is most likely to be useful in practice?

- A.  $10^{226} n$
  - B.  $n^{226}$
  - C.  $1.000000001^n$
  - D.  $(n!) !$
- ← *poly-time*  
(but probably not useful in practice)
- ← *exponential time*  
(but probably useful in practice)
- ← *good luck if  $n \geq 5$*

**Key point.** Poly-time is not always a surrogate for useful in practice, though it tends to be true for the algorithms we encounter in the wild.

← *some poly-time algorithms are slow;*  
*some exponential-time algorithms are fast!*



# Identifying intractable problems

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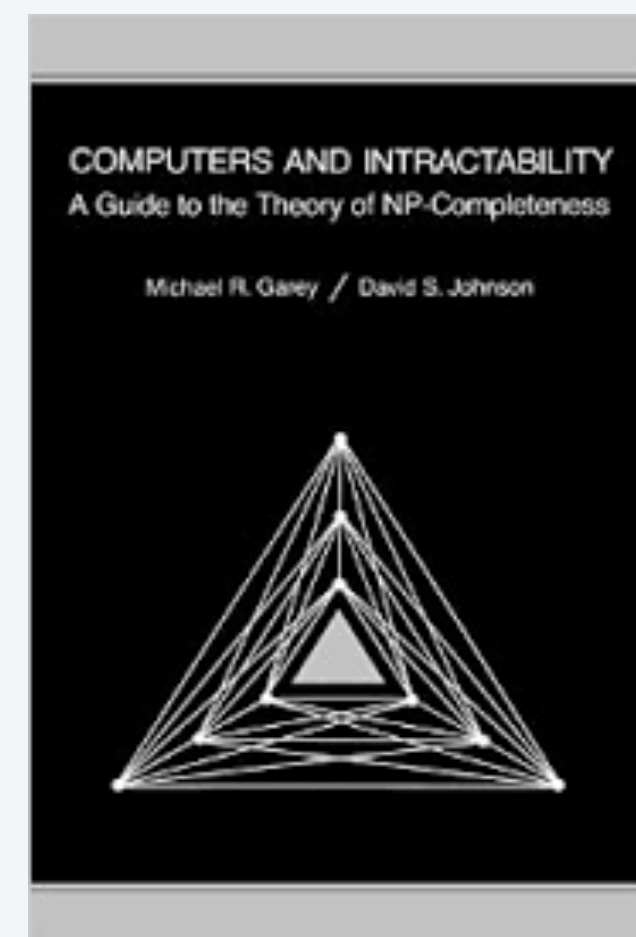
Establishing **NP**-completeness through poly-time reduction is an important tool in guiding algorithm design efforts.

