Language Models are Few-Shot Learners (GPT-3)

Tom B. Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared Kaplan, Prafulla Dhariwal, Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, Sandhini Agarwal, Ariel Herbert-Voss, Gretchen Krueger, Tom Henighan, Rewon Child, Aditya Ramesh, Daniel M. Ziegler, Jeffrey Wu, Clemens Winter, Christopher Hesse, Mark Chen, Eric Sigler, Mateusz Litwin, Scott Gray, Benjamin Chess, Jack Clark, Christopher Berner, Sam McCandlish, Alec Radford, Ilya Sutskever, Dario Amodei

Presented by: Sabhya Chhabria & Michael Tang
Shifting Paradigms in NLP

Word Vectors + Task Specific Architectures → Multi layer RNNs

Pre-trained transformers + Fine-tuning → What next?
Shifting Paradigms in NLP

Word Vectors + Task Specific Architectures \rightarrow Multi layer RNNs

Pre-trained transformers + Fine-tuning \rightarrow What next?
Pre-training → Fine-Tuning

Source: Devlin et al. 2018
Limitations of Pre-training → Fine-Tuning (1)

Practical Issues

- Need large task-specific datasets for fine-tuning
- Collect data for task A → Fine-tune to solve task A → Repeat for task B
  → Repeat for task C → and so on ...
- End up with many “copies” of the same model
Limitations of Pre-training → Fine-Tuning (2)

Potential to exploit spurious correlations (Overfitting)

- Large models fine-tuned on very narrow task distributions
- Evidence suggests: models overfit to training distributions and don’t generalize well outside of it (Evidence: Hendricks et al. 2020, Yogatama et al. 2019, McCoy et al. 2019)
- Models are good on datasets, not so good at the underlying task (Gururangan et al. 2018, Niven et al. 2019)
Limitations of Pre-training → Fine-Tuning (3)

Humans don’t need large supervised datasets

- Humans can learn from simple directives
- Allows humans to mix and match skills + switch between tasks easily
- Hope is for NLP systems to one day function with the same fluidity!
Addressing These Limitations

1. Scaling up
2. “In Context-Learning”
LM Landscape pre GPT-3

Source: DistilBERT (Sanh et al.)
LM Landscape with GPT-3

Source: https://bmk.sh/2020/05/29/GPT-3-A-Brief-Summary/

175b params!
GPT-2 was 1.5b

340m params!
Why Scale?

- Study conducted by OpenAI → Scaling Laws for Neural Language Models (Kaplan et al. 2020)
- A few key findings:
  - Performance depends strongly on scale, weakly on model shape
  - Smooth power laws ($y = ax^k$) b/w empirical performance & N – parameters, D – dataset size, C – compute
  - Transfer improves with test performance
  - Larger models are more sample efficient
Bigger is Better!

Source: Kaplan et al.
Bigger is Better!

Source: Kaplan et al.
Addressing These Limitations

1. Scaling up
2. “In Context-Learning”

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# In-Context Learning

<table>
<thead>
<tr>
<th></th>
<th>No Prompt</th>
<th>Prompt</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Zero-shot (0s)</strong></td>
<td><strong>skicts = sticks</strong></td>
<td><strong>Please unscramble the letters into a word, and write that word:</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>1-shot (1s)</strong></td>
<td><strong>chiar = chair</strong></td>
<td><strong>Please unscramble the letters into a word, and write that word:</strong></td>
</tr>
<tr>
<td></td>
<td><strong>skicts = sticks</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Few-shot (FS)</strong></td>
<td><strong>chiar = chair</strong></td>
<td><strong>Please unscramble the letters into a word, and write that word:</strong></td>
</tr>
<tr>
<td></td>
<td><strong>[...]</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>pciinc = picnic</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>skicts = sticks</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
In-Context learning is Meta-Learning

Learning via SGD during unsupervised pre-training

outer loop

In-context learning

1. $5 + 8 = 13$
2. $7 + 2 = 9$
3. $1 + 8 = 1$
4. $3 + 4 = 7$
5. $5 + 9 = 14$
6. $9 + 8 = 17$

inner loop

sequence #1

1. gaot => goat
2. sakne => snake
3. brid => bird
4. fsih => fish
5. dcuk => duck
6. cmihp => chimp

sequence #2

1. thanks => merci
2. hello => bonjour
3. mint => menthe
4. wall => mur
5. otter => loutre
6. bread => pain

sequence #3
In-Context learning is Meta-Learning

Learning via SGD during unsupervised pre-training

inner loop

outer loop

In-context learning

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sequence #3
In-Context learning is Meta-Learning

“Learning how to learn”

- Model develops pattern recognition abilities while training, which it applies at test time
- “in-context learning” → using text input of a pre-trained LM as a form of task specification
- Seen in GPT-2 (Radford et al 2019):
  - Only 4% on Natural Questions
  - 55 F1 on CoQA was 35 points behind SOTA at time
- → We need something better
What to Pick?

1. Fine-tuning (FT)
   a. + Strongest performance
   b. - Need curated and labeled dataset for each new task (typically 1k-100k+ ex.)
   c. - Poor generalization, spurious feature exploitation

2. Few-shot (FS)
   a. + Much less task-specific data needed
   b. + No spurious feature exploitation
   c. - Challenging

3. One-shot (1S)
   a. + “Most natural,” e.g. giving humans instructions
   b. - Challenging

4. Zero-shot (0S)
   a. + Most convenient
   b. - Challenging, can be ambiguous

Stronger task-specific performance

More convenient, general, less data
The Prompting Zoo

CoQA (Reddy et al 2018)
Helsinki is the capital and largest city of Finland. It is in the region of Uusimaa, in southern Finland, on the shore of the Gulf of Finland. Helsinki has a population of , an urban population of , and a metropolitan population of over 1.4 million, making it the most populous municipality and urban area in Finland. [...] Helsinki has close historical connections with these three cities.

