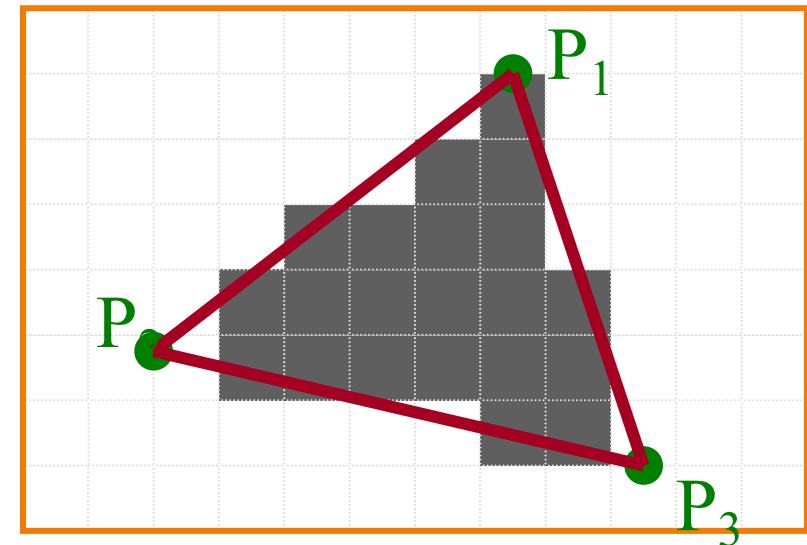
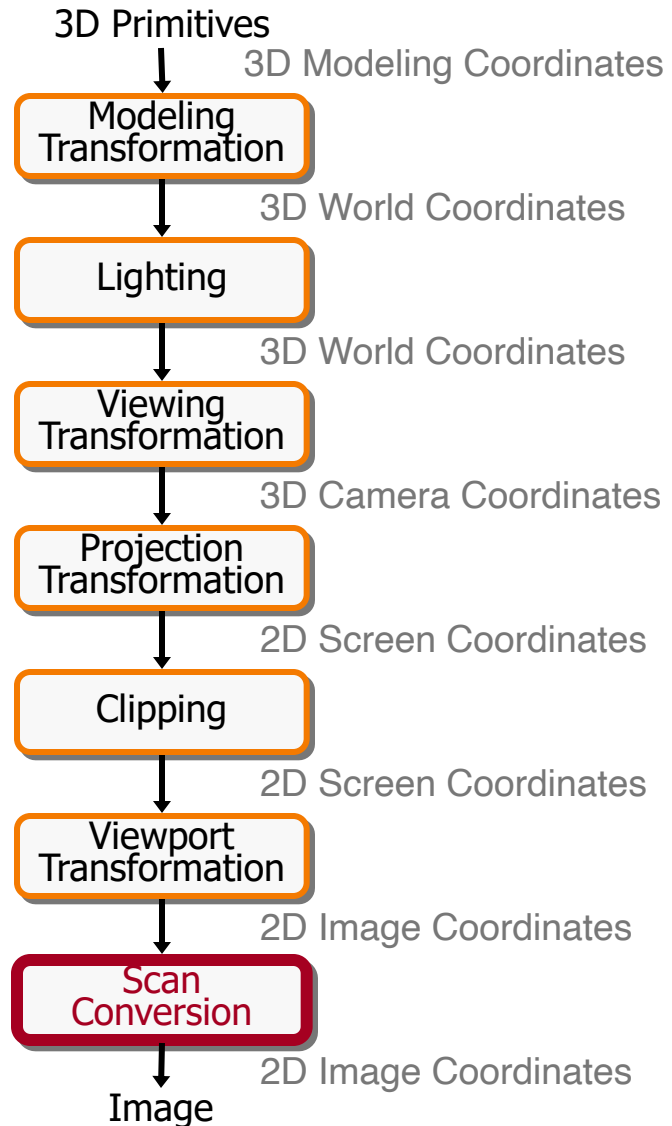




# Rasterization: Shading and Visibility

COS 426, Fall 2022

# Rasterization Pipeline (for direct illumination)



Scan Conversion

# Rasterization

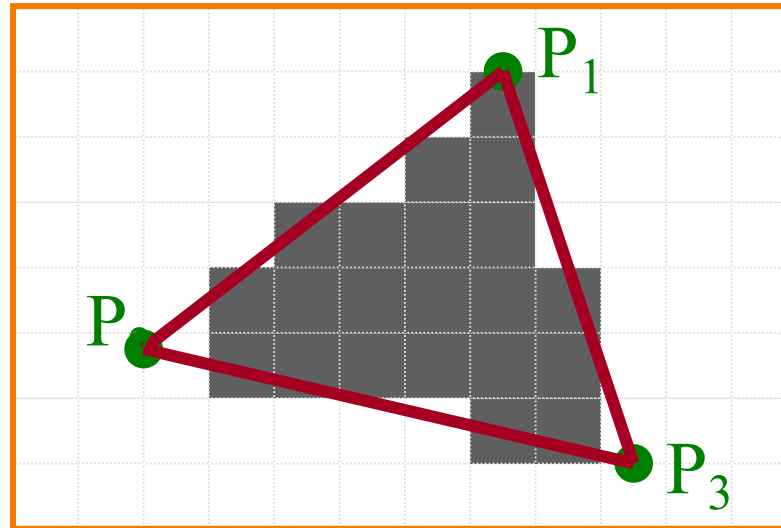


- Scan conversion (last time)
  - Determine which pixels to fill
- **Shading**
  - Determine a color for each filled pixel
- Texture mapping
  - Describe shading variation within polygon interiors
- Visible surface determination
  - Figure out which surface is front-most at every pixel

# Shading



- How do we choose a color for each filled pixel?



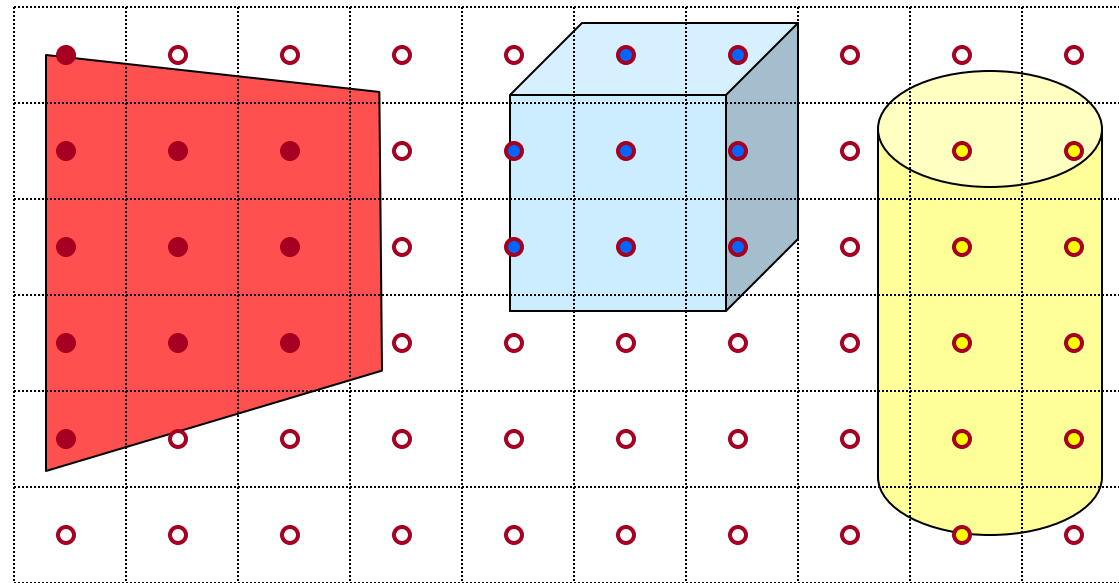
Emphasis on methods that can be implemented in hardware...





# Taking Inspiration from Ray Casting

- Simplest shading approach is to perform *independent* lighting calculation for every pixel



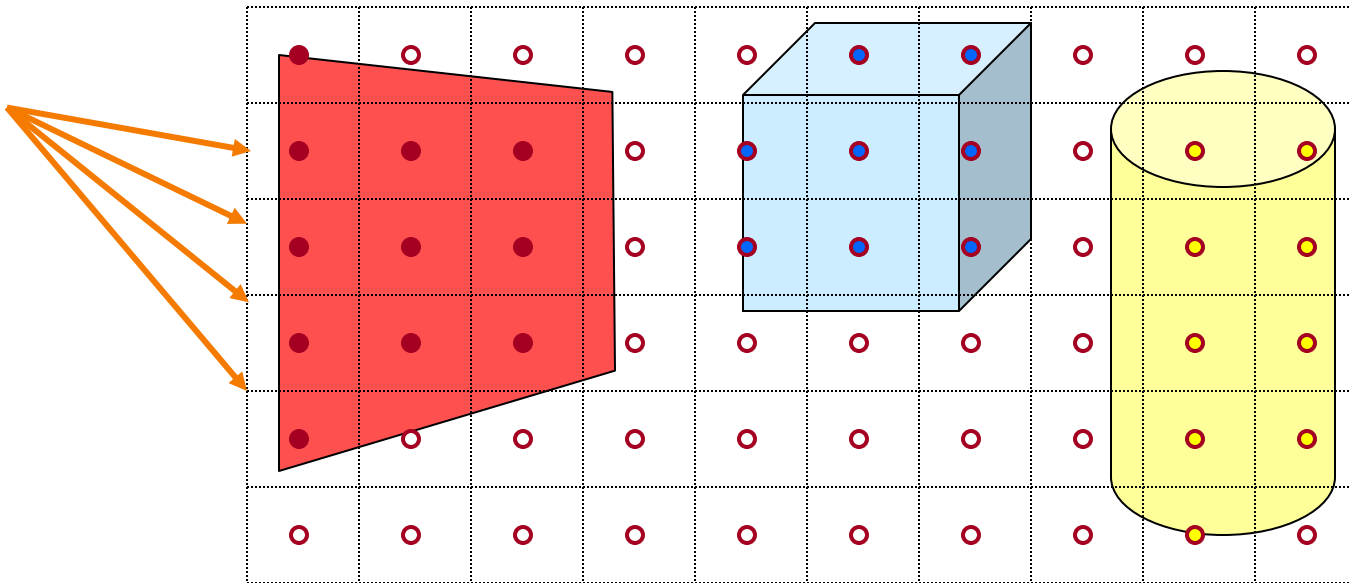
$$I = I_E + K_A I_{AL} + \sum_i \left( K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i \right)$$

# Polygon Shading



- Increase efficiency by exploiting spatial coherence
  - Illumination calculations for pixels covered by same primitive are related to each other

Similar...



$$I = I_E + K_A I_{AL} + \sum_i \left( K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i \right)$$

# Polygon Shading Algorithms

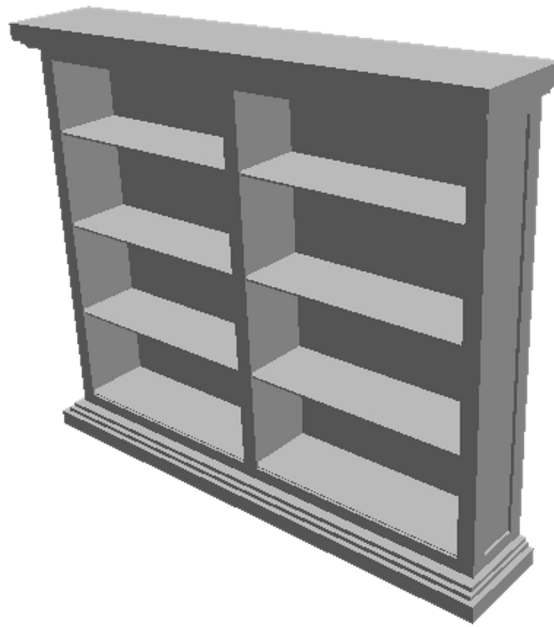


- **Flat Shading**
- Gouraud Shading
- Phong Shading

# Flat Shading



- What if a faceted object is illuminated only by directional light sources and is viewed from infinitely far away

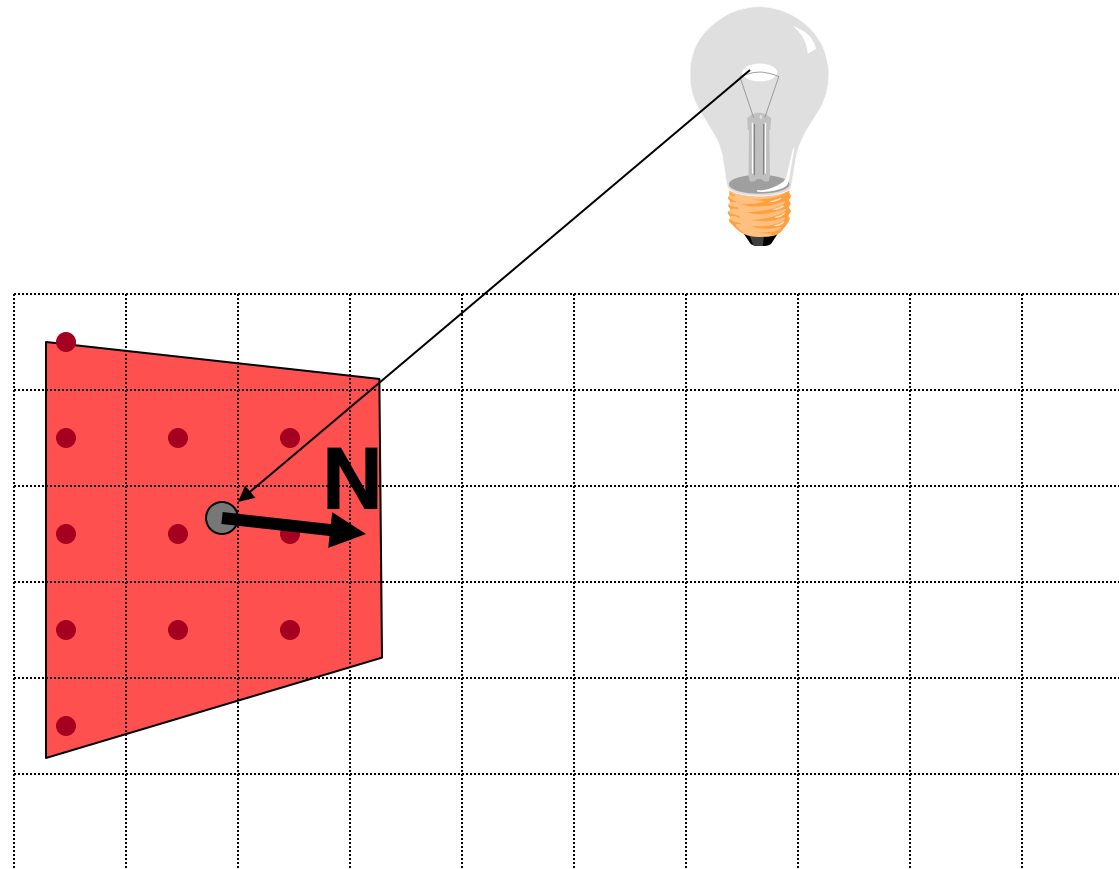


$$I = I_E + K_A I_{AL} + \sum_i \left( K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i \right)$$

# Flat Shading



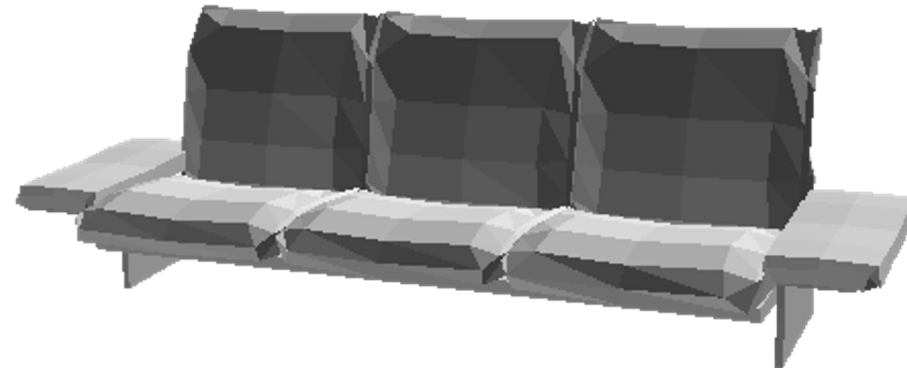
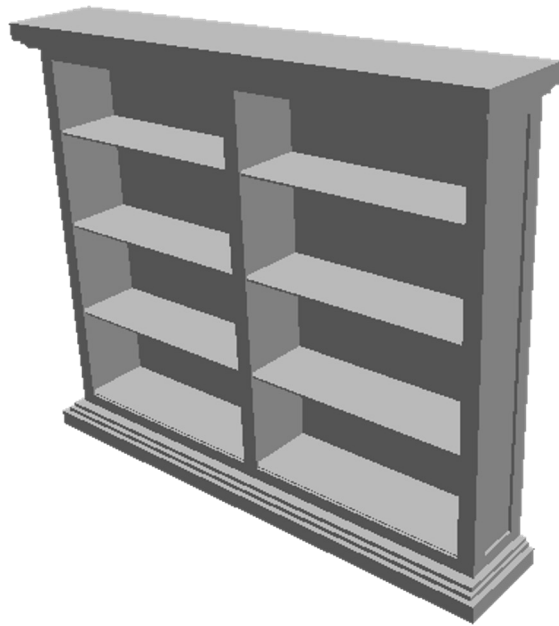
- One illumination calculation per polygon is enough
  - Assign all pixels inside each polygon the same color



# Flat Shading



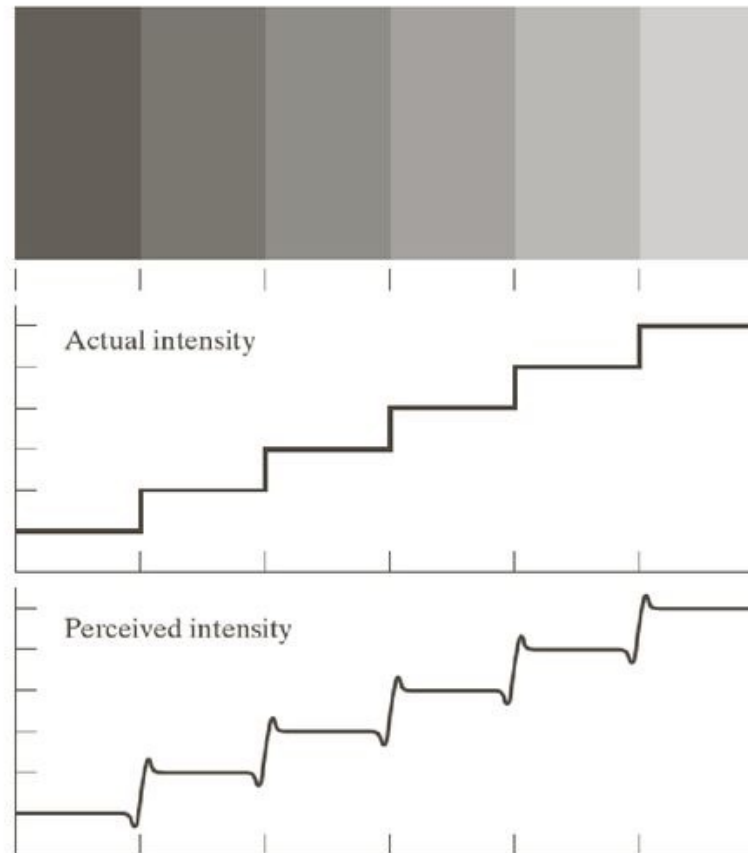
- Objects look like they are composed of polygons
  - OK for polyhedral objects
  - Not so good for smooth surfaces



# Mach Band Effect



- Visual system perceives edges between adjacent shades of gray with exaggerated contrast