**Q4'**. How to convince yourself that a problem is (probably) intractable?

**A.** [hard way] Long futile search for a poly-time algorithm (as for SAT).

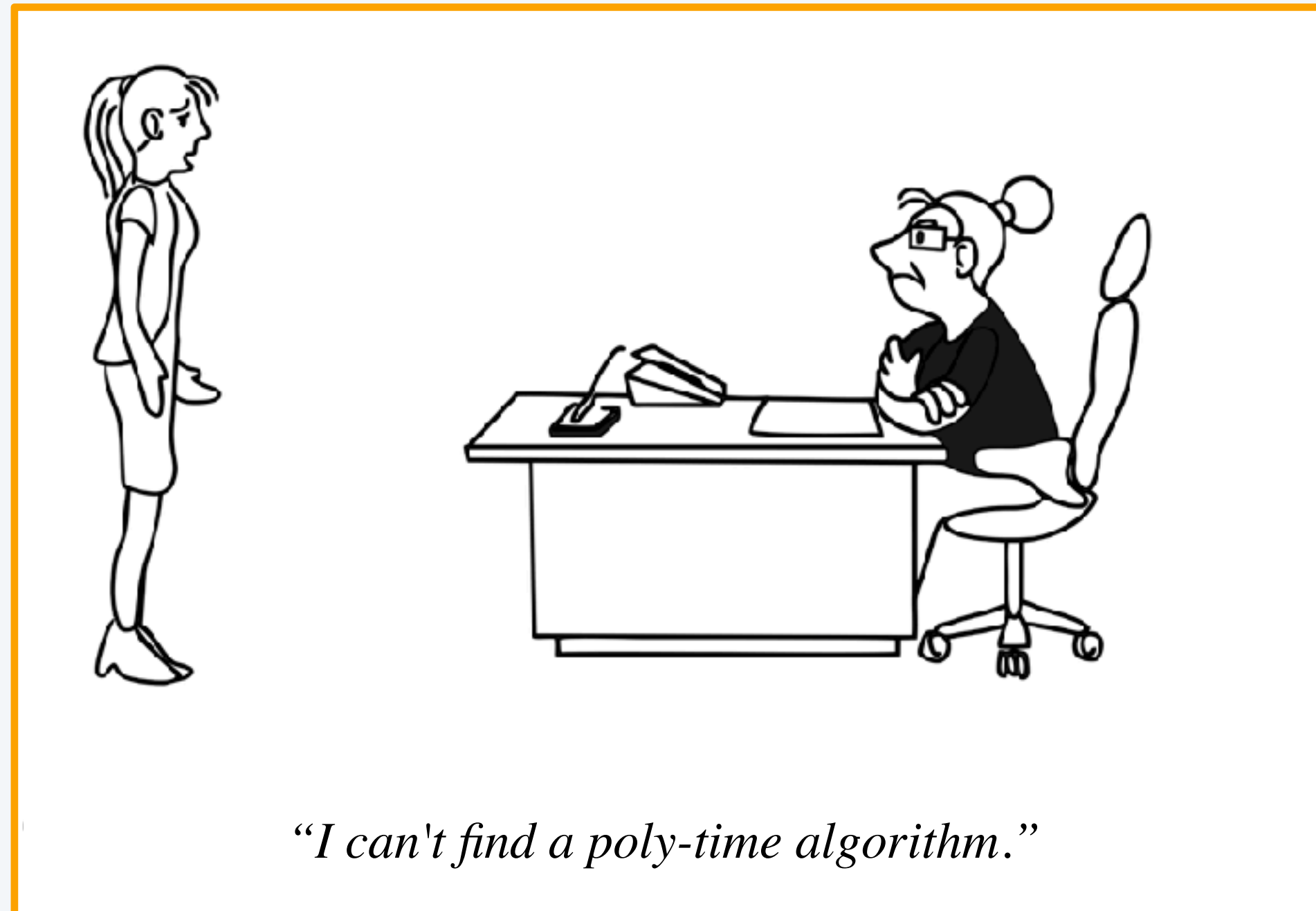
**A.** [easy way] Poly-time reduction from SAT.  $\longleftarrow$  *or from any other **NP**-complete problem*

