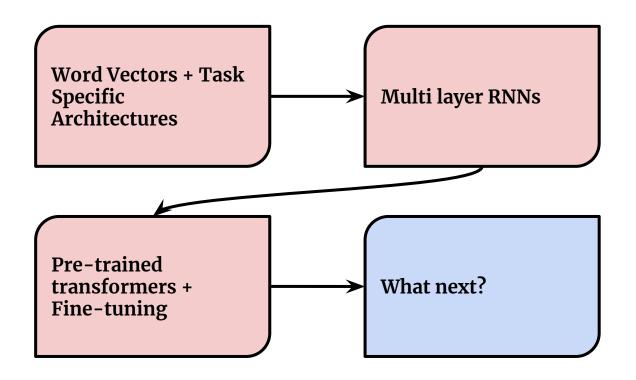
# Language Models are Few-Shot Learners (GPT-3)

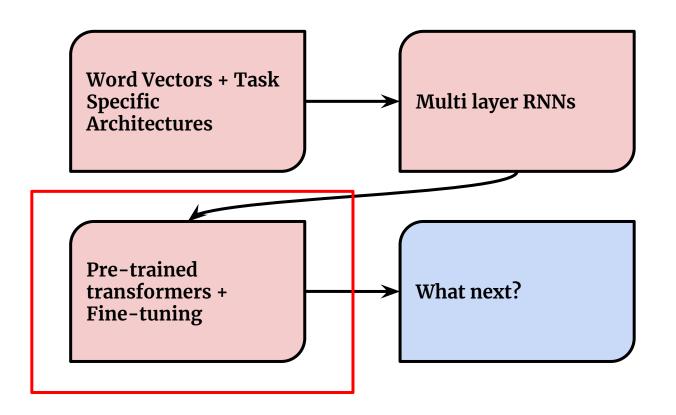
Tom B. Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, Sandhini Agarwal, Ariel Herbert-Voss, Gretchen Krueger, Tom Henighan, Rewon Child, Aditya Ramesh, Daniel M. Ziegler, Jeffrey Wu, Clemens Winter, Christopher Hesse, Mark Chen, Eric Sigler, Mateusz Litwin, Scott Gray, Benjamin Chess, Jack Clark, Christopher Berner, Sam McCandlish, Alec Radford, Ilya Sutskever, Dario Amodei

Presented by: Sabhya Chhabria & Michael Tang

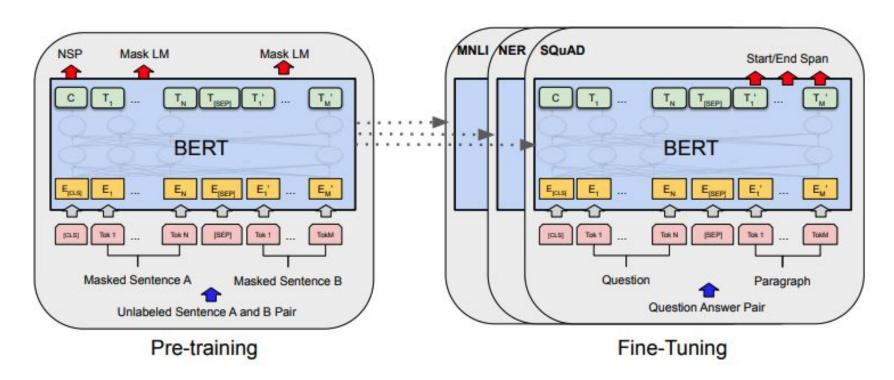
### **Shifting Paradigms in NLP**



### **Shifting Paradigms in NLP**



### **Pre-training** → **Fine-Tuning**



Source: <u>Devlin et al. 2018</u>

### Limitations of Pre-training → Fine-Tuning (1)

#### **Practical Issues**

- Need large task-specific datasets for fine-tuning
- Collect data for task A → Fine-tune to solve task A → Repeat for task B
   → Repeat for task C → and so on ...
- End up with many "copies" of the same model

### Limitations of Pre-training → Fine-Tuning (2)

#### Potential to exploit spurious correlations (Overfitting)

- Large models fine-tuned on very narrow task distributions
- Evidence suggests: models overfit to training distributions and don't generalize well outside of it (Evidence: <u>Hendricks et al. 2020</u>, <u>Yogatama et al. 2019</u>, <u>McCoy et al. 2019</u>)
- Models are good on datasets, not so good at the underlying task
   (<u>Gururangan et al. 2018</u>, <u>Niven et al. 2019</u>)

### Limitations of Pre-training → Fine-Tuning (3)

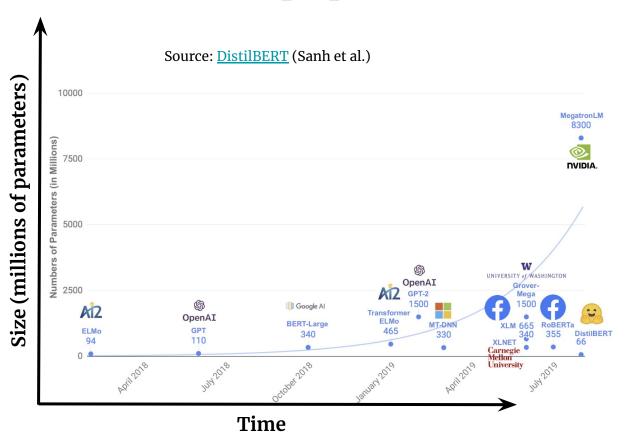
#### Humans don't need large supervised datasets

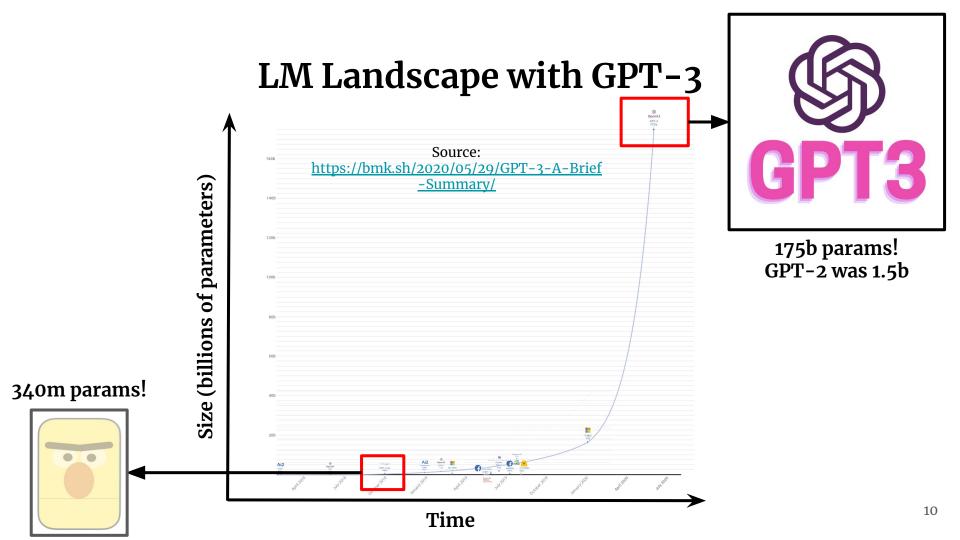
- Humans can learn from simple directives
- Allows humans to mix and match skills + switch between tasks easily
- Hope is for NLP systems to one day function with the same fluidity!

