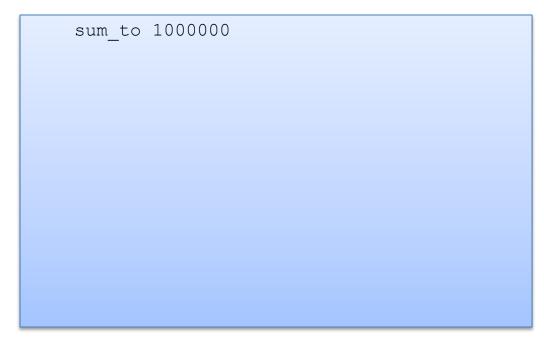
# Continuations

# COS 326 David Walker Princeton University

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A *tail-recursive function* does no work after it calls itself recursively.

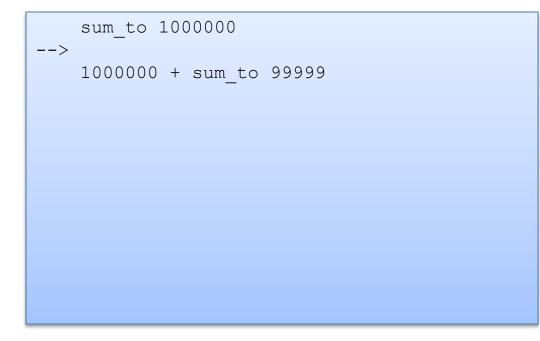
#### Not tail-recursive, the substitution model:



```
(* sum of 0..n *)
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else 0
;;
let big_int = 1000000;;
sum big_int;;
```

A *tail-recursive function* does no work after it calls itself recursively.

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;;
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```

A *tail-recursive function* does no work after it calls itself recursively.

#### Not tail-recursive, the substitution model:

```
sum_to 1000000
-->
    1000000 + sum_to 999999
-->
    1000000 + 999999 + sum_to 99998
```

```
(* sum of 0..n *)
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else 0
;;
let big_int = 1000000;;
sum big_int;;
```

expression size grows at every recursive call ...

lots of adding to do after the call returns"

A *tail-recursive function* does no work after it calls itself recursively.

#### Not tail-recursive, the substitution model:

```
sum_to 1000000
-->
    1000000 + sum_to 99999
-->
    1000000 + 999999 + sum_to 99998
-->
    ...
-->
    1000000 + 999999 + 99998 + ... + sum_to 0
```

```
(* sum of 0..n *)
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else 0
;;
let big_int = 1000000;;
sum big_int;;
```

A *tail-recursive function* does no work after it calls itself recursively.

#### Not tail-recursive, the substitution model:

```
sum_to 1000000
-->
    1000000 + sum_to 99999
-->
    1000000 + 999999 + sum_to 99998
-->
    ...
-->
    1000000 + 999999 + 99998 + ... + sum_to 0
-->
    1000000 + 999999 + 99998 + ... + 0
```

```
(* sum of 0..n *)
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else 0
;;
let big_int = 1000000;;
sum big int;;
```

recursion finally bottoms out

A *tail-recursive function* does no work after it calls itself recursively.

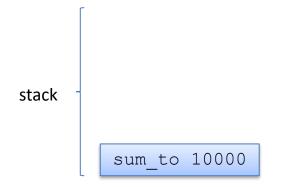
#### Not tail-recursive, the substitution model:

```
sum_to 1000000
-->
1000000 + sum_to 99999
-->
1000000 + 99999 + sum_to 99998
-->
...
1000000 + 99999 + 99998 + ... + sum_to 0
-->
1000000 + 99999 + 99998 + ... + 0
-->
... add it all back up ...
```

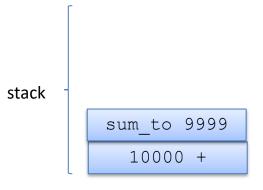
```
(* sum of 0..n *)
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else 0
;;
let big_int = 1000000;;
sum big int;;
```

do a long series of additions to get back an int

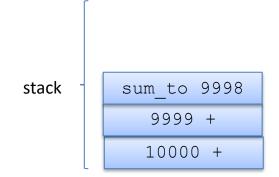
```
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else
        0
;;
sum_to 10000
```



