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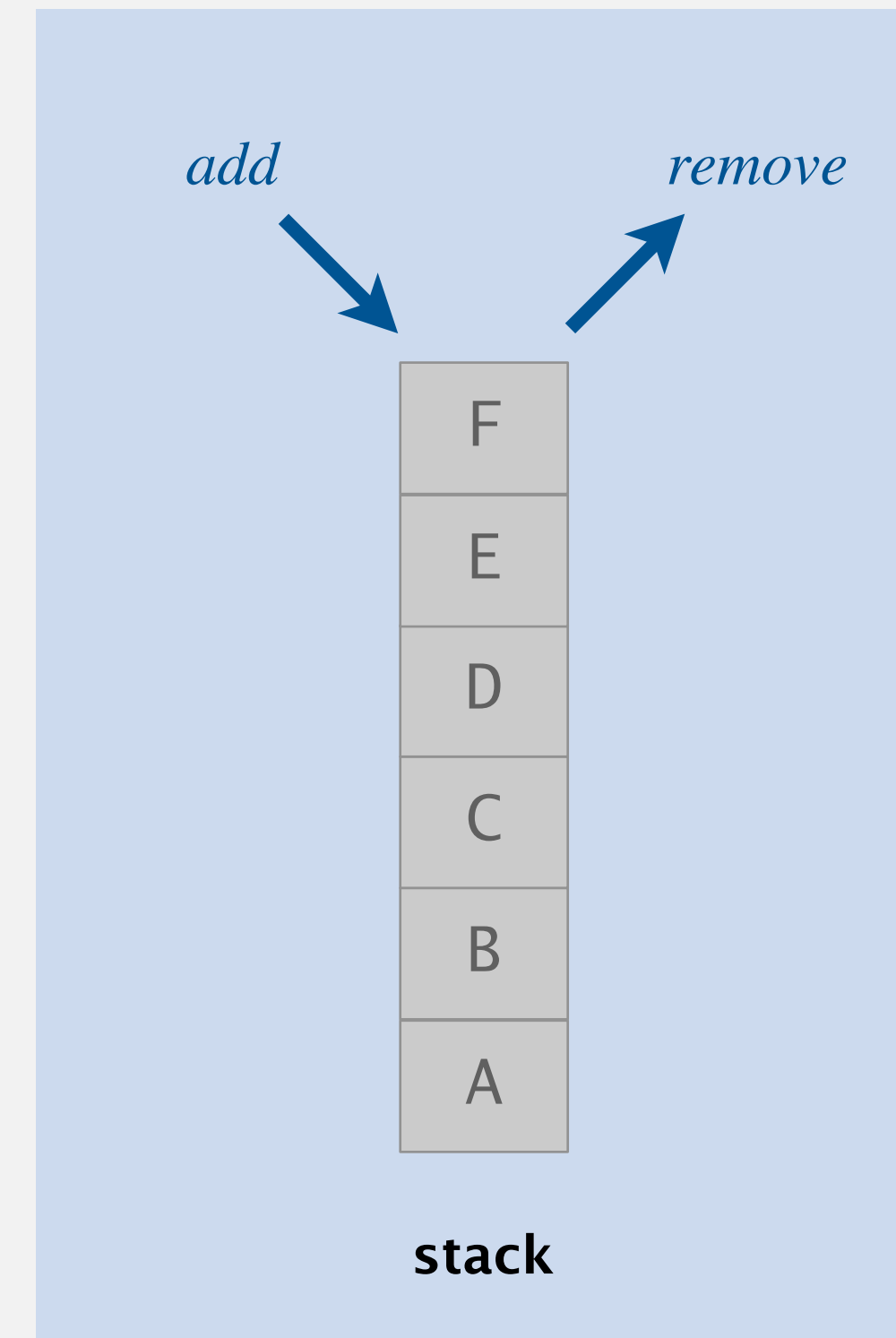
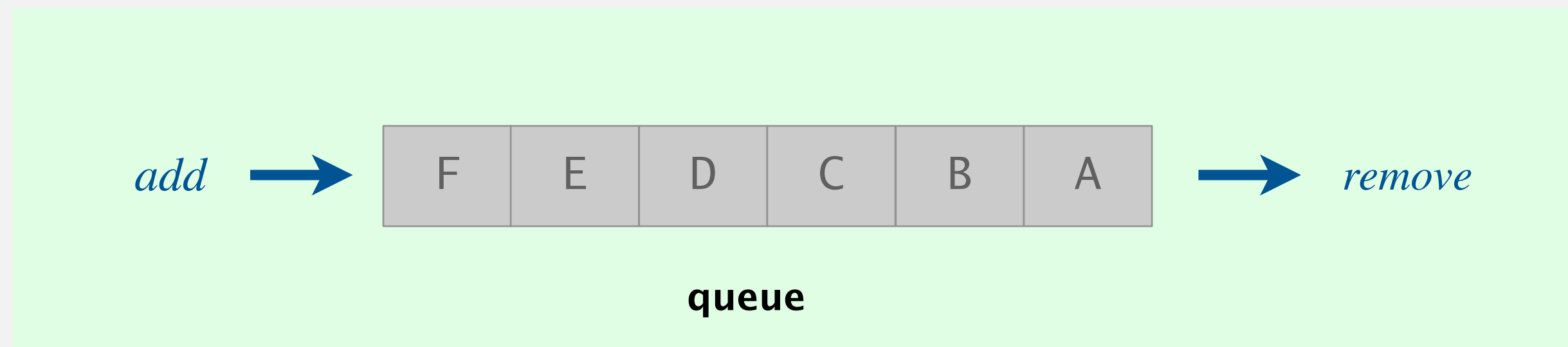
1.3 STACKS AND QUEUES

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators* ← see next lecture and precept

Stacks and queues

Fundamental data types.

- Value: **collection** of objects.
- Operations: **add**, **remove**, **iterate**, test if empty.
- Intent is clear when we add.
- Which item do we remove?



Stack. Remove the item most recently added.

← LIFO = "last in first out"

Queue. Remove the item least recently added.

← FIFO = "first in first out"

Programming assignment 2

Deque. Remove either the **most recently** or the **least recently** added item.

Randomized queue. Remove a **random** item.

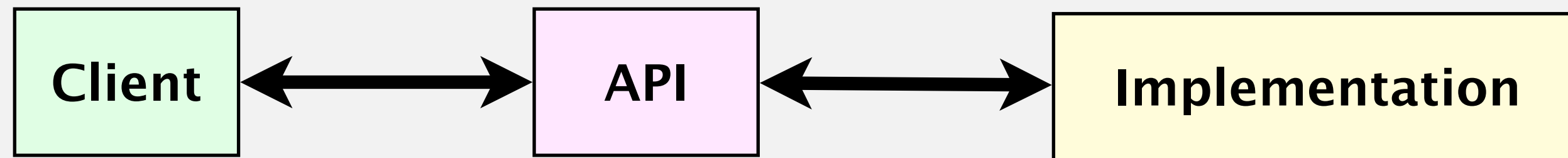


Your job.

- Identify a data structure that meets the performance requirements.
- Implement it from scratch.

Data type design: API, client, and implementation

Separate client and implementation via API.



API: operations that characterize the behavior of a data type.

Client: program that uses the API operations.

Implementation: code that implements the API operations.

Benefits.

- **Design:** create modular, reusable libraries.
- **Performance:** substitute faster implementations.

Ex. Stack, queue, bag, priority queue, symbol table, union-find,



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1.3 STACKS AND QUEUES

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*



Stack API

Warmup API. Stack of strings data type.

```
public class StackOfStrings
```

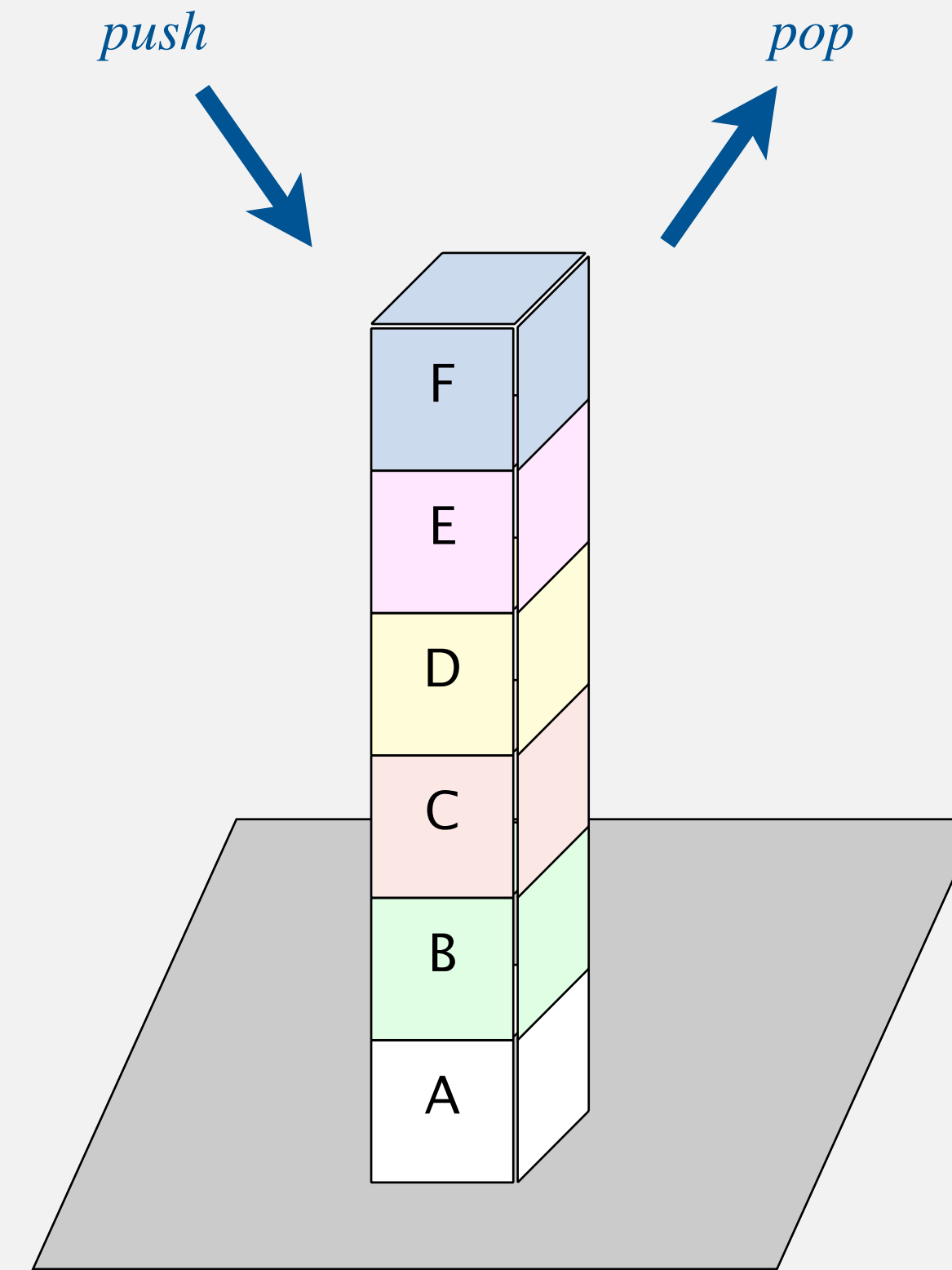
```
    StackOfStrings()           create an empty stack
```

```
    void push(String item)     add a new string to stack
```

```
    String pop()               remove and return the string  
                               most recently added
```