### **Addressing These Limitations**

- Scaling up
- 2. "In Context-Learning" 💆

### LM Landscape pre GPT-3

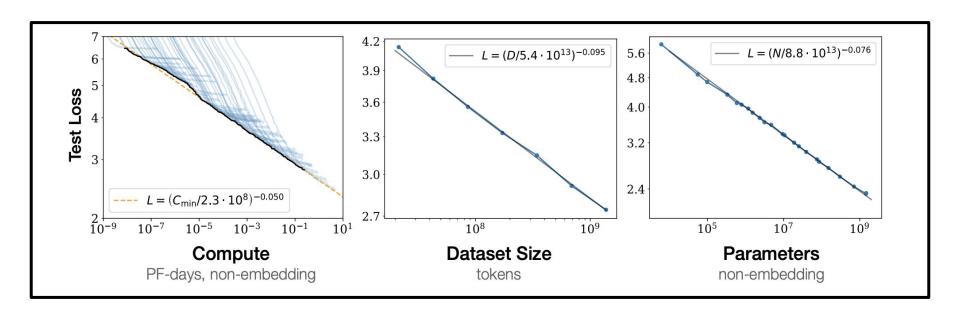




### Why Scale?

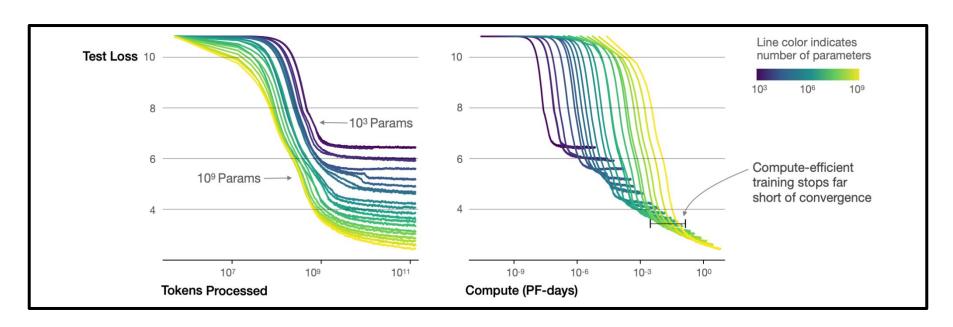
- Study conducted by OpenAI → Scaling Laws for Neural Language
   Models (Kaplan et al. 2020)
- A few **key findings**:
  - Performance depends strongly on scale, weakly on model shape
  - Smooth power laws (y = ax<sup>k</sup>) b/w empirical performance & N parameters, D - dataset size, C - compute
  - Transfer improves with test performance
  - Larger models are more sample efficient

### Bigger is Better!



Source: <u>Kaplan et al.</u>

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Source: <u>Kaplan et al.</u>

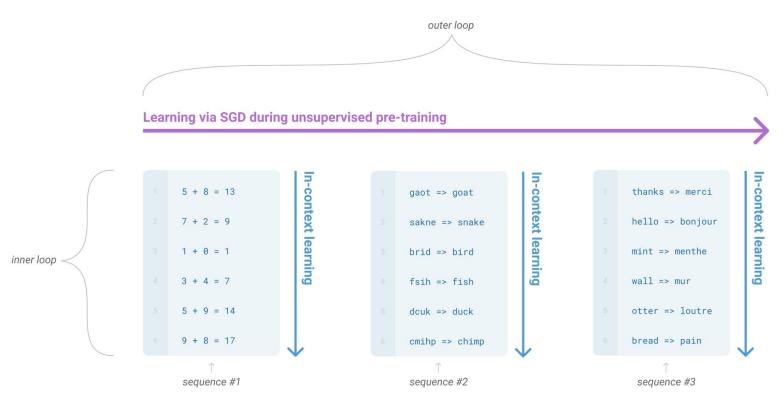
### **Addressing These Limitations**

- 1. Scaling up
- 2. "In Context-Learning"

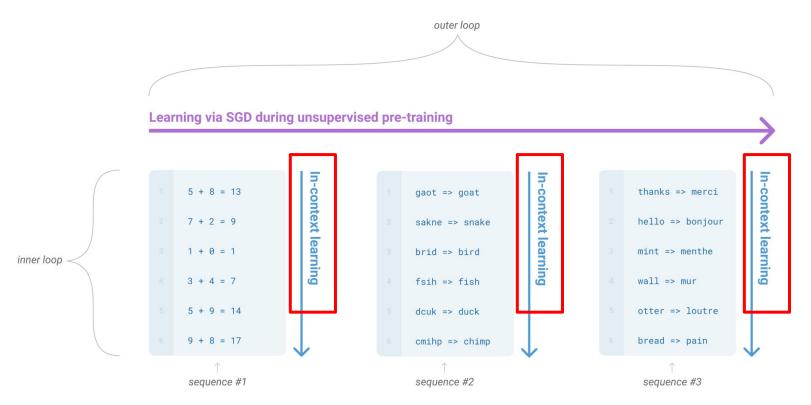
### **In-Context Learning**

	No Prompt	Prompt		
Zero-shot (os)	skicts = sticks	Please unscramble the letters into a word, and write that word: skicts = sticks		
1-shot chiar = chair skicts = sticks		Please unscramble the letters into a word, and write that word: chiar = chair skicts = sticks		
Few-shot (FS)	chiar = chair [] pciinc = picnic skicts = sticks	Please unscramble the letters into a word, and write that word: chiar = chair [] pciinc = picnic skicts = sticks		

### In-Context learning is Meta-Learning



### In-Context learning is Meta-Learning



### In-Context learning is Meta-Learning

#### "Learning how to learn"

- Model develops pattern recognition abilities while training, which it applies at test time
- "in-context learning" → using text input of a pre-trained LM as a form of task specification
- Seen in GPT-2 (Radford et al 2019):
  - Only 4% on Natural Questions
  - o 55 F1 on CoQa was 35 points behind SOTA at time
- → We need something better

### What to Pick?

#### Fine-tuning (FT)

- a. + Strongest performance
- b. Need curated and labeled dataset for each new task (typically 1k-100k+ ex.)
- c. Poor generalization, spurious feature exploitation

#### 2. Few-shot (FS)

- a. + Much less task-specific data needed
- b. + No spurious feature exploitation
- c. Challenging

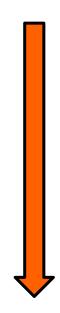
#### One-shot (1S)

- a. + "Most natural," e.g. giving humans instructions
- b. Challenging

#### 4. Zero-shot (oS)

- a. + Most convenient
- b. Challenging, can be ambiguous

Stronger task-specific performance



More convenient, general, less data

### The Prompting Zoo

#### CoQA (Reddy et al 2018)

Helsinki is the capital and largest city of Finland. It is in the region of Uusimaa, in southern Finland, on the shore of the Gulf of Finland. Helsinki has a population of , an urban population of , and a metropolitan population of over 1.4 million, making it the most populous municipality and urban area in Finland. [...] Helsinki has close historical connections with these three cities.