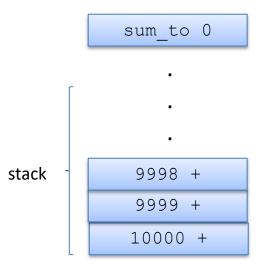
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    if n > 0 then
        n + sum_to (n-1)
    else
        0
;;
sum_to 10000
```



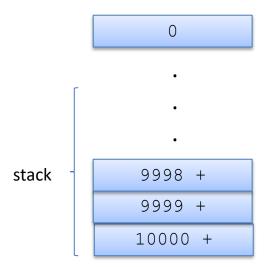
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    if n > 0 then
        n + sum_to (n-1)
    else
        0
;;
sum_to 10000
```



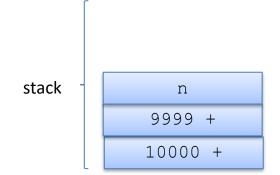
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    if n > 0 then
        n + sum_to (n-1)
    else
        0
;;
sum_to 10000
```



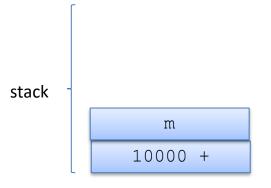
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    if n > 0 then
        n + sum_to (n-1)
    else
        0
;;
sum_to 10000
```



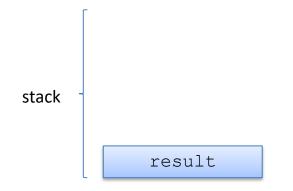
```
let rec sum_to (n:int) : int =
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        n + sum_to (n-1)
    else
        0
;;
sum_to 10000
```



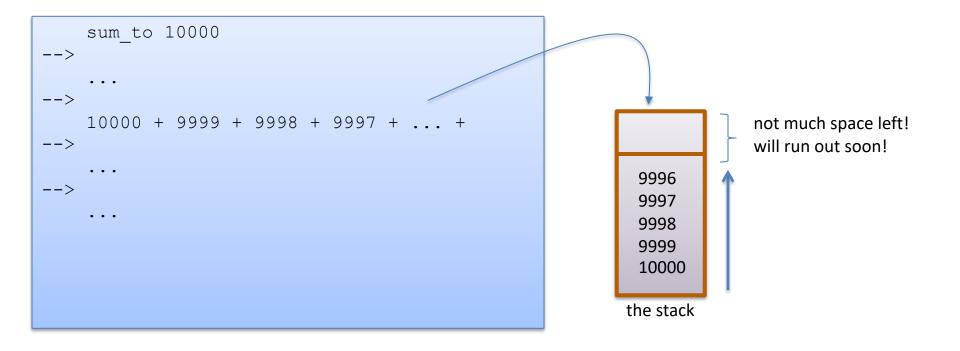
```
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else
        0
;;
sum_to 10000
```



```
let rec sum_to (n:int) : int =
    if n > 0 then
        n + sum_to (n-1)
    else
        0
;;
sum_to 100
```



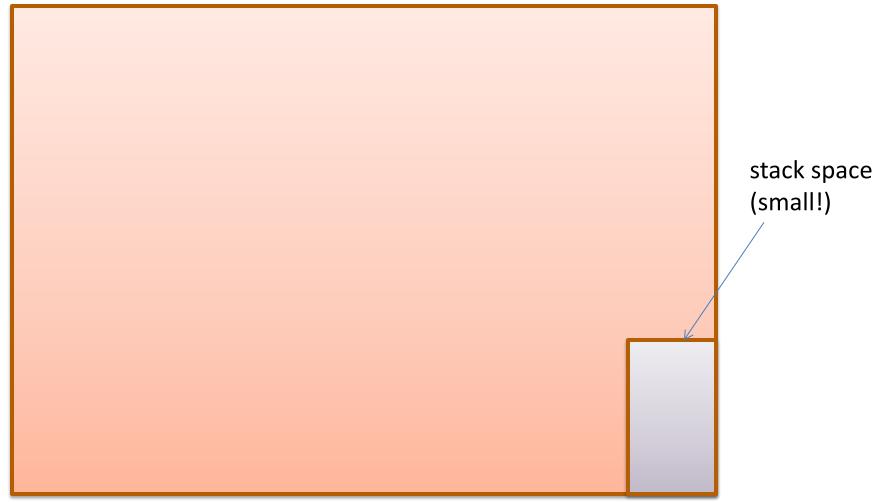
## Data Needed on Return Saved on Stack



#### every non-tail call puts the data from the calling context on the stack

# Memory is partitioned: Stack and Heap

heap space (big!)



