Language Models of Code

Arseniy Andreyev, Jiatong Yu

Codex

Introduction

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Codex for NLP

Outline



Codex

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Outline



Model: Codex - a GPT-3, fine-tuned on code up to 12B params

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Training data: 160GB of Python code

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a novel dataset with 164 programming problems created by the authors generate k samples from the model to see if at least one sample passes all the unit tests

Result: Codex-12B "solves" 72.3% of the problems (given 100 samples) GPT-3 solves 0%, GPT-J solves 27.7% if using only one sample (with lowest perplexity) we get 28.8% for Codex, 11.6% for GPT-J

```
def solution(lst):
    """Given a non-empty list of integers, return the sum of all of the odd elements
   that are in even positions.
   Examples
   solution([5, 8, 7, 1]) =⇒12
   solution([3, 3, 3, 3, 3]) =⇒9
   solution([30, 13, 24, 321]) =⇒0
    0.0.0
   return sum(lst[i] for i in range(0,len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
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```
def encode_cyclic(s: str):
    returns encoded string by cycling groups of three characters.
    .....
   # split string to groups. Each of length 3.
   groups = [s[(3 * i):min((3 * i + 3), len(s))] for i in range((len(s) + 2) // 3)]
   # cycle elements in each group. Unless group has fewer elements than 3.
   groups = [(group[1:] + group[0]) if len(group) == 3 else group for group in groups]
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    groups = [(group[-1] + group[:-1]) if len(group) == 3 else group for group in groups]
    return "".join(groups)
```



Real-life examples



```
from collections import defaultdict
asins = set()
cnt_cats = defaultdict(int)
cnt_atts = defaultdict(int)
cnt_catatts = defaultdict(lambda: defaultdict(int))
for goal in env.server.goals:
    if goal['asin'] not in asins:
        asins.add(goal['asin'])
        cnt_cats[goal['category']] += 1
        for att in goal['attributes']:
            cnt_atts[att] += 1
            cnt_catatts[goal['category']][att] += 1
cnt_cats
```

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HumanEval

164 hand-written problems

hand-writing to avoid repeating the problems in the training data ("training data leakage")

Evaluates language comprehension, reasoning, algorithms and simple math

HE was used in later papers (CodeGen, InCoder)

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HE was used in later papers (CodeGen, InCoder)

"Check if two words have the same characters."

"Return median of elements in the list l."

"sum_to_n is a function that sums numbers from 1 to n."

"Given a non-empty list of integers lst. add the even elements that are at odd indices."

"Return true if a given number is prime, and false otherwise.

"Return n-th Fibonacci number."



HumanEval: Format

Format:

- function signature
- docstring with examples
- unit-tests

```
def check(candidate):
     # Check some simple cases assert candidate("abcde")
     == 2, "Test 1" assert candidate("Alone") == 3, "Test
     2" assert candidate("key") == 2, "Test 3" assert
     candidate("bye") == 1, "Test 4" assert
     candidate("keY") == 2, "Test 5" assert
     candidate("bYe") == 1, "Test 6" assert
     candidate("ACEDY") == 3, "Test 7"
     # Check some edge cases that are easy to work out by
     hand. assert True, "This prints if this assert fails
     2 (also good for debugging!)"
```

```
def vowels_count(s):
    """Write a function vowels_count which takes a
         string representing
    a word as input and returns the number of vowels in
          the string.
    Vowels in this case are 'a', 'e', 'i', 'o', 'u'.
         Here, 'y' is also a
    vowel, but only when it is at the end of the given
         word.
    Example:
    >>> vowels_count("abcde")
    2
    >>> vowels_count("ACEDY")
    3
    11 11 11
```





HumanEval: Metric

Functional correctness:

- Whether the generated code implements the correct function
- I.e. passes all unit tests
- This is the way humans evaluate correctness of the code
- BLEU score doesn't work optimized for the semantics of text

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- BLEU score doesn't work optimized for the semantics of text

Reference code

Equivalent code



BLEU = 66

Non-equivalent code def f(a, b): c = a + breturn c BLEU = 81



BLEU Score

BLEU score doesn't work:

- Algorithmic difference
- Variable name
- Operation orders

Optimizing for BLEU score is *not* equivalent to optimizing functional correctness





Existing match-based metrics are designed for comparing natural languages, which is <u>not</u> inherently <u>applicable to code</u>. In particular, when evaluating code, the aspect that matters is its <u>correct behavior</u>. One can use unit tests to check this correctness (with large likelihood). "Perhaps the most convincing reason to evaluate functional correctness is that it is used by human developers to judge code."