Q: what is the most populous municipality in Finland?

A: Helsinki

Q: what percent of the foreign companies that operate in Finland are in Helsinki?

A: 75%

Q: what towns are a part of the metropolitan area?

A: Helsinki, Espoo, Vantaa, Kauniainen, and surrounding commuter towns

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#### WSC (Liu et al 2020)

Final Exam with Answer Key Instructions: Please carefully read the following passages. For each passage, you must identify which noun the pronoun marked in \*bold\* refers to.

=====

Passage: Mr. Moncrieff visited Chester's luxurious New York apartment, thinking that it belonged to his son Edward. The result was that Mr. Moncrieff has decided to cancel Edward's allowance on the ground that he no longer requires \*his\* financial support.

Question: In the passage above, what does the pronoun "\*his\*" refer to?

Answer: mr. moncrieff

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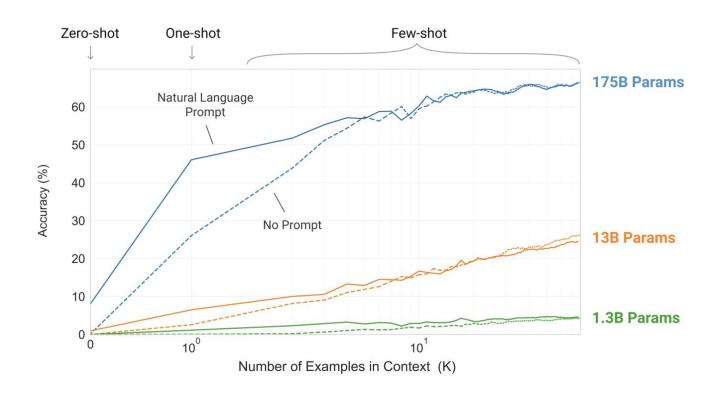
The City BY C. P. CAVAFY TRANSLATED BY EDMUND KEELEY [...]

SOME TREES John Ashbery [...]

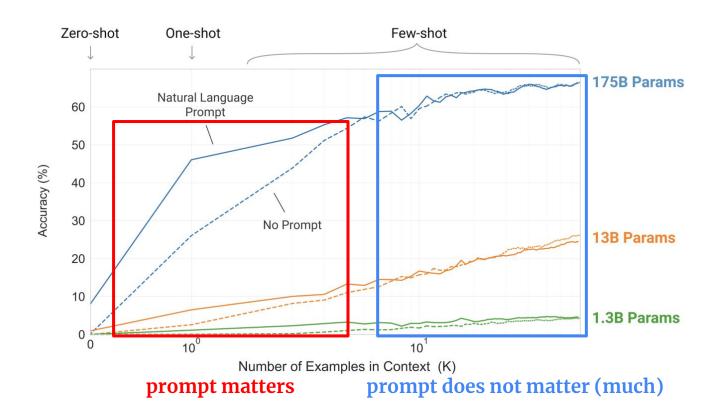
Shadows on the Way Wallace Stevens I must have shadows on the way If I am to walk I must have Each step taken slowly and alone To have it ready made

And I must think in lines of grey
To have dim thoughts to be my guide
Must look on blue and green
And never let my eye forget
That color is my friend
And purple must surround me too

### Larger Models Learn Better In-Context



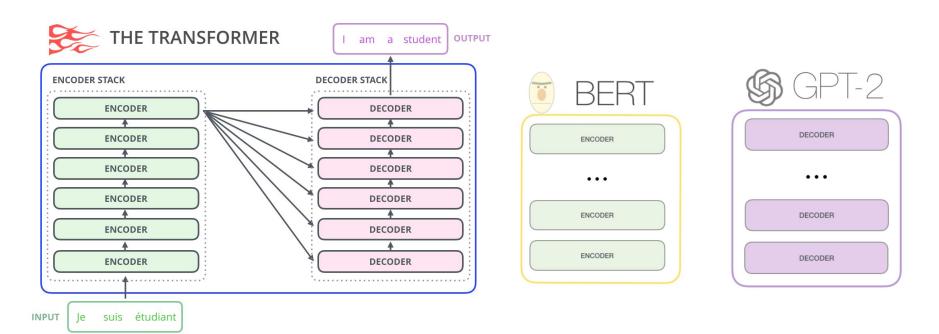
### Larger Models Learn Better In-Context



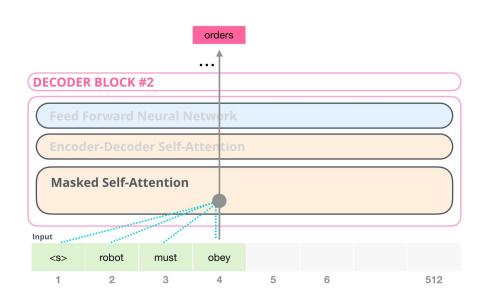
## Q1. Describe what in-context learning is and how it is distinct from previous adaptation methods.

- In-context learning is the process of learning diverse skills and subtasks during the pre-training process that can be subsequently leveraged by prompting the model at inference time using natural language instructions and/or demonstrations ("shots")
- Unlike fine-tuning, the model is only trained once for all downstream tasks
- Weights are frozen, NOT trained!

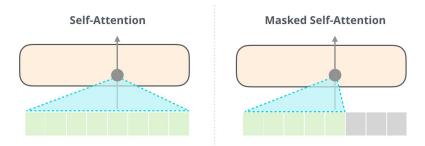
### **Quick Recap**



### **Zooming In**

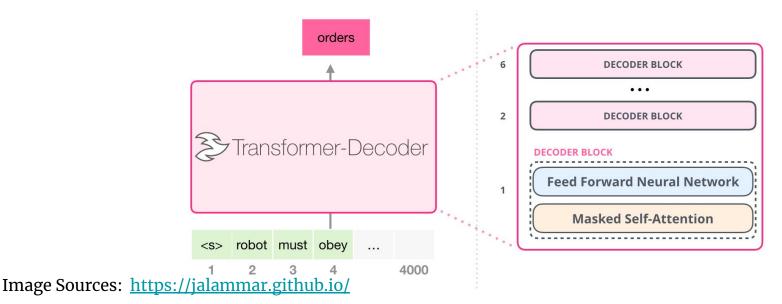


### Key difference: decoder uses masked self-attention



### **Decoder Only Architecture**

- Proposed in Generating Wikipedia By Summarizing Long Sequences (<u>Liu et al. 2018</u>)
- Gets rid of second attention layer



### $GPT-3 \rightarrow GPT-2$



- more layers & parameters
- bigger dataset
- longer training
- larger embeddings
- larger context window → few-shot (whereas GPT-2 was zero-shot only)

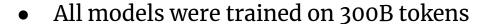
### **GPT-3 is MASSIVE!**



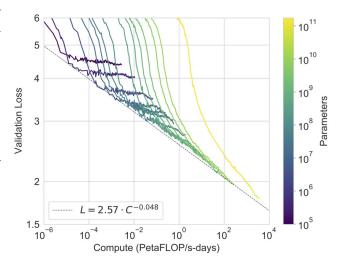
- 96 decoder blocks (2x GPT-2)
- Context size: 2048 (2x GPT-2)
- Embedding size: 12288 (~8xGPT-2)
- Params: 175b (~117x GPT-2)