**Caveat.** Intricate reductions are common.

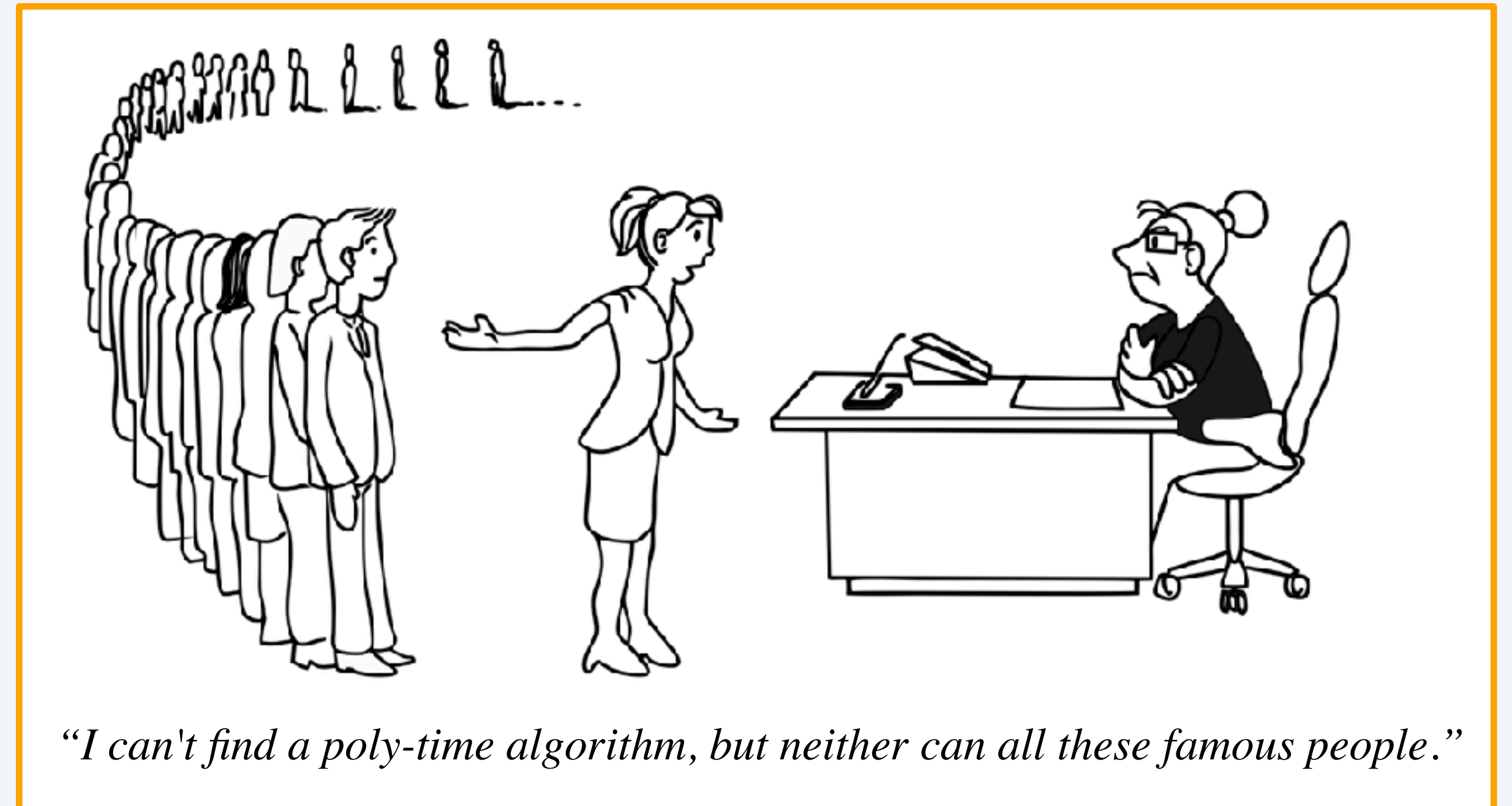


# Identifying intractable problems

Step 1. Learn to identify NP-complete problems.



does not know about NP-completeness



knows about NP-completeness

## Approaches to dealing with intractability

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Q. What to do when you identify an NP-complete problem?

A. Safe to assume it is intractable: no worst-case poly-time algorithm for all problem instances.

Q1. Must your algorithm *always* run fast?

Solve real-world instances. Backtracking, TSP, SAT.

Q2. Do you need the *right* solution or a *good* solution?

Approximation algorithms. Look for suboptimal solutions.

Q3. Can you use the problem's hardness in your favor?

Leverage intractability. Cryptography.



# Dealing with intractability: find solutions to real-world problem instances

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## Observations.

- Worst-case inputs may not occur for practical problems.
- Instances that do occur in practice may be easier to solve.
- Reasonable approach: relax the condition of guaranteed poly-time.