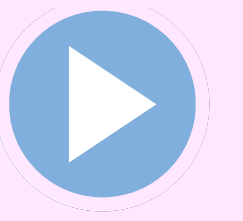
```
    boolean isEmpty()         is the stack empty?
```

```
    int size()                 number of strings on the stack
```



Performance goal. Every operation takes $\Theta(1)$ time.

Warmup client. Reverse a stream of strings from standard input.



function arguments
supplied by operating system

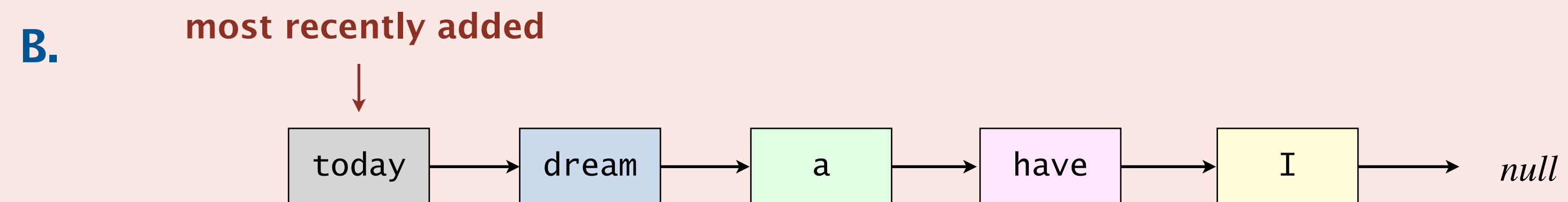
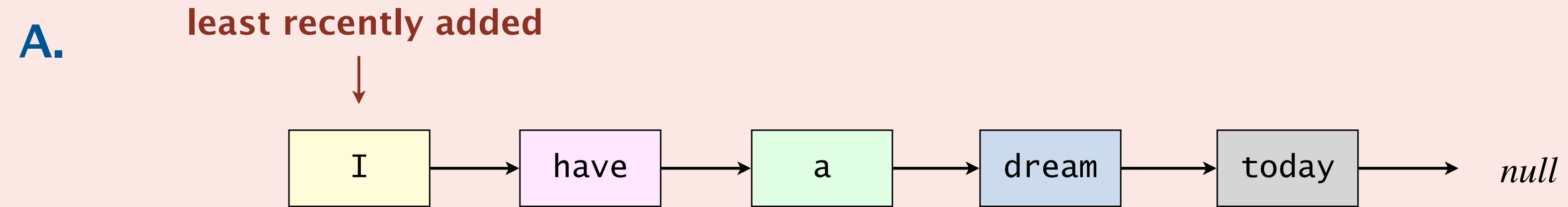
```
public static void main(String[] args) {  
    double a = Double.parseDouble(args[0]);  
    double b = Double.parseDouble(args[1]);  
    double c = hypotenuse(a, b);  
}
```

main()

function-call stack



How to implement efficiently a stack with a singly linked list?

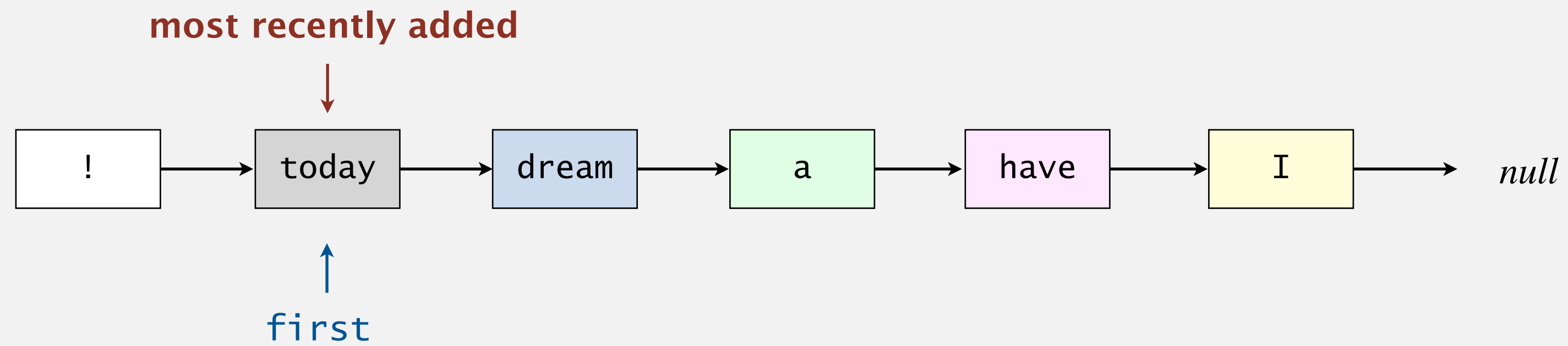


C. Both A and B.

D. Neither A nor B.

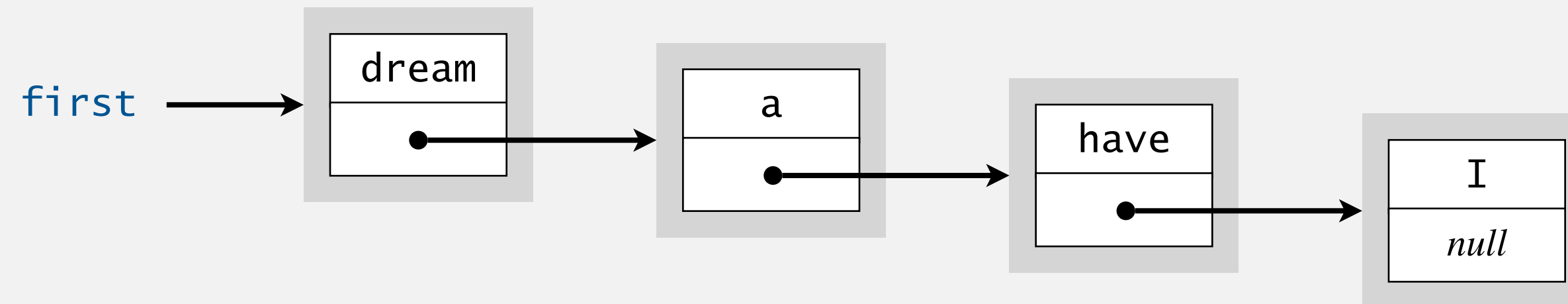
Stack: linked-list implementation

- Maintain pointer `first` to first node in a singly linked list.
- Push new item before `first`.
- Pop item from `first`.