A *tail-recursive function* is a function that does no work after it calls itself recursively.

sum_to2	1000000		

A *tail-recursive function* is a function that does no work after it calls itself recursively.

s >	um_to2 1000000
	ux 1000000 0

A *tail-recursive function* is a function that does no work after it calls itself recursively.

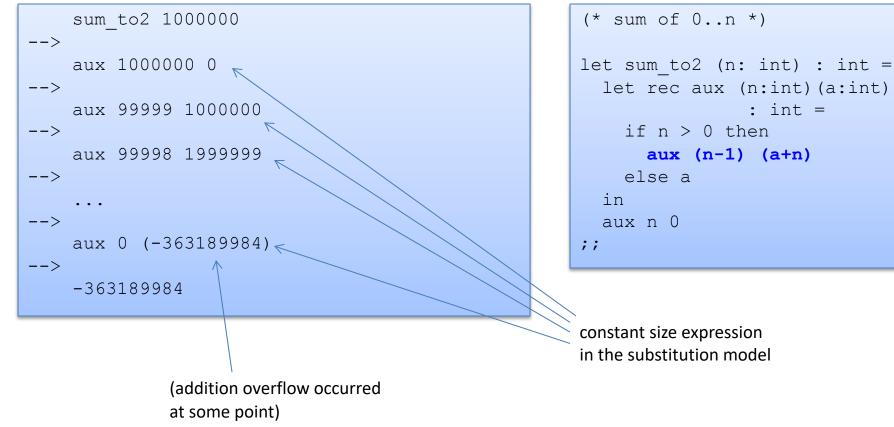
```
sum_to2 1000000
-->
aux 1000000 0
-->
aux 99999 1000000
```

A *tail-recursive function* is a function that does no work after it calls itself recursively.

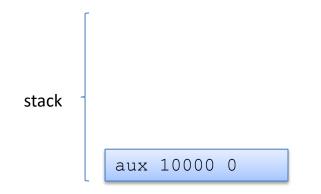
```
sum_to2 1000000
-->
aux 1000000 0
-->
aux 99999 1000000
-->
aux 99998 1999999
```

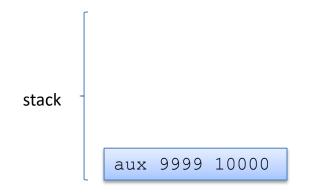
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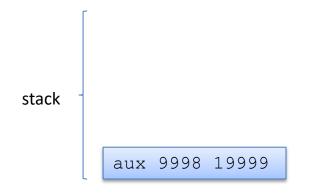
#### Tail-recursive:

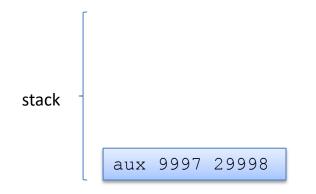


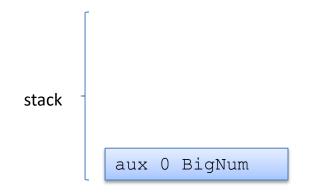
: int =











We used human ingenuity to do the tail-call transform.

Is there a mechanical procedure to transform *any* recursive function into a tail-recursive one?

not only is sum2 tail-recursive but it reimplements an algorithm that took *linear space* (on the stack) using an algorithm that executes in *constant space*!

```
let rec sum to (n: int) : int =
  if n > 0 then
    n + sum to (n-1)
  else
    \cap
;;
                                                            human
                                                            ingenuity
let sum to2 (n: int) : int =
  let rec aux (n:int) (a:int) : int =
    if n > 0 then
      aux (n-1) (a+n)
    else a
  in
  aux n 0
;;
```

# CONTINUATION-PASSING STYLE CPS!

#### CPS:

- Short for Continuation-Passing Style
- Every function takes a *continuation* (a function) as an argument that expresses "what to do next"
- CPS functions only call other functions as the last thing they do
- All CPS functions are tail-recursive

#### Goal:

- Find a mechanical way to translate any function in to CPS

### Serial Killer or PL Researcher?





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Gordon Plotkin Programming languages researcher Invented CPS conversion.