# Polygon Shading Algorithms



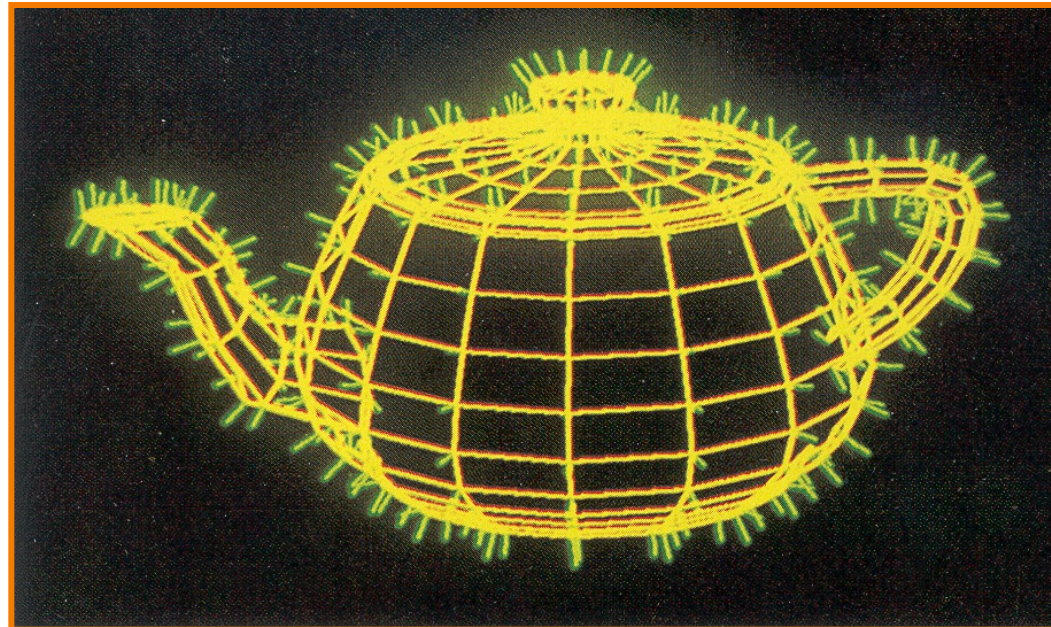
- Flat Shading
- **Gouraud Shading**
- Phong Shading



# Gouraud Shading



- Approximate smooth surface by polygonal mesh with a normal stored at each **vertex**
  - “Shared normals”
  - Calculated as (possibly area-weighted) average of normals of adjacent faces

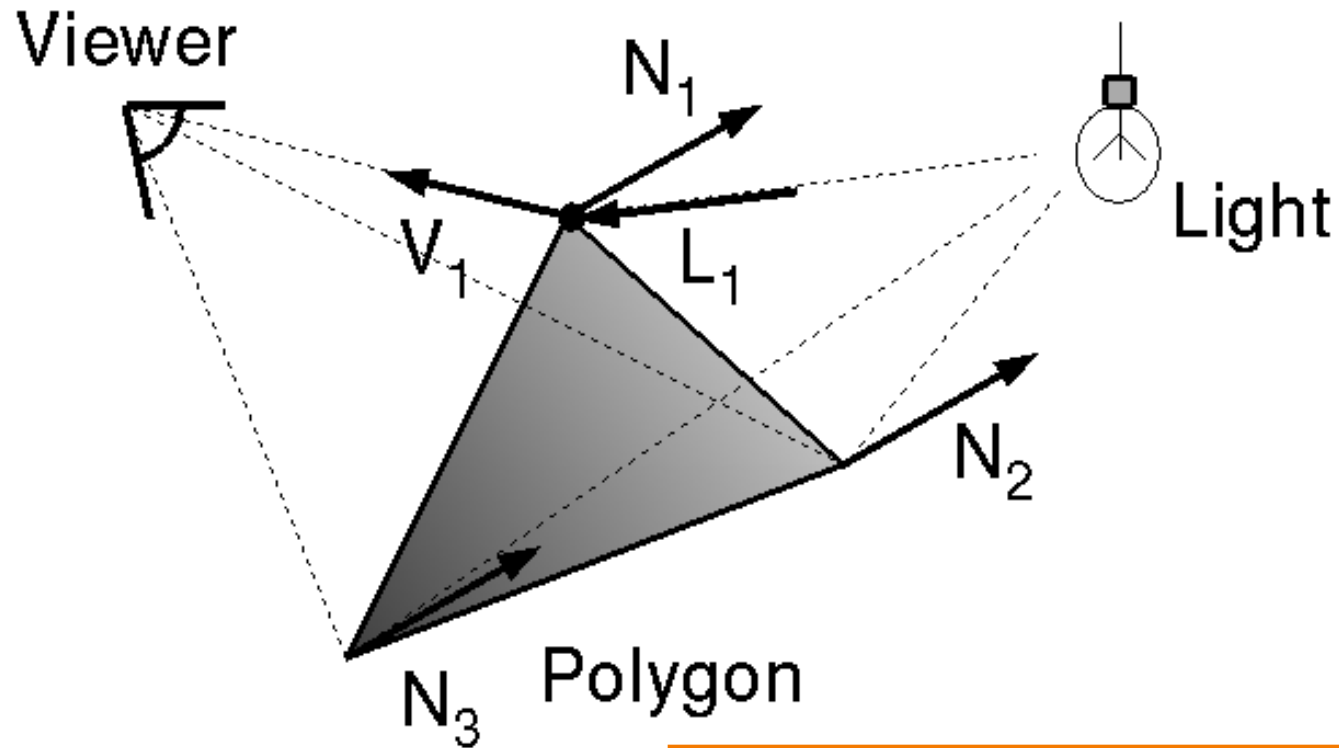


Watt Plate 7

# Gouraud Shading



- One lighting calculation per vertex
  - Pixel colors inside polygon interpolated from colors computed at vertices

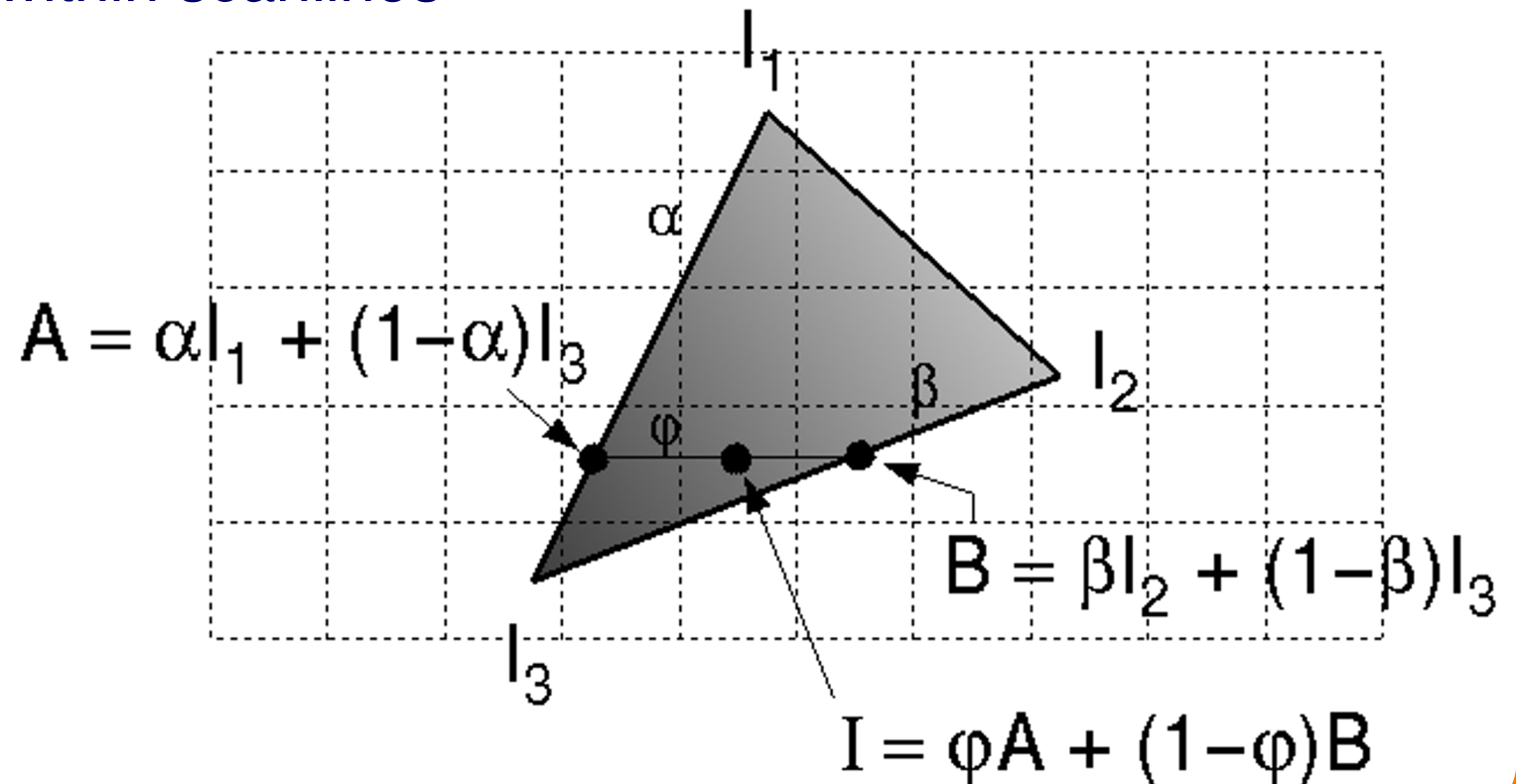


$$I = I_E + K_A I_{AL} + \sum_i \left( K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i \right)$$

# Gouraud Shading



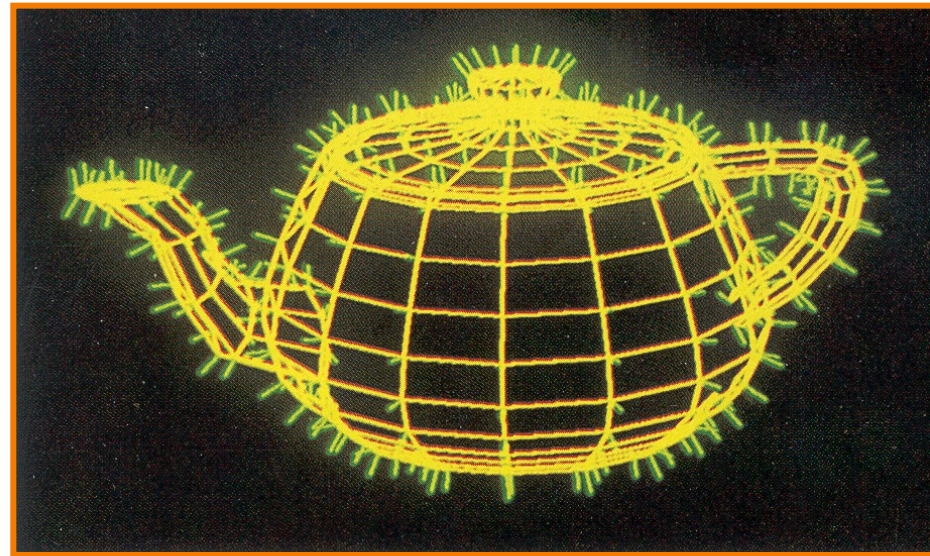
- Bilinear interpolation of colors at vertices
  - Down and across scan lines = barycentric interpolation!
  - Specifically, linearly interpolate at left and right endpoints of each span, then linearly interpolate within scanlines



# Gouraud Shading



- Smooth shading over adjacent polygons
  - Curved surfaces
  - Illumination highlights
  - Soft shadows



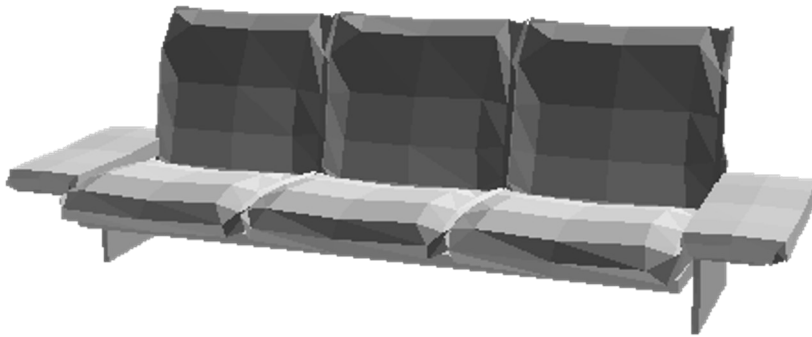
Watt Plate 7

Mesh with shared normals at vertices

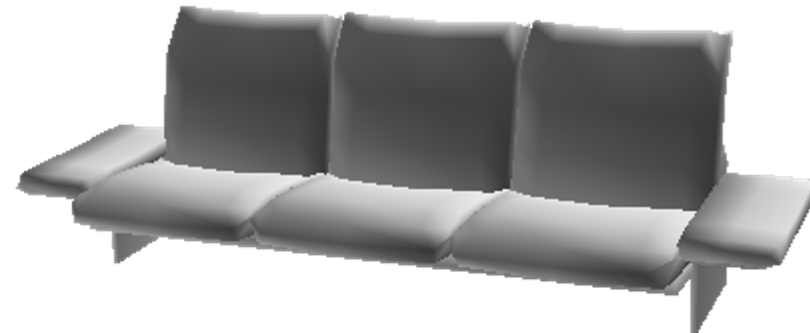
# Gouraud Shading



- Produces smoothly shaded polygonal mesh
  - Piecewise linear (!) approximation
  - Need fine mesh to capture subtle lighting effects



Flat Shading

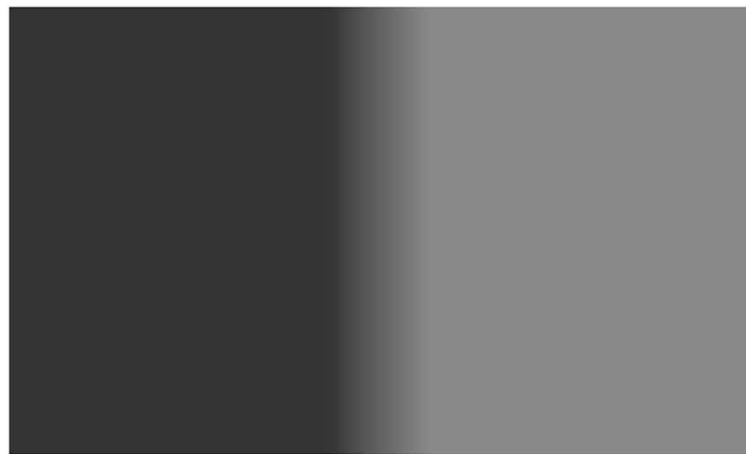


Gouraud Shading

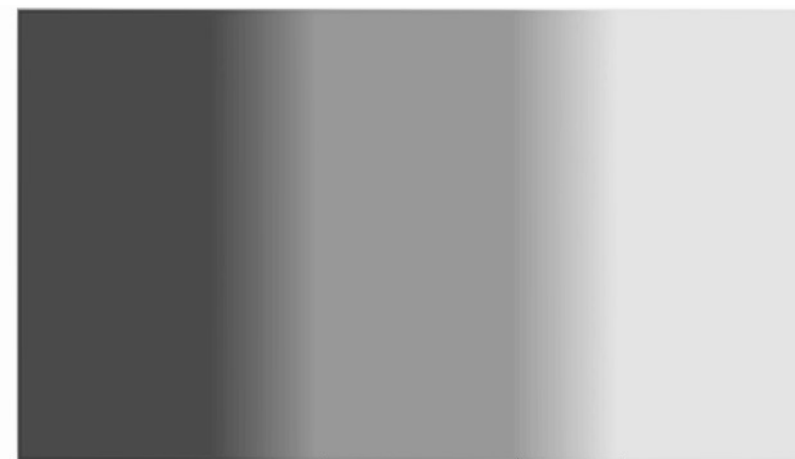
# Mach Band Effect



- Mach Band Effect also affects Gouraud Shading for piecewise linear interpolation



Actual Intensity



The thin lines or "bands" along the gradient are illusory.

# Polygon Shading Algorithms



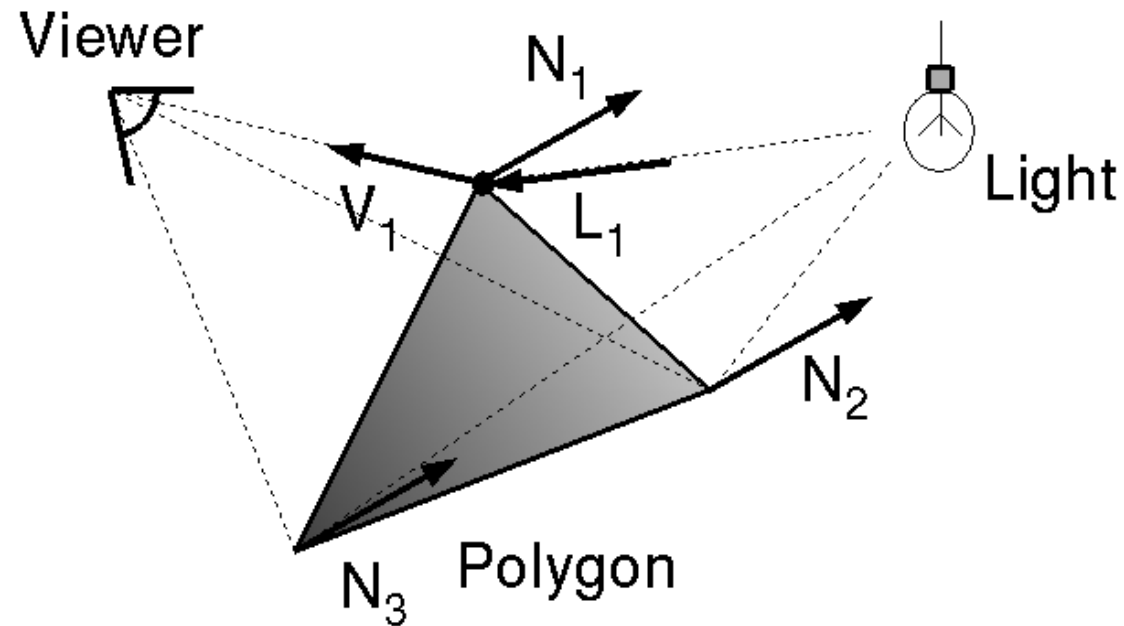
- Flat Shading
- Gouraud Shading
- **Phong Shading** ( $\neq$  Phong reflectance model)



# Phong Shading



- What if polygonal mesh is too coarse to capture illumination effects in polygon interiors?



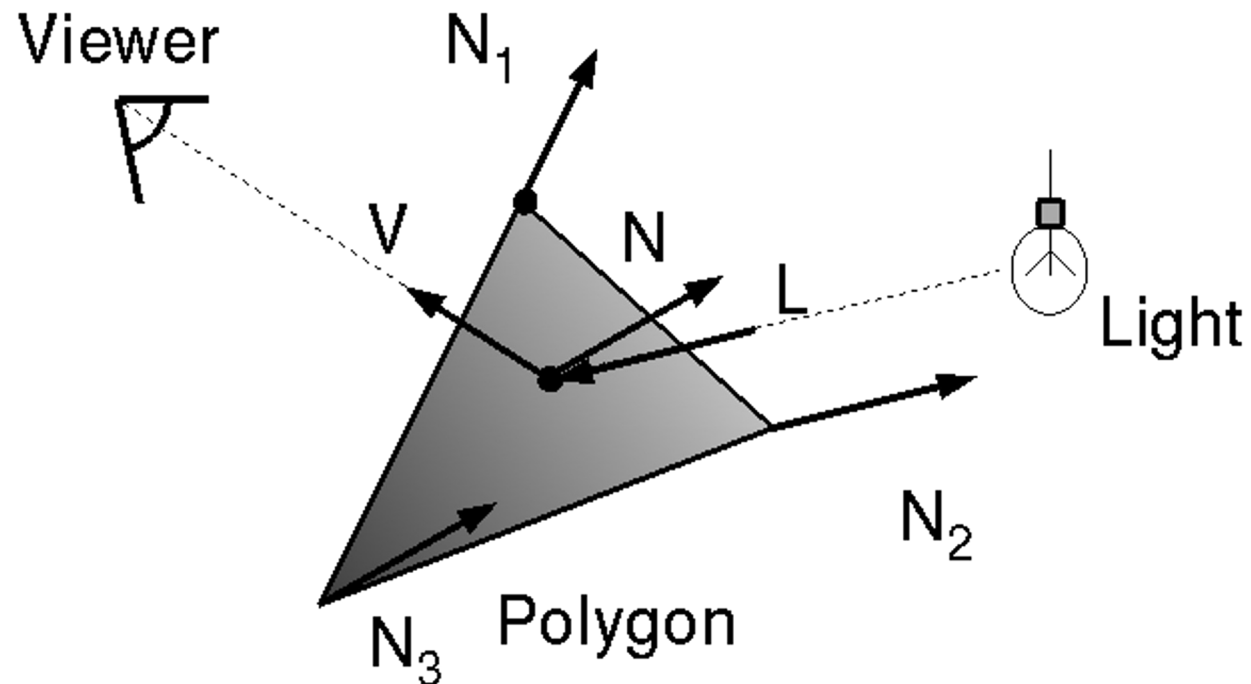
$$I = I_E + K_A I_{AL} + \sum_i \left( K_D (N \cdot L_i) I_i + K_S (V \cdot R_i)^n I_i \right)$$