Stack pop: linked-list implementation

singly linked list

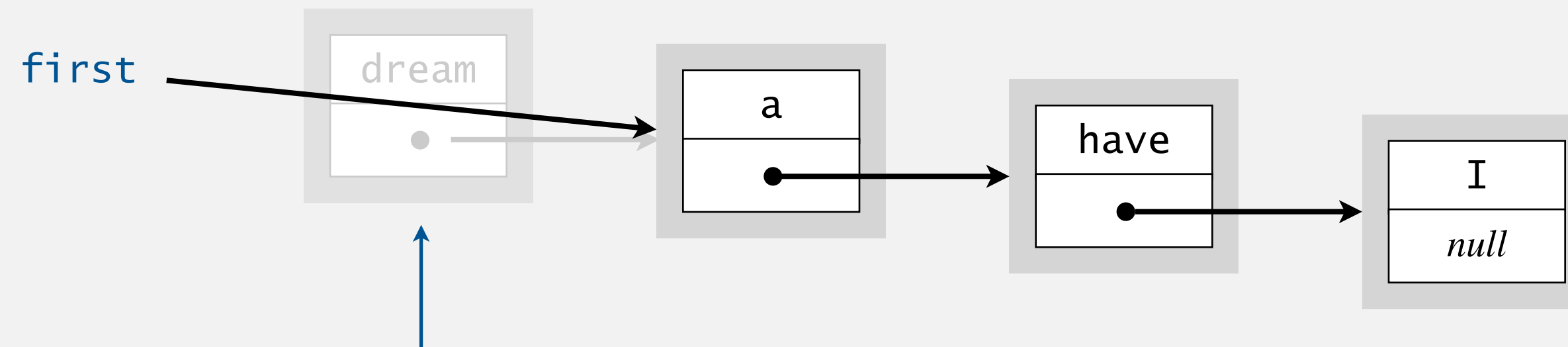


save item to return

```
String item = first.item;
```

delete first node

```
first = first.next;
```



garbage collector reclaims memory
when no remaining references

return saved item

```
return item;
```

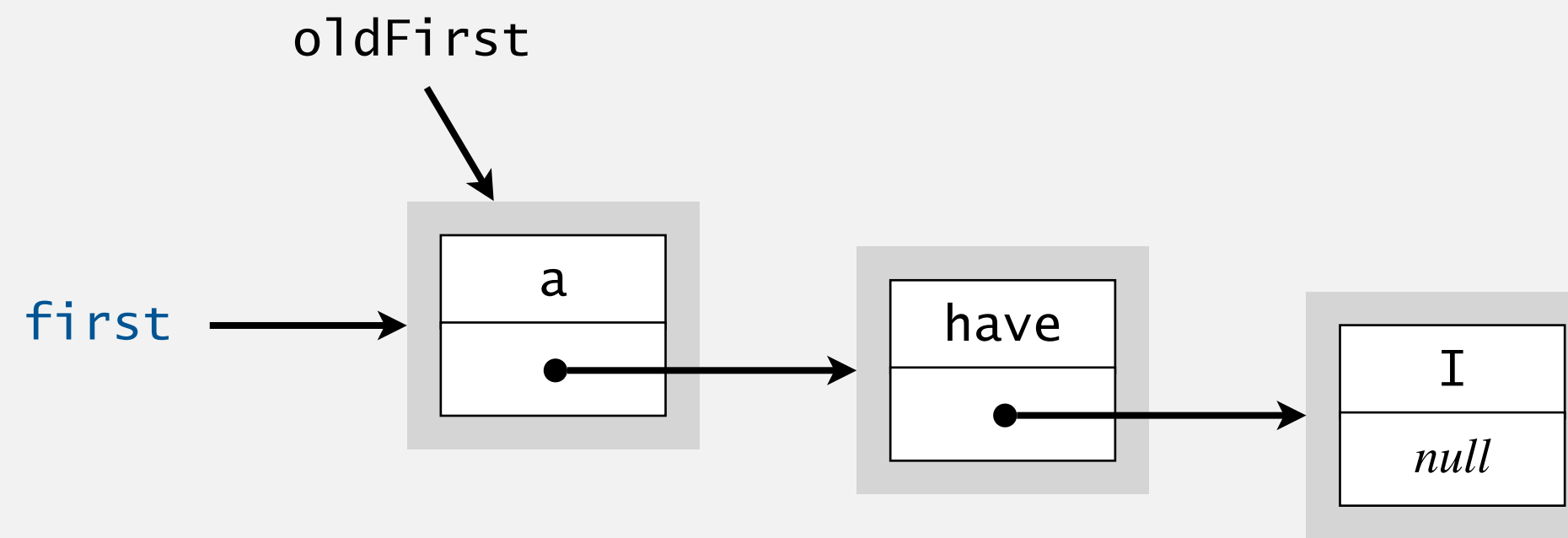
inner class

```
private class Node  
{  
    private String item;  
    private Node next;  
}
```

Stack push: linked-list implementation

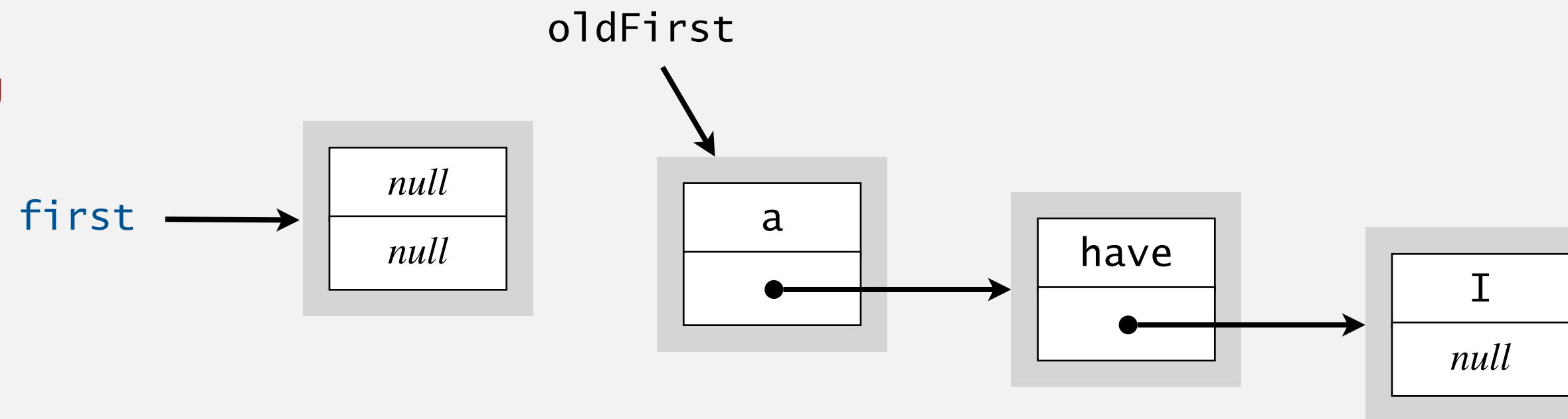
save a link to the list

```
Node oldFirst = first;
```



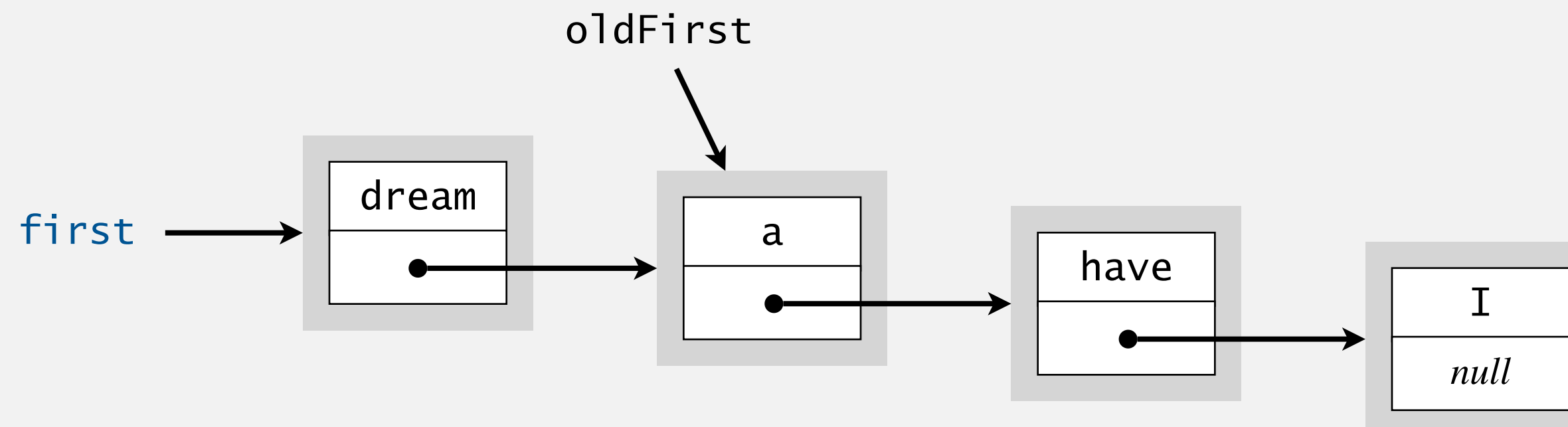
create a new node for the beginning

```
first = new Node();
```



initialize the instance variables in the new Node

```
first.item = "dream";  
first.next = oldFirst;
```



inner class

```
private class Node  
{  
    private String item;  
    private Node next;  
}
```

Stack: linked-list implementation

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        private String item;
        private Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldFirst = first;
        first = new Node();
        first.item = item;
        first.next = oldFirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

private inner class
(access modifiers for instance variables of such a class don't matter)

no Node constructor defined \Rightarrow
Java supplies default no-argument constructor

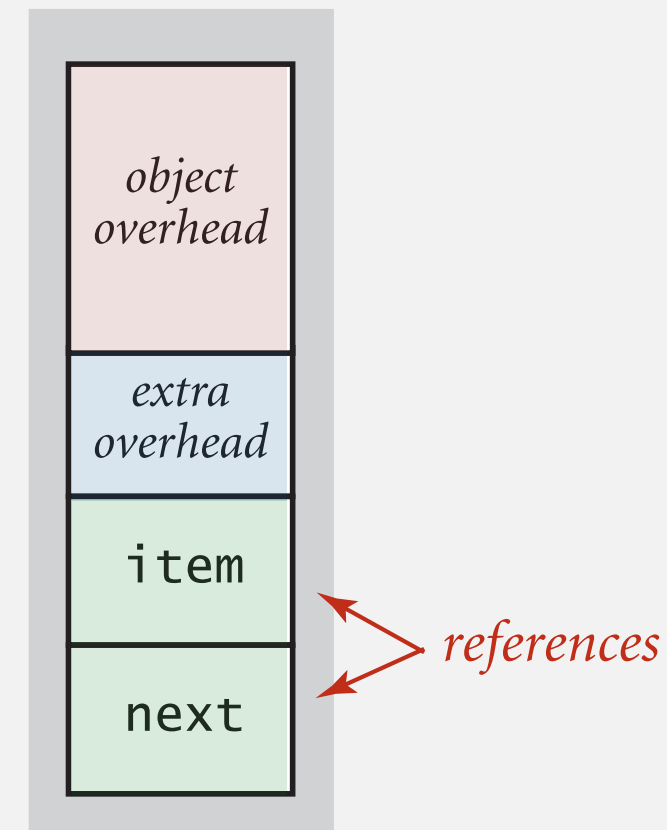
Stack: linked-list implementation performance

Proposition. Every operation takes $\Theta(1)$ time.