Call-by-Name, Call-by Value and the Lambda Calculus. TCS, 1975.



Robert Garrow Serial Killer

Killed a teenager at a campsite in the Adirondacks in 1974. Confessed to 3 other killings.

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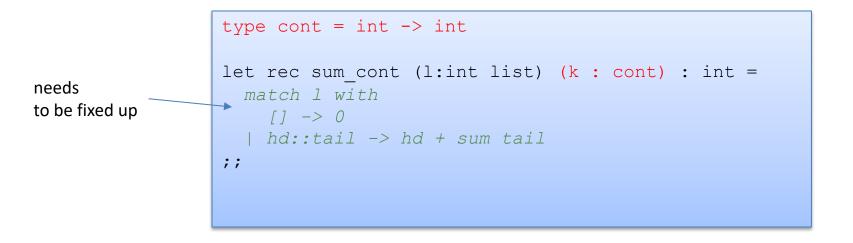
Robert Garrow Serial Killer

Killed a teenager at a campsite in the Adirondacks in 1974. Confessed to 3 other killings.

Can any non-tail-recursive function be transformed in to a tailrecursive one? Yes!

```
let rec sum (l:int list) : int =
  match l with
  [] -> 0
  | hd::tail -> hd + sum tail
;;
```

Idea: Instead of returning to do some work, add an argument called a *continuation*. That continuation "does the rest of the work." Instead of returning to do work, call the continuation to do it.



Step 1: Add the continuation. Think of this as "the work you have left to do" and always call it last to finish up that work

```
type cont = int -> int
let rec sum_cont (l:int list) (k : cont) : int =
  match l with
  [] -> k 0
  | hd::tail -> hd + sum tail
;;
```

Step 2: Call the continuation on the base case, passing it the *result* of the current computation

Trust that the continuation is going to do the rest of the work that you've saved for later when you've finished fixing up your function.

### Question

```
type cont = int -> int
let rec sum_cont (l:int list) (k : cont) : int =
match l with
[] -> k 0
| hd::tail -> sum_cont tail (fun s -> k (hd + s))
;;
To do after summing the tail:
add hd to the result (s) and then do continuation k
```

Step 3: On recursive calls, pass a new continuation that does the leftover work you were supposed to do after this call (plus the work of k)

### Question

```
type cont = int -> int
let rec sum_cont (l:int list) (k : cont) : int =
  match l with
  [] -> k 0
  | hd::tail -> sum_cont tail (fun s -> k (hd + s))
let sum (l:int list) = sum_cont l (fun s -> s)
```

Step 4: Generate the initial continuation (which does nothing – no leftover work at that start).

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
  [] -> k 0
  | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum_cont l (fun s -> s)
```

sum [1;2]

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
   [] -> k 0
   | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum cont l (fun s -> s)
```

```
sum [1;2]
-->
sum_cont [1;2] (fun s -> s)
```

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
   [] -> k 0
   | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum cont l (fun s -> s)
```

```
sum [1;2]
-->
sum_cont [1;2] (fun s -> s)
-->
sum cont [2] (fun s -> (fun s -> s) (1 + s));;
```

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
   [] -> k 0
   | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum cont l (fun s -> s)
```

```
sum [1;2]
-->
sum_cont [1;2] (fun s -> s)
-->
sum_cont [2] (fun s -> (fun s -> s) (1 + s));;
-->
sum cont [] (fun s -> (fun s -> s) (1 + s)) (2 + s))
```

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
   [] -> k 0
   | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum cont l (fun s -> s)
```

```
sum [1;2]
-->
sum_cont [1;2] (fun s -> s)
-->
sum_cont [2] (fun s -> (fun s -> s) (1 + s));;
-->
sum_cont [] (fun s -> (fun s -> s) (1 + s)) (2 + s))
-->
(fun s -> (fun s -> (fun s -> s) (1 + s)) (2 + s)) 0
```

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
    [] -> k 0
    | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum cont l (fun s -> s)
```

```
sum [1;2]
-->
sum_cont [1;2] (fun s -> s)
-->
sum_cont [2] (fun s -> (fun s -> s) (1 + s));;
-->
sum_cont [] (fun s -> (fun s -> (fun s -> s) (1 + s)) (2 + s))
-->
(fun s -> (fun s -> (fun s -> s) (1 + s)) (2 + s)) 0
-->
(fun s -> (fun s -> s) (1 + s)) (2 + 0))
```