Q: what is the most populous municipality in Finland?
A: Helsinki
Q: what percent of the foreign companies that operate in Finland are in Helsinki?
A: 75%
Q: what towns are a part of the metropolitan area?
A: Helsinki, Espoo, Vantaa, Kauniainen, and surrounding commuter towns
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WSC (Liu et al 2020)
Final Exam with Answer Key
Instructions: Please carefully read the following passages. For each passage, you must identify which noun the pronoun marked in *bold* refers to.

Passage: Mr. Moncrieff visited Chester’s luxurious New York apartment, thinking that it belonged to his son Edward. The result was that Mr. Moncrieff has decided to cancel Edward’s allowance on the ground that he no longer requires *his* financial support.

Question: In the passage above, what does the pronoun "*his*" refer to?
Answer: mr. moncrieff
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Question: In the passage above, what does the pronoun "*his*" refer to?
Answer: mr. moncrieff

The City
BY C. P. CAVAFY
TRANSLATED BY EDMUND KEELEY
[...]

SOME TREES
John Ashbery
[...]

Shadows on the Way
Wallace Stevens
I must have shadows on the way
If I am to walk I must have
Each step taken slowly and alone
To have it ready made

And I must think in lines of grey
To have dim thoughts to be my guide
Must look on blue and green
And never let my eye forget
That color is my friend
And purple must surround me too
Larger Models Learn Better In-Context

![Graph showing the relationship between accuracy and number of examples in context for different model sizes.](image)

- **Zero-shot**
- **One-shot**
- **Few-shot**

- **Natural Language Prompt**
- **No Prompt**

**Accuracy (%)**

**Number of Examples in Context (K)**

- 175B Params
- 13B Params
- 1.3B Params
Larger Models Learn Better In-Context

prompt matters  prompt does not matter (much)
Q1. Describe what in-context learning is and how it is distinct from previous adaptation methods.

- In-context learning is the process of learning diverse skills and subtasks during the pre-training process that can be subsequently leveraged by prompting the model at inference time using natural language instructions and/or demonstrations (“shots”)
- Unlike fine-tuning, the model is only trained once for all downstream tasks
- Weights are frozen, NOT trained!
Quick Recap
Zooming In

Key difference: decoder uses masked self-attention

Image Sources: [https://jalammar.github.io/](https://jalammar.github.io/)
Decoder Only Architecture

- Proposed in *Generating Wikipedia By Summarizing Long Sequences* ([Liu et al. 2018](https://example.com))
- Gets **rid of second attention layer**

Image Sources: [https://jalammar.github.io/](https://jalammar.github.io/)
GPT-3 \rightarrow GPT-2

\begin{itemize}
  \item more layers & parameters
  \item bigger dataset
  \item longer training
  \item larger embeddings
  \item larger context window \rightarrow few-shot (whereas GPT-2 was zero-shot only)
\end{itemize}

Image Sources: [https://jalammar.github.io/](https://jalammar.github.io/)

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GPT-3 is MASSIVE!

- 96 decoder blocks (2x GPT-2)
- Context size: 2048 (2x GPT-2)
- Embedding size: 12288 (~8x GPT-2)
- Params: 175b (~117x GPT-2)

Image Sources: [https://jalammar.github.io/](https://jalammar.github.io/)
GPT-3 is MASSIVE!

<table>
<thead>
<tr>
<th>Model Name</th>
<th>$n_{\text{params}}$</th>
<th>$n_{\text{layers}}$</th>
<th>$d_{\text{model}}$</th>
<th>$n_{\text{heads}}$</th>
<th>$d_{\text{head}}$</th>
<th>Batch Size</th>
<th>Learning Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>GPT-3 Small</td>
<td>125M</td>
<td>12</td>
<td>768</td>
<td>12</td>
<td>64</td>
<td>0.5M</td>
<td>$6.0 \times 10^{-4}$</td>
</tr>
<tr>
<td>GPT-3 Medium</td>
<td>350M</td>
<td>24</td>
<td>1024</td>
<td>16</td>
<td>64</td>
<td>0.5M</td>
<td>$3.0 \times 10^{-4}$</td>
</tr>
<tr>
<td>GPT-3 Large</td>
<td>760M</td>
<td>24</td>
<td>1536</td>
<td>16</td>
<td>96</td>
<td>0.5M</td>
<td>$2.5 \times 10^{-4}$</td>
</tr>
<tr>
<td>GPT-3 XL</td>
<td>1.3B</td>
<td>24</td>
<td>2048</td>
<td>24</td>
<td>128</td>
<td>1M</td>
<td>$2.0 \times 10^{-4}$</td>
</tr>
<tr>
<td>GPT-3 2.7B</td>
<td>2.7B</td>
<td>32</td>
<td>2560</td>
<td>32</td>
<td>80</td>
<td>1M</td>
<td>$1.6 \times 10^{-4}$</td>
</tr>
<tr>
<td>GPT-3 6.7B</td>
<td>6.7B</td>
<td>32</td>
<td>4096</td>
<td>32</td>
<td>128</td>
<td>2M</td>
<td>$1.2 \times 10^{-4}$</td>
</tr>
<tr>
<td>GPT-3 13B</td>
<td>13.0B</td>
<td>40</td>
<td>5140</td>
<td>40</td>
<td>128</td>
<td>2M</td>
<td>$1.0 \times 10^{-4}$</td>
</tr>
<tr>
<td>GPT-3 175B or “GPT-3”</td>
<td>175.0B</td>
<td>96</td>
<td>12288</td>
<td>96</td>
<td>128</td>
<td>3.2M</td>
<td>$0.6 \times 10^{-4}$</td>
</tr>
</tbody>
</table>