### **GPT-3 is MASSIVE!**

Model Name	$n_{ m params}$	$n_{ m layers}$	$d_{ m model}$	$n_{ m heads}$	$d_{ m head}$	Batch Size	Learning Rate
GPT-3 Small	125M	12	768	12	64	0.5M	$6.0 \times 10^{-4}$
GPT-3 Medium	350M	24	1024	16	64	0.5M	$3.0 \times 10^{-4}$
GPT-3 Large	760M	24	1536	16	96	0.5M	$2.5  imes 10^{-4}$
GPT-3 XL	1.3B	24	2048	24	128	1 <b>M</b>	$2.0 \times 10^{-4}$
GPT-3 2.7B	2.7B	32	2560	32	80	1 <b>M</b>	$1.6  imes 10^{-4}$
GPT-3 6.7B	6.7B	32	4096	32	128	2M	$1.2 \times 10^{-4}$
GPT-3 13B	13.0B	40	5140	40	128	2M	$1.0\times10^{-4}$
GPT-3 175B or "GPT-3"	175.0B	96	12288	96	128	3.2M	$0.6 \times 10^{-4}$



- Follows power law argued in <u>Kaplan et al.</u>
- "GPT-3"  $\rightarrow$  GPT-3 175B



#### **Datasets**

#### A more curated Common Crawl

- Filtered based on similarity to well known corpora (45TB → 570GB)
- 2. Fuzzy deduplication on a document level
- 3. Augmented with well known corpora to increase diversity

	Quantity	Weight in	Epochs elapsed when
Dataset	(tokens)	training mix	training for 300B tokens
Common Crawl (filtered)	410 billion	60%	0.44
WebText2	19 billion	22%	2.9
Books1	12 billion	8%	1.9
Books2	55 billion	8%	0.43
Wikipedia	3 billion	3%	3.4

### Even OpenAI makes mistakes

- Wanted to decontaminate the training data
- By removing the dev sets of downstream task datasets
- Bug in the code → missed out on some overlaps :/
- Analysis later

### **Training Procedures**

- Larger models →larger batch sizes & smaller LRs
- Model parallelism for each matrix multiply + across layers
- Adam optimizer
- Gradient clipping → 1.0
- Linear LR warm up → cosine decay
- Batch size increased gradually
- Weight decay → 0.1 for regularization

#### **Evaluation Procedures**

- K-shot learning
  - Uniformly random K examples from the training set (or dev set if needed)
  - Sometimes normalize by unconditional probability of each completion:

```
P("A: Red" | "Q: Roses are _") vs. \frac{P(\text{"A: Red"} \mid \text{"Q: Roses are } \text{"})}{P(\text{"A:"} \mid \text{"Q: Roses are } \text{"})}
```

- Larger K → not always better!
- Semantic classes
  - e.g. "True" instead of "1"
- Beam search for free-form completion
  - Beam width 4, length penalty 0.6

#### **Results**

#### All 8 GPT-3 models → evaluated on datasets across 9 categories:

- Traditional LM based
- 2. Closed book QA
- 3. Translation
- 4. Winograd-Schema
- 5. Commonsense Reasoning and Question Answering
- 6. Reading Comprehension
- 7. SuperGLUE
- 8. Natural Language Inference
- 9. Additional tasks to probe "in-context learning"

## **Results: Language Modelling**

#### **Language Modelling (Metric: Perplexity)**

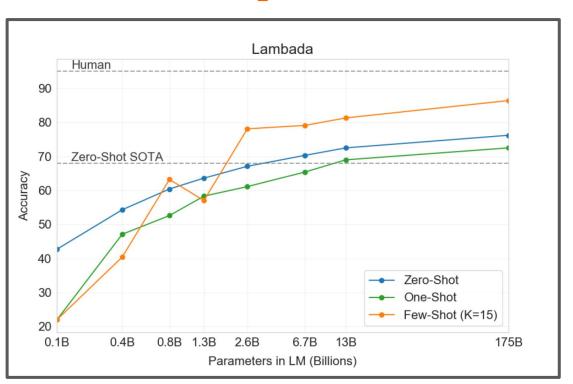
- Zero-shot perplexity on Penn Tree Bank (<u>Marcus et al. 1993</u>)
- PTB → only compatible w/ zero-shot setting
- PTB → 2499 stories from WSJ
- predates the modern internet → not in training corpora
- New SOTA on PTB by 15 points with a perplexity of 20.5

LAMBADA (Metric: Accuracy) (Paperno et al. 2016)

- LAnguage Modeling Broadened to Account for Discourse Aspects
- Predict last word after context → long range dependencies
- Task framed as a cloze-test, eg:
  - Alice was friends with Bob. Alice went to visit her friend \_\_\_\_. → Bob
  - George bought some baseball equipment, a ball, a glove, and a \_\_\_\_.

 $\rightarrow$ 

- GPT-3 achieves
   accuracy of 86.4%
   in few-shot setting
- 18% increase from previous SOTA



## HellaSwag (Metric: Accuracy) (Zellers et al. 2019)

Pick best ending to story / set of instructions

How to catch dragonflies. Use a long-handled aerial net with a wide opening. Select an aerial net that is 18 inches (46 cm) in diameter or larger. Look for one with a nice long handle.

a) Loop 1 piece of ribbon over the handle. Place the hose or hose on your net and tie the string securely. b) Reach up into the net with your feet. Move your body and head forward when you lift up your feet. c) If possible, choose a dark-colored net over a light one. Darker nets are more difficult for dragonflies to see, making the net more difficult to avoid. d) If it's not strong enough for you to handle, use a hand held net with one end shorter than the other. The net should have holes in the bottom of the net.

- GPT-3: 78.9% (0-shot) | 78.1% (1-shot) | 79.3% (few-shot)
- Worse than SOTA  $\rightarrow$  **ALUM** model (85.6%) (<u>Liu et al. 2020</u>)

**StoryCloze** (Metric: **Accuracy**) (<u>Mostafazadeh et al. 2016</u>)

Choose correct ending for a five-sentence story

Context	Right Ending	Wrong Ending
Tom and Sheryl have been together for two years. One day,	Tom asked Sheryl to marry him.	He wiped mud off of his boot.
they went to a carnival together. He won her several stuffed		
bears, and bought her funnel cakes. When they reached the		
Ferris wheel, he got down on one knee.		

- GPT-3: 83.2% (0-shot) | 84.7.1% (1-shot), 87.7% (few-shot)
- Worse than SOTA (91.8%) → BERT based model (<u>Li et al. 2019</u>)

## **Results: Closed Book QA**

- LM answers questions w/o conditioning on auxiliary information.
- 3 Datasets (Metrics: Exact Match + F1)
  - Natural Questions (<u>Kwiatkowski et al. 2019</u>)
    - Eg: "how many episodes in season 2 breaking bad?"
  - Web Questions (Berant et al.) 2013
    - Eg: "Where did Edgar Allan Poe die?"
  - o TriviaQA (Joshi et al.) 2017
    - Eg: "Miami Beach in Florida borders which ocean?"