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
    [] -> k 0
    | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum cont l (fun s -> s)
```

```
sum [1;2]
-->
sum_cont [1;2] (fun s -> s)
-->
sum_cont [2] (fun s -> (fun s -> s) (1 + s));;
-->
sum_cont [] (fun s -> (fun s -> s) (1 + s)) (2 + s))
-->
(fun s -> (fun s -> (fun s -> s) (1 + s)) (2 + s)) 0
-->
(fun s -> (fun s -> s) (1 + s)) (2 + 0))
-->
(fun s -> s) (1 + (2 + 0))
```

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
    [] -> k 0
    | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum (l:int list) : int = sum cont l (fun s -> s)
```

```
sum [1;2]
-->
    sum cont [1;2] (fun s -> s)
-->
    sum cont [2] (fun s \rightarrow (fun s \rightarrow s) (1 + s));;
-->
    sum cont [] (fun s -> (fun s -> s) (1 + s)) (2 + s))
-->
     (fun s \rightarrow (fun s \rightarrow (fun s \rightarrow s) (1 + s)) (2 + s)) 0
-->
     (fun s \rightarrow (fun s \rightarrow s) (1 + s)) (2 + 0))
-->
     (fun s -> s) (1 + (2 + 0))
-->
    1 + (2 + 0)
-->
    3
```

# CORRECTNESS OF A CPS TRANSFORM

### Are the two functions the same?

```
type cont = int -> int;;
let rec sum_cont (l:int list) (k:cont): int =
  match l with
  [] -> k 0
  | hd::tail -> sum_cont tail (fun s -> k (hd + s)) ;;
let sum2 (l:int list) : int = sum_cont l (fun s -> s)
```

```
let rec sum (l:int list) : int =
   match l with
   [] -> 0
   | hd::tail -> hd + sum tail
;;
```

Here, it is really pretty tricky to be sure you've done it right if you don't prove it. Let's try to prove this theorem and see what happens:

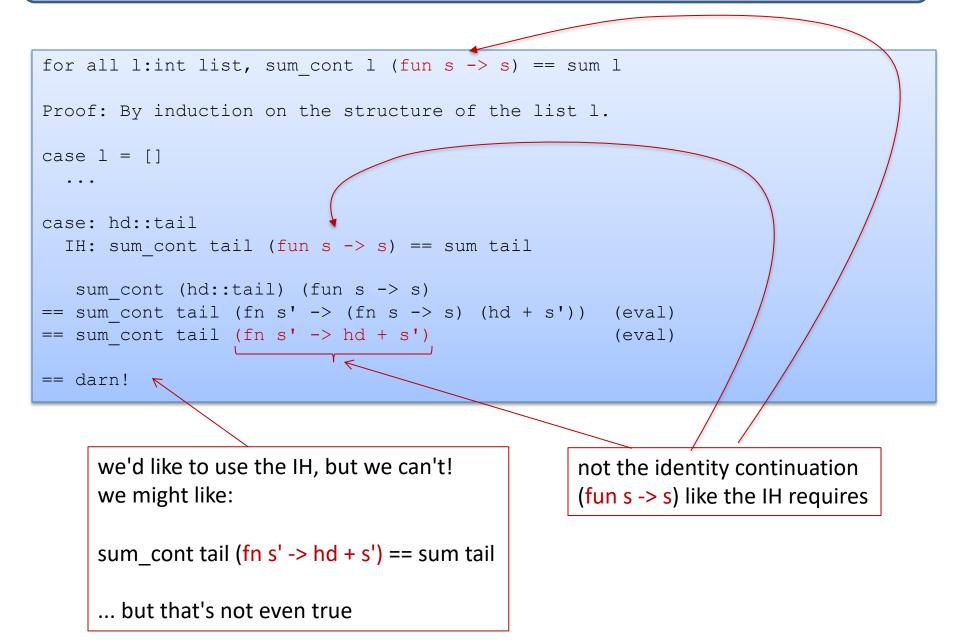
```
for all l:int list,
   sum_cont l (fun x -> x) == sum l
```

```
for all 1:int list, sum_cont 1 (fun s -> s) == sum 1
Proof: By induction on the structure of the list 1.
case 1 = []
...
case: hd::tail
IH: sum_cont tail (fun s -> s) == sum tail
```

```
for all 1:int list, sum_cont 1 (fun s -> s) == sum 1
Proof: By induction on the structure of the list 1.
case 1 = []
...
case: hd::tail
IH: sum_cont tail (fun s -> s) == sum tail
sum_cont (hd::tail) (fun s -> s)
==
```

```
for all l:int list, sum_cont l (fun s -> s) == sum l
Proof: By induction on the structure of the list l.
case l = []
...
case: hd::tail
IH: sum_cont tail (fun s -> s) == sum tail
sum_cont (hd::tail) (fun s -> s)
== sum_cont tail (fn s' -> (fn s -> s) (hd + s')) (eval)
```

```
for all l:int list, sum_cont l (fun s -> s) == sum l
Proof: By induction on the structure of the list l.
case l = []
...
case: hd::tail
IH: sum_cont tail (fun s -> s) == sum tail
sum_cont (hd::tail) (fun s -> s)
== sum_cont tail (fn s' -> (fn s -> s) (hd + s')) (eval)
== sum_cont tail (fn s' -> hd + s') (eval)
```



```
for all l:int list,
   for all k:int->int, sum cont l k == k (sum l)
```

```
for all l:int list,
   for all k:int->int, sum_cont l k == k (sum l)
```

```
Proof: By induction on the structure of the list 1.
```