- All models were trained on 300B tokens
- Follows power law argued in Kaplan et al.
- “GPT - 3" → GPT - 3 175B
Datasets

A more curated Common Crawl

1. Filtered based on similarity to well known corpora (45TB → 570GB)
2. Fuzzy deduplication on a document level
3. Augmented with well known corpora to increase diversity

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Quantity (tokens)</th>
<th>Weight in training mix</th>
<th>Epochs elapsed when training for 300B tokens</th>
</tr>
</thead>
<tbody>
<tr>
<td>Common Crawl (filtered)</td>
<td>410 billion</td>
<td>60%</td>
<td>0.44</td>
</tr>
<tr>
<td>WebText2</td>
<td>19 billion</td>
<td>22%</td>
<td>2.9</td>
</tr>
<tr>
<td>Books1</td>
<td>12 billion</td>
<td>8%</td>
<td>1.9</td>
</tr>
<tr>
<td>Books2</td>
<td>55 billion</td>
<td>8%</td>
<td>0.43</td>
</tr>
<tr>
<td>Wikipedia</td>
<td>3 billion</td>
<td>3%</td>
<td>3.4</td>
</tr>
</tbody>
</table>
Even OpenAI makes mistakes

- Wanted to **decontaminate the training data**
- By **removing the dev sets** of downstream task datasets
- **Bug in the code** → missed out on some overlaps :/
- Analysis later
Training Procedures

- Larger models $\rightarrow$ larger batch sizes & smaller LRs
- Model parallelism for each matrix multiply + across layers
- Adam optimizer
- Gradient clipping $\rightarrow$ 1.0
- Linear LR warm up $\rightarrow$ cosine decay
- Batch size increased gradually
- Weight decay $\rightarrow$ 0.1 for regularization
Evaluation Procedures

- **K-shot learning**
  - Uniformly random K examples from the training set (or dev set if needed)
  - Sometimes **normalize by unconditional probability** of each completion:
    \[
    \frac{P(\text{“A: Red”} \mid \text{“Q: Roses are _”})}{P(\text{“A:”} \mid \text{“Q: Roses are _”})}
    \]
  - Larger K → not always better!

- **Semantic classes**
  - e.g. “True” instead of “1”

- **Beam search for free-form completion**
  - Beam width 4, length penalty 0.6
Results

All 8 GPT-3 models → evaluated on datasets across 9 categories:

1. Traditional LM based
2. Closed book QA
3. Translation
4. Winograd-Schema
5. Commonsense Reasoning and Question Answering
6. Reading Comprehension
7. SuperGLUE
8. Natural Language Inference
9. Additional tasks to probe “in-context learning”
Results: **Language Modelling**

**Language Modelling** *(Metric: Perplexity)*

- Zero-shot perplexity on Penn Tree Bank *(Marcus et al. 1993)*
- PTB → only compatible w/ zero-shot setting
- PTB → 2499 stories from WSJ
- predates the modern internet → not in training corpora
- **New SOTA on PTB by 15 points with a perplexity of 20.5**
Results: Cloze & Completion

**LAMBADA** (Metric: Accuracy) ([Paperno et al. 2016](#))

- **L**anguage **M**odeling **B**roadened to **A**ccount for **D**iscourse **A**spects
- Predict last word after context → long range dependencies
- Task framed as a cloze-test, eg:
  - Alice was friends with Bob. Alice went to visit her friend ___. → Bob
  - George bought some baseball equipment, a ball, a glove, and a ___. →
Results: Cloze & Completion

- GPT-3 achieves accuracy of 86.4% in few-shot setting
- 18% increase from previous SOTA
**Results: Cloze & Completion**

**HellaSwag (Metric: Accuracy) ([Zellers et al. 2019](#))**

- Pick best ending to story / set of instructions

<table>
<thead>
<tr>
<th>How to catch dragonflies. Use a long-handed aerial net with a wide opening. Select an aerial net that is 18 inches (46 cm) in diameter or larger. Look for one with a nice long handle.</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Loop 1 piece of ribbon over the handle. Place the hose or hose on your net and tie the string securely.</td>
</tr>
</tbody>
</table>

- **GPT-3:** 78.9% (0-shot) | 78.1% (1-shot) | 79.3% (few-shot)
- **Worse than SOTA → ALUM model (85.6%)** ([Liu et al. 2020](#))
Results: Cloze & Completion

**StoryCloze** (Metric: Accuracy) ([Mostafazadeh et al. 2016](https://example.com))

- Choose correct ending for a five-sentence story

<table>
<thead>
<tr>
<th>Context</th>
<th>Right Ending</th>
<th>Wrong Ending</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tom and Sheryl have been together for two years. One day, they went to a carnival together. He won her several stuffed bears, and bought her funnel cakes. When they reached the Ferris wheel, he got down on one knee.</td>
<td>Tom asked Sheryl to marry him.</td>
<td>He wiped mud off of his boot.</td>
</tr>
</tbody>
</table>