Proposition. A stack with n items has n Node objects and uses $\sim 40 n$ bytes.

inner class

```
private class Node
{
    String item;
    Node next;
}
```



16 bytes (object overhead)

8 bytes (inner class extra overhead)

8 bytes (reference to String)

8 bytes (reference to Node)

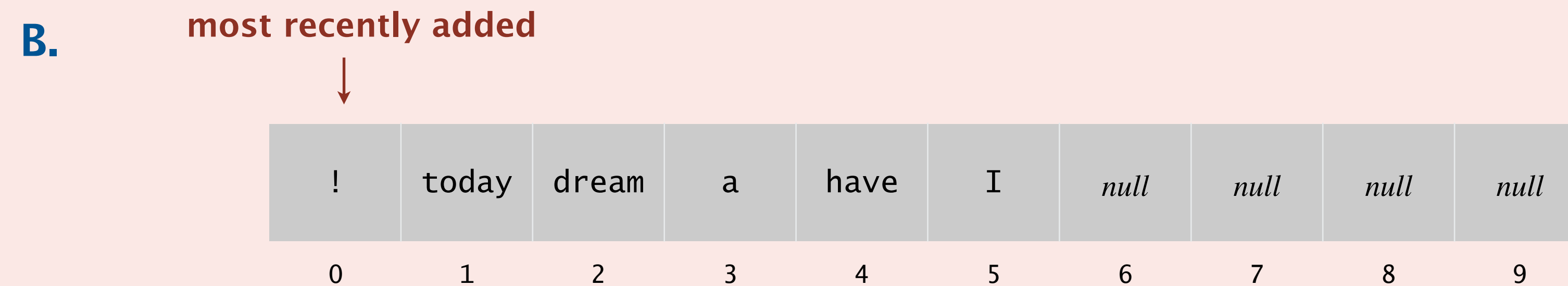
40 bytes per stack Node

Remark. This counts the memory for the stack itself (including string references).

(but not the memory for the string objects themselves, which the client owns)



How to implement efficiently a fixed-capacity stack with an array?



C. *Both A and B.*

D. *Neither A nor B.*

Fixed-capacity stack: array implementation

- Use array `s[]` to store n items on stack.
- `push()`: add new item at `s[n]`.
- `pop()`: remove item from `s[n-1]`.



Defect. Stack overflows when n exceeds capacity. [stay tuned]



Fixed-capacity stack: array implementation

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public FixedCapacityStackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(String item)
    { s[n++] = item; }

    public String pop()
    { return s[--n]; }
}
```

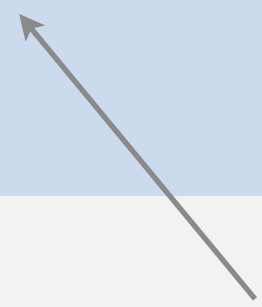
a cheat
(stay tuned)



post-increment operator:
use as index into array;
then increment n



pre-decrement operator:
decrement n;
then use as index into array



Stack considerations

Overflow and underflow.

- Underflow: throw exception if `pop()` called on an empty stack.
- Overflow: use “resizing array” for array implementation. [next section]

Null items. We allow `null` items to be added.

Duplicate items. We allow an item to be added more than once.

Loitering. Holding a reference to an object when it is no longer needed.

```
public String pop()
{ return s[--n]; }
```

loitering



```
public String pop()
{
    String item = s[n-1];
    s[n-1] = null;
    n--;
    return item;
}
```

no loitering



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1.3 STACKS AND QUEUES

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*

Stack: resizing-array implementation

Problem. Requiring client to provide capacity does not implement API!

Q. How to grow and shrink array?

First try.

- Push: increase length of array `s[]` by 1.
- Pop: decrease length of array `s[]` by 1.

Too expensive.

- Need to copy all items to a new array, for each operation.
- Array accesses to add first n items = $n + (2 + 4 + 6 + \dots + 2(n - 1)) \sim n^2$.

↑
1 array access
per push

↑
 $2(k-1)$ array accesses to expand to length k
(ignoring cost to create new array)

infeasible for large n



Challenge. Ensure that array resizing happens infrequently.

Stack: resizing-array implementation

Q. How to grow array?

A. If array is full, create a new array of **twice** the length, and copy items.

“repeated doubling”

```
public ResizingArrayStackOfStrings()
{ s = new String[1]; }

public void push(String item)
{
    if (n == s.length) resize(2 * s.length);
    s[n++] = item;
}

private void resize(int capacity)
{
    String[] copy = new String[capacity];
    for (int i = 0; i < n; i++)
        copy[i] = s[i];
    s = copy;
}
```

Array accesses to add first $n = 2^i$ items. $n + (2 + 4 + 8 + \dots + n) \sim 3n$.

↑
1 array access
per push

↑
 k array accesses to double to length k
(ignoring cost to create new array)

feasible for large n

Stack: resizing-array implementation

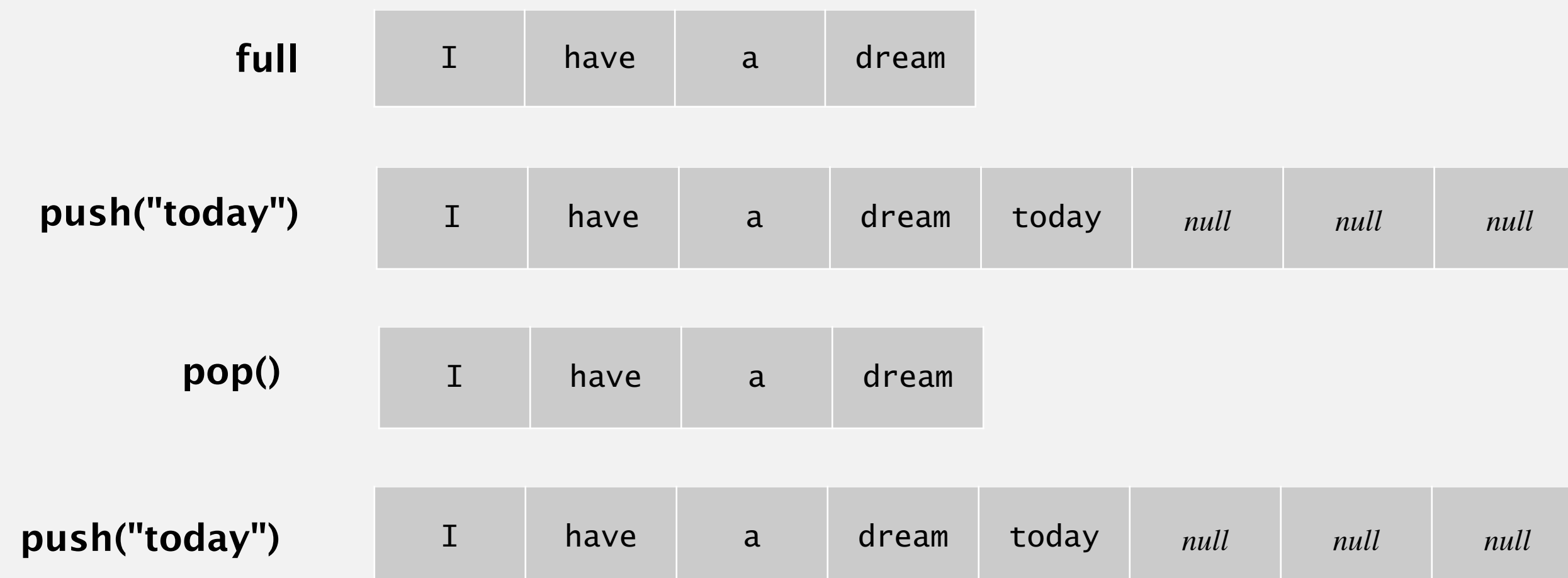
Q. How to shrink array?

First try.

- Push: double length of array `s[]` when array is full.
- Pop: halve length of array `s[]` when array is **one-half full**.

Too expensive for some sequences of operations.

- Consider alternating sequence of push and pop operations when array is full.
- Each operation takes $\Theta(n)$ time.

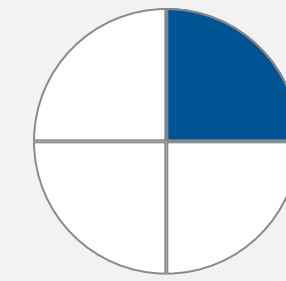


Stack: resizing-array implementation

Q. How to shrink array?

Efficient solution.

- Push: double length of array `s[]` when array is full.
- Pop: halve length of array `s[]` when array is **one-quarter full**.