## Boolean satisfiability.

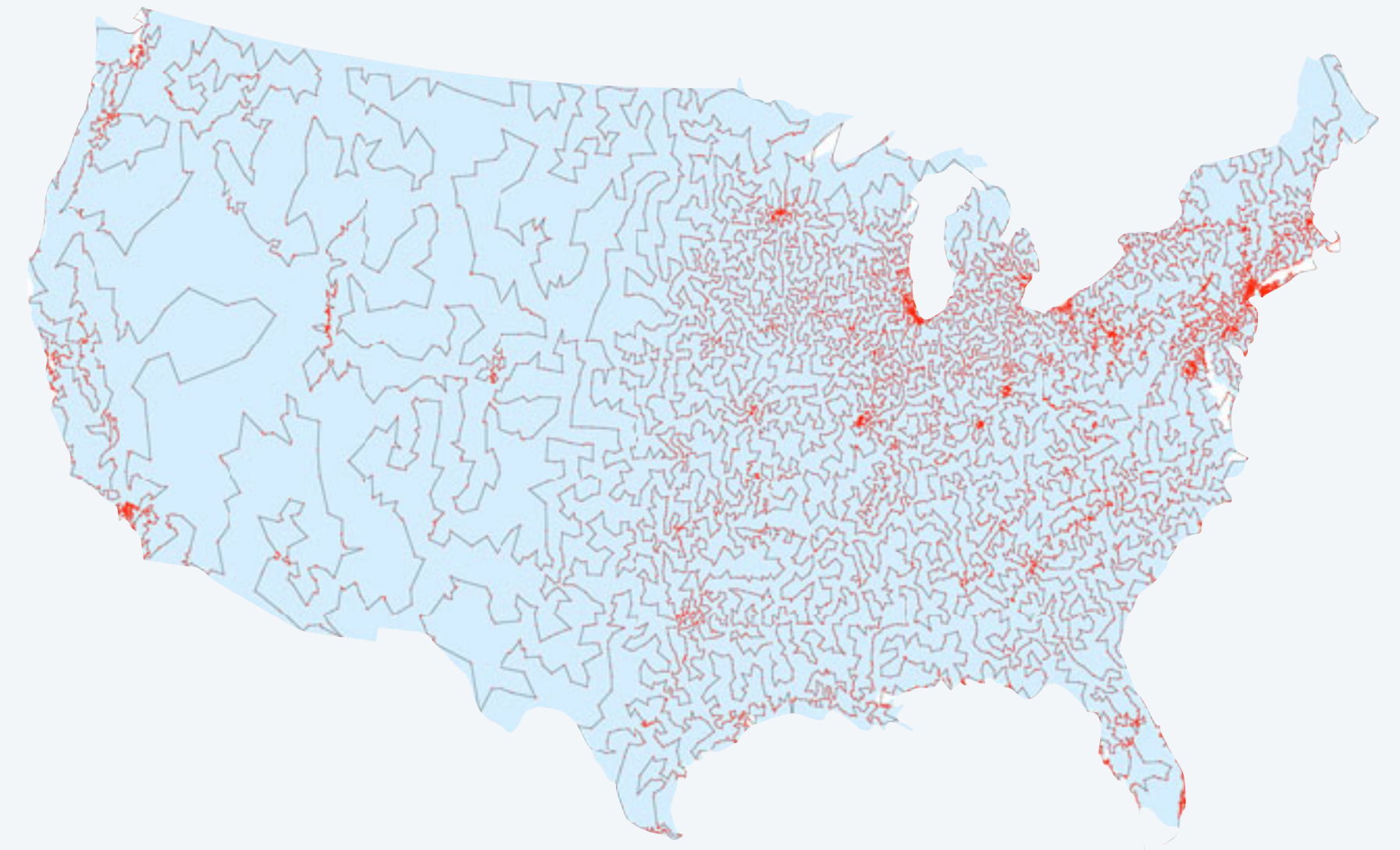
- Chaff solves real-world instances with 10,000+ variables.
- Princeton senior independent work (!) in 2000.

## Traveling salesperson problem.

- Concorde routinely solves large real-world instances.
- 85,900-city instance solved in 2006.

## Integer linear programming.

- CPLEX routinely solves large real-world instances.
- Routinely used in scientific and commercial applications.