case l = []

```
must prove: for all k:int->int, sum cont [] k == k (sum [])
```

```
for all l:int list,
  for all k:int->int, sum_cont l k == k (sum l)
Proof: By induction on the structure of the list l.
case l = []
  must prove: for all k:int->int, sum_cont [] k == k (sum [])
  pick an arbitrary k:
```

```
for all l:int list,
  for all k:int->int, sum_cont l k == k (sum l)
Proof: By induction on the structure of the list l.
case l = []
  must prove: for all k:int->int, sum_cont [] k == k (sum [])
  pick an arbitrary k:
     sum_cont [] k
```

```
for all 1:int list,
  for all k:int->int, sum_cont l k == k (sum l)
Proof: By induction on the structure of the list l.
case l = []
  must prove: for all k:int->int, sum_cont [] k == k (sum [])
  pick an arbitrary k:
     sum_cont [] k
     == match [] with [] -> k 0 | hd::tail -> ... (eval)
     == k 0 (eval)
```

```
for all l:int list,
  for all k:int->int, sum cont l k == k (sum l)
Proof: By induction on the structure of the list 1.
case l = []
  must prove: for all k:int->int, sum cont [] k == k (sum [])
  pick an arbitrary k:
     sum cont [] k
  == match [] with [] \rightarrow k 0 | hd::tail \rightarrow ... (eval)
  == k 0
                                                       (eval)
```

== k (sum [])

```
for all l:int list,
  for all k:int->int, sum cont l k == k (sum l)
Proof: By induction on the structure of the list 1.
case l = []
  must prove: for all k:int->int, sum cont [] k == k (sum [])
  pick an arbitrary k:
     sum cont [] k
  == match [] with [] \rightarrow k 0 | hd::tail \rightarrow ... (eval)
  == k 0
                                                         (eval)
  == k (0)
                                                        (eval, reverse)
  == k (match [] with [] \rightarrow 0 | hd::tail \rightarrow ...) (eval, reverse)
  == k (sum [])
case done!
```

```
for all l:int list,
   for all k:int->int, sum cont l k == k (sum l)
```

```
Proof: By induction on the structure of the list 1.
```

```
case l = [] ===> done!
```

```
case l = hd::tail
```

IH: for all k':int->int, sum cont tail k' == k' (sum tail)