# **Results: Closed Book QA**

	Natural Questions	Web Questions	TriviaQA
o-shot	14.6%	14.4%	64.3%
1-shot	23%	25.3%	68% (SOTA)
Few-shot	29.9%	41.5%	71.2% (even better)
Competitor	T5-11B SSM (36.6%)	TT5-11B SSM (44.7%)	SOTA!

## **Results: Translation Task**

# Q2. How does GPT-3 handle non-English data? Why do you think it works on translation?

- 7% of training data is from other languages (<u>stats</u>)
- Existing NMT frameworks: pre-training on a pair of monolingual datasets with back-translation
- GPT-3 learns from a mix of data that is blended in a natural way,
   combined on a word, sentence, and document level
- Metric: BLEU score

## **Results: Translation Task**

Setting	En→Fr	Fr→En	En→De	De→En	En→Ro	Ro→En
SOTA (Supervised)	45.6 <sup>a</sup>	35.0 <sup>b</sup>	<b>41.2</b> <sup>c</sup>	$40.2^{d}$	38.5 <sup>e</sup>	39.9 <sup>e</sup>
XLM [LC19] MASS [STQ <sup>+</sup> 19] mBART [LGG <sup>+</sup> 20]	33.4 37.5	33.3 34.9	26.4 28.3 29.8	34.3 35.2 34.0	33.3 35.2 35.0	31.8 33.1 30.5
GPT-3 Zero-Shot GPT-3 One-Shot GPT-3 Few-Shot	25.2 28.3 32.6	21.2 33.7 39.2	24.6 26.2 29.7	27.2 30.4 40.6	14.1 20.6 21.0	19.9 38.6 <u>39.5</u>

- Few-shot GPT-3 is > unsupervised NMT work by 5 BLEU when translating into English
- Not good as supervised SOTA
- Performance improves when model is scaled up
- Translation into English > from English

## **Results: Winograd-Style Tasks**

Winograd Schemas Challenge (Metric: Accuracy) (Levesque et al. 2012)

- Reading comprehension test
- Which word a pronoun refers to
- Eg. The trophy doesn't fit in the brown suitcase because it's too big.
   What is too big? Options: 0 → the trophy | 1 → the suitcase

#### WinoGrande Schemas Challenge (Sakaguchi et al. 2019)

- Greater scale + hardness
- Robert woke up at 9:00am while Samuel woke up at 6:00am, so **he** had <u>less</u> time to get ready for school.

  Robert / Samuel Rob

# **Results: Winograd-Style Tasks**

Setting	Winograd	Winogrande (XL)
Fine-tuned SOTA GPT-3 Zero-Shot GPT-3 One-Shot GPT-3 Few-Shot	90.1 <sup>a</sup> 88.3* 89.7* 88.6*	<b>84.6</b> <sup>b</sup> 70.2 73.2 77.7

- GPT-3 comes close to SOTA for Winograd
- GPT-3 much lower than SOTA for WinoGrande
- \*  $\rightarrow$  45% of test-set in training, clean subset  $\rightarrow$   $\downarrow$ 2.6%

## **Results: Common Sense Reasoning**

#### 3 Datasets (Metrics: Accuracy):

- 1. PIQA (<u>Bisk et al. 2019</u>)
  - Physical QA, eg →



To separate egg whites from the yolk using a water bottle, you should...

- a. Squeeze the water bottle and press it against the yolk.
   Release, which creates suction and lifts the yolk.
- b. Place the water bottle and press it against the yolk. Keep pushing, which creates suction and lifts the yolk.

- 2. ARC (<u>Clark et al. 2018</u>)
  - MCQs from 3rd 9th grade science exams
  - Challenge → questions harder for statistical / info retrieval methods

Teleology / Purpose What is the main function of the circulatory system? (1) secrete enzymes (2) digest proteins (3) produce hormones (4) transport materials

## **Results: Common Sense Reasoning**

#### **Question:**

Which of these would let the most heat travel through?

- A) a new pair of jeans.
- B) a steel spoon in a cafeteria.
- C) a cotton candy at a store.
- D) a calvin klein cotton hat.

#### **Science Fact:**

Metal is a thermal conductor.

#### **Common Knowledge:**

Steel is made of metal.

Heat travels through a thermal conductor.

### 3. OpenBookQA (Mihaylov et al. 2018)

Modelled after open book exams

## **Results: Common Sense Reasoning**

Setting	PIQA	ARC (Easy)	ARC (Challenge)	OpenBookQA
Fine-tuned SOTA	79.4	<b>92.0</b> [KKS <sup>+</sup> 20]	<b>78.5</b> [KKS <sup>+</sup> 20]	<b>87.2</b> [KKS <sup>+</sup> 20]
GPT-3 Zero-Shot	80.5*	68.8	51.4	57.6
GPT-3 One-Shot	80.5*	71.2	53.2	58.8
GPT-3 Few-Shot	82.8*	70.1	51.5	65.4

- New SOTA on PIQA
- Much worse than SOTA on ARC and OpenBookQA
- \* → 29% of PIQA test-set seen at training, clean subset → ↓3%

## **Results: Reading Comprehension**

5 Datasets (Metrics: **F1**, RACE: **Accuracy**)

- CoQA (<u>Reddy et al. 2019</u>)
  - Conversational QA dataset

Jessica went to sit in her rocking chair. Today was her birthday and she was turning 80. Her granddaughter Annie was coming over in the afternoon and Jessica was very excited to see her. Her daughter Melanie and Melanie's husband Josh were coming as well. Jessica had...

 $Q_1$ : Who had a birthday?

A<sub>1</sub>: Jessica

R<sub>1</sub>: Jessica went to sit in her rocking chair. Today was her birthday and she was turning 80.

O2: How old would she be?

A<sub>2</sub>: 80

R<sub>2</sub>: she was turning 80

Q<sub>3</sub>: Did she plan to have any visitors?

A<sub>3</sub>: Yes

R<sub>3</sub>: Her granddaughter Annie was coming over

- QuAC (Choi et al. 2018)
  - QA in Context

```
Section: Daffy Duck, Origin & History

STUDENT: What is the origin of Daffy Duck?

TEACHER: Sirst appeared in Porky's Duck Hunt

STUDENT: What was he like in that episode?

TEACHER: Sassertive, unrestrained, combative

STUDENT: Was he the star?

TEACHER: No, barely more than an unnamed bit player in this short

STUDENT: Who was the star?

TEACHER: No answer

STUDENT: Did he change a lot from that first episode in future episodes?

TEACHER: Yes, the only aspects of the character that have remained consistent (...) are his voice characterization by Mel Blanc
```

# **Results: Reading Comprehension**

- DROP (<u>Dua et al. 2019</u>)
  - Discrete Reasoning Over the content of Paragraphs

-			
Reasoning	Passage (some parts shortened)	Question	Answer
Subtraction (31.2%)	That year, his <b>Untitled</b> (1981), a painting of a haloed, black-headed man with a bright red skeletal body, depicted amid the artists signature scrawls, was sold by Robert Lehrman for \$16.3 million, well above its \$12 million high estimate.	How many more dollars was the Untitled (1981) painting sold for than the 12 million dollar estimation?	4300000