```
public String pop()
{
    String item = s[--n];
    s[n] = null;
    if (n > 0 && n == s.length/4) resize(s.length/2);
    return item;
}
```

Invariant. Array is between 25% and 100% full.

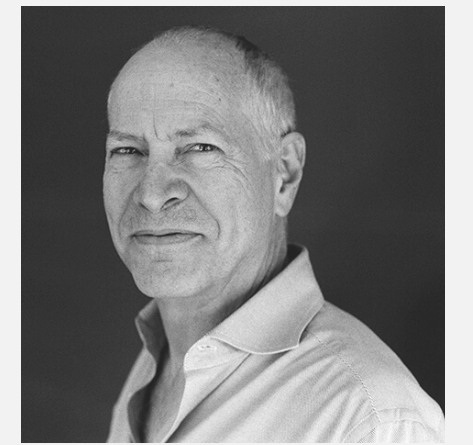
Stack: resizing-array performance

Proposition. Starting from an empty stack, any sequence of m operations takes $\Theta(m)$ time.

Amortized analysis. Worst-case analysis of a sequence of operations.

- Amortized cost per operation = total cost / # operations.
- Provides more realistic analysis when certain operations are expensive but rare.

↑
so, on average, each
operation takes $\Theta(1)$ time



Bob Tarjan
(1986 Turing award)

	worst	amortized
construct	1	1
push	n	1
pop	n	1
size	1	1

order of growth of running time for
resizing-array stack with n items

Stack resizing-array: memory usage

Proposition. A `ResizingArrayStackOfStrings` uses between $\sim 8n$ and $\sim 32n$ bytes of memory for a stack with n items.

- $\sim 8n$ when full. [array length = n]
- $\sim 32n$ when one-quarter full. [array length = $4n$]

```
public class ResizingArrayStackOfStrings
{
    private String[] s; ← 8 bytes × array length
    private int n = 0;

    :
}
```

Remark. This counts only the memory for the stack itself (including string references).
(not the memory for the strings, which the client allocates)

Stack implementations: resizing array vs. linked list

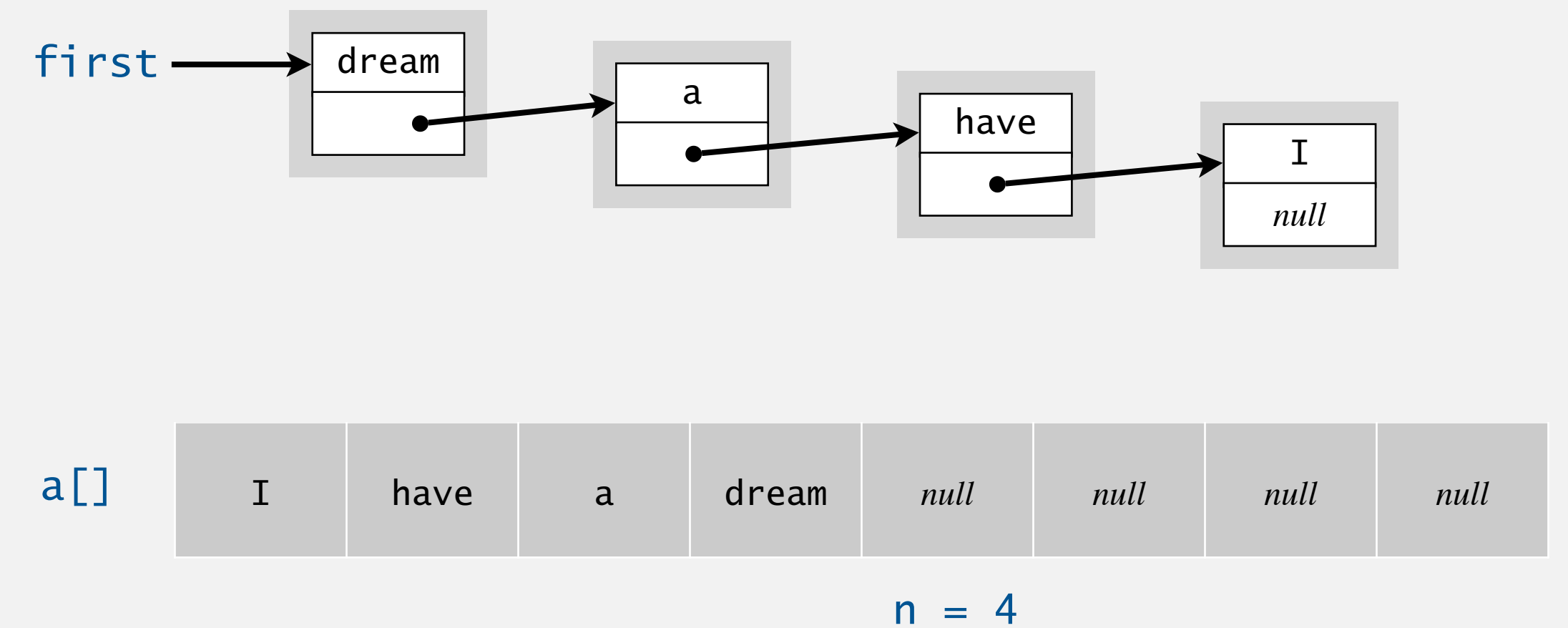
Tradeoffs. Can implement a stack with either resizing array or linked list. Which is better?

Linked-list implementation.

- Stronger performance guarantee: $\Theta(1)$ worst case.
- More memory.

Resizing-array implementation.

- Weaker performance guarantee: $\Theta(1)$ amortized.
- Less memory.
- Better use of cache.

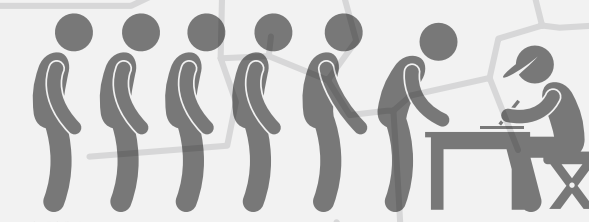




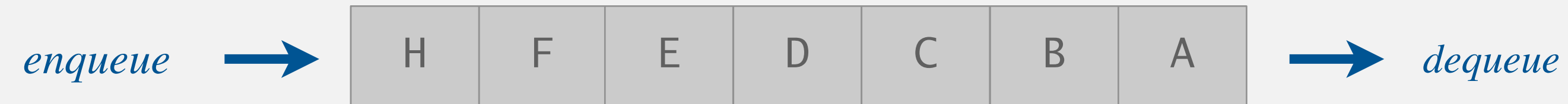
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1.3 STACKS AND QUEUES

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ *generics*
- ▶ *iterators*



Queue of strings API



```
public class QueueOfStrings
```

```
    QueueOfStrings() create an empty queue
```

```
    void enqueue(String item) add a new string to queue
```

```
    String dequeue() remove and return the string  
                    least recently added
```

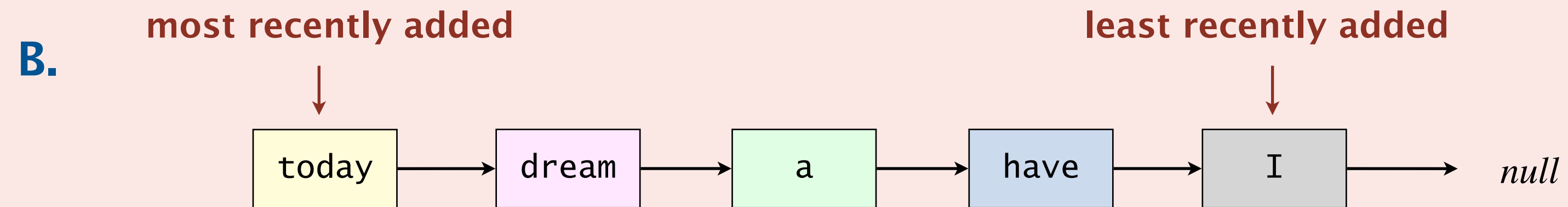
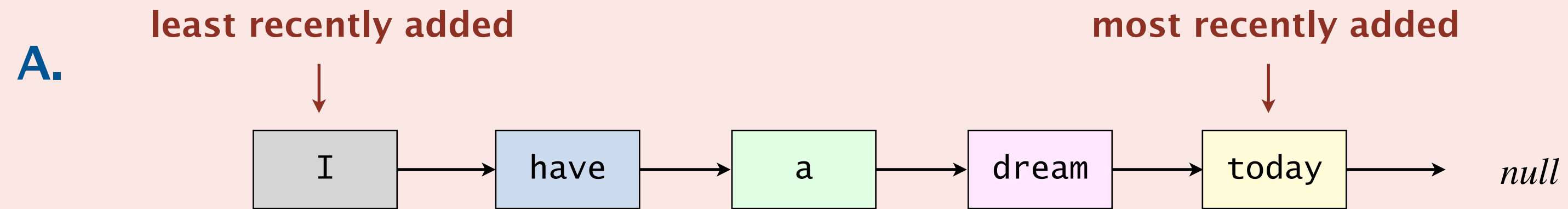
```
    boolean isEmpty() is the queue empty?
```