# Phong Shading



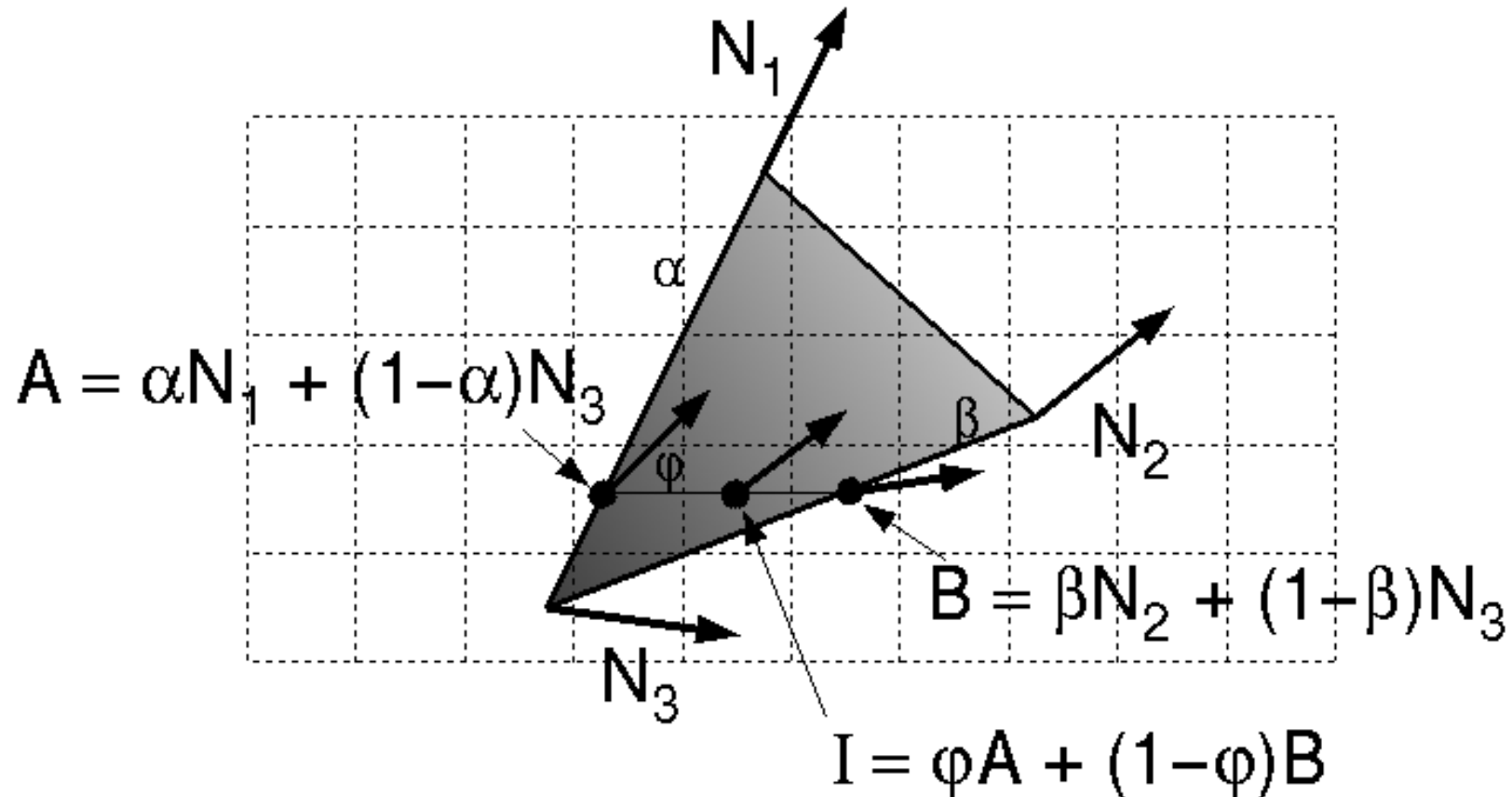
- One lighting calculation per pixel
  - Approximate surface **normals** for points inside polygons by bilinear interpolation of **normals** from vertices
  - Normalize interpolated normal to unit length
  - Finally, do per-pixel lighting calculation using interpolated normal



# Phong Shading



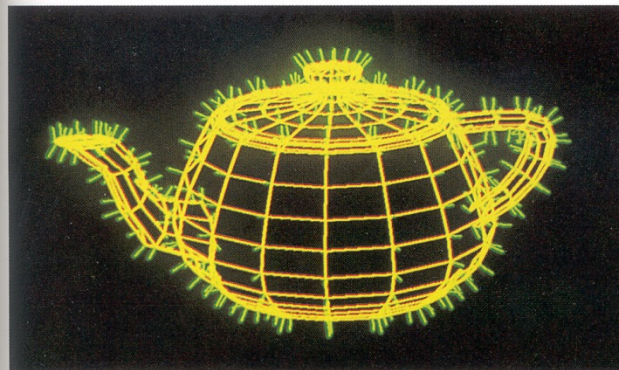
- Bilinear interpolation of surface normals at vertices



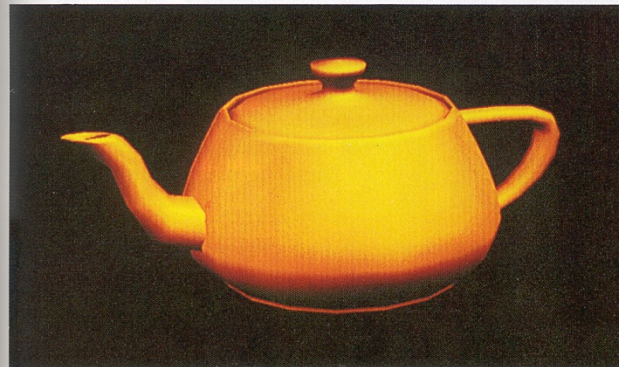
# Polygon Shading Algorithms



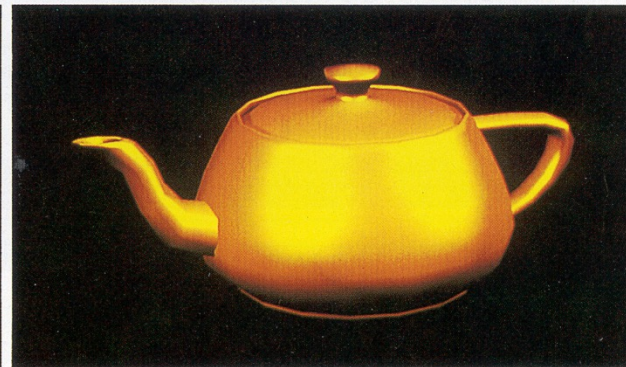
Wireframe



Flat



Gouraud



Phong

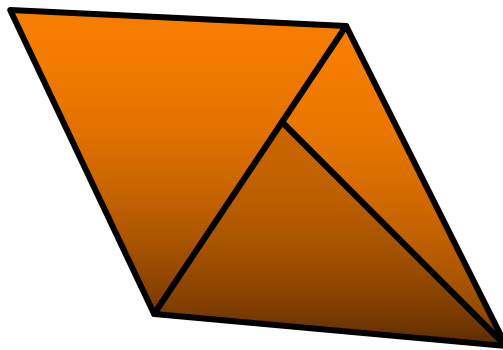
Demo: <https://threejs.org/docs/scenes/material-browser.html#MeshPhongMaterial>

Watt Plate 7

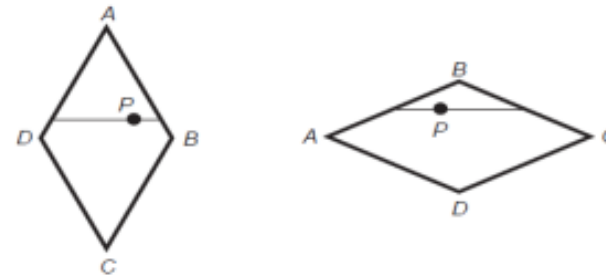
# Shading Issues



- Problems with interpolated shading:
  - Polygonal silhouettes still obvious
  - Perspective distortion (due to screen-space interpolation)
  - Problems at T-junctions



The results of interpolated-shading is not independent of the projected polygons position (Foley Figure 14.22).



# Rasterization

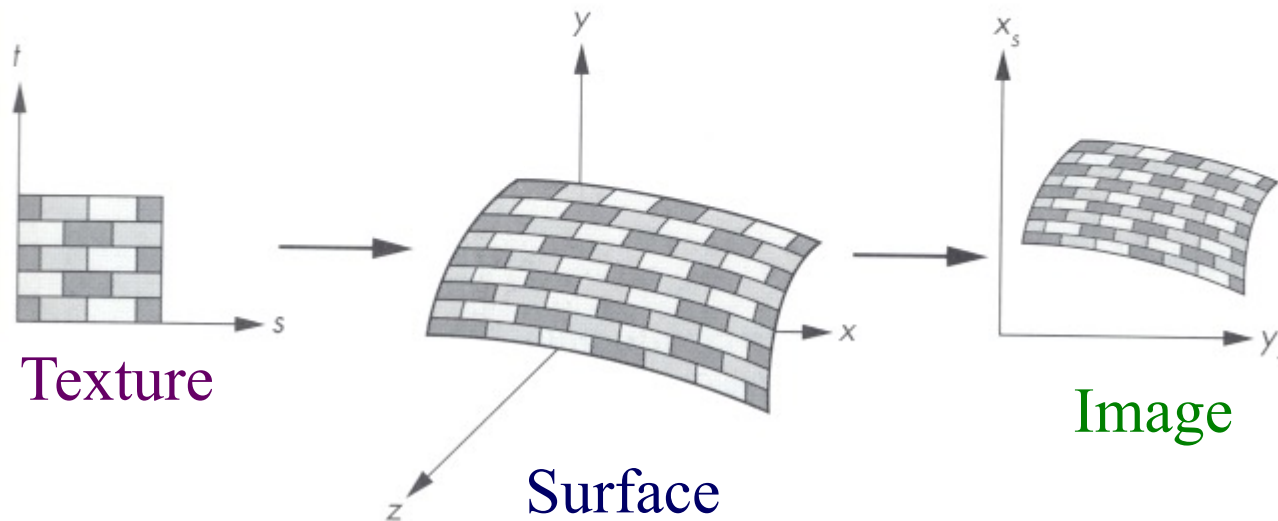


- Scan conversion
  - Determine which pixels to fill
- Shading
  - Determine a color for each filled pixel
- **Texture mapping**
  - Describe shading variation within polygon interiors
- Visible surface determination
  - Figure out which surface is front-most at every pixel

# Textures



- Describe **color variation** in interior of 3D polygon
  - When scan converting a polygon, **vary pixel colors** according to values fetched from a texture image

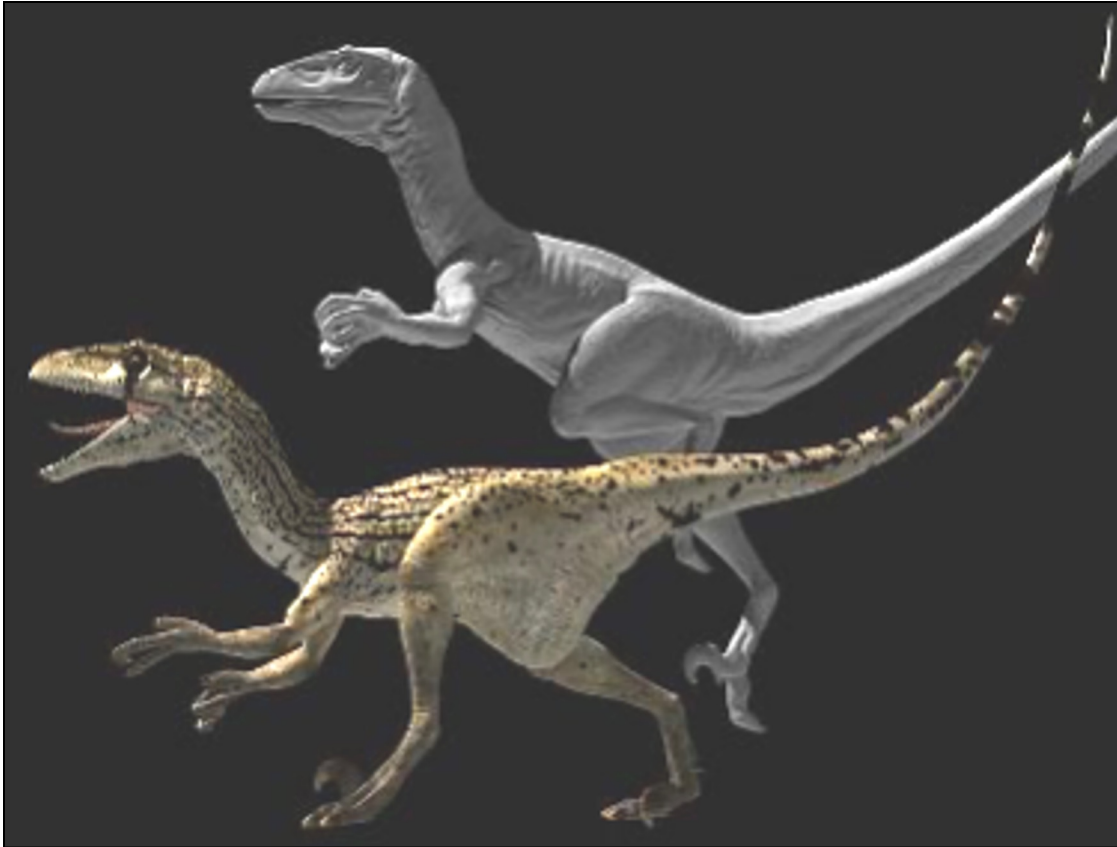




# Textures



- Add visual detail to surfaces of 3D objects

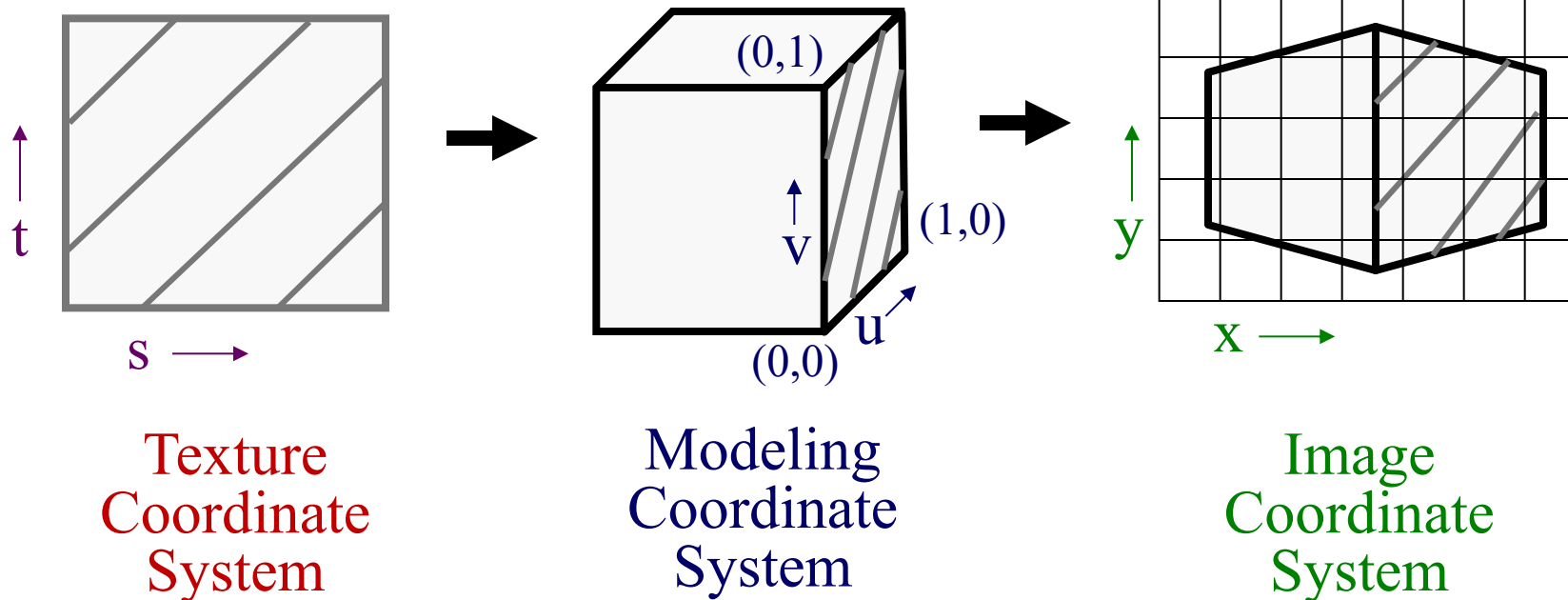


[Daren Horley]

# Texture Mapping



- Steps:
  1. Define texture image
  2. Specify mapping from texture to surface
  3. Look up texture values during scan conversion

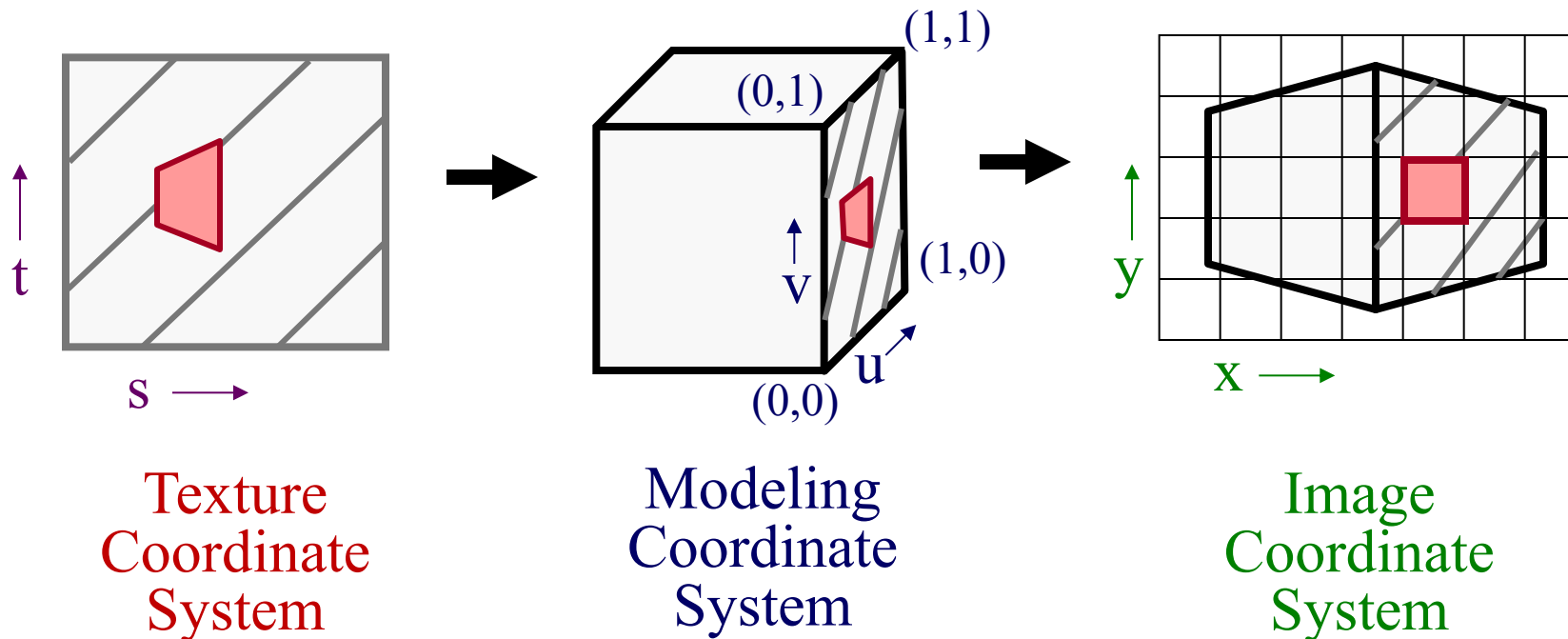




# Texture Mapping



- When scan converting, map from ...
  - image coordinate system  $(x,y)$  to
  - modeling coordinate system  $(u,v)$  to
  - texture image  $(s,t)$