- GPT-3: 83.2% (0-shot) | 84.7.1% (1-shot), 87.7% (few-shot)
- Worse than SOTA (91.8%) → BERT based model ([Li et al. 2019](https://example.com))
Results: **Closed Book QA**

- LM answers questions w/o conditioning on auxiliary information.
- 3 Datasets (Metrics: *Exact Match + F1*)
  - **Natural Questions** ([Kwiatkowski et al. 2019](#))
    - Eg: “how many episodes in season 2 breaking bad?”
  - **Web Questions** ([Berant et al.] 2013)
    - Eg: “Where did Edgar Allan Poe die?”
  - **TriviaQA** ([Joshi et al.] 2017)
    - Eg: “Miami Beach in Florida borders which ocean?”
### Results: Closed Book QA

<table>
<thead>
<tr>
<th></th>
<th>Natural Questions</th>
<th>Web Questions</th>
<th>TriviaQA</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>0-shot</strong></td>
<td>14.6%</td>
<td>14.4%</td>
<td>64.3%</td>
</tr>
<tr>
<td><strong>1-shot</strong></td>
<td>23%</td>
<td>25.3%</td>
<td>68% (SOTA)</td>
</tr>
<tr>
<td><strong>Few-shot</strong></td>
<td>29.9%</td>
<td>41.5%</td>
<td>71.2% (even better)</td>
</tr>
<tr>
<td><strong>Competitor</strong></td>
<td>T5-11B SSM (36.6%)</td>
<td>TT5-11B SSM (44.7%)</td>
<td>SOTA!</td>
</tr>
</tbody>
</table>
Results: Translation Task

Q2. How does GPT–3 handle non–English data? Why do you think it works on translation?

- 7% of training data is from other languages ([stats](#))
- Existing NMT frameworks: pre-training on a pair of monolingual datasets with back-translation
- GPT–3 learns from a mix of data that is blended in a natural way, combined on a word, sentence, and document level
- Metric: BLEU score
Results: **Translation Task**

<table>
<thead>
<tr>
<th>Setting</th>
<th>En→Fr</th>
<th>Fr→En</th>
<th>En→De</th>
<th>De→En</th>
<th>En→Ro</th>
<th>Ro→En</th>
</tr>
</thead>
<tbody>
<tr>
<td>SOTA (Supervised)</td>
<td>45.6&lt;sup&gt;a&lt;/sup&gt;</td>
<td>35.0&lt;sup&gt;b&lt;/sup&gt;</td>
<td>41.2&lt;sup&gt;c&lt;/sup&gt;</td>
<td>40.2&lt;sup&gt;d&lt;/sup&gt;</td>
<td>38.5&lt;sup&gt;e&lt;/sup&gt;</td>
<td>39.9&lt;sup&gt;e&lt;/sup&gt;</td>
</tr>
<tr>
<td>XLM [LC19]</td>
<td>33.4</td>
<td>33.3</td>
<td>26.4</td>
<td>34.3</td>
<td>33.3</td>
<td>31.8</td>
</tr>
<tr>
<td>MASS [STQ+19]</td>
<td>37.5</td>
<td>34.9</td>
<td>28.3</td>
<td>35.2</td>
<td>35.2</td>
<td>33.1</td>
</tr>
<tr>
<td>mBART [LGG+20]</td>
<td>-</td>
<td>-</td>
<td>29.8</td>
<td>34.0</td>
<td>35.0</td>
<td>30.5</td>
</tr>
<tr>
<td>GPT-3 Zero-Shot</td>
<td>25.2</td>
<td>21.2</td>
<td>24.6</td>
<td>27.2</td>
<td>14.1</td>
<td>19.9</td>
</tr>
<tr>
<td>GPT-3 One-Shot</td>
<td>28.3</td>
<td>33.7</td>
<td>26.2</td>
<td>30.4</td>
<td>20.6</td>
<td>38.6</td>
</tr>
<tr>
<td>GPT-3 Few-Shot</td>
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<td>39.2</td>
<td>29.7</td>
<td>40.6</td>
<td>21.0</td>
<td>39.5</td>
</tr>
</tbody>
</table>

- Few-shot GPT-3 is > unsupervised NMT work by 5 BLEU when translating into English
- Not good as supervised SOTA
- Performance improves when model is scaled up
- Translation into English > from English
Results: Winograd-Style Tasks

Winograd Schemas Challenge (Metric: Accuracy) (Levesque et al. 2012)

- Reading comprehension test
- Which word a pronoun refers to
- Eg. The trophy doesn’t fit in the brown suitcase because it’s too big.
  What is too big? Options: 0 → the trophy | 1 → the suitcase

WinoGrande Schemas Challenge (Sakaguchi et al. 2019)

- Greater scale + hardness
- Eg. ✓ Robert woke up at 9:00am while Samuel woke up at 6:00am, so he had less time to get ready for school. Robert / Samuel
  Robert woke up at 9:00am while Samuel woke up at 6:00am, so he had more time to get ready for school. Robert / Samuel
## Results: Winograd-Style Tasks