```
    int size() number of strings on the queue
```

Performance goal. Every operation takes $\Theta(1)$ time.



How to implement efficiently a queue with a singly linked list?

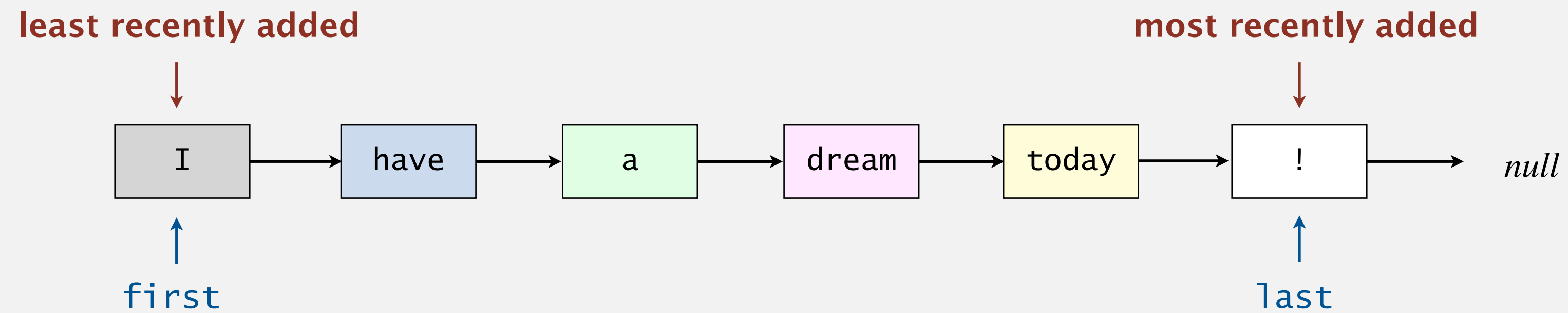


C. *Both A and B.*

D. *Neither A nor B.*

Queue: linked-list implementation

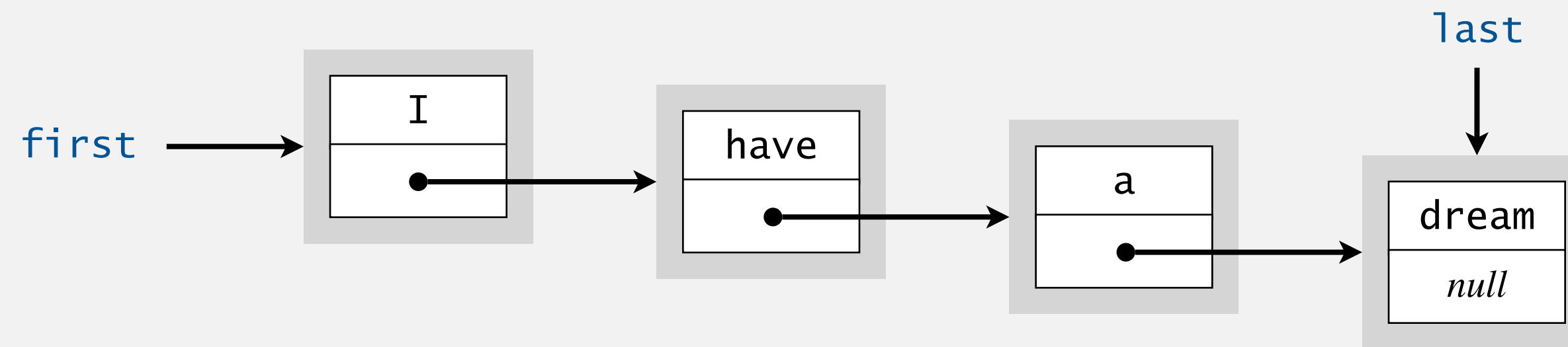
- Maintain one pointer `first` to first node in a singly linked list.
- Maintain another pointer `last` to last node.
- Dequeue from `first`.
- Enqueue after `last`.



Queue dequeue: linked-list implementation

Code is identical to pop().

singly linked list

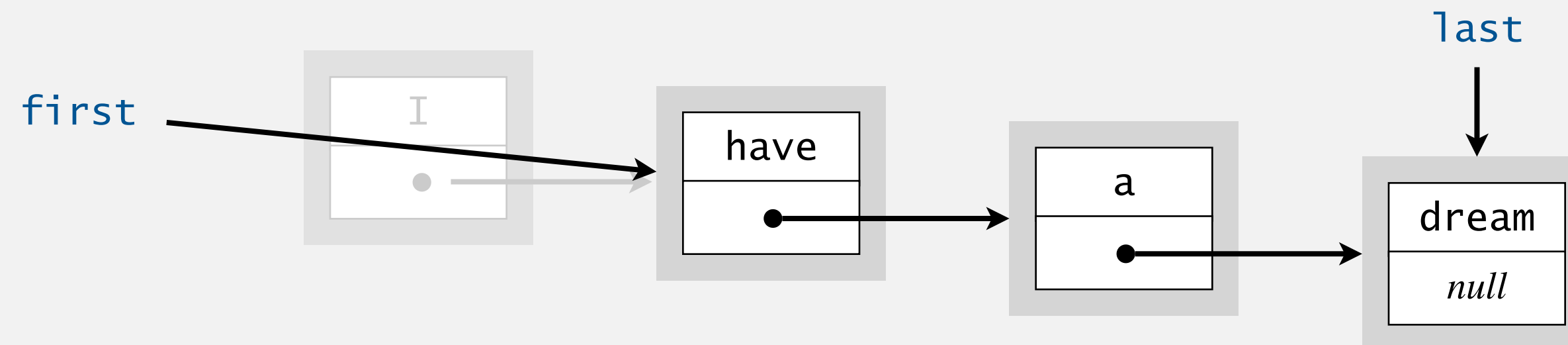


save item to return

```
String item = first.item;
```

delete first node

```
first = first.next;
```



return saved item

```
return item;
```

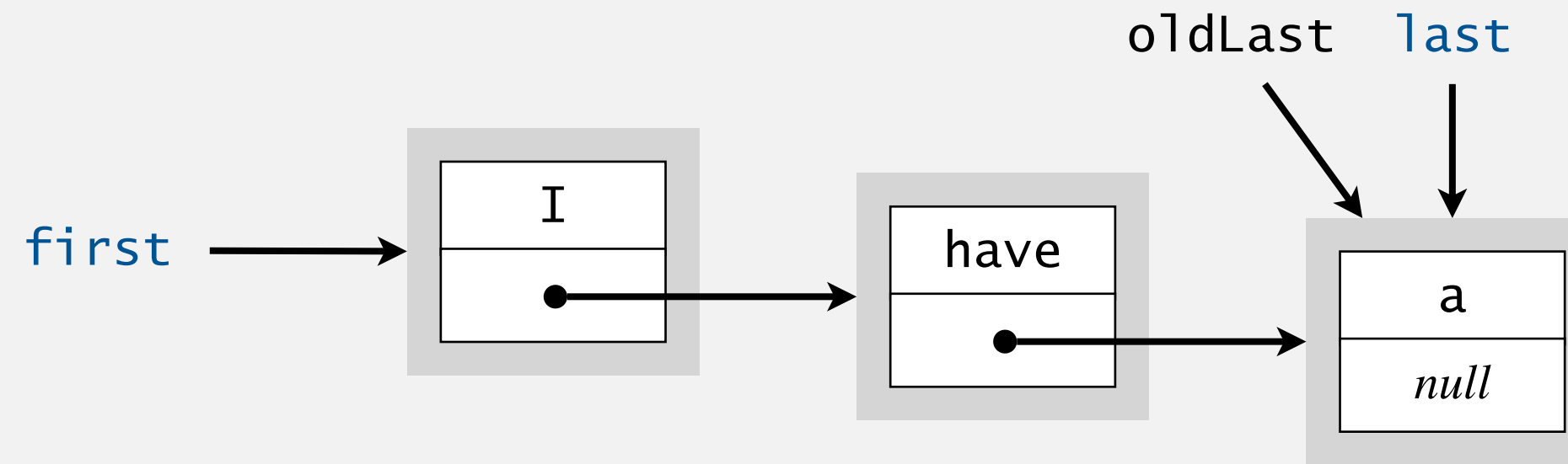
inner class

```
private class Node
{
    private String item;
    private Node next;
}
```

Queue enqueue: linked-list implementation

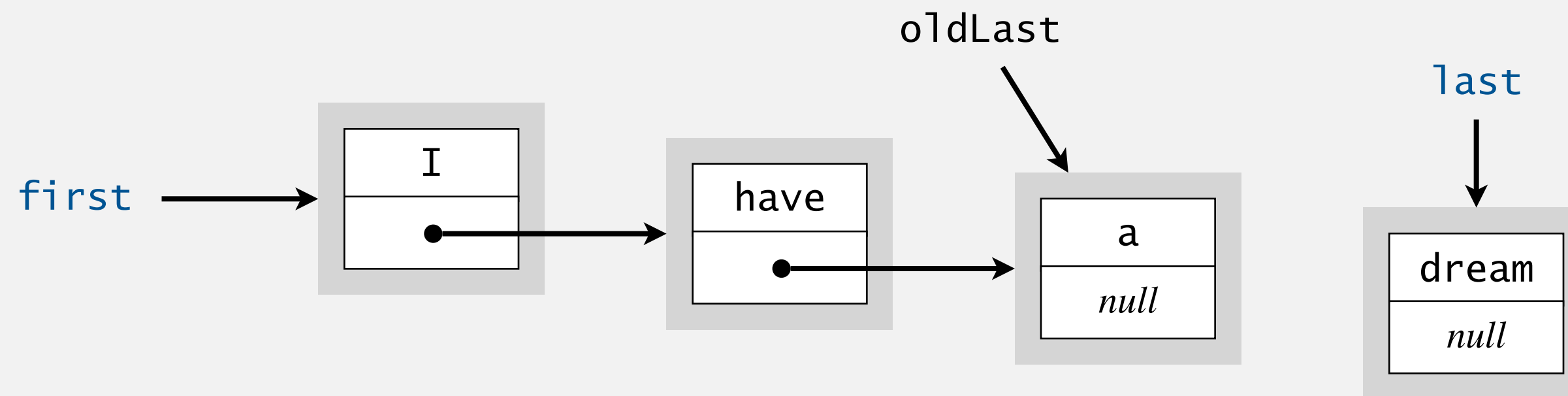
save a link to the list