# Texture Overview



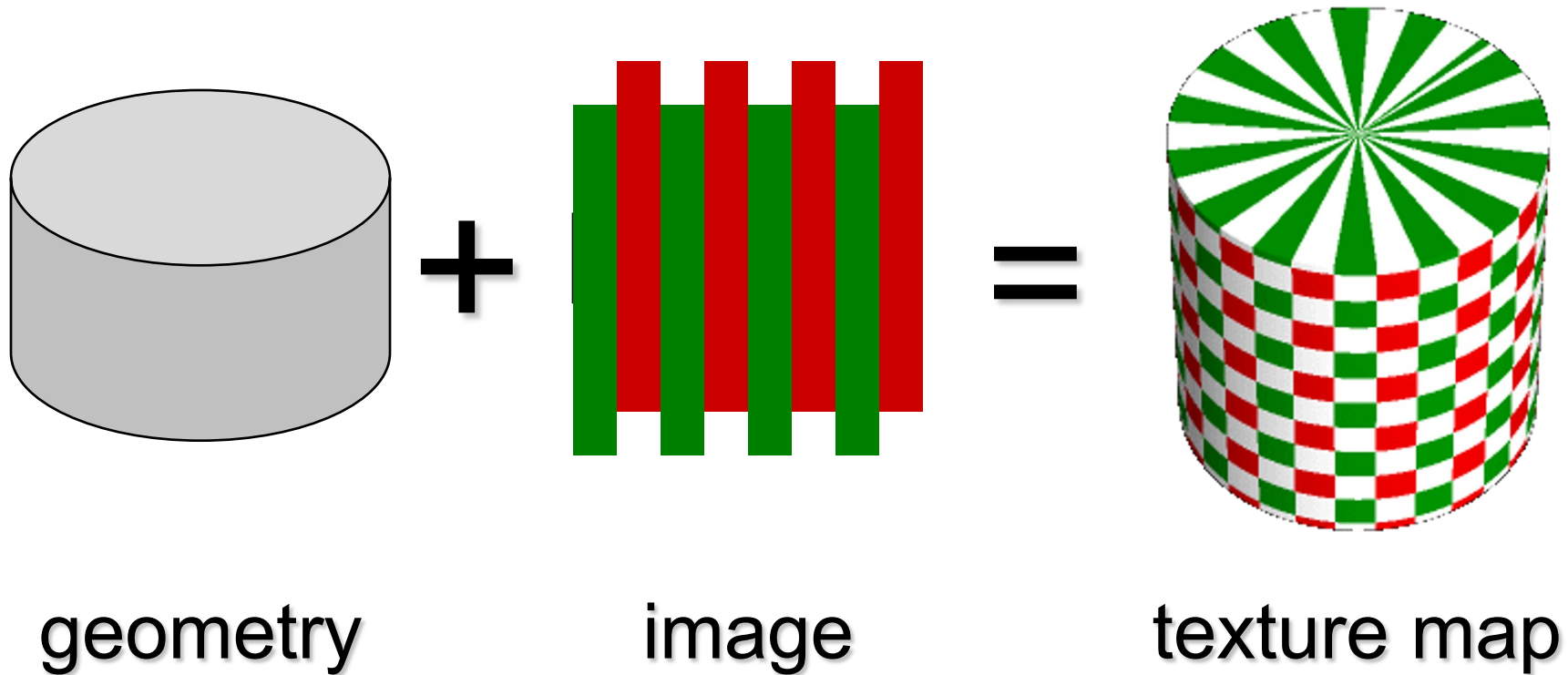
- Texture mapping stages
  - Parameterization
  - Mapping
  - Filtering
- Texture mapping applications
  - Modulation textures
  - Illumination mapping
  - Bump mapping
  - Environment mapping
  - Image-based rendering
  - Non-photorealistic rendering

# Texture Overview



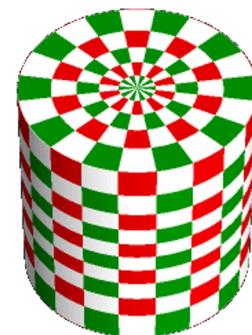
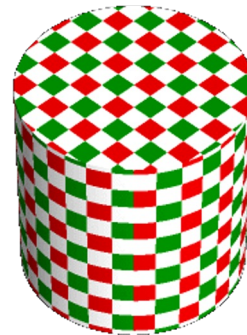
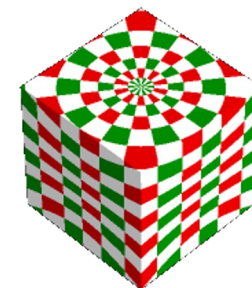
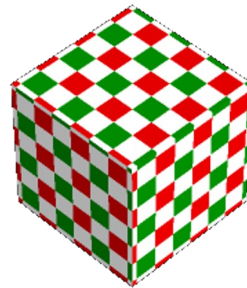
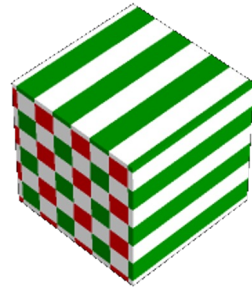
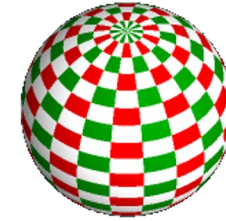
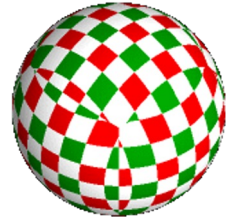
- Texture mapping stages
  - Parameterization
    - Mapping
    - Filtering
- Texture mapping applications
  - Modulation textures
  - Illumination mapping
  - Bump mapping
  - Environment mapping
  - Image-based rendering
  - Non-photorealistic rendering

# Texture Parameterization



- Q: How do we decide **where on the geometry** each color from the image should go?

# Texture Parameterization

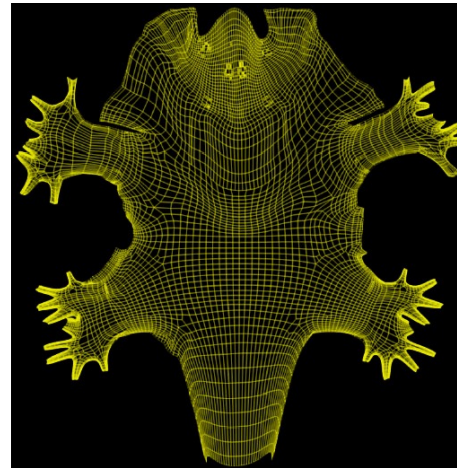
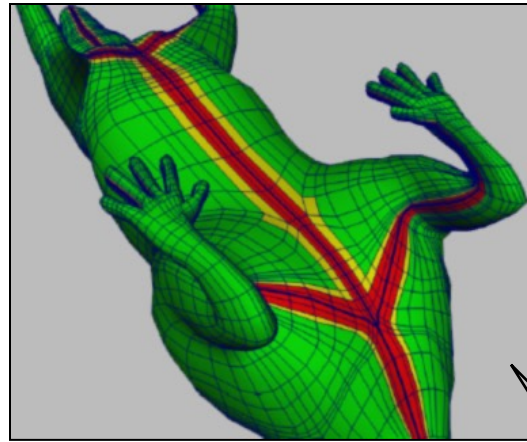


[Paul Bourke]

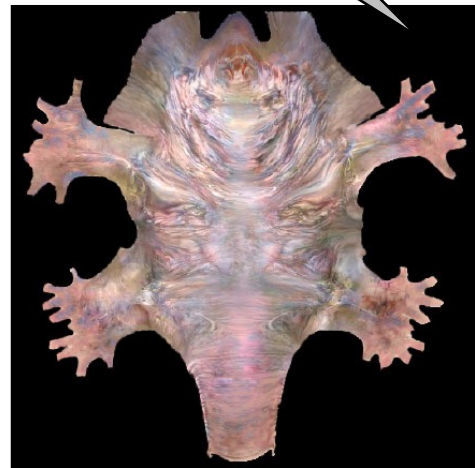
# Texture Parameterization



Option1: unfold the surface



[Piponi2000]





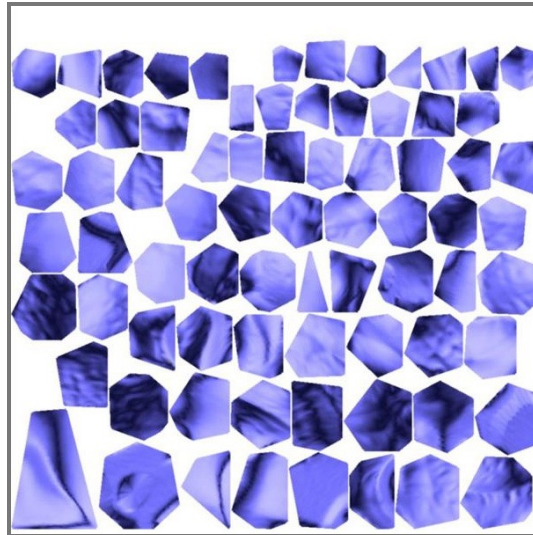
# Texture Parameterization



Option2: make an atlas



charts



atlas



surface

[Sander2001]

# Texture Overview



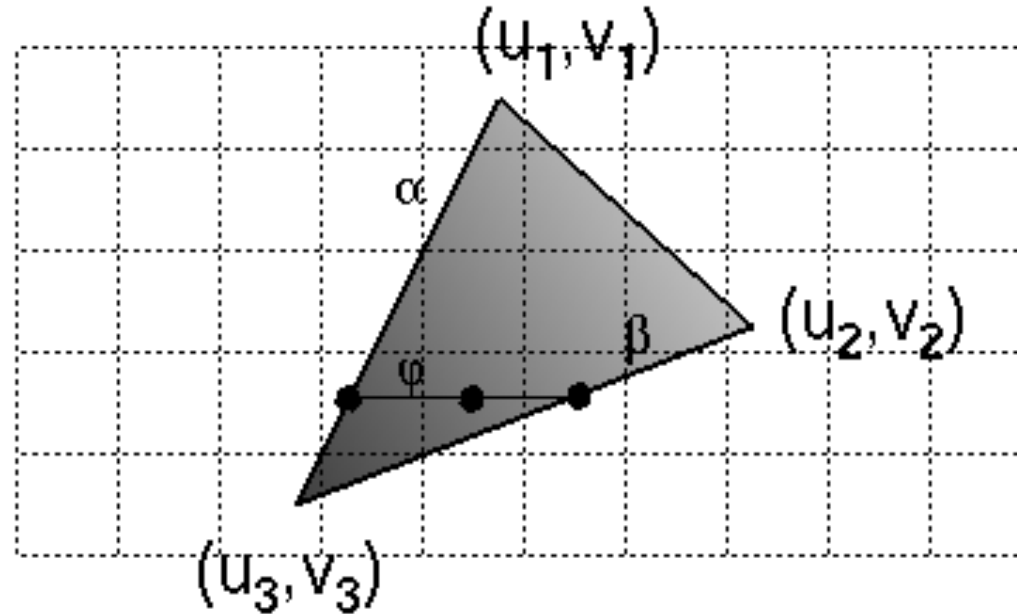
- Texture mapping stages
  - Parameterization
  - Mapping
  - Filtering
- Texture mapping applications
  - Modulation textures
  - Illumination mapping
  - Bump mapping
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  - Image-based rendering
  - Non-photorealistic rendering



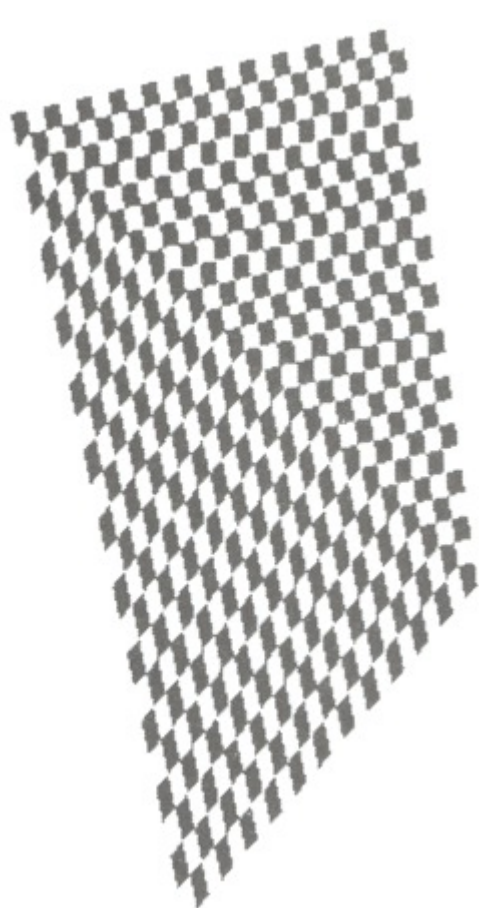
# Texture Mapping



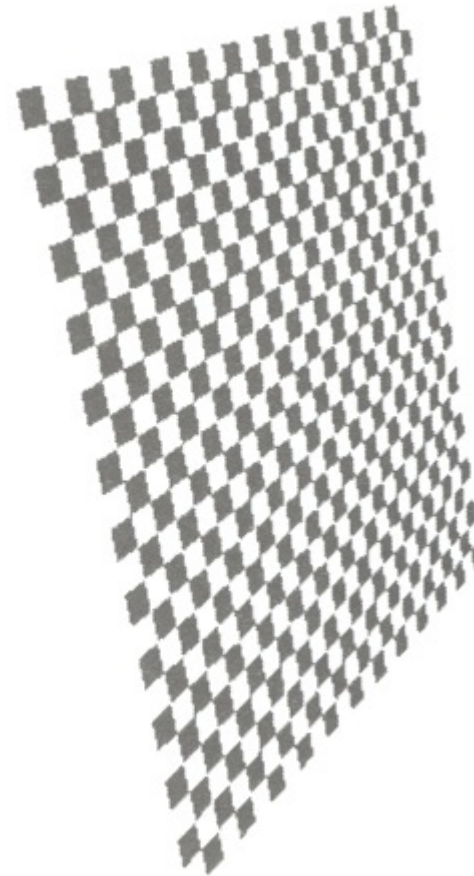
- Scan conversion
  - Interpolate texture coordinates down/across scan lines



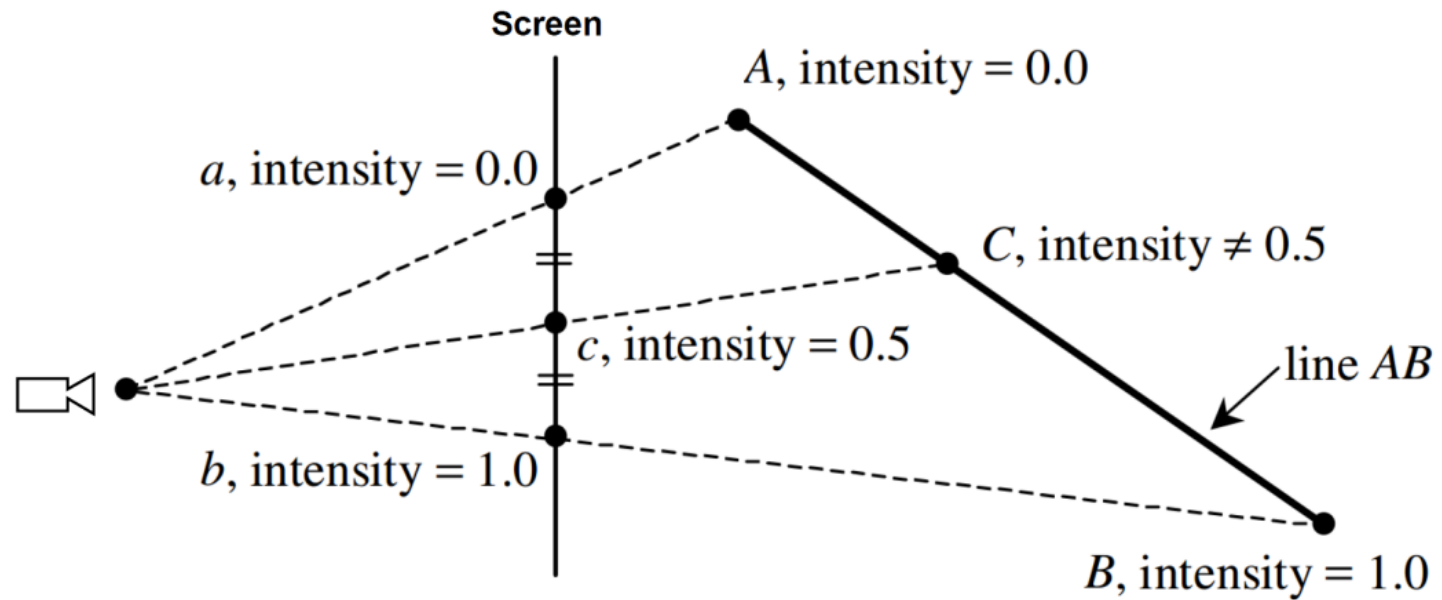
# Perspective Divide



Linear interpolation  
of texture coordinates



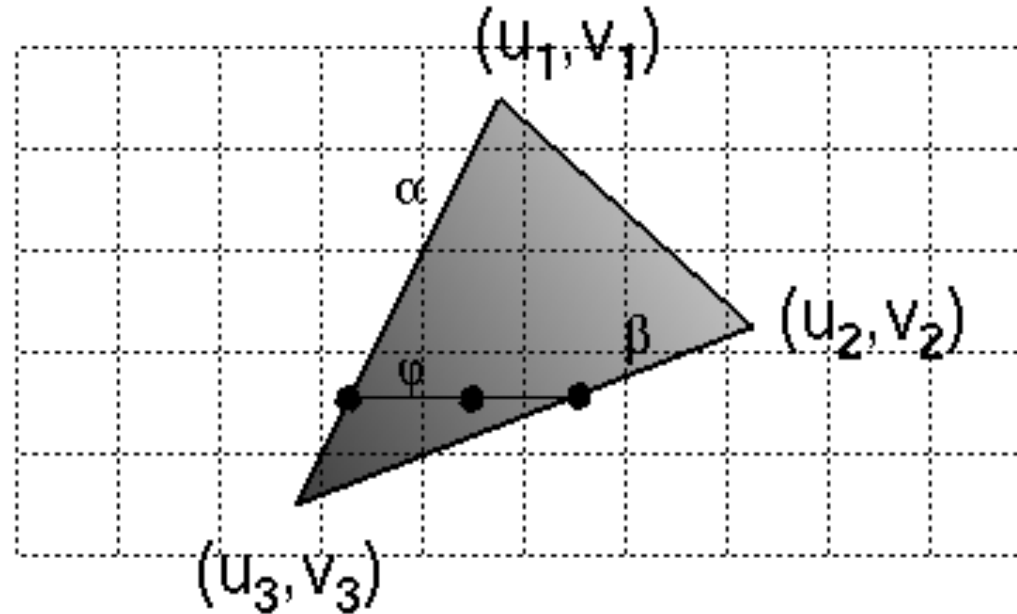
Correct interpolation



# Texture Mapping



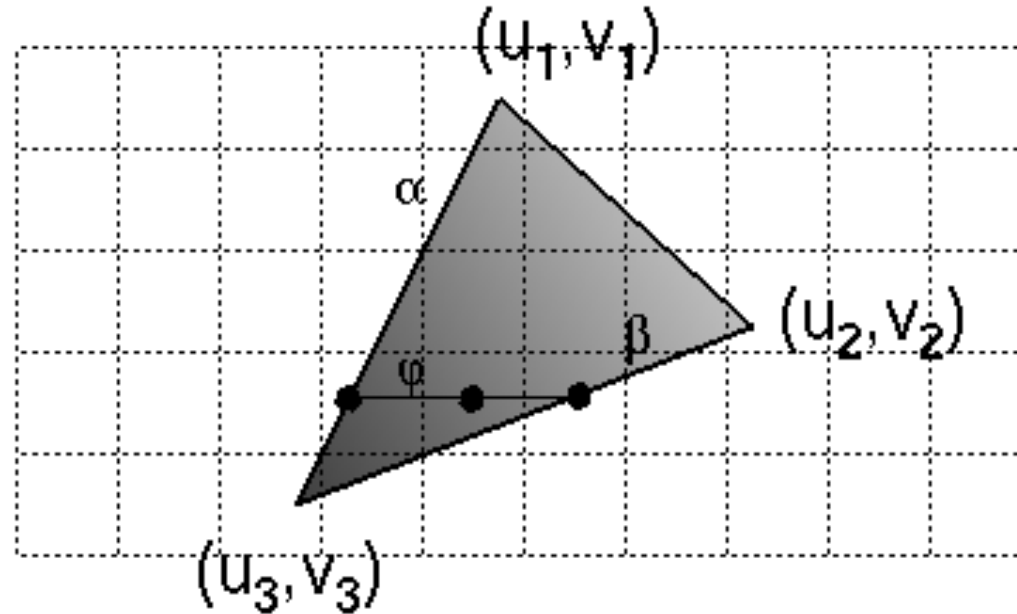
- Scan conversion
  - Interpolate texture coordinates down/across scan lines
  - Distortion due to bilinear interpolation approximation
    - » Cut polygons into smaller ones, or



# Texture Mapping



- Scan conversion
  - Interpolate texture coordinates down/across scan lines
  - Distortion due to bilinear interpolation approximation
    - » Cut polygons into smaller ones, or
    - » Perspective divide at each pixel



