# Proving the Equivalence of Two Modules

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```
module type SET =
   sig
   type `a set
   val empty : `a set
   val mem : `a -> `a set -> bool
   ...
end
```

- When explaining our modules to clients, we would like to explain them in terms of *abstract values* 
  - sets, not the lists (or maybe trees) that implement them
- From a client's perspective, operations act on abstract values
- Signature comments, specifications, preconditions and postconditions should be defined in terms of those abstract values
- *How are these abstract values connected to the implementation?*





















#### A more general view



abstract then apply the abstract op == apply concrete op then abstract

## Another Viewpoint

A specification is really just another implementation (in this viewpoint)

but it's often simpler ("more abstract")

We can use similar ideas to compare *any two implementations of the same signature. Just come up with a relation between corresponding values of abstract type.* 



We ask: Do operations like f take related arguments to related results?

# What is a specification?

It is really just another implementation

but it's often simpler ("more abstract")

We can use similar ideas to compare any two implementations of the same signature. Just come up with a relation between corresponding values of abstract type.



```
module type S =
sig
type t
val zero : t
val bump : t -> t
val reveal : t -> int
end
```

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

```
module M2 : S =
struct
type t = int
let zero = 2
let bump n = n + 2
let reveal n = n/2 - 1
end
```

Consider a client that might use the module:

let x1 = M1.bump (M1.bump (M1.zero)

let x2 = M2.bump (M2.bump (M2.zero)

What is the relationship?

is\_related (x1, x2) = x1 == x2/2 - 1

And it persists: Any sequence of operations produces related results from M1 and M2!

module type S =
sig
type t
val zero : t
val bump : t -> t
val reveal : t -> int
end

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

module M2 : S =
struct
type t = int
let zero = 2
let bump n = n + 2
let reveal n = n/2 - 1
end

Recall: A representation invariant is a property that holds for all values of abs. type:

- if M.v has abstract type t,
  - we want inv(M.v) to be true

Inter-module relations are a lot like representation invariants!

- if M1.v and M2.v have abstract type t,
  - we want is\_related(M1.v, M2.v) to be true

It's just a relation between two modules instead of one

# Relations may imply the Rep Inv

When defining our relation, we will often do so in a way that implies the representation invariant.

ie: a value in M1 will not be related to any value in M2 unless it satisfies the representation invariant.



module type S =
sig
type t
val zero : t
val bump : t -> t
val reveal : t -> int
end

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

module M2 : S =
struct
type t = int
let zero = 2
let bump n = n + 2
let reveal n = n/2 - 1
end

is\_related (x1, x2) = (x1 == x2/2 - 1) && x1 >= 0 && even x2 is\_related (x1, x2) implies x1 >= 0rep inv for M1 is\_related (x1, x2) implies even x2 && x2 > 0 rep inv for M2

module type S =
sig
type t
val zero : t
val bump : t -> t
val reveal : t -> int
end

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

module M2 : S =
struct
type t = int
let zero = 2
let bump n = n + 2
let reveal n = n/2 - 1
end

But For Now:

is\_related (x1, x2) = (x1 == x2/2 - 1)

```
module type S =
sig
type t
val zero : t
val bump : t -> t
val reveal : t -> int
end
```

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

```
module M2 : S =
struct
type t = int
let zero = 2
let bump n = n + 2
let reveal n = n/2 - 1
end
```

Consider zero, which has abstract type t.

Must prove: is\_related (M1.zero, M2.zero)

```
Equvalent to proving: M1.zero == M2.zero/2 - 1
```

Proof:

```
M1.zero
```

== 0 == 2/2 – 1

(substitution) (math) (substitution) is\_related (x1, x2) = x1 == x2/2 - 1

```
module type S =
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type t
val zero : t
val bump : t -> t
val reveal : t -> int
end
```

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

```
module M2 : S =

struct

type t = int

let zero = 2

let bump n = n + 2

let reveal n = n/2 - 1

end

is_related (x1, x2) =

x1 = x2/2 - 1
```

Consider bump, which has abstract type t -> t.