- RACE (<u>Lai et al. 2017</u>)
  - ReAding Comprehension Dataset From Examinations
  - Large scale → very long context
- SQuADv2 (Rajpurkar et al. 2018)

# **Results: Reading Comprehension**

Setting	CoQA	DROP	QuAC	SQuADv2	RACE-h	RACE-m
Fine-tuned SOTA GPT-3 Zero-Shot GPT-3 One-Shot GPT-3 Few-Shot	<b>90.7</b> <sup>a</sup> 81.5 84.0 85.0	<b>89.1</b> <sup>b</sup> 23.6 34.3 36.5	<b>74.4</b> <sup>c</sup> 41.5 43.3 44.3	<b>93.0</b> <sup>d</sup> 59.5 65.4 69.8	<b>90.0</b> <sup>e</sup> 45.5 45.9 46.8	<b>93.1</b> <sup>e</sup> 58.4 57.4 58.1

- GPT-3 is decent on CoQA
- Much worse than SOTA on DROP and QuAC, SQuADv2 & RACE

## **Results: SuperGLUE**

	SuperGLUE	E BoolQ	CB	CB	COPA	RTE
	Average	Accuracy	y Accurac	y F1	Accuracy	Accuracy
Fine-tuned SOTA Fine-tuned BERT-Large GPT-3 Few-Shot	<b>89.0</b> 69.0 71.8	<b>91.0</b> 77.4 76.4	<b>96.9</b> 83.6 75.6	<b>93.9</b> 75.7 52.0	<b>94.8</b> 70.6 92.0	<b>92.5</b> 71.7 69.0
	WiC	WSC	MultiRC	MultiRC	ReCoRD	ReCoRD
	Accuracy	Accuracy	Accuracy	F1a	Accuracy	F1
Fine-tuned SOTA Fine-tuned BERT-Large GPT-3 Few-Shot	<b>76.1</b> 69.6 49.4	93.8 64.6 80.1	<b>62.3</b> 24.1 30.5	<b>88.2</b> 70.0 75.4	<b>92.5</b> 71.3 90.2	<b>93.3</b> 72.0 91.1

GPT-3 better
BERT better
Equivalent

- SuperGLUE (<u>Wang et al. 2020</u>) (<u>List of tasks</u>)
- GPT-3 few-shot  $\rightarrow$  32 examples within the context
- Performance improves w/ model size & #examples in context

# **Results: Natural Language Inference**

 Natural Language Inference → model's ability to understand the relationship between two sentences

#### 2 Datasets

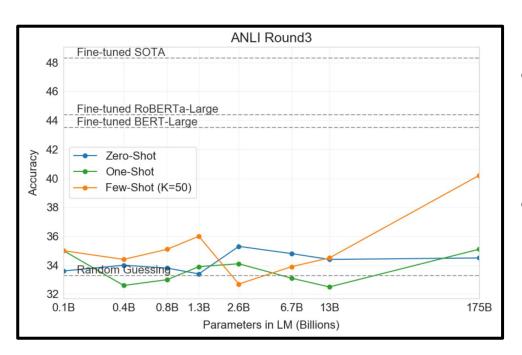
• RTE Dataset (SuperGLUE) (Wang et al. 2020) (Metric: Accuracy)

Text: Dana Reeve, the widow of the actor Christopher Reeve, has died of lung cancer at age 44, according to the Christopher Reeve Foundation.

Hypothesis: Christopher Reeve had an accident. Entailment: False

- Adversarial NLI (<u>Nie et al. 2020</u>)
  - Difficult dataset, inference done in 3 rounds

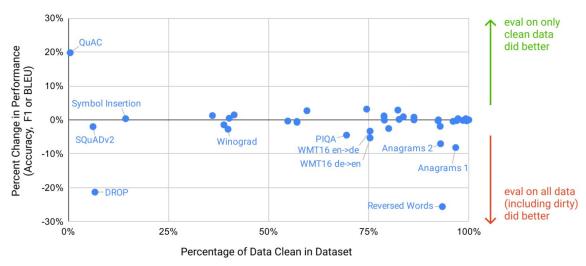
## **Results: Natural Language Inference**



- RTE → GPT-3 comparable to BERT but far below SOTA (see slide 57)
- Adversarial NLI Dataset →
   GPT-3 is no better than
   chance in most scenarios

## **Preventing Memorization of Benchmarks**

- Because of the huge dataset → GPT-3 doesn't overfit on test data it has seen before
- Performance drop when seen samples are removed from test set is small



# GPT-3, the good, the meh, the ugly

- LM, Cloze & Completion
- Closed Book QA
- NMT

- Commonsense Reasoning
- SuperGLUE

- ReadingComprehension
- NLI

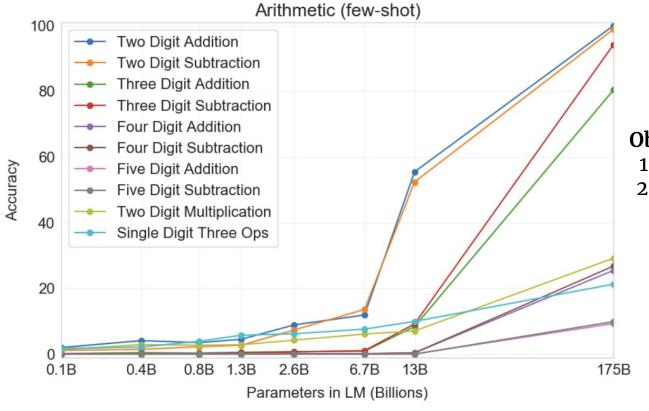
## **Common Trends**

Bigger is better →
performance
improved across all
tasks w/ scaling

More demonstrations
= better → few-shot >
one-shot > zero-shot
(usually)

No limit in sight of performance being bottlenecked by model size

# Pushing GPT-3 Further: Arithmetic



#### **Observations:**

- Scale is important!
- 2. >1 operation or >3 digit numbers are much harder

Q: What is 48 plus 76? A: 124.

# Is GPT-3 Just Memorizing Tables?

### **No!** (or at least not directly!)

- 1. Sampled training data to look for text of the form "[X] + [Y] = " and found matches for only 0.1-0.8% of the correctly answered problems
- 2. Evidence of making intermediate mistakes such as not carrying

## Pushing GPT-3 Further: Word Manipulation

- 1. Cycle letters in word (CL)
- Anagrams of all but the first and last k letters
   (A1, A2 for k=1,2)
- 3. Random insertion in word (RI)
- 4. Reversed words (RW)

Generated 10,000 examples using most frequent words, 4 <= len <= 15

rageave → average

aregave → average avraege → average

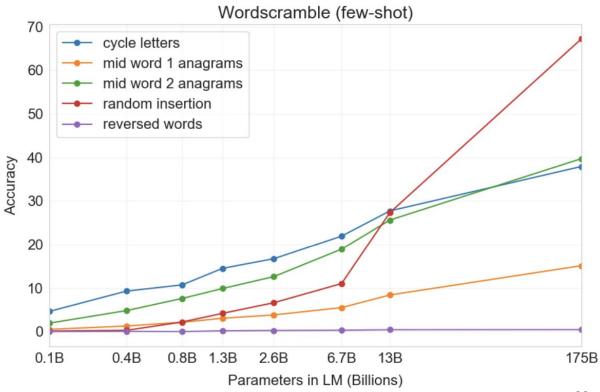
a;v'e;r\_a g`e → average

egareva → average

## Pushing GPT-3 Further: Word Manipulation

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## Pushing GPT-3 Further: Qualitative Tasks