# Perspective Divide



**Assume triangle attribute varies linearly across the triangle**

**Attribute's value at 3D (non-homogeneous) point  $P = [x \ y \ z]^T$  is then:**

$$f(x, y, z) = ax + by + cz$$

**Get 2D homogeneous representation :**  $[x_{2D-H} \ y_{2D-H} \ w]^T = [x \ y \ z]^T$

# Perspective Divide



**Assume triangle attribute varies linearly across the triangle**

**Attribute's value at 3D (non-homogeneous) point  $P = [x \ y \ z]^T$  is then:**

$$f(x, y, z) = ax + by + cz$$

**Get 2D homogeneous representation :**  $[x_{2D-H} \ y_{2D-H} \ w]^T = [x \ y \ z]^T$

**Rewrite attribute equation for  $f$  in terms of 2D homogeneous coordinates:**

$$f = ax_{2D-H} + by_{2D-H} + cw$$

# Perspective Divide



**Assume triangle attribute varies linearly across the triangle**

**Attribute's value at 3D (non-homogeneous) point  $P = [x \ y \ z]^T$  is then:**

$$f(x, y, z) = ax + by + cz$$

**Get 2D homogeneous representation :**  $[x_{2D-H} \ y_{2D-H} \ w]^T = [x \ y \ z]^T$

**Rewrite attribute equation for  $f$  in terms of 2D homogeneous coordinates:**

$$f = ax_{2D-H} + by_{2D-H} + cw$$

$$\frac{f}{w} = a\frac{x_{2D-H}}{w} + b\frac{y_{2D-H}}{w} + c$$



# Perspective Divide



**Assume triangle attribute varies linearly across the triangle**

**Attribute's value at 3D (non-homogeneous) point  $P = [x \ y \ z]^T$  is then:**

$$f(x, y, z) = ax + by + cz$$

**Get 2D homogeneous representation :**  $[x_{2D-H} \ y_{2D-H} \ w]^T = [x \ y \ z]^T$

**Rewrite attribute equation for  $f$  in terms of 2D homogeneous coordinates:**

$$f = ax_{2D-H} + by_{2D-H} + cw$$

$$\frac{f}{w} = a\frac{x_{2D-H}}{w} + b\frac{y_{2D-H}}{w} + c$$

$$\frac{f}{w} = ax_{2D} + by_{2D} + c$$

**Where  $[x_{2D} \ y_{2D}]^T$  are projected screen 2D coordinates (after homogeneous divide)**

# Perspective Divide



**Assume triangle attribute varies linearly across the triangle**

**Attribute's value at 3D (non-homogeneous) point  $P = [x \ y \ z]^T$  is then:**

$$f(x, y, z) = ax + by + cz$$

**Get 2D homogeneous representation :**  $[x_{2D-H} \ y_{2D-H} \ w]^T = [x \ y \ z]^T$

**Rewrite attribute equation for  $f$  in terms of 2D homogeneous coordinates:**

$$f = ax_{2D-H} + by_{2D-H} + cw$$

$$\frac{f}{w} = a \frac{x_{2D-H}}{w} + b \frac{y_{2D-H}}{w} + c$$

$$\frac{f}{w} = ax_{2D} + by_{2D} + c$$

**Where  $[x_{2D} \ y_{2D}]^T$  are projected screen 2D coordinates (after homogeneous divide)**

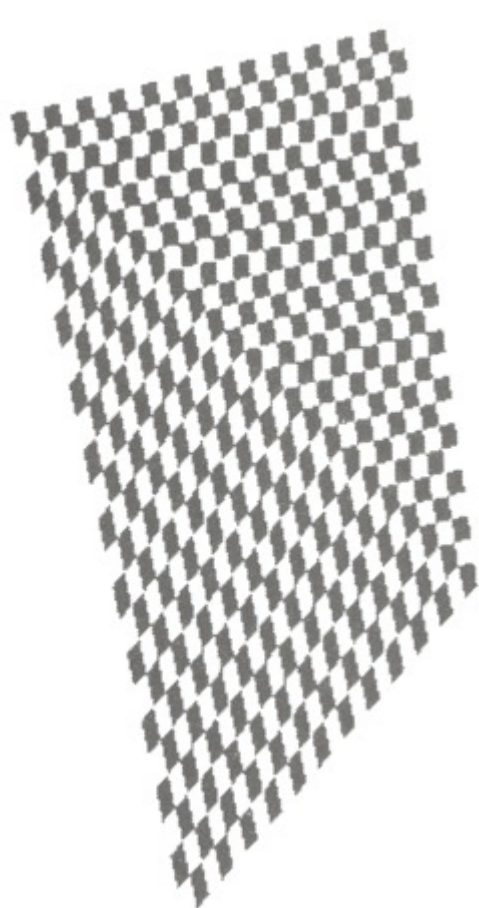
**So ...  $\frac{f}{w}$  is affine function of 2D screen coordinates...**

# Perspective Divide

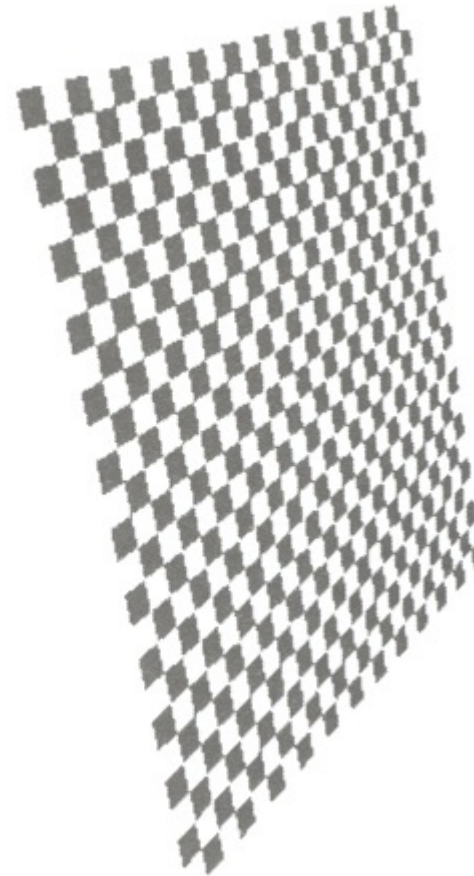


- Compute at each vertex after perspective transformation:
  - “Numerators”  $s/w$ ,  $t/w$
  - “Denominator”  $1/w$
- Linearly interpolate  $s/w$ , and  $t/w$  and  $1/w$  across the polygon
- At each pixel:
  - Perform perspective division of interpolated texture coordinates ( $s/w$ ,  $t/w$ ) by interpolated  $1/w$  (i.e., numerator over denominator) to get ( $s$ ,  $t$ )

# Perspective Divide



Linear interpolation  
of texture coordinates



Correct interpolation

# Texture Overview

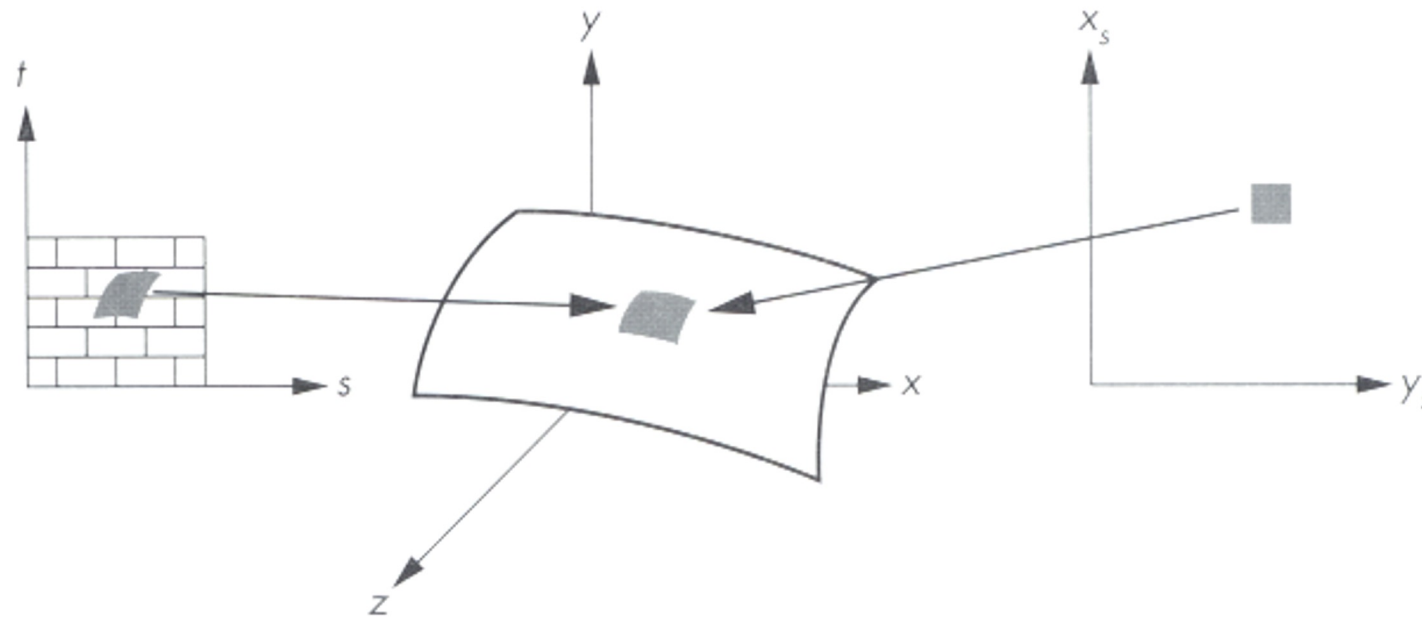


- Texture mapping stages
  - Parameterization
  - Mapping
  - Filtering
- Texture mapping applications
  - Modulation textures
  - Illumination mapping
  - Bump mapping
  - Environment mapping
  - Image-based rendering
  - Non-photorealistic rendering

# Texture Filtering



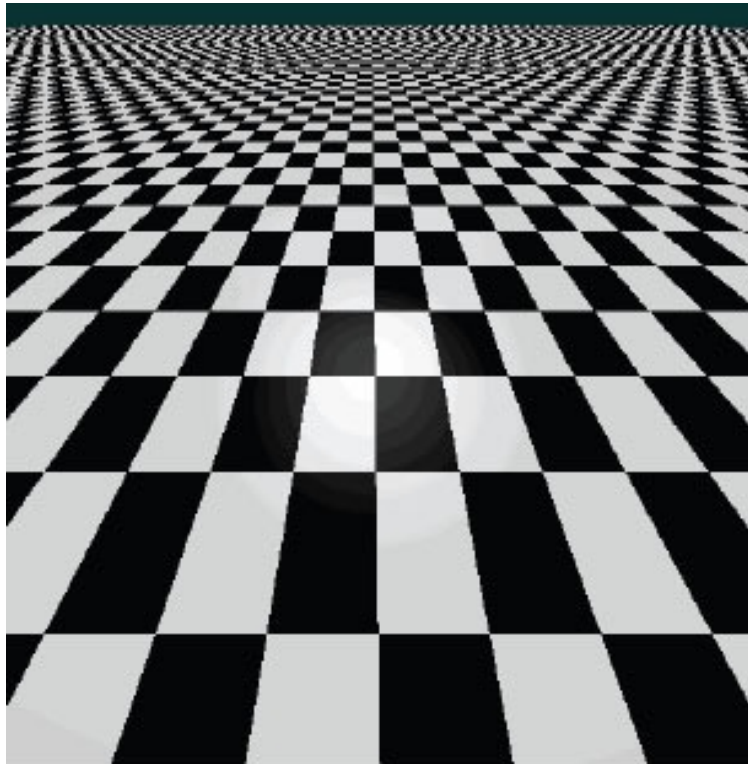
- Must **sample** texture to determine color at each pixel in image



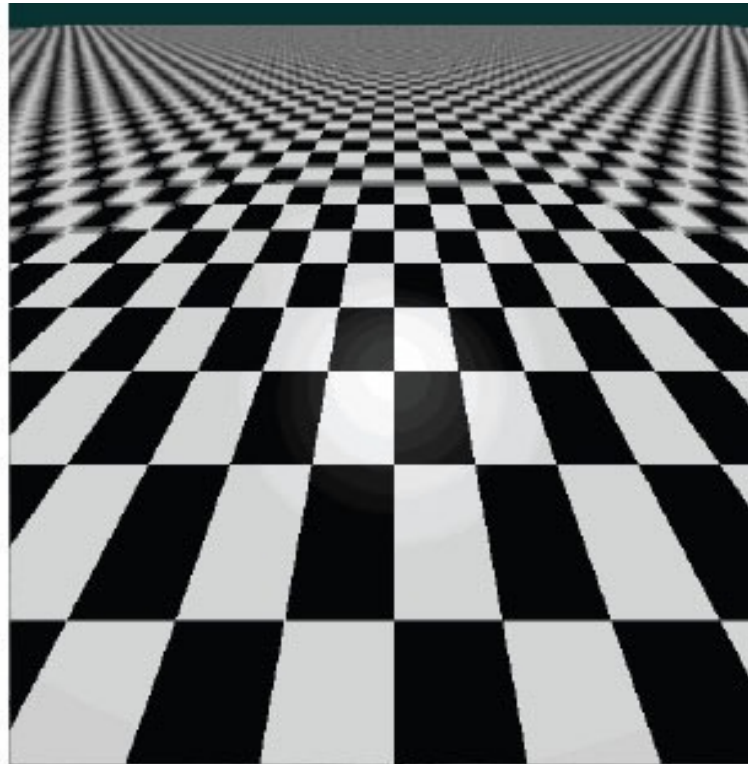
# Texture Filtering



- Aliasing is a problem



Point sampling

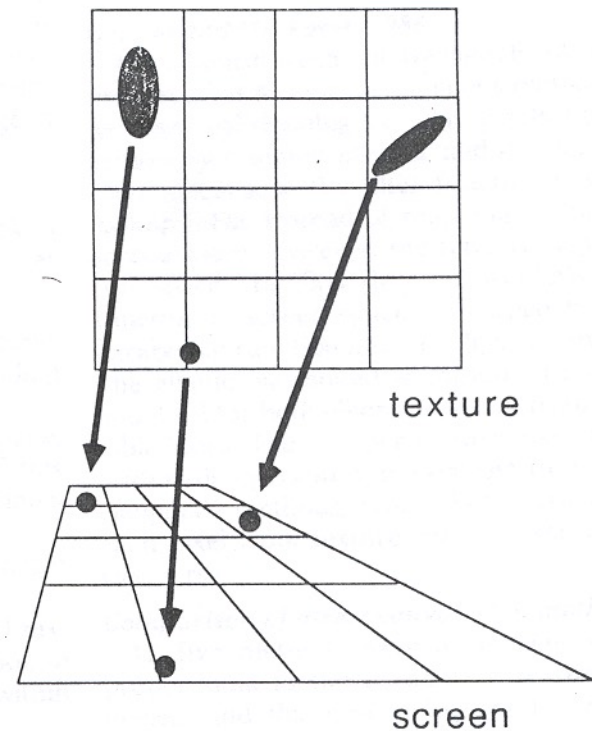


Area filtering

# Texture Filtering



- Ideally, use elliptically shaped convolution filters



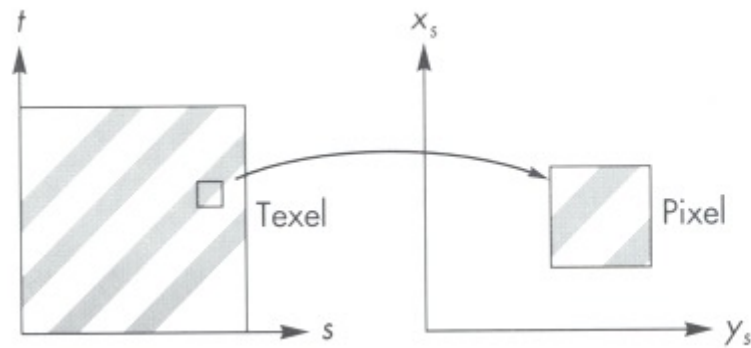
*In practice, use rectangles or squares*



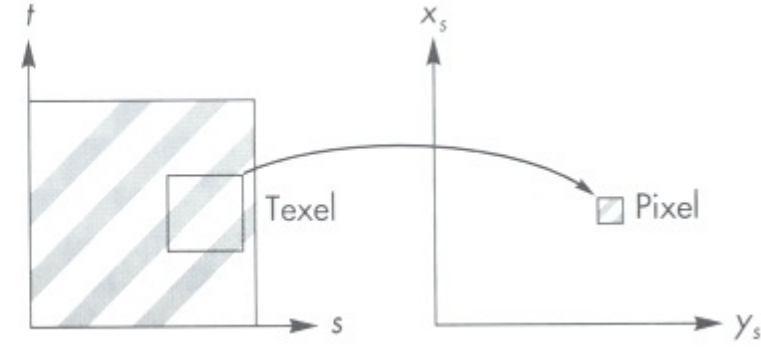
# Texture Filtering



- Size of filter depends on projective warp
  - Compute prefiltered images to avoid run-time cost
    - » Mipmaps
    - » Summed area tables



Magnification

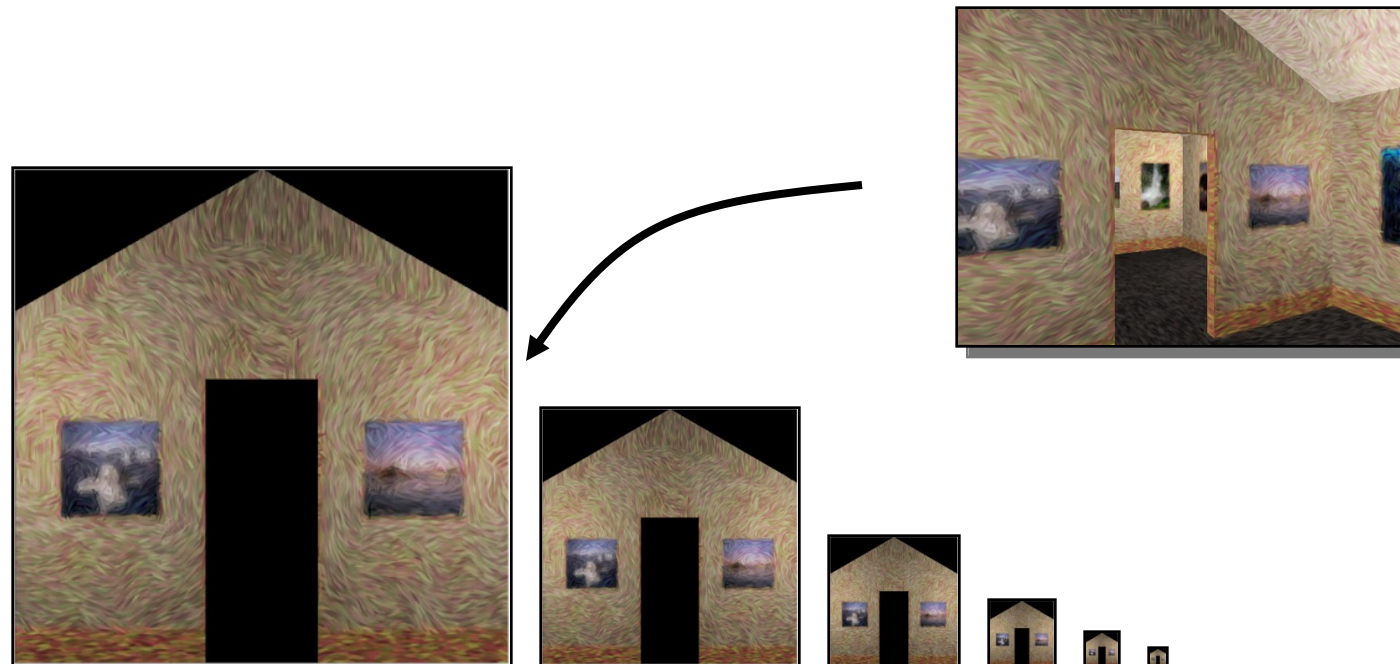


Minification

# Mipmaps



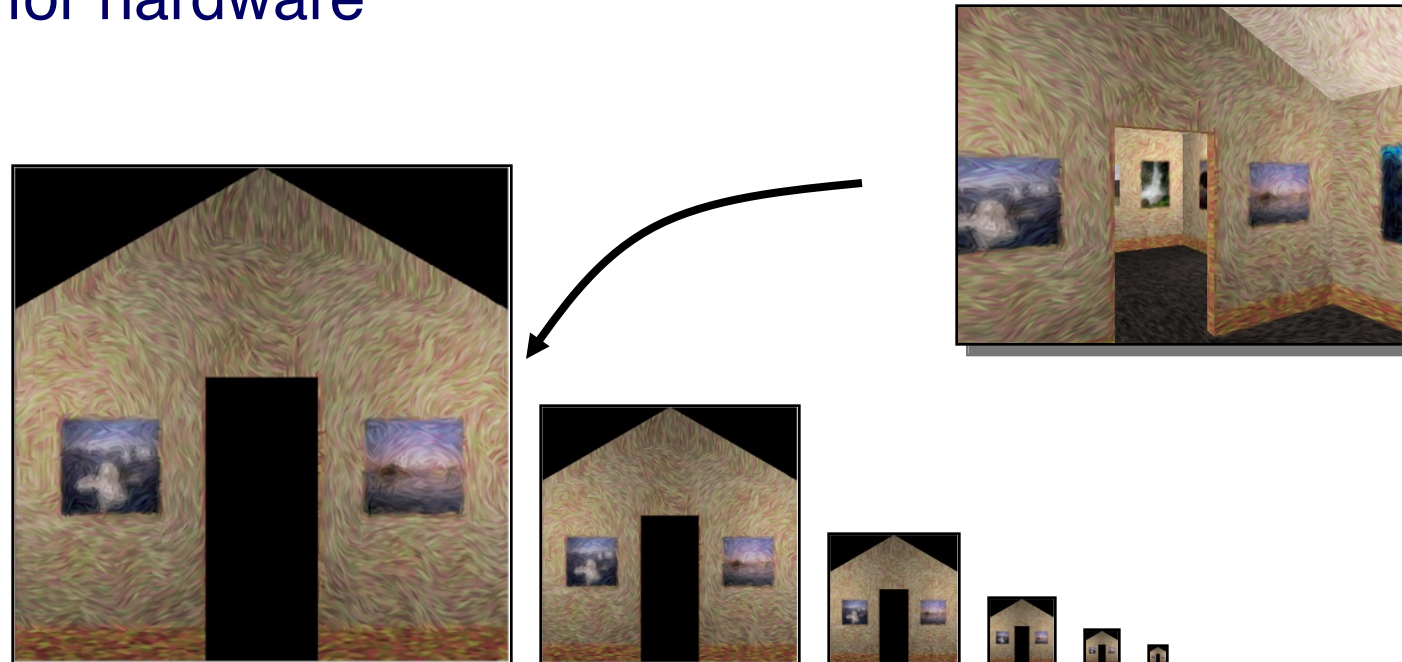
- Keep textures prefiltered at multiple resolutions
  - Usually powers of 2