```
Must prove for all v1:int, v2:int
```

```
if is_related(v1,v2) then is_related (M1.bump v1, M2.bump v2)
```

```
Proof:
```

(1) Assume is\_related(v1, v2).
(2) v1 == v2/2 - 1 (by def)

Next, prove:

(M2.bump v2)/2 – 1 == M1.bump v1

(M2.bump v2)/2 - 1== (v2 + 2)/2 - 1 (eval) == (v2/2 - 1) + 1 (math) == v1 + 1 (by 2) == M1.bump v1 (eval, reverse)

```
module type S =
sig
type t
val zero : t
val bump : t -> t
val reveal : t -> int
end
```

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

M2.reveal v2

== M1.reveal v1

== v2/2 - 1

== v1

```
module M2 : S =

struct

type t = int

let zero = 2

let bump n = n + 2

let reveal n = n/2 - 1

end

is_related (x1, x2) =

x1 = x2/2 - 1
```

(eval)

(by 2)

(eval, reverse)

```
Consider reveal, which has abstract type t -> int.
```

```
Must prove for all v1:int, v2:int
if is_related(v1,v2) then M1.reveal v1 == M2.reveal v2
```

```
Proof:
(1) Assume is_related(v1, v2).
(2) v1 == v2/2 - 1 (by def)
```

Next, prove:

M2.reveal v2 == M1.reveal v1

# Summary of Proof Technique

To prove M1 == M2 relative to signature S,

- Start by defining a relation "is\_related":
  - is\_related (v1, v2) should hold for values with abstract type t when v1 comes from module M1 and v2 comes from module M2
- Extend "is\_related" to types other than just abstract t. For example:
  - if v1, v2 have type int, then they must be exactly the same
    - ie, we must prove: v1 == v2
  - if v1, v2 have type s1 -> s2 then we consider arg1, arg2 such that:
    - if is\_related(arg1, arg2) at type s1 then we prove
    - is\_related(v1 arg1, v2 arg2) at type s2
  - if v1, v2 have type s option then we must prove:
    - v1 == None and v2 == None, or
    - v1 == Some u1 and v2 == Some u2 and is\_related(u1, u2) at type s
- For each val v:s in S, prove is\_related(M1.v, M2.v) at type s

# MODULES WITH DIFFERENT IMPLEMENTATION TYPES

```
module type S =
  sig
  type t
  val zero : t
  val bump : t -> t
  val reveal : t -> int
  end
```

```
module M1 : S =
struct
type t = int
let zero = 0
let bump n = n + 1
let reveal n = n
end
```

```
module M2 : S =
struct
type t = int
let zero = 2
let bump n = n + 2
let reveal n = n/2 - 1
end
```

#### Different representation types

```
module type S =
  sig
  type t
  val zero : t
  val bump : t -> t
  val reveal : t -> int
end
```

```
module M1 : S =
struct
type t = int
let zero = 0
let bump x = x + 1
let reveal x = x
end
```