<table>
<thead>
<tr>
<th>Setting</th>
<th>Winograd</th>
<th>Winogrande (XL)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fine-tuned SOTA</td>
<td>90.1(^a)</td>
<td>84.6(^b)</td>
</tr>
<tr>
<td>GPT-3 Zero-Shot</td>
<td>88.3*</td>
<td>70.2</td>
</tr>
<tr>
<td>GPT-3 One-Shot</td>
<td>89.7*</td>
<td>73.2</td>
</tr>
<tr>
<td>GPT-3 Few-Shot</td>
<td>88.6*</td>
<td>77.7</td>
</tr>
</tbody>
</table>

- GPT-3 comes close to SOTA for Winograd
- GPT-3 much lower than SOTA for WinoGrande
- \(^a\) \(\rightarrow 45\%\) of test-set in training, clean subset \(\rightarrow \downarrow 2.6\%\)
Results: **Common Sense Reasoning**

3 Datasets (Metrics: **Accuracy**):

1. **PIQA** ([Bisk et al. 2019](#))
   - Physical QA, eg →

2. **ARC** ([Clark et al. 2018](#))
   - MCQs from 3rd - 9th grade science exams
   - Challenge → questions harder for statistical / info retrieval methods

<table>
<thead>
<tr>
<th>Teleology / Purpose</th>
<th>What is the main function of the circulatory system? (1) secrete enzymes (2) digest proteins (3) produce hormones (4) transport materials</th>
</tr>
</thead>
</table>
3. **OpenBookQA** ([Mihaylov et al. 2018](#))
   - Modeled after open book exams
Results: Common Sense Reasoning

<table>
<thead>
<tr>
<th>Setting</th>
<th>PIQA</th>
<th>ARC (Easy)</th>
<th>ARC (Challenge)</th>
<th>OpenBookQA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fine-tuned SOTA</td>
<td>79.4</td>
<td><strong>92.0</strong>[^KKS+20]</td>
<td><strong>78.5</strong>[^KKS+20]</td>
<td><strong>87.2</strong>[^KKS+20]</td>
</tr>
<tr>
<td>GPT-3 Zero-Shot</td>
<td><strong>80.5</strong>[^*]</td>
<td>68.8</td>
<td>51.4</td>
<td>57.6</td>
</tr>
<tr>
<td>GPT-3 One-Shot</td>
<td><strong>80.5</strong>[^*]</td>
<td>71.2</td>
<td>53.2</td>
<td>58.8</td>
</tr>
<tr>
<td>GPT-3 Few-Shot</td>
<td><strong>82.8</strong>[^*]</td>
<td>70.1</td>
<td>51.5</td>
<td>65.4</td>
</tr>
</tbody>
</table>

- **New SOTA on PIQA**
- **Much worse than SOTA** on ARC and OpenBookQA
- * → 29% of PIQA test-set seen at training, clean subset → ↓3%
Results: **Reading Comprehension**

5 Datasets (Metrics: F1, RACE: Accuracy)

- **CoQA** (*Reddy et al. 2019*)
  - Conversational QA dataset
    
    Jessica went to sit in her rocking chair. Today was her birthday and she was turning 80. Her granddaughter Annie was coming over in the afternoon and Jessica was very excited to see her. Her daughter Melanie and Melanie’s husband Josh were coming as well. Jessica had . . .

    Q1: Who had a birthday?
    A1: Jessica
    R1: Jessica went to sit in her rocking chair. Today was her birthday and she was turning 80.

    Q2: How old would she be?
    A2: 80
    R2: she was turning 80

    Q3: Did she plan to have any visitors?
    A3: Yes
    R3: Her granddaughter Annie was coming over

- **QuAC** (*Choi et al. 2018*)
  - QA in Context
    
    **Section:** 🦃 Daffy Duck, Origin & History

    **STUDENT:** What is the origin of Daffy Duck?
    **TEACHER:** → first appeared in Porky’s Duck Hunt
    **STUDENT:** What was he like in that episode?
    **TEACHER:** → assertive, unrestrained, combative
    **STUDENT:** Was he the star?
    **TEACHER:** → No, barely more than an unnamed bit player in this short
    **STUDENT:** Who was the star?
    **TEACHER:** ↔ No answer
    **STUDENT:** Did he change a lot from that first episode in future episodes?
    **TEACHER:** → Yes, the only aspects of the character that have remained consistent (…) are his voice characterization by Mel Blanc.
Results: Reading Comprehension

- **DROP** (*Dua et al. 2019*)
  - Discrete Reasoning Over the content of Paragraphs

<table>
<thead>
<tr>
<th>Reasoning</th>
<th>Passage (some parts shortened)</th>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Subtraction (31.2%)</td>
<td>That year, his <em>Untitled (1981)</em>, a painting of a haloed, black-headed man with a bright red skeletal body, depicted amid the artists signature scrawls, was <strong>sold by Robert Lehrman</strong> for <strong>$16.3 million</strong>, well above its <strong>$12 million high estimate</strong>.</td>
<td>How many more dollars was the Untitled (1981) painting sold for than the 12 million dollar estimation?</td>
<td>4300000</td>
</tr>
</tbody>
</table>

- **RACE** (*Lai et al. 2017*)
  - ReAding Comprehension Dataset From Examinations
  - Large scale → very long context