# Mipmaps



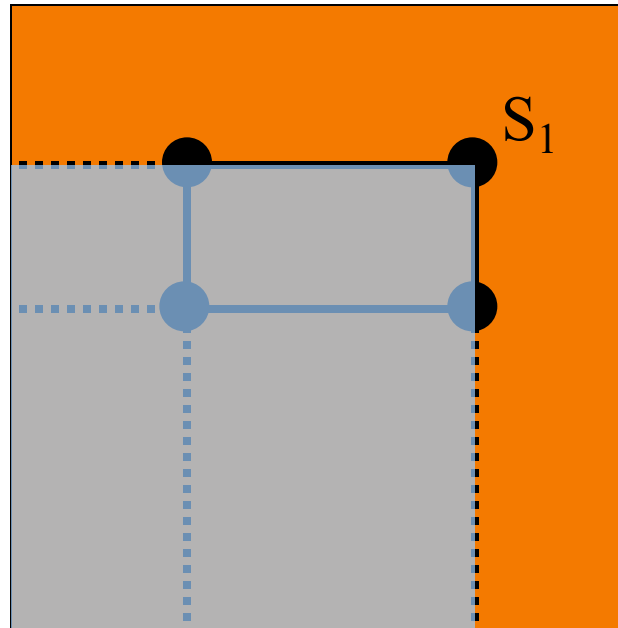
- Keep textures prefiltered at multiple resolutions
  - Usually powers of 2
  - For each pixel, linearly interpolate between two closest levels (i.e., **trilinear** filtering)
  - Fast, easy for hardware



# Summed-area tables



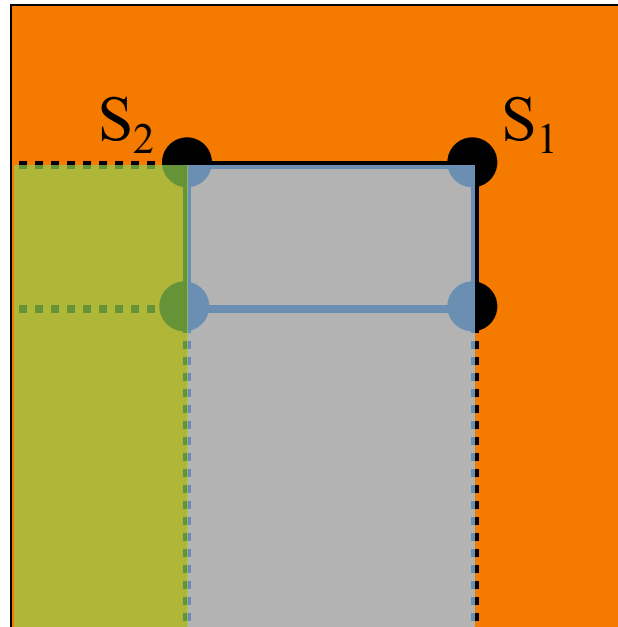
- At each texel keep sum of all values down & left
  - To compute sum of all values within a rectangle, simply combine four entries:  $S_1$



# Summed-area tables



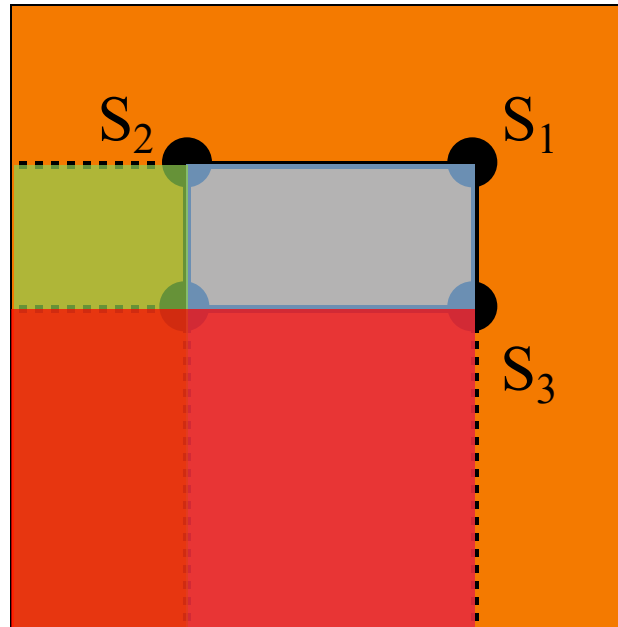
- At each texel keep sum of all values down & left
  - To compute sum of all values within a rectangle, simply combine four entries:  $S_1 - S_2$



# Summed-area tables



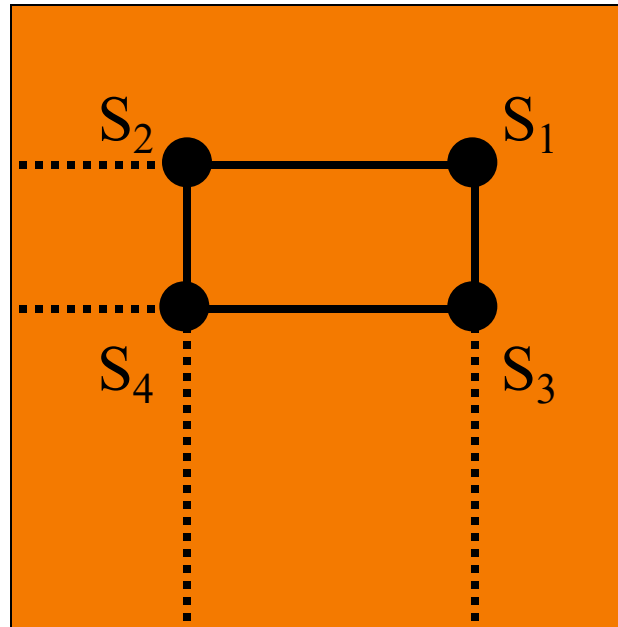
- At each texel keep sum of all values down & left
  - To compute sum of all values within a rectangle, simply combine four entries:  $S_1 - S_2 - S_3$



# Summed-area tables



- At each texel keep sum of all values down & left
  - To compute sum of all values within a rectangle, simply combine four entries:  $S_1 - S_2 - S_3 + S_4$
  - Better ability to capture oblique projections, but still not perfect



- (Mipmaps are more common.)

# Texture Overview



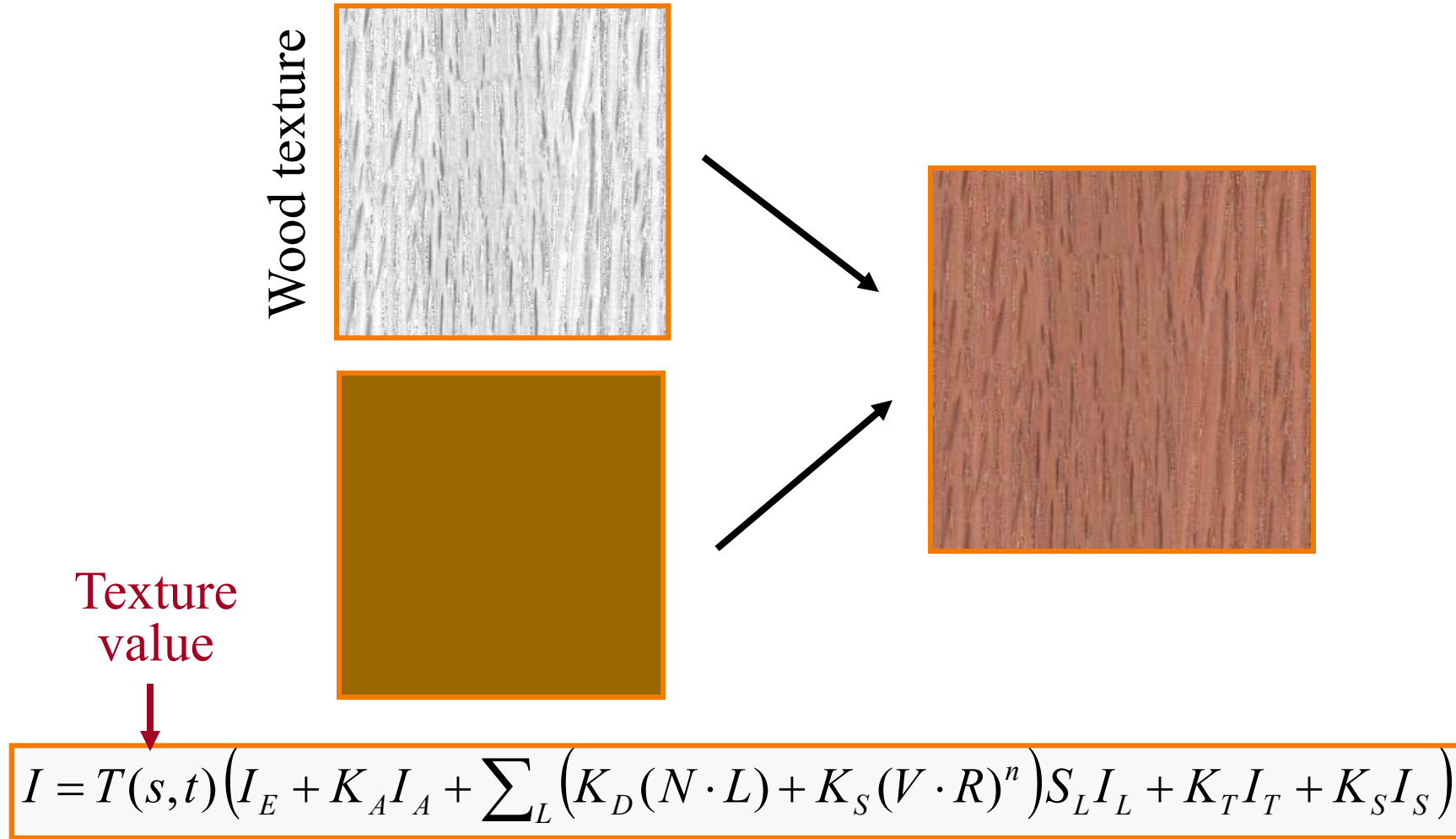
- Texture mapping stages
  - Parameterization
  - Mapping
  - Filtering
- Texture mapping applications
  - Modulation textures
  - Illumination mapping
  - Bump mapping
  - Environment mapping
  - Image-based rendering



# Modulation textures



- Texture values scale result of lighting calculation



# Illumination Mapping



- Map texture values to surface material parameter
  - $K_A$
  - $K_D$
  - $K_S$
  - $K_T$
  - $n$



Texture  
value

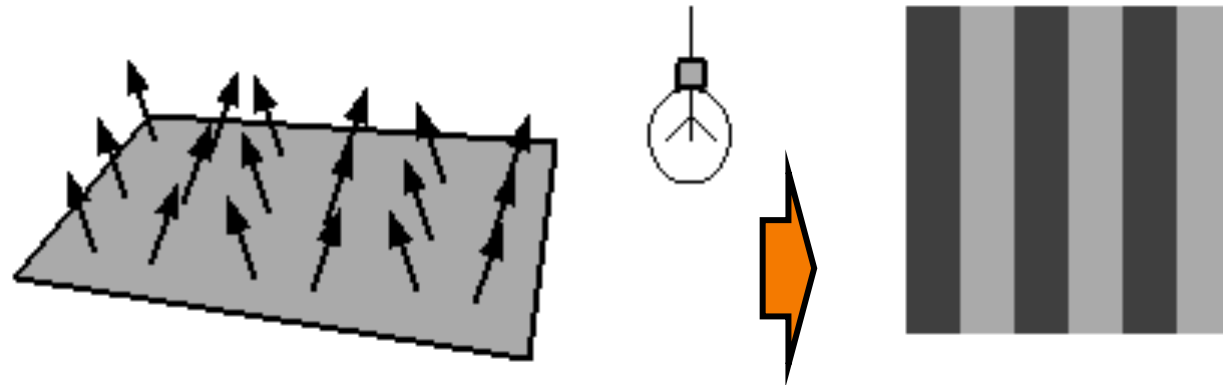


$$I = I_E + K_A I_A + \sum_L \left( K_D(s, t)(N \cdot L) + K_S (V \cdot R)^n \right) S_L I_L + K_T I_T + K_S I_S$$

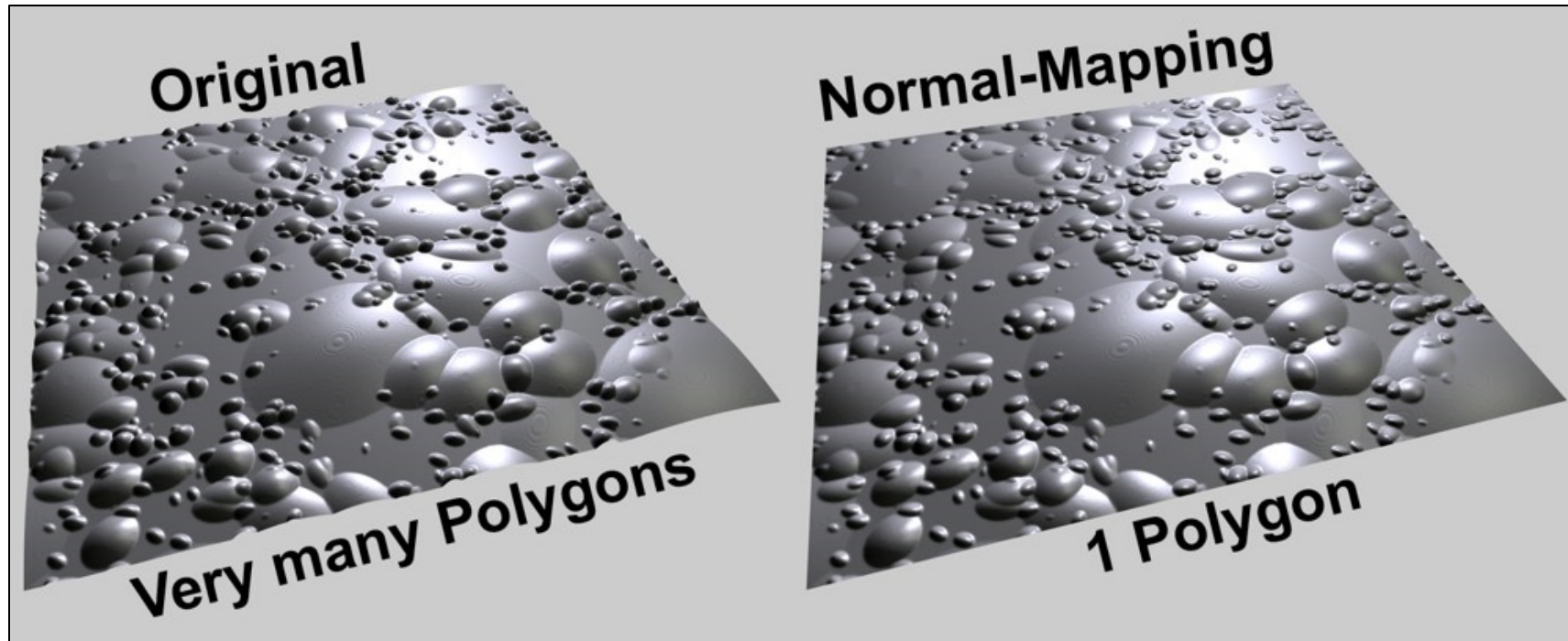
# Bump/Normal Mapping



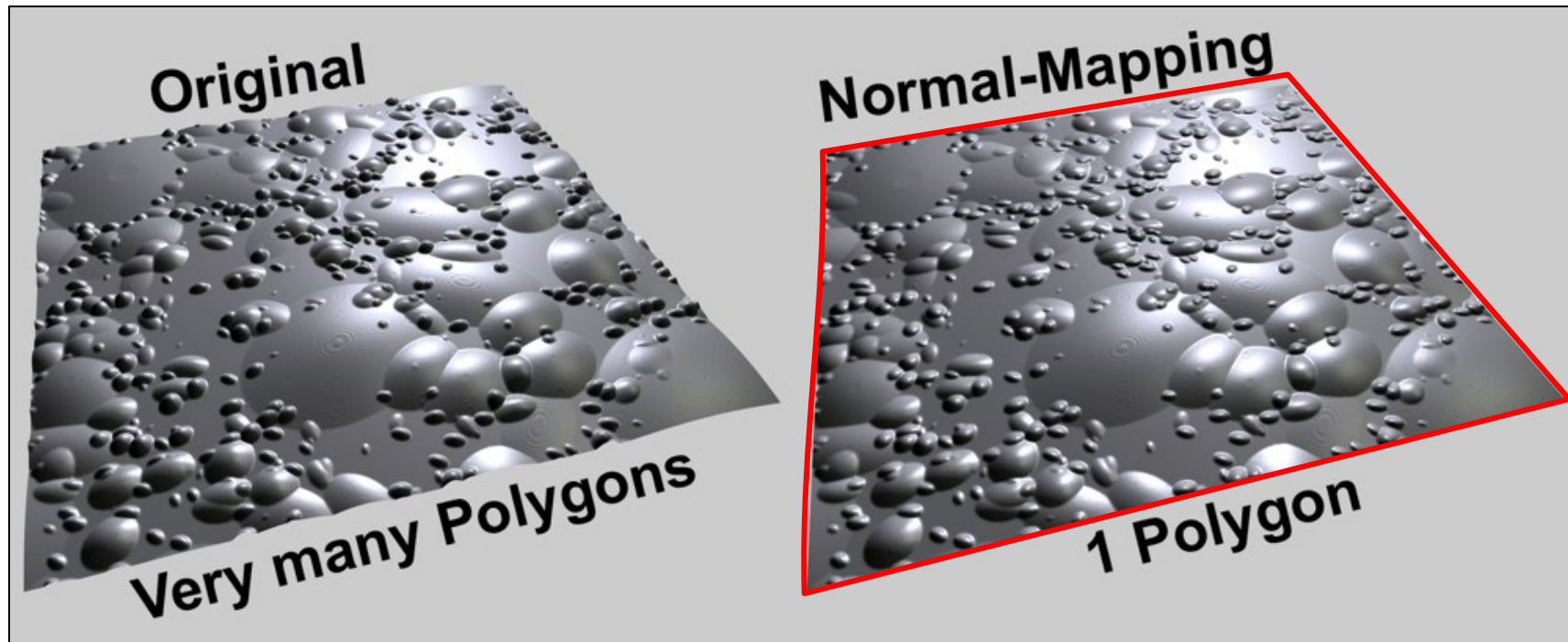
- Texture values determine or perturb surface normals:
  - Encode normals in RGB ( $R \rightarrow N_x$ ,  $G \rightarrow N_y$ ,  $B \rightarrow N_z$ ,  $0..255 \rightarrow -1..1$ )
  - Or encode normal **offsets** in RGB
  - Or use gradient of grayscale image as normal offset (“bump mapping”)