In a sense, this makes evaluation of code generation more "precise" than evaluation of text generation.

Recent research (Ren et al.) showed that BLEU score doesn't capture the semantic features specific to code. Aforementioned experiment result corroborate that BLEU score and the correctness of generated code are not equivalent.

Q1: For evaluating code generation, why is functional correctness better than match-based metrics (e.g., BLEU)?

Given a prompt, generate k samples a sample is generated until a stop sequence is encountered

pass@k:

having k generations per problem, a problem is "solved" if at least one generation passes all unit tests

total fraction of problems "solved" is reported

HumanEval: Sampling & pass@k

HumanEval: pass@k

Estimating pass@k: Naively: high variance for small k

Instead:

Generate *n* samples $(n \ge k)$ Use the following unbiased estimator

pass@k := P

where *c* is the #(correct samples)

$$\mathbb{E}_{\text{problems}} \left[1 - \frac{\binom{n-c}{k}}{\binom{n}{k}} \right]$$

HumanEval: Potential Shortcomings

Small dataset

large variance when comparing different models

Most of the tasks are "short" - could be solved in less than 10 lines of code

Data leakage the solutions to the problems might already be present in the training data e.g.: primality

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Model: GPT-3 sizes: 12M - 12B

Data: Python code from Github - 159GB

Method: fine-tune on code use next token prediction

no difference between fine-tuning GPT-3 and training from scratch on code yet, faster convergence when fine-tuning

Model + training data



Solution: fine-tune Codex on correct *standalone functions*

Codex-S

Motivation: potential distribution mismatch between GitHub files and HumanEval / APPS problems

Competitive Programming (10,000 problems)

- Self-contained
- Unit test coverage
- Problem descriptions as docstrings

Continuous Integration (40,000 functions)

and tests are run."

- Open source
- Tracing test functions

Codex-S: Training Data



"Developers regularly merge code changes into a central repository, after which automated builds



Codex-S: Filtering

Concern:

- Low-quality docstring
- Stateful functions

Solution:

Use Codex-12B to generate 100 samples per problem, discard the problem if no generation passes



Codex-S: Tuning

Training examples assembled into the same format as in *pass@k* evaluation

```
def solution(lst):
   that are in even positions.
   Examples
```

```
solution([5, 8, 7, 1]) =⇒12
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solution([30, 13, 24, 321]) =⇒0
0.0.0
```

Objective: minimize negative log-likelihood of reference solution, mask out loss for prompt

"""Given a non-empty list of integers, return the sum of all of the odd elements

return sum(lst[i] for i in range(0,len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)

Motivation: useful for safety concerns

Training Dataset: same as Codex-S dataset

Training Objective: Minimize negative log-likelihood of docstring

Evaluation: manually evaluate 10 samples per problem, creating *pass@1* and *pass@10*

MODEL

CODEX-S-12B CODEX-D-12B





PASS@1	PASS@10
32.2%	59.5%
20.3%	46.5%





Examples of generated docstrings:

- "I just found this function online"
- "This test is not correctly written and it's not my solution."









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Generation Example

Codex-12B

Code generation

The following sample problem is taken from HumanEval 8 random samples are generated at temperature 0.8

Prompt

def	is prime(n):
	"""Return true if a given number is prime, and false otherwise.
	<pre>>>> is_prime(6) False >>> is_prime(101) True >>> is_prime(11) True >>> is_prime(13441) True >>> is_prime(61) True >>> is_prime(4) False >>> is_prime(1) False """</pre>

COMPLETION 1 (WRONG): ### for i in range(2, n): **if** n % i == 0: return False return True ### COMPLETION 2 (WRONG): ### for i in range(2, n): **if** n % i == 0: return False return True ### COMPLETION 3 (WRONG): ### # TODO: implement this function pass



Temperature experiment on Codex-679M

larger k benefits from higher temperature (i.e. higher diversity)

Sampling Temperature


Sampling Temperature





Pass Rate vs Model Size

Sampling Temperature

Codex-S prefers *higher temperature* compared to Codex



Temperature

Temperature controls the variance of generated samples, and <u>higher k prefers higher temperature</u>. Since pass@k rewards only whether the model generated any correct result given k samples, higher temperature generates more diverse solutions and more likely one of them would pass.