```
module M2 : S =
struct
type t = Zero | S of t
let zero = Zero
let bump x = S x
let rec reveal x =
match x with
| Zero -> 0
| S x -> 1 + reveal x
end
```

Two modules with abstract type t will be declared equivalent if:

- one can define a relation between corresponding values of type t
- one can show that *the relation is preserved by all operations*

If we do indeed show the relation is "preserved" by operations of the module (an idea that depends crucially on the *signature* of the module) then *no client will ever be able to tell the difference between the two modules even though their data structures are implemented by completely different types*!

### **Different Representation Types**

module type S =
 sig
 type t
 val zero : t
 val bump : t -> t
 val reveal : t -> int
end

module M1 : S =
struct
type t = int
let zero = 0
let bump x = x + 1
let reveal x = x
end

module M2 : S =
struct
type t = Zero | S of t
let zero = Zero
let bump x = S x
let rec reveal x =
match x with
| Zero -> 0
| S x -> 1 + reveal x
end

is\_related (x1, x2) =
 x1 == M2.reveal x2

#### Module Abstraction



John Reynolds, 1935-2013

Discovered the polymorphic lambda calculus (first polymorphic type system).

Developed *Relational Parametricity*: A technique for proving the equivalence of modules.

Abstraction functions define the relationship between a concrete implementation and the abstract view of the client

 We should prove concrete operations implement abstract ones described to our customers/clients

We prove any two modules are equivalent by

- Defining a relation between values of the modules with abstract type
- We get to assume the relation holds on inputs; prove it on outputs

Rep invariants and "is\_related" predicates are called logical relations

# Software Verification (preview of COS 510 "Programming Languages")

# Andrew W. Appel



Princeton University

# Formal reasoning

### about programs



# Formal reasoning about programs and programming languages



# Which of these things do we do



# We can do all of these


# COS 510: Machine-checked, formal reasoning about programs and programming languages



## **EXAMPLE: LENGTH, APP**

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<pre>Fixpoint length {A} (xs: list A) : nat := match xs with   nil =&gt; 0   x::xs' =&gt; 1 + length xs' end. Eval compute in length (1::2::3::4::nil).</pre>					
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Proof. intros.	length (app nil ys) = length nil + length ys (2/2)
induction xs. - (* base case *) simpl. reflexivity.	length (app (a :: xs) ys) = length (a :: xs) + length ys
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- (* inductive case *) simpl. reflexivity. Qed.	Messages > Errors > Jobs >
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Theorem app_length: forall {A} (xs ys: list A), length (app xs ys) = length xs + length ys. Proof. intros. induction xs. - (* base case *) simpl, reflexivity. - [* inductive case *] simpl. reflexivity. Qed.	1 subgoal A : Type ys : list A (1/1) length ys = length ys Messages > Errors > Jobs >
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Theorem app_length: forall {A} (xs ys: list A), length (app xs ys) = length xs + length ys. Proof. intros. induction xs. - (* base case *) simpl. reflexivity. - (* inductive case *) simpl. reflexivity. Qed.	This subproof is complete, but there are some unfocused goals: (1/1) length (app (a :: xs) ys) = length (a :: xs) + length ys Messages > Errors > Jobs >
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Theorem app_assoc: forall {A} (xs ys zs: list A), app xs (app ys zs) = app (app xs ys) zs. Proof intros. induction xs. - (* base case *) simpl. reflexivity. - (* inductive case *) simpl. rewrite IHxs. reflexivity. Qed.	1 subgoal (1/1) forall (A : Type) (xs ys zs : list A), app xs (app ys zs) = app (app xs ys) zs Messages > Errors > Jobs >	
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## **Applications of Formal Methods**

## Attacking a web server



## Attacking a web browser



## Attacking everything in sight



PDF viewer

Web browser

#### Operating-system kernel

TCP/IP stack

Any application that ever sees input directly from the outside

# Solution: implement the outward-facing parts of software without any bugs!



TCP/IP stack

Any application that ever sees input directly from the outside

### In recent years, great progress in . . .

- Proved-correct optimizing C compiler (France)
- Proved-correct ML compiler (Sweden, Princeton)
- Proved-correct O.S. kernels (Australia, New Haven)
- Proved-correct crypto (Princeton NJ, Cambridge MA)
- Proved-correct distributed systems (Seattle, Israel)
- Proved-correct web server (Philadelphia)
- Proved-correct malloc/free library (Princeton, Hoboken)

## Automated verification in industry

Amazon Microsoft Intel Facebook Google Galois, HRL, Rockwell, Bedrock, ...

### Recent Princeton JIW / Sr. Thesis

- Katherine Ye '16 verified crypto security
- Naphat Sanguansin '16 verified crypto impl'n
- Brian McSwiggen '18 verified B-trees
- Katja Vassilev '19 verified dead-var elimination
- John Li '19 verified uncurrying
- Jake Waksbaum '20 verified Burrows-Wheeler
- Anvay Grover '20 verified CPS-conversion

#### ACM Conference on Computer and Communications Security 2017

#### Verified Correctness and Security of mbedTLS HMAC-DRBG

Katherine Q. Ye **'16** Princeton U., Carnegie Mellon U.

Matthew Green Johns Hopkins University

Lennart Beringer Princeton University Adam Petcher Oracle Naphat Sanguansin'16 Princeton University

Andrew W. Appel '81 Princeton University

#### ABSTRACT

We have formalized the functional specification of HMAC-DRBG (NIST 800-90A), and we have proved its cryptographic security that its output is pseudorandom—using a hybrid game-based proof. We have also proved that the mbedTLS implementation (C program) correctly implements this functional specification. That proof composes with an existing C compiler correctness proof to guarantee, end-to-end, that the machine language program gives strong pseudorandomness. All proofs (hybrid games, C program verification, compiler, and their composition) are machine-checked in the Coq proof assistant. Our proofs are modular: the hybrid game proof holds on any implementation of HMAC-DRBG that satisfies our functional specification. Therefore, our functional specification can serve as a high-assurance reference.

# Prerequisites for COS 510

if you're an undergrad

### 1. COS 326 Functional Programming

2. Enjoy the proofs in COS 326