# Normal Mapping



# Normal Mapping

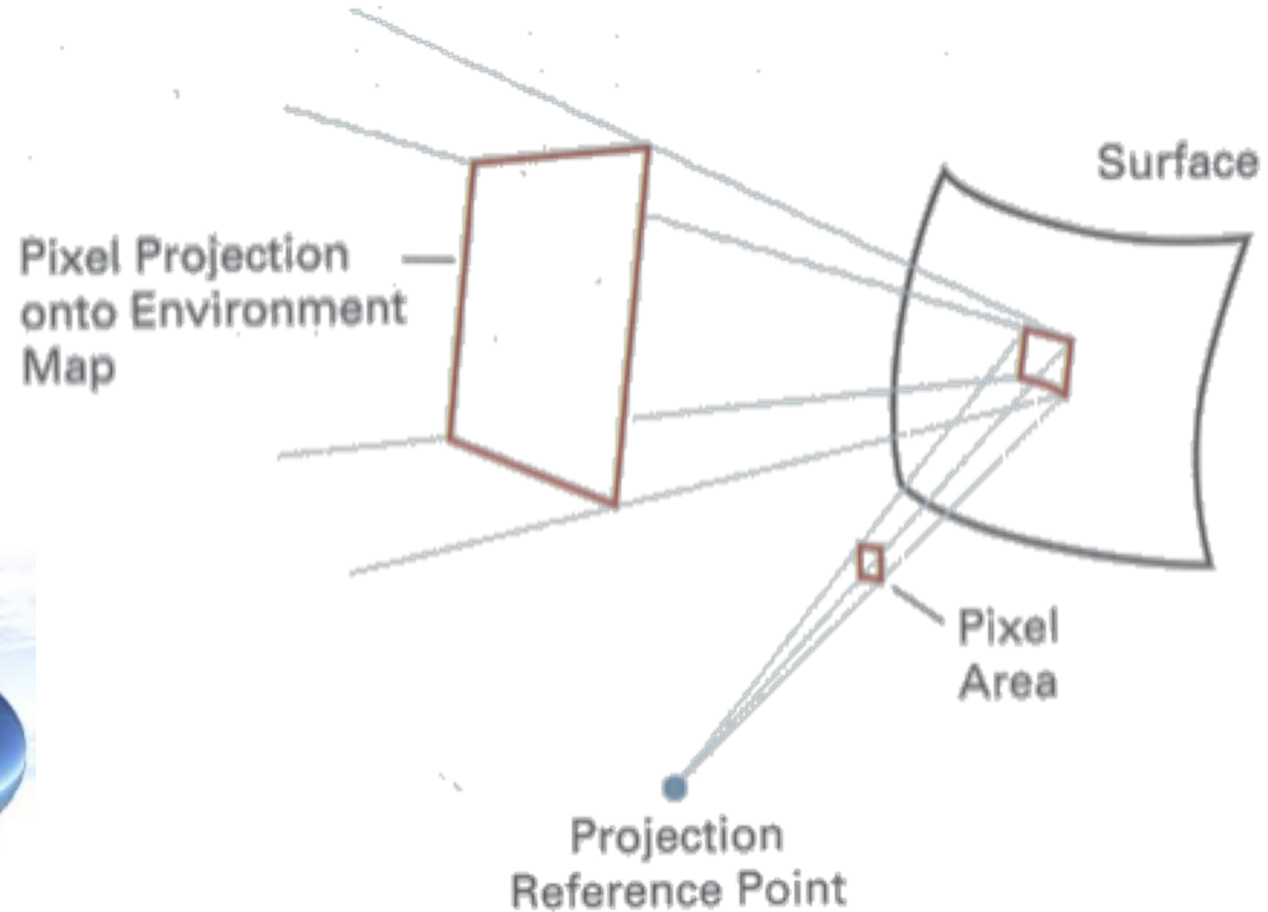
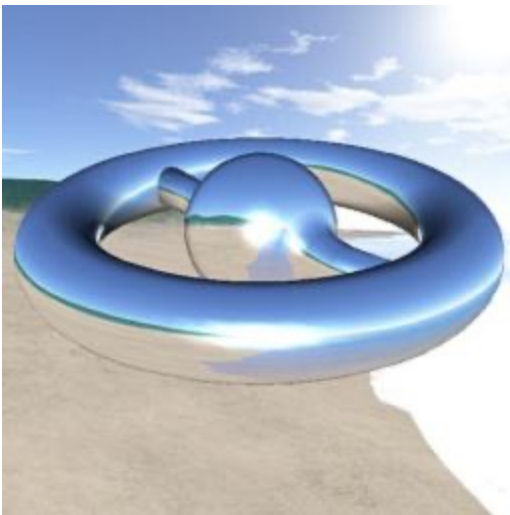


# Environment Mapping



- Texture values are reflected off surface patch

Gamer3D/Wikipedia



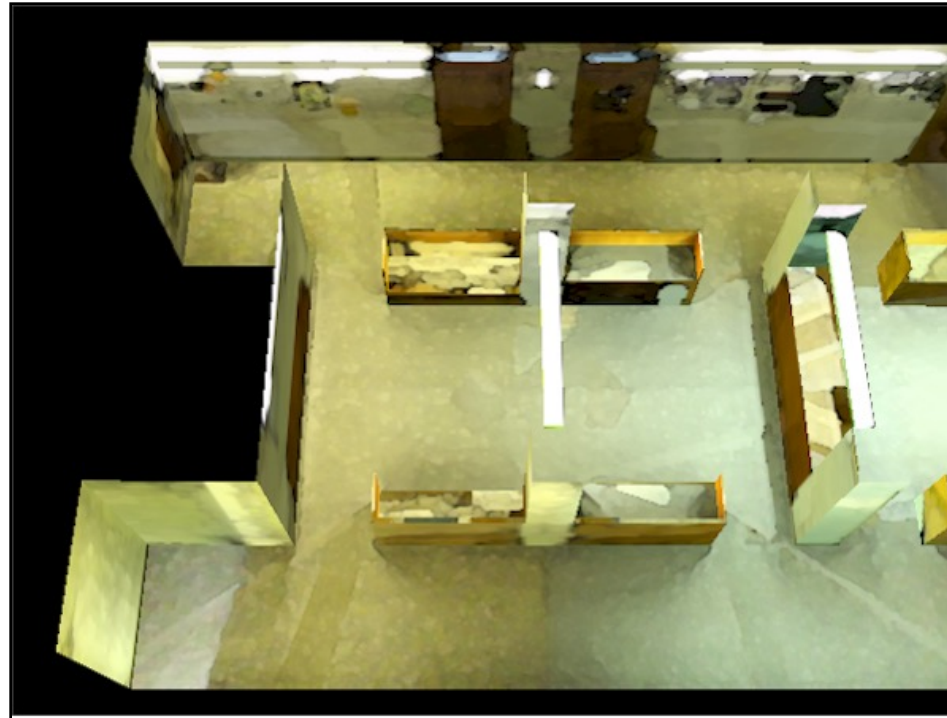
H&B Figure 14.93



# Image-Based Rendering



- Map photographic textures to provide details for coarsely detailed polygonal model



# Solid textures



- Texture values indexed by 3D location (x,y,z)
  - Expensive storage, or

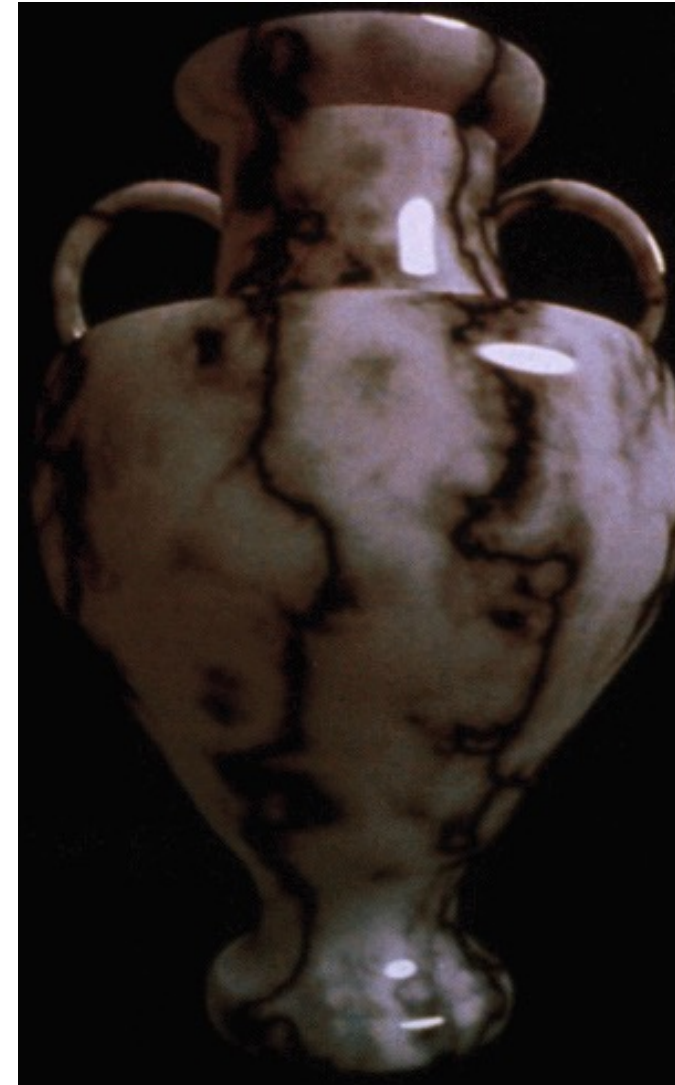




# Solid textures



- Texture values indexed by 3D location (x,y,z)
  - Expensive storage, or
  - Compute on the fly, e.g. Perlin noise →



# Rasterization

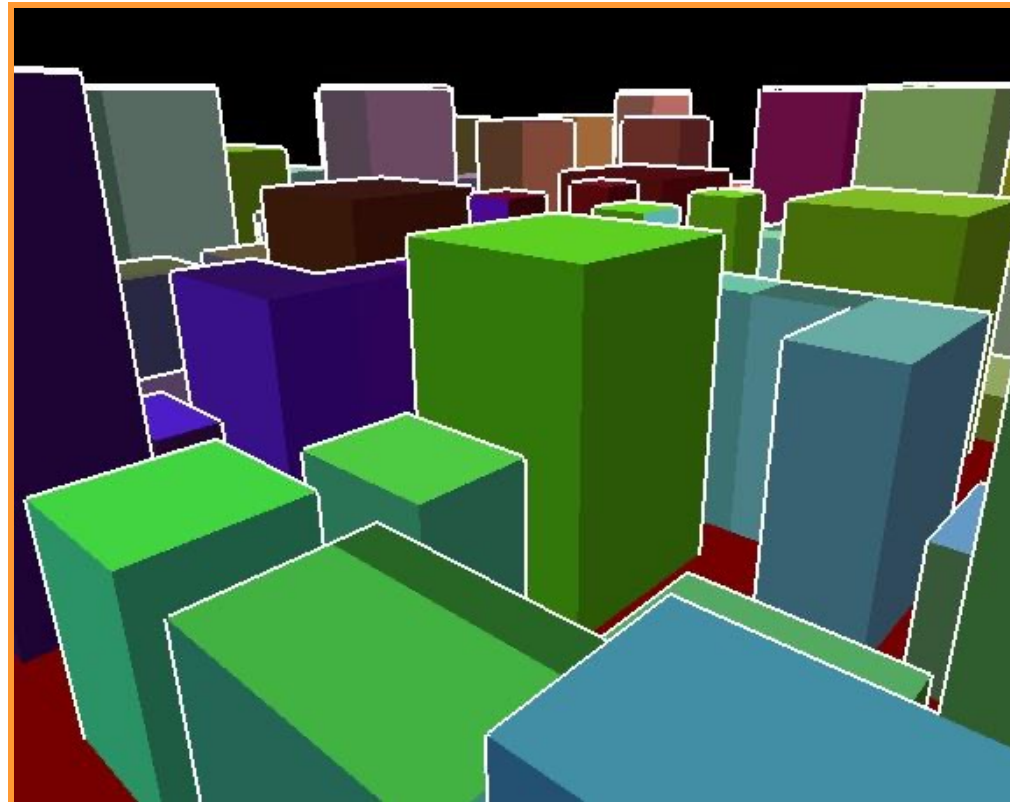


- Scan conversion
  - Determine which pixels to fill
- Shading
  - Determine a color for each filled pixel
- Texture mapping
  - Describe shading variation within polygon interiors
- Visible surface determination
  - Figure out which surface is front-most at every pixel

# Visible Surface Determination



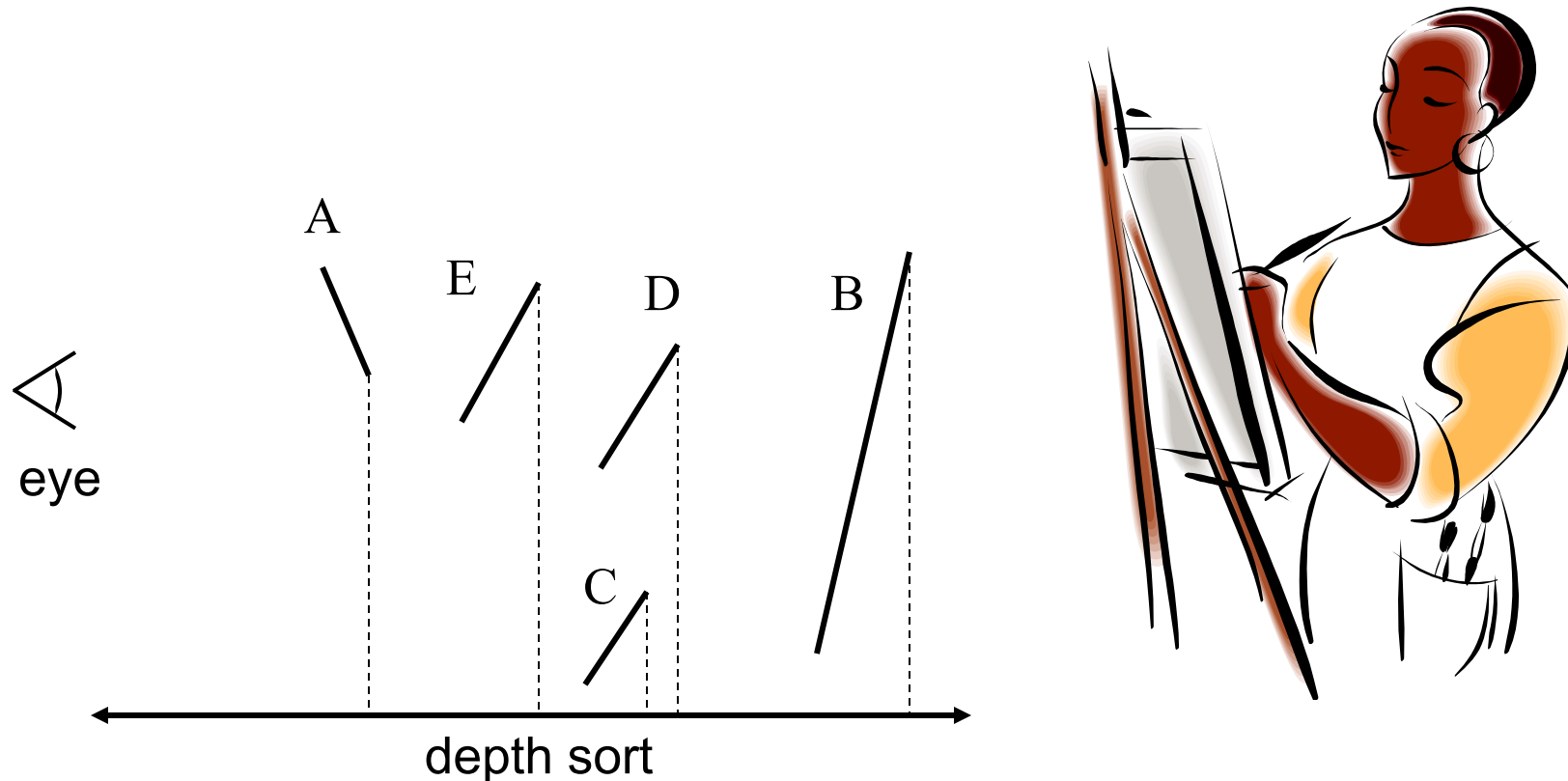
- Make sure only front-most surface contributes to color at every pixel



# Depth sort



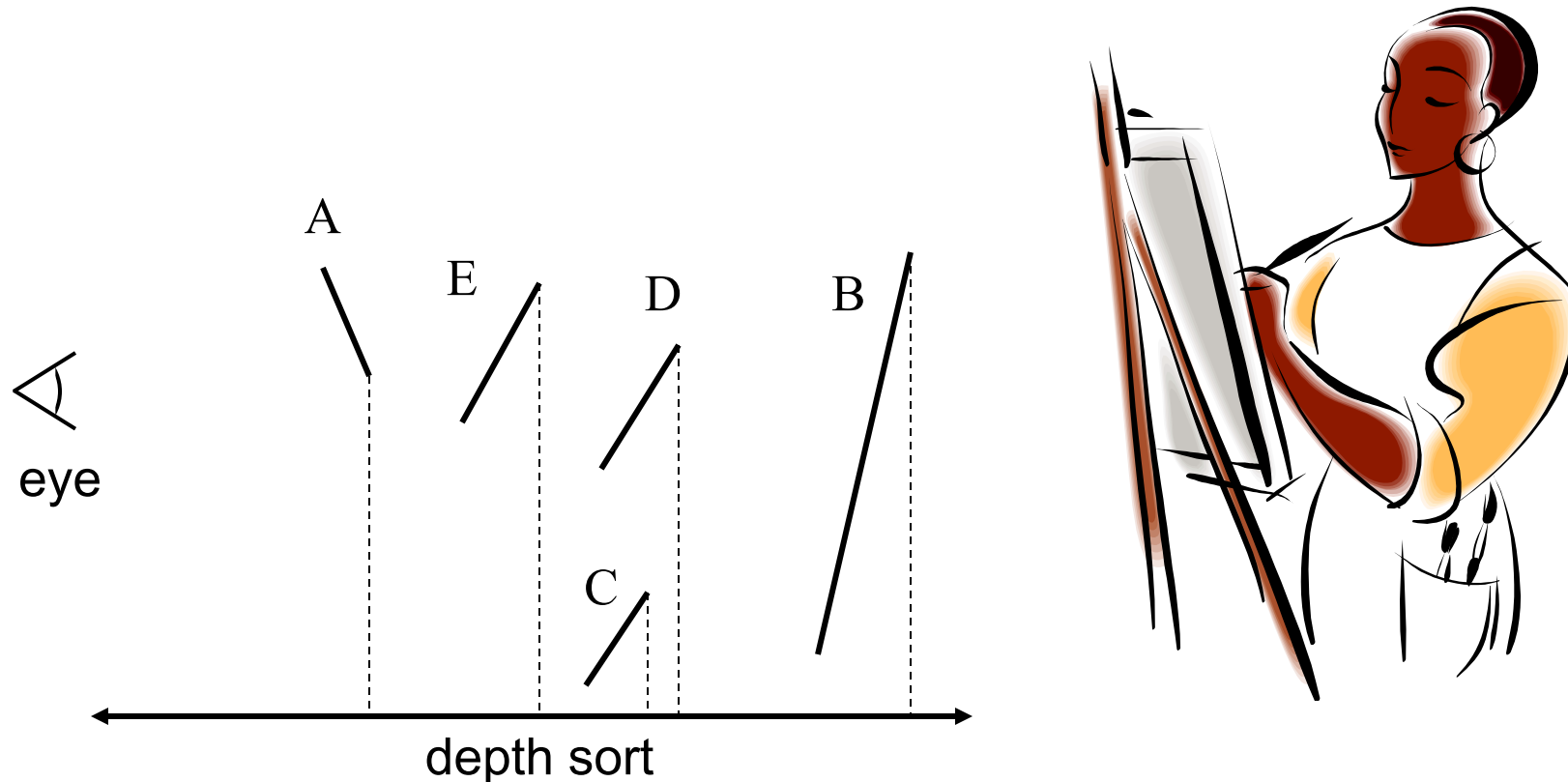
- “Painter’s algorithm”
  - First sort surfaces in order of decreasing **maximum depth**