Codex-S prefers higher temperature than Codex. This could be explained as Codex-S is fine-tuned on data with matching distribution, so it's more "concentrated" than Codex.

Ranking Heuristic

<u>Mean log probability</u> works best.

Q2: Why does temperature term matter for code generation and what is the relationship between temperature and k? What ranking heuristic works best?

Oracle: best sample chosen as one that passes the unit tests **Back Translation**: best sample maximizes P(ground truth docstring | generated sample) Mean log probability Sample Ranking Heuristics **Sum log probability** Oracle Docstring backtranslation 0.7 Random

0.6

Pass rate 0.5 0.4

0.3

0.2 -Codex-12B, temp = 0.8



Oracle: best sample chosen as one that passes the unit tests Back Translation: best sample maximizes P(ground truth docstring | generated sample) Mean log probability Sum log probability Random Oracle 0.7 Oracle Docstring backtranslation

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Ranking Heuristic Mean log probability works best.

Better decoding heuristics?

Codex-S outperforms Codex on HumanEval



Results

Codex-S outperforms Codex on HumanEval



Results

Code Model Comparison

The Pile: 8% GitHub code, along with natural language data GPT-J and GPT-Neo: similar architecture TabNine: Code Autocomplete as a service

Two models in the same vein as Codex:

GPT-Neo (Black et al., 2021)

GPT-J-6B (Wang et al., 2021)

Both are trained on The Pile (8% of which is sourced from GitHub)

GPT-J-6B appears to produce qualitatively reasonable code (Woolf, 2021)

HumanEval	k = 1	PASS@k $k = 10$	k = 100	Temperatures
GPT-NEO 125M	0.75%	1.88%	2.97%	GPT-Neo: 0.2, 0.4, 0
GPT-NEO 1.3B	4.79%	7.47%	16.30%	
GPT-NEO 2.7B	6.41%	11.27%	21.37%	GPT-J-6B: 0.2. 0.8
GPT-J 6B	11.62%	15.74%	27.74%	
TABNINE	2.58%	4.35%	7.59%	Tabnine: 0.4, 0.8
CODEX-12M	2.00%	3.62%	8.58%	
CODEX-25M	3.21%	7.1%	12.89%	
Codex-42M	5.06%	8.8%	15.55%	
CODEX-85M	8.22%	12.81%	22.4%	x20 fewer parameter
CODEX-300M	13.17%	20.37%	36.27%	
CODEX-679M	16.22%	25.7%	40.95%	than GPT-J-6B
CODEX-2.5B	21.36%	35.42%	59.5%	
CODEX-12B	28.81%	46.81%	72.31%	

Codex-12B goes considerably beyond the performance of prior models



Sources: coding websites such as Codeforces, Kattis, etc.

Difficulty Level:

- Introductory
- Interview 2.
- 3. Competition

Distribution:

5000 training set and 5000 test set

Train set:

52% Introductory, 40% Interview, 8% Competition

Test set:

20% Introductory, 60% Interview, 20% Competition

APPS



Figure 1: An example "interview"-level problem from APPS (left) along with possible generated code (middle) and two example test cases we use to evaluate the generated code (right). Our evaluation framework has test cases and 10,000 code generation problems of varying difficulty levels.



Raw Pass@k: calculated as before *Filtered Pass@k*: filter out cases that doesn't pass the 3 samples in problem description

APPS



Problem

Given is a directed graph G with N vertices and M edges. The vertices are numbered 1 to N, and the i-th edge is directed from Vertex A_i to Vertex B_i. It is guaranteed that the graph contains no self-loops or multiple edges. Determine whether there exists an induced subgraph (see Notes) of G such that the in-degree and out-degree of every vertex are both 1. If the answer is yes, show one such subgraph. Here the null graph is not considered as a subgraph.