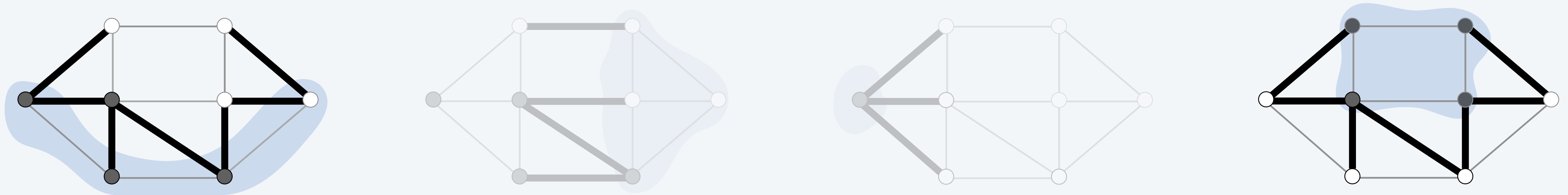


TSP solution for 13,509 US cities

# Dealing with intractability: approximation algorithms

**MAX-CUT:** given a graph  $G$ , find the cut with maximum number  $M$  of crossing edges.

**Approximate version:** find a large cut.



**Algorithm:** take a uniformly random cut.

Expected size is  $E/2$ ; random assignment size is  $\geq E/2 \geq M/2$  with at least 50% probability.

*can improve to  $.878M$*

## Dealing with intractability: approximation algorithms

---

**3-SAT:** given 3-variable equations on  $n$  boolean variables, find satisfying truth assignment.

**Approximate version:** find assignment that satisfies many equations.

**Algorithm:** take a uniformly random assignment.

Expected fraction of satisfied equations is  $7/8$ ; random assignment does with at least 50% probability.

*can't be improved (unless  $P = NP$ )*



**Remark.** Some problems have approximation algorithms with arbitrary precision.

For others, finding better approximations is also **NP**-complete!



# Leveraging intractability: RSA cryptosystem

## Modern cryptography applications.

- Secure a secret communication.
- Append a digital signature.
- Credit card transactions.
- ...



## RSA cryptosystem exploits intractability.

- To use: multiply/divide two  $n$ -digit integers (easy).
- To break: factor a  $2n$ -digit integer (intractable?).