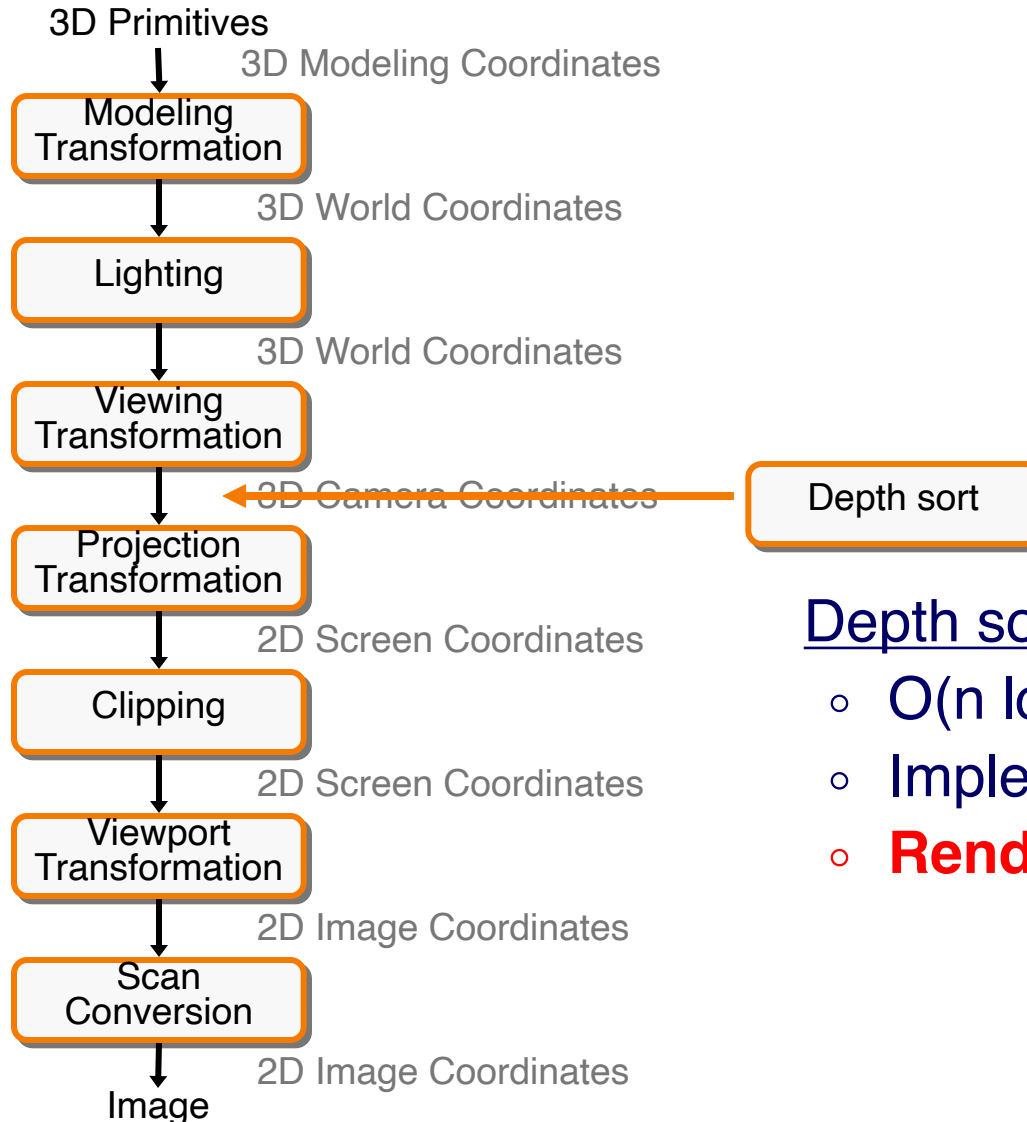
# Depth sort



- “Painter’s algorithm”
  - First sort surfaces in order of decreasing **maximum depth**
  - Scan convert surfaces in **back-to-front** order, **always overwriting** pixels



# 3D Rasterization Pipeline



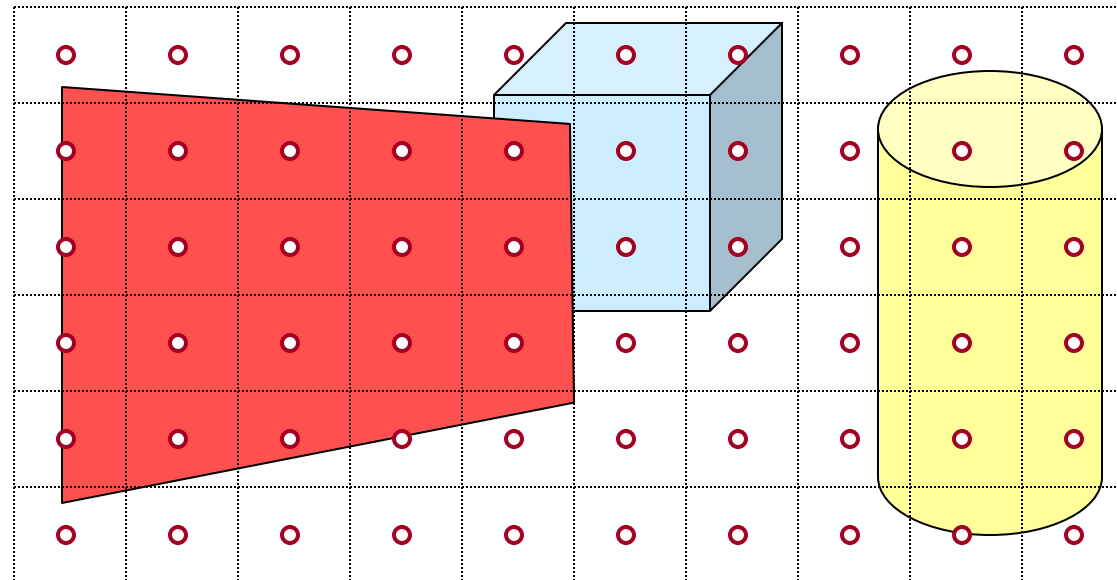
## Depth sort comments

- $O(n \log n)$
- Implemented in software
- **Render pixels of every polygon**

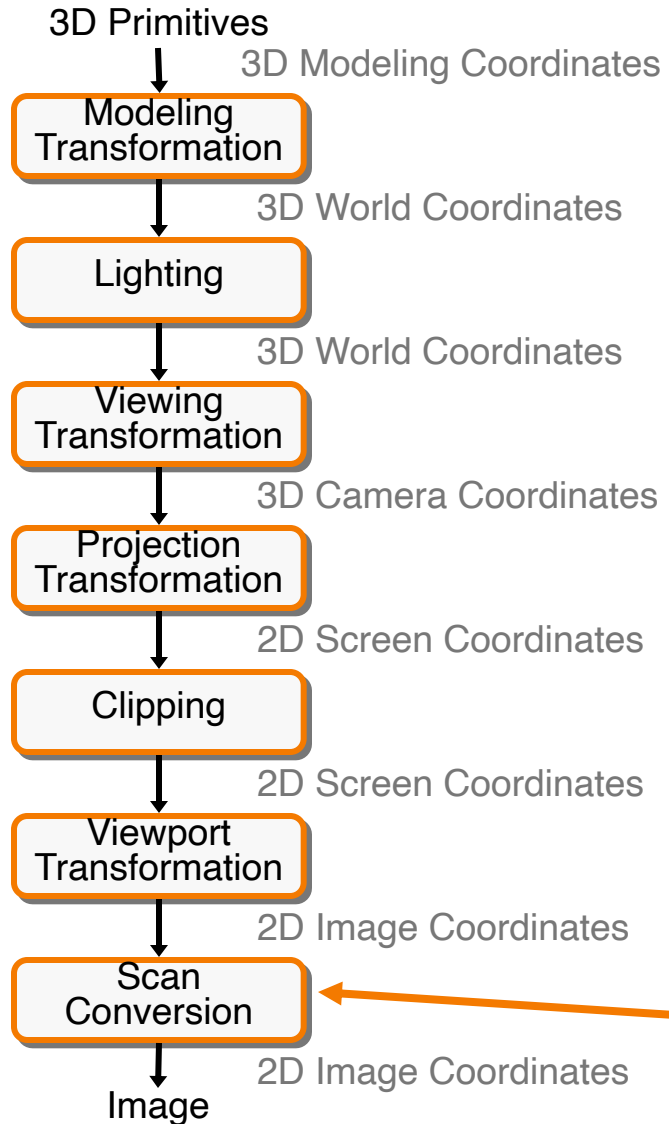
# Z-Buffer



- Maintain color & depth of closest object per pixel
  - Framebuffer now RGBA<sub>z</sub> – initialize z to far plane
  - Update only pixels with depth **closer** than currently in z-buffer
  - Depths are interpolated for in-primitive pixels from vertices, just like colors

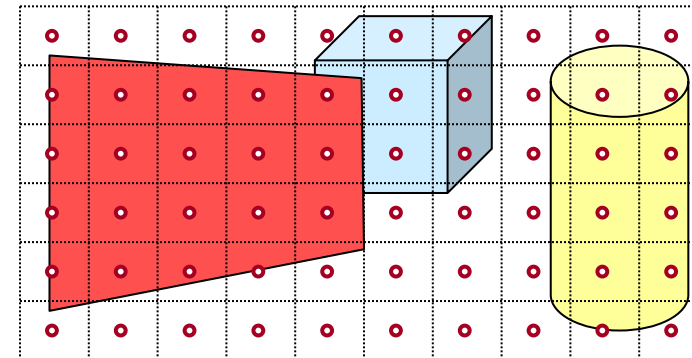


# Z-Buffer



## Z-buffer comments

- + Polygons rasterized in any order
- + Process one polygon at a time
- + Suitable for hardware pipeline
- Requires extra memory for z-buffer
  - o Commonly in hardware



Z-Buffer



# Hidden Surface Removal Algorithms



36 • I. E. Sutherland, R. F. Sproull, and R. A. Schumacker

A Characterization of Ten Hidden-Surface Algorithms • 37

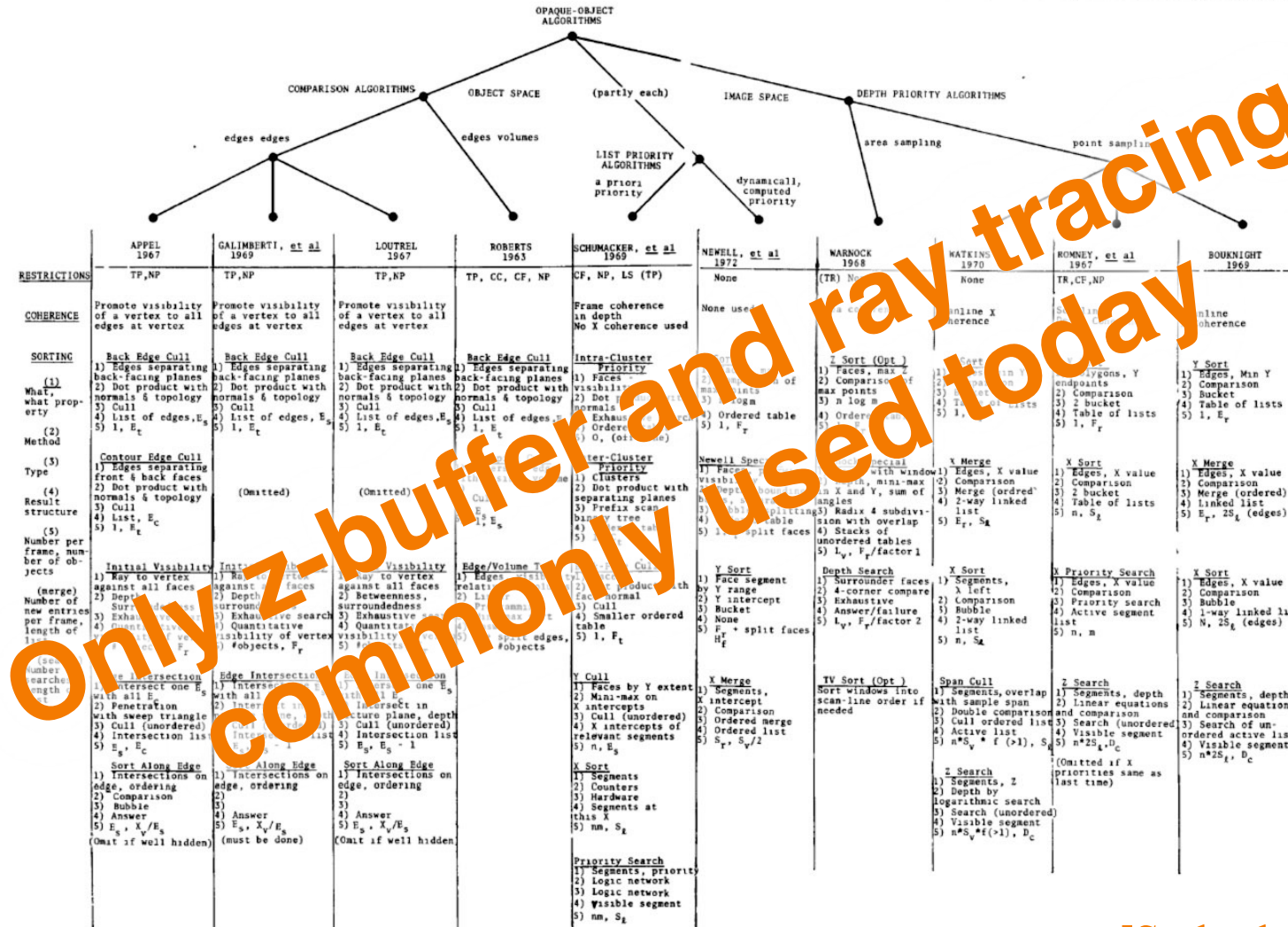


Figure 29. Characterization of ten opaque-object algorithms. b. Comparison of the algorithms.

[Sutherland '74]

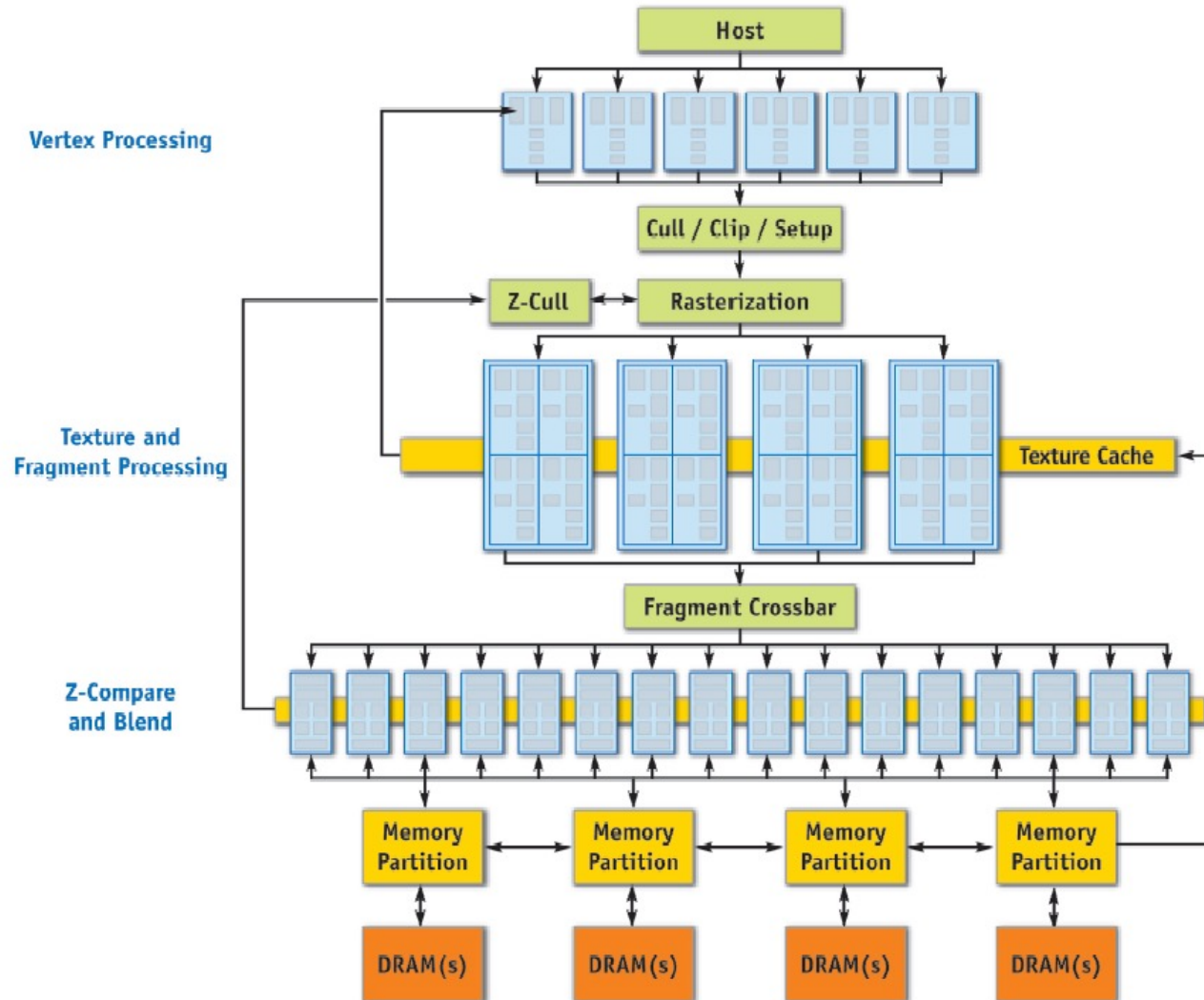
# Rasterization Summary



- Scan conversion
  - Sweep-line algorithm
- Shading algorithms
  - Flat, Gouraud, Phong
- Texture mapping
  - Mipmaps
- Visibility determination
  - Z-buffer

This is all in hardware

# GPU Architecture

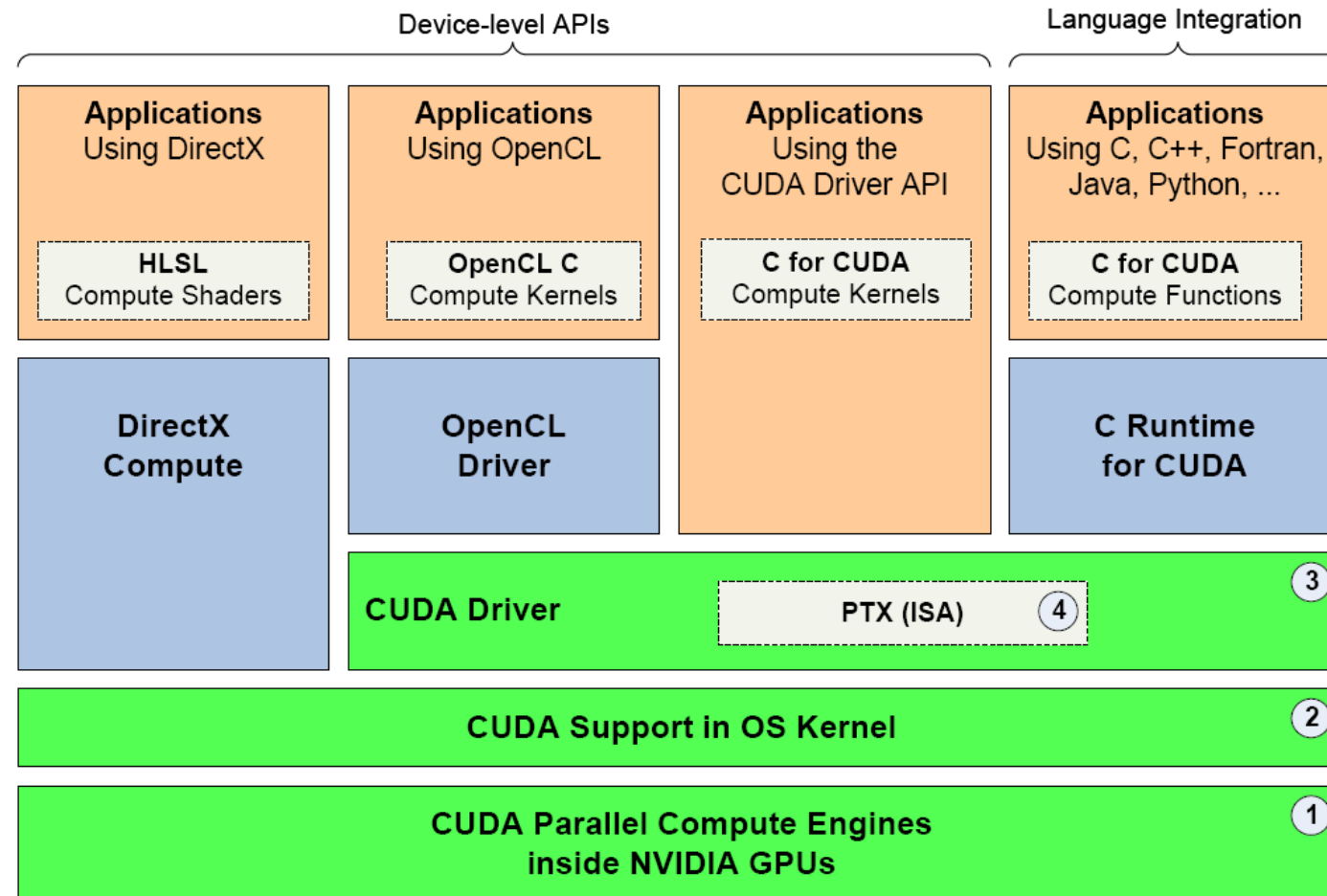


GeForce 6 Series Architecture

# Actually ...



- Modern graphics hardware is programmable



# Trend ...



- GPU is general-purpose parallel computer