```
Node oldLast = last;
```



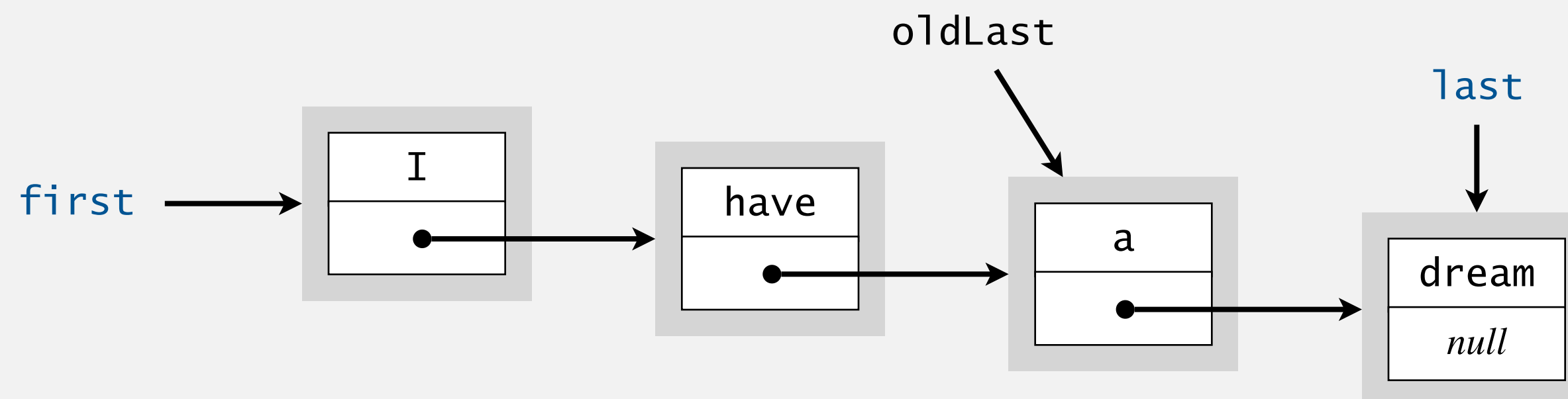
create a new node at the end

```
last = new Node();  
last.item = "dream";
```



link together

```
oldLast.next = last;
```



inner class

```
private class Node  
{  
    private String item;  
    private Node next;  
}
```

Queue: linked-list implementation

```
public class LinkedQueueOfStrings
{
    private Node first, last;

    private class Node
    { /* same as in LinkedStackOfStrings */ }

    public boolean isEmpty()
    { return first == null; }

    public void enqueue(String item)
    {
        Node oldLast = last;
        last = new Node();
        last.item = item;
        last.next = null;
        if (isEmpty()) first = last;
        else oldLast.next = last;
    }

    public String dequeue()
    {
        String item = first.item;
        first = first.next;
        if (isEmpty()) last = null;
        return item;
    }
}
```

corner cases to deal
with empty queue



QUEUE: RESIZING-ARRAY IMPLEMENTATION



Goal. Implement a **queue** using a **resizing array** so that, starting from an empty queue, any sequence of m operations takes $\Theta(m)$ time.



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1.3 STACKS AND QUEUES

- ▶ *stacks*
- ▶ *resizing arrays*
- ▶ *queues*
- ▶ ***generics***
- ▶ *iterators*

Parameterized stack

We implemented: StackOfStrings.

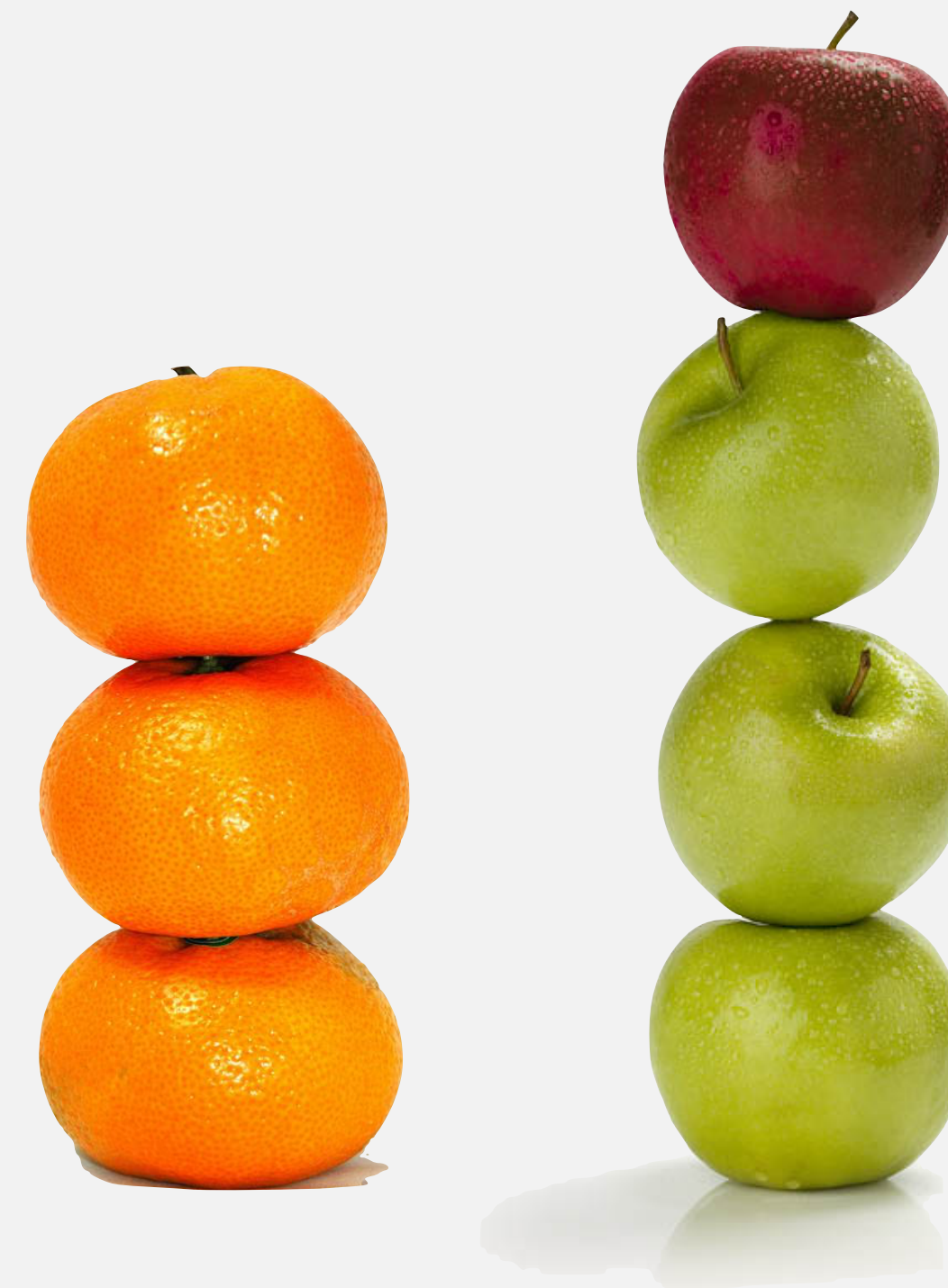
We also want: StackOfURLs, StackOfInts, StackOfApples, StackOfOranges,

Solution in Java: generics.

type parameter
(use syntax both to specify type and to call constructor)

```
Stack<Apple> stack = new Stack<Apple>();  
Apple apple = new Apple();  
Orange orange = new Orange();  
stack.push(apple);  
stack.push(orange);  
...
```

← compile-time error



Generic stack: linked-list implementation

```
public class LinkedStackOfStrings
{
    private Node first = null;

    private class Node
    {
        String item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(String item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public String pop()
    {
        String item = first.item;
        first = first.next;
        return item;
    }
}
```

stack of strings (linked list)

```
public class Stack<Item>
{
    private Node first = null;

    private class Node
    {
        Item item;
        Node next;
    }

    public boolean isEmpty()
    { return first == null; }

    public void push(Item item)
    {
        Node oldfirst = first;
        first = new Node();
        first.item = item;
        first.next = oldfirst;
    }

    public Item pop()
    {
        Item item = first.item;
        first = first.next;
        return item;
    }
}
```

generic type name

generic stack (linked list)

Generic stack: array implementation

The way it should be.

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public ...StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(String item)
    { s[n++] = item; }

    public String pop()
    { return s[--n]; }
}
```

stack of strings (fixed-length array)

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int n = 0;

    public FixedCapacityStack(int capacity)
    { s = new Item[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(Item item)
    { s[n++] = item; }

    public Item pop()
    { return s[--n]; }
}
```

generic stack (fixed-length array) ?

← @#\$*! generic array creation
not allowed in Java

Generic stack: array implementation

The way it should be.