Must prove: for all k:int->int, sum cont (hd::tail) k == k (sum (hd::tail))

```
for all 1:int list,
  for all k:int->int, sum_cont 1 k == k (sum 1)
Proof: By induction on the structure of the list 1.
case 1 = [] ===> done!
case 1 = hd::tail
IH: for all k':int->int, sum_cont tail k' == k' (sum tail)
Must prove: for all k:int->int, sum_cont (hd::tail) k == k (sum (hd::tail))
Pick an arbitrary k,
    sum cont (hd::tail) k
```

```
for all l:int list,
 for all k:int->int, sum cont l k == k (sum l)
Proof: By induction on the structure of the list 1.
case 1 = [] ===> done!
case l = hd::tail
  IH: for all k':int->int, sum cont tail k' == k' (sum tail)
 Must prove: for all k:int->int, sum cont (hd::tail) k == k (sum (hd::tail))
 Pick an arbitrary k,
     sum cont (hd::tail) k
  == sum cont tail (fun s \rightarrow k (hd + s)) (eval)
```

```
for all l:int list,
 for all k:int->int, sum cont l k == k (sum l)
Proof: By induction on the structure of the list 1.
case 1 = [] ===> done!
case l = hd::tail
  IH: for all k':int->int, sum cont tail k' == k' (sum tail)
 Must prove: for all k:int->int, sum cont (hd::tail) k == k (sum (hd::tail))
 Pick an arbitrary k,
     sum cont (hd::tail) k
  == sum cont tail (fun s \rightarrow k (hd + s)) (eval)
  == (fun s \rightarrow k (hd + s)) (sum tail) (IH with IH quantifier k'
                                               replaced with (fun s \rightarrow k (hd+s))
```

```
for all l:int list,
 for all k:int->int, sum cont l k == k (sum l)
Proof: By induction on the structure of the list 1.
case 1 = [] ===> done!
case l = hd::tail
  IH: for all k':int->int, sum cont tail k' == k' (sum tail)
 Must prove: for all k:int->int, sum cont (hd::tail) k == k (sum (hd::tail))
 Pick an arbitrary k,
     sum cont (hd::tail) k
  == sum cont tail (fun s \rightarrow k (hd + s)) (eval)
  == (fun s \rightarrow k (hd + s)) (sum tail) (IH with IH quantifier k'
                                               replaced with (fun s \rightarrow k (hd+s))
 == k (hd + (sum tail))
                                               (eval, since sum total and
                                                      and sum tail valuable)
```

```
for all l:int list,
 for all k:int->int, sum cont l k == k (sum l)
Proof: By induction on the structure of the list 1.
case 1 = [] ===> done!
case l = hd::tail
  IH: for all k':int->int, sum cont tail k' == k' (sum tail)
 Must prove: for all k:int->int, sum cont (hd::tail) k == k (sum (hd::tail))
 Pick an arbitrary k,
     sum cont (hd::tail) k
  == sum cont tail (fun s \rightarrow k (hd + s)) (eval)
  == (fun s \rightarrow k (hd + s)) (sum tail) (IH with IH quantifier k'
                                               replaced with (fun s \rightarrow k (hd+s))
 == k (hd + (sum tail))
                                               (eval, since sum total and
                                                      and sum tail valuable)
  == k (sum (hd::tail))
                                                (eval sum, reverse)
case done!
```

OED!

## Finishing Up

#### Ok, now what we have is a proof of this theorem:

```
for all l:int list,
  for all k:int->int, sum_cont l k == k (sum l)
```

#### We can use that general theorem to get what we really want:

```
for all l:int list,
   sum2 l
== sum_cont l (fun s -> s) (by eval sum2)
== (fun s -> s) (sum l) (by theorem, instantiating k with (fun s -> s)
== sum l (by eval, since sum l valuable)
```

So, we've show that the function sum2, which is tail-recursive, is functionally equivalent to the non-tail-recursive function sum.

# SUMMARY

## CPS

CPS is interesting and important:

- unavoidable
  - assembly language is continuation-passing
- theoretical ramifications
  - fixes evaluation order
  - call-by-value evaluation == call-by-name evaluation
- efficiency
  - generic way to create tail-recursive functions
  - Appel's SML/NJ compiler based on this style
- continuation-based programming
  - call-backs
  - programming with "what to do next"
- *implementation-technique for concurrency*

# Summary of the CPS Proof

We tried to prove the *specific* theorem we wanted:

```
for all l:int list, sum cont l (fun s -> s) == sum l
```

But it didn't work because in the middle of the proof, *the IH didn't apply* -- inside our function we had the wrong kind of continuation -- not (fun s -> s) like our IH required. So we had to *prove a more general theorem* about *all* continuations.

```
for all l:int list,
  for all k:int->int, sum_cont l k == k (sum l)
```

This is a common occurrence -- *generalizing the induction hypothesis* -- and it requires human ingenuity. It's why proving theorems is hard. It's also why writing programs is hard -- you have to make the proofs and programs work more generally, around every iteration of a loop.