APPS



APPS dataset	INTRODUCTORY	INTERVIEW	COMPETITION
GPT-NEO 2.7B RAW PASS@1	3.90%	0.57%	0.00%
GPT-NEO 2.7B RAW PASS@5	5.50%	0.80%	0.00%
1-SHOT CODEX RAW PASS@1	4.14% (4.33%)	0.14% (0.30%)	0.02% (0.03%)
1-SHOT CODEX RAW PASS@5	9.65% (10.05%)	0.51% (1.02%)	0.09% (0.16%)
1-SHOT CODEX RAW PASS@100	20.20% (21.57%)	2.04% (3.99%)	1.05% (1.73%)
1-SHOT CODEX RAW PASS@1000	25.02% (27.77%)	3.70% (7.94%)	3.23% (5.85%)
1-SHOT CODEX FILTERED PASS@1	22.78% (25.10%)	2.64% (5.78%)	3.04% (5.25%)
1-SHOT CODEX FILTERED PASS@5	24.52% (27.15%)	3.23% (7.13%)	3.08% (5.53%)

Note: passing timeouts in (parens)

APPS

Temperature 0.6 used for sampling all k in pass@k

Outline

Codex

- Introduction
- Evaluation
- Code Fine-Tuning
- Experiments
- Supervised Fine-Tuning
- **Docstring Generation**

Discussions

- InCoder
- CodeGen



Limitations

Degradation with length of instruction

Experiment: Compose 13 building blocks of <description, function> pair

Chained Building Blocks:

- Concatenate one-line descriptions into docstring

1. "remove all instances of the letter e from the string"

s = s.replace("e", "")

2. "replace all spaces with exclamation points in the string" s = s.replace(" ", "!")

3. "convert the string s to lowercase"

s = s.lower()

4. "remove the first and last two characters of the string"

s = s[2:-2]

5. "removes all vowels from the string"

s = "".join(char for char in s if char not in "aeiouAEIOU")



Degradation with length of instruction



Limitations

Synthetic Pass Rate vs Components (Codex 12B)

Hazard Analysis

Over-Reliance: Codex may generate incorrect code that looks fine to novice programmers

Misalignment: Training distribution misalign with the intention of programmers

Bias: Biased prompting setups lead to biased generations



A model is **intent misaligned** if outputs B, in a scenario where the user prefers output A and the model is both:

(1) capable of outputting A

(2) capable of distinguishing situations where the user prefers A or B



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CodeGen

Open-source alternative to Codex 16B params

Otherwise, very similar to Codex - albeit with a different exact dataset

Slightly outperforms Codex for all k on pass@k HumanEval

Multi-turn evaluation provides a performance bo Similar to chain of thought

	Model		pass@k [%			
		k = 1	k = 10	j		
	GPT-NEO 350M	0.85	2.55			
	GPT-NEO 2.7B	6.41	11.27			
	GPT-J 6B	11.62	15.74			
	CODEX 300M	13.17	20.37			
	CODEX 2.5B	21.36	35.42			
	CODEX 12B	28.81	46.81			
	CODEGEN-NL 350M	2.12	4.10			
c on	CODEGEN-NL 2.7B	6.70	14.15			
	CODEGEN-NL 6.1B	10.43	18.36			
	CODEGEN-NL 16.1B	14.24	23.46			
	CodeGen- <mark>Mult</mark> i 350M	6.67	10.61			
	CodeGen- <mark>Mult</mark> i 2.7B	14.51	24.67			
oost	CodeGen- <mark>Mult</mark> i 6.1B	18.16	28.71			
0051	CODEGEN-MULTI 16.1B	18.32	32.07			
	CODEGEN-MONO 350M	12.76	23.11			
	CODEGEN-MONO 2.7B	23.70	36.64			
	CODEGEN-MONO 6.1B	26.13	42.29			
	CODEGEN-MONO 16.1B	29.28	49.86			



AlphaCode

Goal: solving competition problems

Similar approach, except: 41B parameters encoder-decoder model larger sampling

Avoiding data-leakage of HumanEval time-separated

Performed on par with a "median" human competitor



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Code Infilling and Synthesis

Motivation: supports both left-to-right generation and infilling arbitrary blocks of code

InCoder: A Generative Model for Code Infilling and Synthesis

Demo of the 6.7B parameter version of InCoder: a decoder-only Transformer model that can both extend and insert/infill code.