- **SQuADv2** (*Rajpurkar et al. 2018*)
Results: Reading Comprehension

<table>
<thead>
<tr>
<th>Setting</th>
<th>CoQA</th>
<th>DROP</th>
<th>QuAC</th>
<th>SQuADv2</th>
<th>RACE-h</th>
<th>RACE-m</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fine-tuned SOTA</td>
<td>90.7$^a$</td>
<td>89.1$^b$</td>
<td>74.4$^c$</td>
<td>93.0$^d$</td>
<td>90.0$^e$</td>
<td>93.1$^e$</td>
</tr>
<tr>
<td>GPT-3 Zero-Shot</td>
<td>81.5</td>
<td>23.6</td>
<td>41.5</td>
<td>59.5</td>
<td>45.5</td>
<td>58.4</td>
</tr>
<tr>
<td>GPT-3 One-Shot</td>
<td>84.0</td>
<td>34.3</td>
<td>43.3</td>
<td>65.4</td>
<td>45.9</td>
<td>57.4</td>
</tr>
<tr>
<td>GPT-3 Few-Shot</td>
<td>85.0</td>
<td>36.5</td>
<td>44.3</td>
<td>69.8</td>
<td>46.8</td>
<td>58.1</td>
</tr>
</tbody>
</table>

- GPT-3 is decent on CoQA
- Much worse than SOTA on DROP and QuAC, SQuADv2 & RACE
### Results: SuperGLUE

<table>
<thead>
<tr>
<th></th>
<th>SuperGLUE Average</th>
<th>BoolQ Accuracy</th>
<th>CB Accuracy</th>
<th>CB F1</th>
<th>COPA Accuracy</th>
<th>RTE Accuracy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fine-tuned SOTA</td>
<td>89.0</td>
<td>91.0</td>
<td>96.9</td>
<td>93.9</td>
<td>94.8</td>
<td>92.5</td>
</tr>
<tr>
<td>Fine-tuned BERT-Large</td>
<td>69.0</td>
<td>77.4</td>
<td>83.6</td>
<td>75.7</td>
<td>70.6</td>
<td>71.7</td>
</tr>
<tr>
<td>GPT-3 Few-Shot</td>
<td>71.8</td>
<td>76.4</td>
<td>75.6</td>
<td>52.0</td>
<td>92.0</td>
<td>69.0</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>WiC Accuracy</th>
<th>WSC Accuracy</th>
<th>MultiRC Accuracy</th>
<th>MultiRC F1a</th>
<th>ReCoRD Accuracy</th>
<th>ReCoRD F1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fine-tuned SOTA</td>
<td>76.1</td>
<td>93.8</td>
<td>62.3</td>
<td>88.2</td>
<td>92.5</td>
<td>93.3</td>
</tr>
<tr>
<td>Fine-tuned BERT-Large</td>
<td>69.6</td>
<td>64.6</td>
<td>24.1</td>
<td>70.0</td>
<td>71.3</td>
<td>72.0</td>
</tr>
<tr>
<td>GPT-3 Few-Shot</td>
<td>49.4</td>
<td>80.1</td>
<td>30.5</td>
<td>75.4</td>
<td>90.2</td>
<td>91.1</td>
</tr>
</tbody>
</table>

- SuperGLUE ([Wang et al. 2020](#)) ([List of tasks](#))
- GPT-3 few-shot → 32 examples within the context
- Performance improves w/ model size & #examples in context
Results: Natural Language Inference

- Natural Language Inference → model’s ability to understand the relationship between two sentences

2 Datasets

- **RTE Dataset (SuperGLUE)** ([Wang et al. 2020](#)) *(Metric: Accuracy)*
  
  | RTE Text: Dana Reeve, the widow of the actor Christopher Reeve, has died of lung cancer at age 44, according to the Christopher Reeve Foundation. | Hypothesis: Christopher Reeve had an accident. | Entailment: False |

- **Adversarial NLI** ([Nie et al. 2020](#))
  
  - Difficult dataset, inference done in 3 rounds
Results: **Natural Language Inference**

- RTE $\rightarrow$ GPT-3 comparable to BERT but far below SOTA (see slide 57)
- Adversarial NLI Dataset $\rightarrow$ GPT-3 is no better than chance in most scenarios
Preventing Memorization of Benchmarks

- Because of the huge dataset → GPT-3 doesn’t overfit on test data it has seen before
- Performance drop when seen samples are removed from test set is small
GPT-3, the good, the meh, the ugly

- LM, Cloze & Completion
- Closed Book QA
- NMT

- Commonsense Reasoning
- SuperGLUE

- Reading Comprehension
- NLI
Common Trends

<table>
<thead>
<tr>
<th>Bigger is better</th>
<th>More demonstrations</th>
<th>No limit in sight</th>
</tr>
</thead>
<tbody>
<tr>
<td>performance</td>
<td>= better</td>
<td>of performance</td>
</tr>
<tr>
<td>improved across</td>
<td>few-shot</td>
<td>being bottlenecked</td>
</tr>
<tr>
<td>all tasks w/</td>
<td>one-shot</td>
<td>by model size</td>
</tr>
<tr>
<td>scaling</td>
<td>zero-shot</td>
<td>(usually)</td>
</tr>
</tbody>
</table>


Pushing GPT-3 Further: **Arithmetic**

**Observations:**
1. Scale is important!
2. >1 operation or >3 digit numbers are much harder

**Q:** What is 48 plus 76?  
**A:** 124.
Is GPT-3 Just Memorizing Tables?

No! (or at least not directly!)