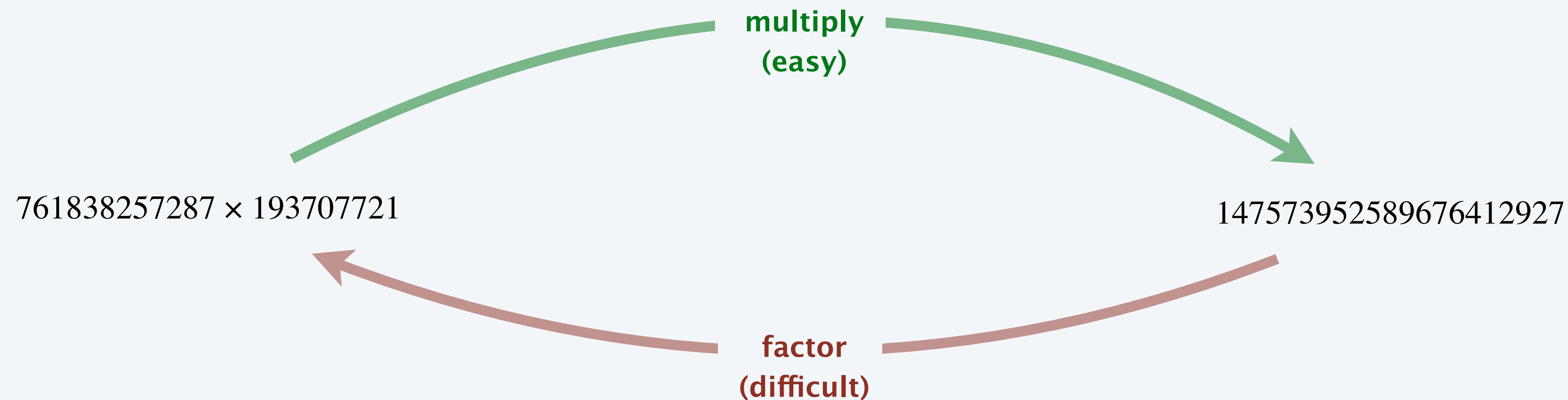
Ron Rivest



Adi Shamir



Len Adelman

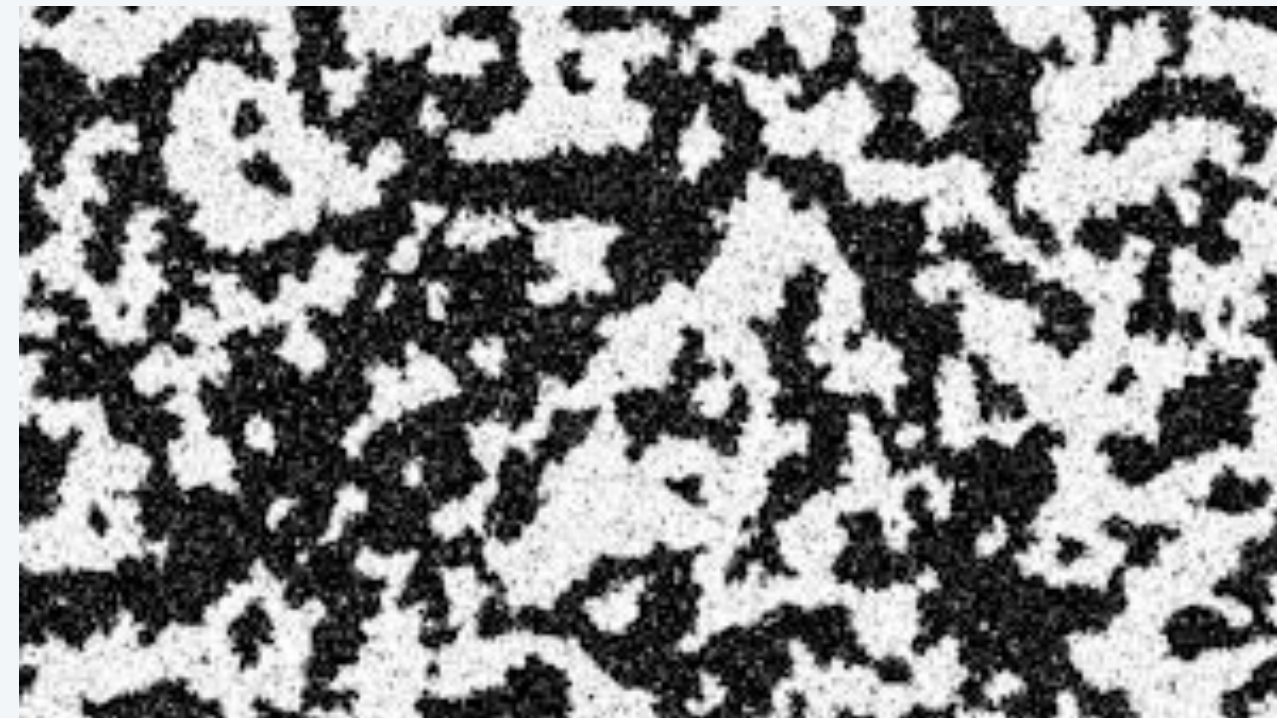


## Leveraging intractability: guiding scientific inquiry

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- 1926. Ising introduces a mathematical model for ferromagnetism.
- 1930s. Closed form solution is a holy grail of statistical mechanics.
- 1944. Onsager finds closed form solution to 2D version in tour de force.
- 1950s. Feynman (and others) seek closed form solution to 3D version.
- 2000. Istrail shows that ISING-3D is **NP**-complete.

**Bottom line.** Search for a closed formula seems futile.





# Summary

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**P.** Set of search problems solvable in poly-time.

**NP.** Set of search problems (checkable in poly-time).

**NP-complete.** “Hardest” problems in **NP**. ← SAT, LONGEST-PATH, ILP, TSP, ...

## Use theory as a guide

- You will confront **NP**-complete problems in your career.
- An poly-time algorithm for an **NP**-complete problem would be a stunning scientific breakthrough (a proof that **P** = **NP**).
- It is safe to assume that **P** ≠ **NP** and that such problems are intractable.
- Identify these situations and proceed accordingly.





# Credits

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## A final thought

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*“ Now my general conjecture is as follows: for almost all sufficiently complex types of enciphering, [...] the mean key computation length increases exponentially with the length of the key [...].*

*The nature of this conjecture is such that I cannot prove it [...].  
Nor do I expect it to be proven. ”*

— John Nash