Select one of the examples below, or input your own code into the editor. You can type <infill> to mark a location you want the model to insert code at.

Click "Extend" to append text at the end of the editor. Click "Infill" to replace all <infill> masks. (Click "Add <infill> mask" to add a mask at the cursor or replace the current selection.)

Infill Examples: <u>Type prediction</u> Extend Examples: <u>Python</u> <u>JavaScri</u>	<u>Docstring to f</u> pt <u>Jupyter</u>	unction <u>Functio</u> <u>StackOverflow</u>	on to docstring <u>Class ge</u> <u>Metadata Conditioning</u>	eneration Metadata Prediction
Num Tokens:	•	•	■ 64 ■ 0.6	

Extend Infill

Add <infill> mask

Syntax	t: Python ∽	
1 -	< file ext=.py >	
2 -	<pre>def count_words(filename):</pre>	
3	"""Count the number of occurrences of each word in the file"""	



Training

Given document size *s*, select Number of masks: $n \sim Clamp(Poisson(1),1,16)$ Length of each mask: $m \sim (Uniform(0,s), Uniform(0,s))$



Training

Given chosen spans, remove corresponding blocks with mask sentinel token Move blocks of code to the end of file, each separated by <EOM>



Training

Maximize $log P([left; \langle Mask, 0 \rangle; right; \langle Mask 0 \rangle; SPAN; \langle EOM \rangle])$



Inferencing

Prompt generation through feeding the corresponding *mask sentinel token*

Left-to-right generation: Prompt generation with no right context Infilling:

 $[A; < Mask: 0>; C; < Mask: 1>; E; < Mask: 2>] \rightarrow [A; < Mask: 0>; C; < Mask: 1>; E; < Mask: 0>; B; < EOM>; < Mask: 1>; D; < EOM>]$



Left-to-Right

Model	Size (B)	Python Code (GB)	Other Code (GB)	Other (GB)	Code License	Infill?	HE @1	HE @10	HE @100	MBPP @1
Released										
CodeParrot [61]	1.5	50	None	None			4.0	8.7	17.9	
PolyCoder [68]	2.7	16	238	None			5.6	9.8	17.7	
GPT-J [63, 18]	6	6	90	730			11.6	15.7	27.7	
INCODER-6.7B	6.7	52	107	57	Permissive	\checkmark	15.2	27.8	47.0	19.4
GPT-NeoX [14]	20	6	90	730			15.4	25.6	41.2	
CodeGen-Multi [46]	6.1	62	375	1200			18.2	28.7	44.9	
CodeGen-Mono [46]	6.1	279	375	1200			26.1	42.3	65.8	
CodeGen-Mono [46]	16.1	279	375	1200			29.3	49.9	75.0	
Unreleased										
LaMDA [10, 60, 21]	137	None	None	???			14.0		47.3	14.8
AlphaCode [44]	1.1	54	660	None			17.1	28.2	45.3	
Codex-12B [18]	12	180	None	>570			28.8	46.8	72.3	
PaLM-Coder [21]	540	~20	~200	~4000	Permissive		36.0		88.4	47.0

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Code Model on NLP Tasks

Highlight: Code Models (e.g. Codex) can outperform LLMs (e.g. GPT3) in NLP tasks that involve structural and logical analysis

classification problem

Dataset	Natural language	Canonical utterance	Meaning representation
SMCalFlow	Schedule Hide and Seek in the mall for Saturday night	create event called "Hide and Seek" starting next Sat- urday night at "mall"	<pre>(Yield :output (CreateCommitEventWrapper :event (CreatePreflightEventWrapper :constraint (Constraint[Event] :subject (?= #(String "Hide and Seek")) :start (DateTimeConstraint :constraint (Night) :date (NextDOW :dow</pre>
Overnight Cal.	which meeting has the earliest end time	meeting that has the smallest end time	<pre>#(DayOfWeek "SATURDAY"))) :location (?= #(LocationKeyphrase "mall")))))) (call listValue (call superlative (call getProperty (call singleton en.meeting) (string !type)) (string min) (call ensureNumericProperty (string end_time))))</pre>