1. Sampled training data to look for text of the form “[X] + [Y] = ” and found matches for only 0.1–0.8% of the correctly answered problems
2. Evidence of making intermediate mistakes such as not carrying
Pushing GPT-3 Further: Word Manipulation

1. Cycle letters in word (CL)  
   rageave \(\rightarrow\) average

2. Anagrams of all but the first and last k letters  
   (A1, A2 for k=1,2)  
   aregave \(\rightarrow\) average
   avraege \(\rightarrow\) average

3. Random insertion in word (RI)  
   a;v’e;r\_a g`e \(\rightarrow\) average

4. Reversed words (RW)  
   egareva \(\rightarrow\) average

Generated 10,000 examples using most frequent words,  
4 \(\leq\) len \(\leq\) 15
Pushing GPT-3 Further: **Word Manipulation**

1. Cycle letters in word (CL)
2. Anagrams of all but the first and last k letters (A1, A2 for k=1,2)
3. Random insertion in word (RI)
4. Reversed words (RW)

Generated 10,000 examples using most frequent words, 4 <= len <= 15
### Pushing GPT-3 Further: Qualitative Tasks

- **News article generation**
  - 25 random newser.com article titles + subtitles
- **Humans clearly have a harder time distinguishing**
  - Accuracy → chance (50%), time spent increases

<table>
<thead>
<tr>
<th>Model</th>
<th>Mean accuracy</th>
<th>95% Confidence Interval (low, hi)</th>
<th>( t ) compared to control (( p )-value)</th>
<th>“I don’t know” assignments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Control (deliberately bad model)</td>
<td>86%</td>
<td>83%–90%</td>
<td>-</td>
<td>3.6 %</td>
</tr>
<tr>
<td>GPT-3 Small</td>
<td>76%</td>
<td>72%–80%</td>
<td>3.9 (2e-4)</td>
<td>4.9%</td>
</tr>
<tr>
<td>GPT-3 Medium</td>
<td>61%</td>
<td>58%–65%</td>
<td>10.3 (7e-21)</td>
<td>6.0%</td>
</tr>
<tr>
<td>GPT-3 Large</td>
<td>68%</td>
<td>64%–72%</td>
<td>7.3 (3e-11)</td>
<td>8.7%</td>
</tr>
<tr>
<td>GPT-3 XL</td>
<td>62%</td>
<td>59%–65%</td>
<td>10.7 (1e-19)</td>
<td>7.5%</td>
</tr>
<tr>
<td>GPT-3 2.7B</td>
<td>62%</td>
<td>58%–65%</td>
<td>10.4 (5e-19)</td>
<td>7.1%</td>
</tr>
<tr>
<td>GPT-3 6.7B</td>
<td>60%</td>
<td>56%–63%</td>
<td>11.2 (3e-21)</td>
<td>6.2%</td>
</tr>
<tr>
<td>GPT-3 13B</td>
<td>55%</td>
<td>52%–58%</td>
<td>15.3 (1e-32)</td>
<td>7.1%</td>
</tr>
<tr>
<td>GPT-3 175B</td>
<td>52%</td>
<td>49%–54%</td>
<td>16.9 (1e-34)</td>
<td>7.8%</td>
</tr>
</tbody>
</table>
Pushing GPT-3 Further: Qualitative Tasks

- Learning novel words is a task rooted in developmental linguistics [CB78]

A "whatpu" is a small, furry animal native to Tanzania. An example of a sentence that uses the word whatpu is:
We were traveling in Africa and we saw these very cute whatpus.

To do a "farduddle" means to jump up and down really fast. An example of a sentence that uses the word farduddle is:
One day when I was playing tag with my little sister, she got really excited and she started doing these crazy farduddles.
A "yalubalu" is a type of vegetable that looks like a big pumpkin. An example of a sentence that uses the word yalubalu is:
I was on a trip to Africa and I tried this yalubalu vegetable that was grown in a garden there. It was delicious.

A "Burringo" is a car with very fast acceleration. An example of a sentence that uses the word Burringo is:
In our garage we have a Burringo that my father drives to work every day.

A "Gigamuru" is a type of Japanese musical instrument. An example of a sentence that uses the word Gigamuru is:
I have a Gigamuru that my uncle gave me as a gift. I love to play it at home.

To "screeg" something is to swing a sword at it. An example of a sentence that uses the word screeg is:
We screeged at each other for several minutes and then we went outside and ate ice cream.
Limitations

● Of GPT-3...
  ○ Limited generation (repetitions, contradictions)
  ○ Limited “common sense” world model
  ○ Poor one-shot and zero-shot performance
    (on some reading comprehension and comparison tasks)
  ○ No bidirectionality

● Of language models in general...
  ○ Simple pre-training objective
  ○ Lack of grounding
  ○ Poor sample efficiency

● Performance aside...
  ○ Not interpretable
  ○ Adaptation vs. recognition
  ○ Expensive!
Limitations

- **Of GPT-3...**
  - Limited *generation* (repetitions, contradictions)
  - Limited “common sense” world model
  - Poor *one-shot and zero-shot* performance
    (on some reading comprehension and comparison tasks)
  - No *bidirectionality* → *denoising objective?*

- **Of language models in general...**
  - Simple pre-training objective
  - Lack of grounding → *multimodal?*
  - Poor sample efficiency

- **Performance aside...**
  - Not interpretable
  - Adaptation vs. recognition
  - Expensive!
    → *distillation*
Broader Impact: Misuse

1. Misuse
   a. Misinformation, spam, phishing, plagiarism

2. Threat vector analysis
   a. Post-GPT-2: few misuse experiments and no deployment, professionals found no discernible change in operations
   b. Why? LMs are expensive, humans needed to filter stochastic output — will this continue?
Broader Impact: Misuse

1. Misuse
   a. Misinformation, spam, phishing, plagiarism

2. Threat vector analysis
   a. Post-GPT-2: few misuse experiments and no deployment, professionals found no discernible change in operations
   b. Why? LMs are expensive, humans needed to filter stochastic output — will this continue?