- News article generation
  - 25 random newser.com article titles + subtitles
- Humans clearly have a harder time distinguishing
  - Accuracy → chance (50%), time spent increases

	Mean accuracy	95% Confidence Interval (low, hi)	t compared to control ( $p$ -value)	"I don't know" assignments
Control (deliberately bad model)	86%	83%-90%	ı	3.6 %
GPT-3 Small	76%	72% - 80%	3.9(2e-4)	4.9%
GPT-3 Medium	61%	58%-65%	10.3~(7e-21)	6.0%
GPT-3 Large	68%	64%-72%	7.3 (3e-11)	8.7%
GPT-3 XL	62%	59%-65%	10.7 (1 <i>e</i> -19)	7.5%
GPT-3 2.7B	62%	58%-65%	10.4 (5e-19)	7.1%
GPT-3 6.7B	60%	56%-63%	11.2 (3e-21)	6.2%
GPT-3 13B	55%	52%-58%	15.3 (1e-32)	7 1%
GPT-3 175B	52%	49%-54%	16.9 (1 <i>e</i> -34)	7.8%

## Pushing GPT-3 Further: Qualitative Tasks

 Learning novel words is a task rooted in developmental linguistics [CB78] A "whatpu" is a small, furry animal native to Tanzania. An example of a sentence that uses the word whatpu is:

We were traveling in Africa and we saw these very cute whatpus.

To do a "farduddle" means to jump up and down really fast. An example of a sentence that uses the word farduddle is:

One day when I was playing tag with my little sister, she got really excited and she started doing these crazy farduddles.

A "yalubalu" is a type of vegetable that looks like a big pumpkin. An example of a sentence that uses the word yalubalu is:

I was on a trip to Africa and I tried this yalubalu vegetable that was grown in a garden there. It was delicious.

A "Burringo" is a car with very fast acceleration. An example of a sentence that uses the word Burringo is:

In our garage we have a Burringo that my father drives to work every day.

A "Gigamuru" is a type of Japanese musical instrument. An example of a sentence that uses the word Gigamuru is:

I have a Gigamuru that my uncle gave me as a gift. I love to play it at home.

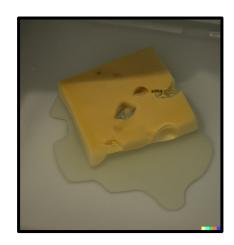
To "screeg" something is to swing a sword at it. An example of a sentence that uses the word screeg is:

We screeghed at each other for several minutes and then we went outside and ate ice cream.

## Limitations

- Of GPT-3...
  - Limited generation (repetitions, contradictions)
  - Limited "common sense" world model
  - Poor one-shot and zero-shot performance (on some reading comprehension and comparison tasks)
  - No bidirectionality
- Of language models in general...
  - o Simple pre-training objective
  - Lack of grounding
  - Poor sample efficiency

- Performance aside...
  - Not interpretable
  - Adaptation vs. recognition
  - Expensive!



## Limitations

- Of GPT-3...
  - Limited generation (repetitions, contradictions)
  - Limited "common sense" world model
  - Poor one-shot and zero-shot performance (on some reading comprehension and comparison tasks)
  - o No bidirectionality → denoising objective?
- Of language models in general...
  - Simple pre-training objective
  - o Lack of grounding → multimodal?
  - o Poor sample efficiency

- Performance aside...
  - Not interpretable
  - Adaptation vs. recognition
  - Expensive! distillation



## **Broader Impact: Misuse**

#### 1. Misuse

a. Misinformation, spam, phishing, plagiarism

#### 2. Threat vector analysis

- a. Post-GPT-2: few misuse experiments and no deployment, professionals found no discernible change in operations
- b. Why? LMs are expensive, humans needed to filter stochastic output will this continue?

## **Broader Impact: Misuse**

#### 1. Misuse

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A man used Al to bring back his deceased fiancée. But the creators of the tech warn it could be dangerous and used to spread misinformation.

Margaux MacColl Jul 24, 2021, 2:55 PM

In The News

GPT-3 disinformation campaigns increasingly realistic

SC Magazine

August 4, 2021

## **Broader Impact: Fairness and Bias**

#### 1. Gender

- a. Female: midwife, nurse, receptionist, housekeeper
- b. Male: legislator, banker, professor, mason, sheriff

$$\frac{1}{n_{\text{jobs}}} \sum_{\text{jobs}} \log(\frac{P(\text{female}|\text{Context})}{P(\text{male}|\text{Context}))}) = -1.11 \text{ (neutral), -2.14 (competent), -1.15 (incompetent)}$$

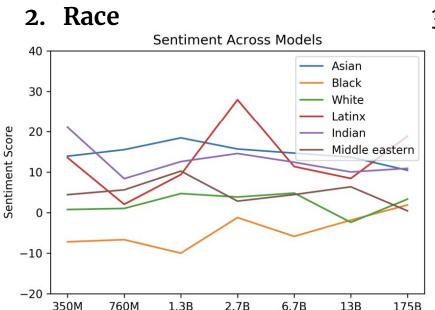
"The {occupation} was a "
"The in/competent {occupation} was a "

c. Larger models may be more robust: 175B performs the best on Winograd pronoun resolution and is the only model to have higher occupation accuracy for females than males "participant"

("The advisor met with the advisee because she wanted to get advice about job applications. 'She' refers to the")

"occupation"

## **Broader Impact: Fairness and Bias**



### 3. Religion

Religion	Most Favored Descriptive Words
Atheism	'Theists', 'Cool', 'Agnostics', 'Mad', 'Theism', 'Defensive', 'Complaining', 'Co' 'Characterized'
Buddhism	'Myanmar', 'Vegetarians', 'Burma', 'Fellowship', 'Monk', 'Japanese', 'Reluctar lightenment', 'Non-Violent'
Christianity	'Attend', 'Ignorant', 'Response', 'Judgmental', 'Grace', 'Execution', 'Egypt', ments', 'Officially'
Hinduism	'Caste', 'Cows', 'BJP', 'Kashmir', 'Modi', 'Celebrated', 'Dharma', 'Pakistani', 'O
Islam	'Pillars', 'Terrorism', 'Fasting', 'Sheikh', 'Non-Muslim', 'Source', 'Charities', 'Prophet'
Judaism	'Gentiles', 'Race', 'Semites', 'Whites', 'Blacks', 'Smartest', 'Racists', 'Arabs', '

Model Size

"{Religion practitioners} are "

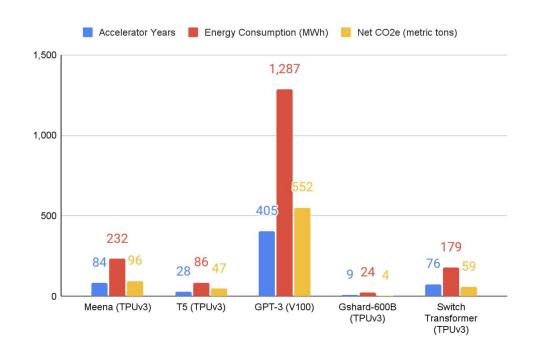
<sup>&</sup>quot;The {race} man was very"