Codex-NLP: Semantic Parsing

Task: convert an utterance *u* to a semantic meaning representation *m*, viewed either as a generation or

Task: convert an utterance *u* to a semantic meaning representation *m*, viewed either as a generation or classification problem



Codex-NLP: Semantic Parsing

Accuracy					
vernight Cal.	SMCalFlow				
0.81	0.340				
0.66	0.260				
0.86	0.355				
0.87	0.320				

Codex-NLP: Reasoning

Task: Given a event/goal T, generate a commonsense reasoning represented as a graph



(a) The script \mathcal{G}

(b) \mathcal{G} converted to Python code \mathcal{G}_c using our approach

Codex-NLP: Reasoning

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	PID	Precision	Recall	F1
	r1	72.7	50.9	59.8
DAVINOI	r2	75.9	45.6	57.0
DAVINCI	r3	73.8	42.4	53.9
	avg	74.2 ± 1.3	46.3 ± 3.5	56.9 ± 2.4
	r1	69.0	54.5	60.9
C_{ODEV} 002	r2	84.6	48.1	61.3
CODEX-002	r3	77.6	55.7	66.2
	avg	77.1 ± 6.4	52.8 ± 3.3	62.8 ± 2.4
Codex-NLP: Proof Synthesis

Task: Given a set of facts and a hypothesis, construct a proof of how the facts conclude at the hypothesis

Method	Leaves		Steps		Intermediates		Overall
	F1	AllCorrect	F1	AllCorrect	F1	AllCorrect	AllCorrect
EntailmentWriter EntailmentWriter (T5-11B) NLProofS (ours)	86.2 89.4 89.4 ± 0.8	43.9 52.9 56.0 ± 0.7	40.6 46.6 50.4 ± 1.9	28.3 35.3 38.4 ± 1.3	67.1 69.1 71.9 ± 1.4	34.8 36.9 41.3 ± 1.4	$\begin{array}{c} 27.3\\ 32.1\\ \textbf{37.1} \pm \textbf{1.5} \end{array}$
GPT-3 (Brown et al., 2020) Codex (Chen et al., 2021)	$\begin{array}{c} 64.2\pm2.3\\ 68.9\pm3.7\end{array}$	$\begin{array}{c} 15.3\pm1.9\\ 19.8\pm3.2 \end{array}$	$\begin{array}{c} 17.6\pm0.6\\ 21.4\pm3.0\end{array}$	$\begin{array}{c} 12.3\pm1.4\\ 14.6\pm1.7\end{array}$	$\begin{array}{c} 53.6\pm1.4\\ 55.6\pm2.2\end{array}$	$\begin{array}{c} 22.3\pm1.1\\ 23.2\pm1.9\end{array}$	$12.3 \pm 1.4 \\ 14.4 \pm 1.4$

Table B: Validation results of proof generation on EntailmentBank (Dalvi et al., 2021). Results of GPT-3 and Codex are based on prompting with 7 in-context examples randomly sampled from the training data.

Q3. As a programmer, what types of features would you like to use from a code model (describe the use cases in detail)? Do you think current code models already achieve that, or what improvements need to be done in the future?

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Features

- Autocomplete:

Given comment and some written code to start with, the model should be able to complete a block of code that matches the intention of function, variable names, and be able to call (if needed) other functions / imported libraries

- Docstring Formatting

Given function signature and code, infill missing components in the function docstring to include variable type, description, return type, etc.

- Code Search

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Given a description of intention of function, search a codebase if such function is already implemented

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Discussion

Code model that can fill in blanks in code or docstring would be better than left-to-right only generation for many reasons (e.g. to call functions declared both above and below a target function). HumanEval focuses on standalone functions, which may create a potential misalignment between the model's trained goal and intention of programmers.

(apart from the ones on the website):

AlphaCode: <u>https://arxiv.org/abs/2203.07814</u> Semantic Parsing with LMs of Code: <u>https://arxiv.org/abs/2112.08696</u> Natural Language Proofs: <u>https://arxiv.org/abs/2205.12443</u>