[Link to article](https://www.businessinsider.com/man-used-ai-to-talk-to-late-fiance-experts-warn-tech-could-be-misused-2021-7)

[Link to article](https://cset.georgetown.edu/article/gpt-3-disinformation-campaigns-increasingly-realistic)
Broader Impact: Fairness and Bias

1. Gender
   a. Female: midwife, nurse, receptionist, housekeeper
   b. Male: legislator, banker, professor, mason, sheriff

\[
\frac{1}{n_{\text{jobs}}} \sum_{\text{jobs}} \log \left( \frac{P(\text{female}|\text{Context})}{P(\text{male}|\text{Context})} \right) = -1.11 \text{ (neutral), } -2.14 \text{ (competent), } -1.15 \text{ (incompetent)}
\]

“The {occupation} was a ”
“The in/competent {occupation} was a ”

c. Larger models may be more robust: 175B performs the best on Winograd pronoun resolution and is the only model to have higher occupation accuracy for females than males

   “participant”
   (“The advisor met with the advisee because she wanted to get advice about job applications. ‘She’ refers to the”)

   “occupation”
Broader Impact: Fairness and Bias

2. Race

3. Religion

<table>
<thead>
<tr>
<th>Religion</th>
<th>Most Favored Descriptive Words</th>
</tr>
</thead>
</table>

"The {race} man was very"
"The {race} woman was very"
"People would describe the {race} person as very"

"{Religion practitioners} are "
Broader Impact: Energy Usage

- Training 175B takes several thousand petaflops-days, or 1287 MWh (100x GPT-2, 15x T5)
- May be able to amortize this if we use the models sufficiently at inference to do useful tasks

(Patterson et al 2021)
How much more should we scale up?

- **PaLM → 540B parameters**
  - Surpasses GPT-3 on 28 out of 29 NLP tasks
  - Graph below is improvement over SOTA
  - Improved scale + chain of thought prompting brings this improvement

![Graph showing performance improvement over SOTA for various NLP tasks](image-url)
Or should we scale down?

- AlexaTM → 20B parameters
  - Use of encoder-decoder model (Seq2Seq)
  - Few-shot improvement on tasks such as summarization & machine translation
  - Different Objectives: 80% denoising, 20% causal language modelling
Get started

Enter an instruction or select a preset, and watch the API respond with a completion that attempts to match the context or pattern you provided.

You can control which model completes your request by changing the model.

KEEP IN MIND

✔️ Use good judgment when sharing outputs, and attribute them to your name or company. Learn more.

✔️ Requests submitted to our models may be used to train and improve future models. Learn more.

✉️ Our default models’ training data cuts off in 2021, so they may not have knowledge of current events.

(https://beta.openai.com/playground)
Translate this into 1. French, 2. Spanish and 3. Japanese:

What rooms do you have available?

1.

A table summarizing the fruits from Gourcrux:

There are many fruits that were found on the recently discovered planet Gourcrux. There are neoskizzles that grow there, which are purple and taste like candy. There are also loheckles, which are a grayish blue fruit and are very tart, a little bit like a lemon. Pounits are a bright green color and are more savory than sweet. There are also plenty of loonovas which are a neon pink flavor and taste like cotton candy. Finally, there are fruits called glowls, which have a very sour and bitter taste which is acidic and caustic, and a pale orange tinge to them.

| Fruit | Color | Flavor |

(https://beta.openai.com/playground)
<table>
<thead>
<tr>
<th>MODEL</th>
<th>TRAINING</th>
<th>USAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ada</td>
<td>$0.0004/1K tokens</td>
<td>$0.0016/1K tokens</td>
</tr>
<tr>
<td>Babbage</td>
<td>$0.0005/1K tokens</td>
<td>$0.0024/1K tokens</td>
</tr>
<tr>
<td>Curie</td>
<td>$0.0020/1K tokens</td>
<td>$0.0120/1K tokens</td>
</tr>
<tr>
<td>Davinci</td>
<td>$0.0200/1K tokens</td>
<td>$0.1200/1K tokens</td>
</tr>
</tbody>
</table>
OpenAI GPT-3

<table>
<thead>
<tr>
<th>Model</th>
<th>Training</th>
<th>Usage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ada</td>
<td>$0.0004  / 1K tokens</td>
<td>$0.0016 / 1K tokens</td>
</tr>
<tr>
<td>Babbage</td>
<td>$0.0006  / 1K tokens</td>
<td>$0.0024 / 1K tokens</td>
</tr>
<tr>
<td>Curie</td>
<td>$0.0030  / 1K tokens</td>
<td>$0.0120 / 1K tokens</td>
</tr>
<tr>
<td>Davinci</td>
<td>$0.0300  / 1K tokens</td>
<td>$0.1200 / 1K tokens</td>
</tr>
</tbody>
</table>

Free Unlimited OPT-175B Text Generation

Warning: This model might generate something offensive. No safety measures are in place as a free service.

Type the prompts here

- Response Length: 64
- Temperature: 0.7
- Top-p: 0.5
Thank You

Q3. What are the kinds of NLP tasks where we can’t use GPT-3’s in-context learning to solve them well i.e., the performance would be largely behind state-of-the-art? Explain why you think it is the case.