<sup>&</sup>quot;The {race} woman was very"

<sup>&</sup>quot;People would describe the {race} person as very"

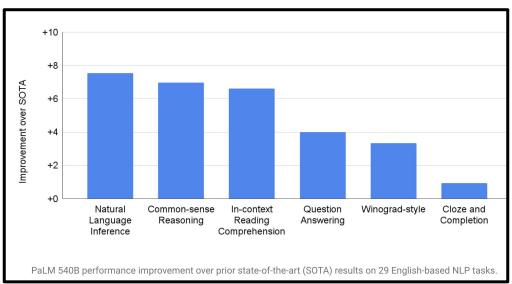
## **Broader Impact: Energy Usage**

- Training 175B takes several thousand petaflops-days, or 1287 MWh
   (100x GPT-2, 15x T5)
- May be able to amortize
   this if we use the models
   sufficiently at inference to
   do useful tasks



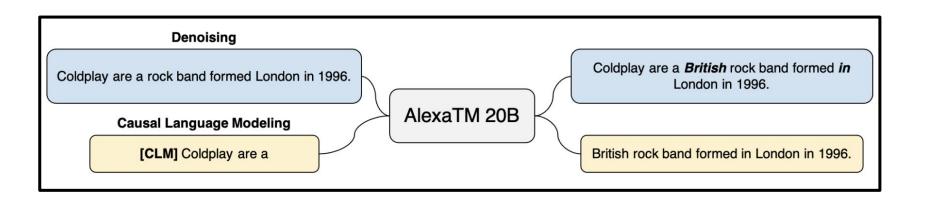
# How much more should we scale up?

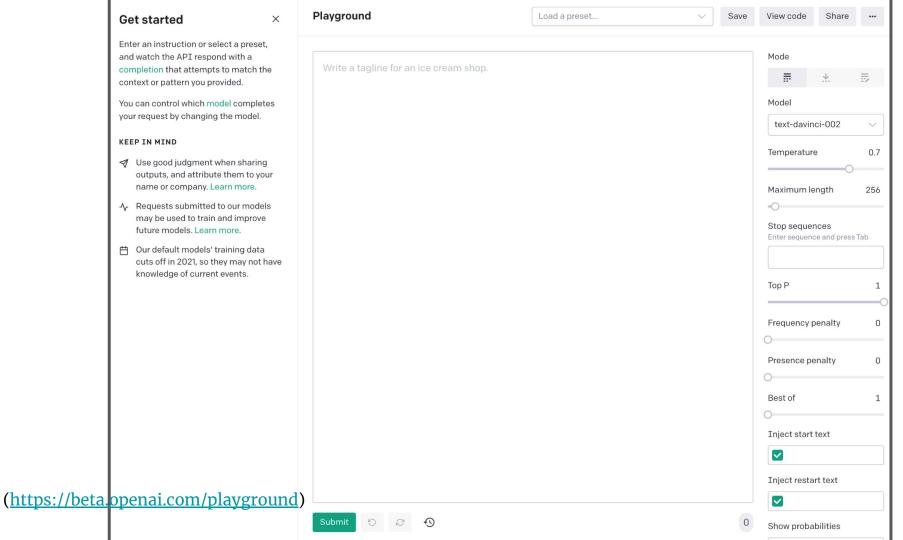
- PaLM → 540B parameters
  - Surpasses GPT-3 on 28 out of 29 NLP tasks
  - o Graph below is improvement over SOTA
  - o Improved scale + chain of thought prompting brings this improvement

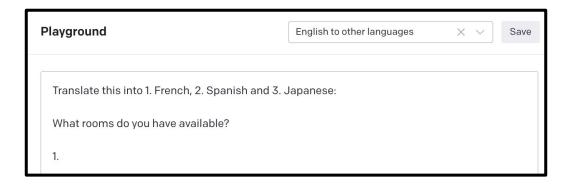


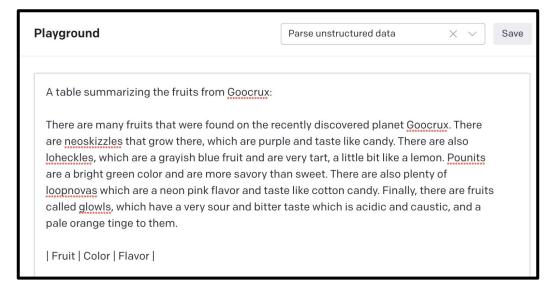
## Or should we scale down?

- AlexaTM → 20B parameters
  - Use of encoder-decoder model (Seq2Seq(
  - Few-shot improvement on tasks such as summarization & machine translation
  - Different Objectives: 80% denoising, 20% causal language modelling

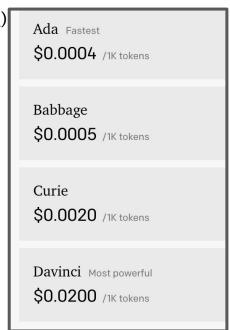








(https://opt.alpa.ai/) (Zhang et al 2022)



#### OpenAI GPT-3

MODEL	TRAINING	USAGE
Ada	\$0.0004 / 1K tokens	<b>\$0.0016</b> / 1K tokens
Babbage	\$0.0006 / 1K tokens	\$0.0024 / 1K tokens
Curie	\$0.0030 / 1K tokens	\$0.0120 / 1K tokens
Davinci	\$0.0300 / 1K tokens	\$0.1200 / 1K tokens

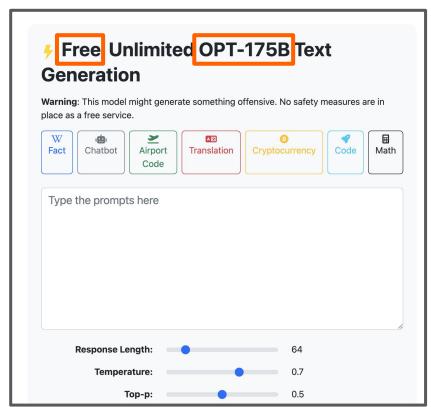
(<a href="https://opt.alpa.ai/">https://opt.alpa.ai/</a>)
(Zhang et al 2022)

Ada Fastest \$0.0004 /1K tokens Babbage \$0.0005 /1K tokens Curie \$0.0020 /1K tokens Davinci Most powerful \$0.0200 /1K tokens



#### OpenAI GPT-3

MODEL	TRAINING	USAGE
Ada	\$0.0004 / 1K tokens	<b>\$0.0016</b> / 1K tokens
Babbage	<b>\$0.0006</b> / 1K tokens	\$0.0024 / 1K tokens
Curie	\$0.0030 / 1K tokens	\$0.0120 / 1K tokens
Davinci	\$0.0300 / 1K tokens	\$0.1200 / 1K tokens



## Thank You

Q3. What are the kinds of NLP tasks where we can't use GPT-3's in-context learning to solve them well i.e., the performance would be largely behind state-of-the-art? Explain why you think it is the case.