```
public class FixedCapacityStackOfStrings
{
    private String[] s;
    private int n = 0;

    public ...StackOfStrings(int capacity)
    { s = new String[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(String item)
    { s[n++] = item; }

    public String pop()
    { return s[--n]; }
}
```

stack of strings (fixed-length array)

```
public class FixedCapacityStack<Item>
{
    private Item[] s;
    private int n = 0;

    public FixedCapacityStack(int capacity)
    { s = (Item[]) new Object[capacity]; }

    public boolean isEmpty()
    { return n == 0; }

    public void push(Item item)
    { s[n++] = item; }

    public Item pop()
    { return s[--n]; }
}
```

← the ugly cast

generic stack (fixed-length array)

Unchecked cast

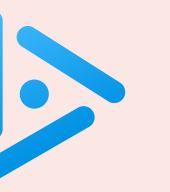
```
~/Desktop/queues> javac -Xlint:unchecked FixedCapacityStack.java
FixedCapacityStack.java:26: warning: [unchecked] unchecked cast
    s = (Item[]) new Object[capacity];
           ^
   required: Item[]
   found:    Object[]
   where Item is a type-variable:
     Item extends Object declared in class FixedCapacityStack
1 warning
```

Q. Why does Java require a cast (or reflection)?

Short answer. Backward compatibility.

Long answer. Need to learn about **type erasure** and **covariant arrays**.





How to declare and initialize an empty stack of integers in Java?

- A. `Stack stack = new Stack<int>();`
- B. `Stack<int> stack = new Stack();`
- C. `Stack<int> stack = new Stack<int>();`
- D. *None of the above.*

Generic data types: autoboxing and unboxing

Q. What to do about primitive types?

Wrapper type.

- Each primitive type has a “wrapper” reference type.
- Ex: Integer is wrapper type for int.

Autoboxing. Automatic cast from primitive type to wrapper type.

Unboxing. Automatic cast from wrapper type to primitive type.

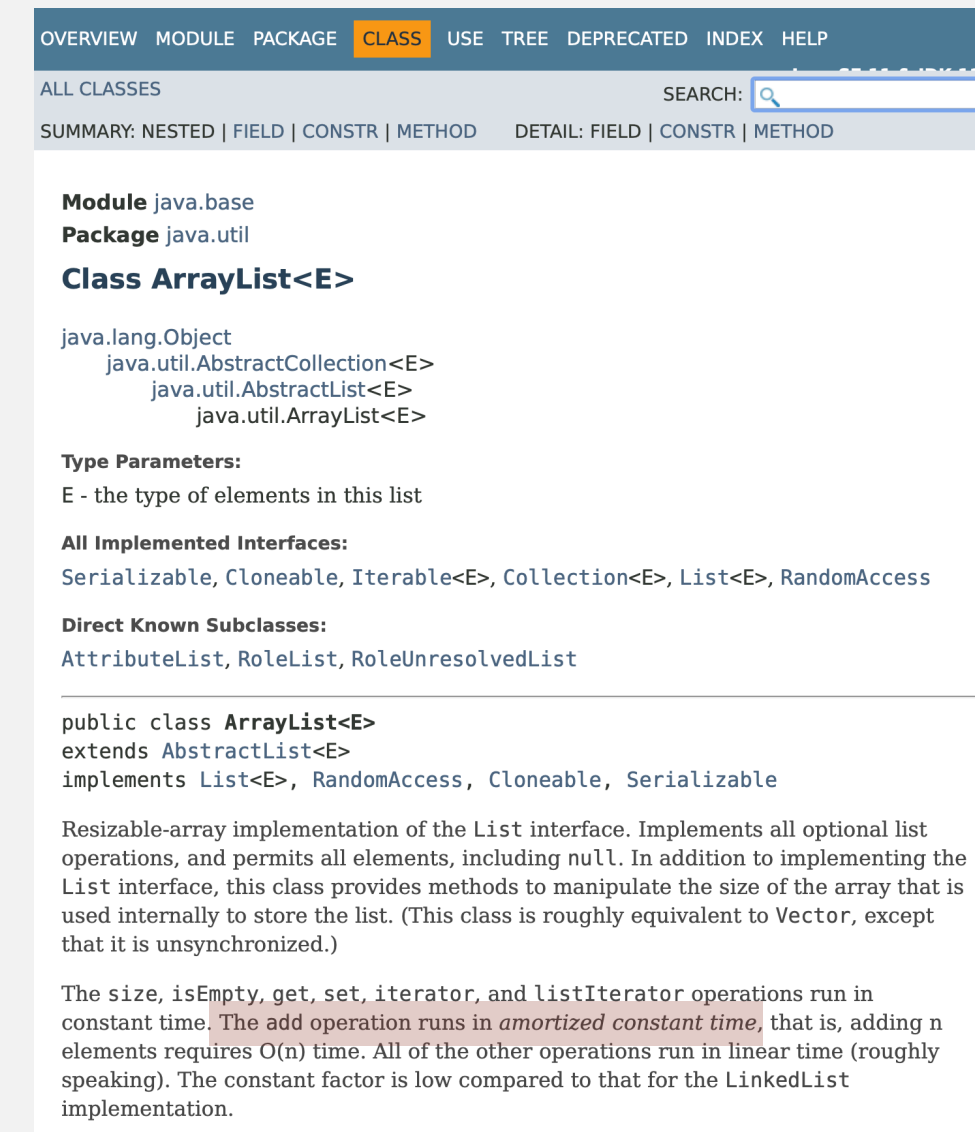
```
Stack<Integer> stack = new Stack<Integer>();  
stack.push(17);      // stack.push(Integer.valueOf(17));  
int a = stack.pop(); // int a = stack.pop().intValue();
```

Bottom line. Client code can use generic stack for **any** type of data.

Caveat. Substantial overhead for primitive types.

Java's library of collection data types.

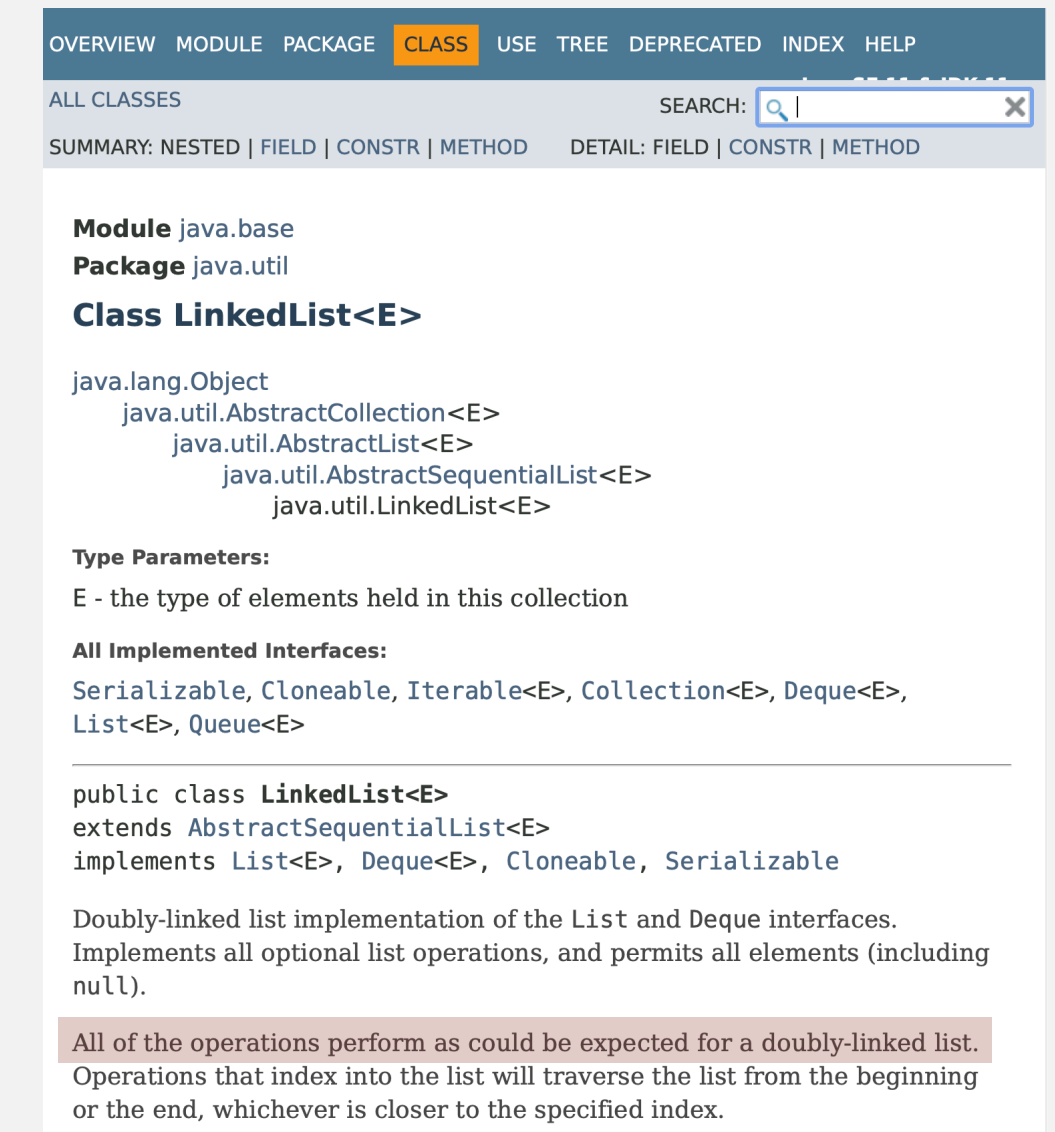
- `java.util.ArrayList` [resizing array]
- `java.util.LinkedList` [doubly linked list]
- `java.util.ArrayDeque`



The screenshot shows the Java API documentation for the `ArrayList` class. The page is titled "Class ArrayList<E>" and is located in the `java.util` package. It lists the following information:

- Module:** `java.base`
- Package:** `java.util`
- Class:** `ArrayList<E>`
- Superclasses:** `java.lang.Object`, `java.util.AbstractCollection<E>`, `java.util.AbstractList<E>`, `java.util.ArrayList<E>`
- Type Parameters:** `E` - the type of elements in this list
- All Implemented Interfaces:** `Serializable`, `Cloneable`, `Iterable<E>`, `Collection<E>`, `List<E>`, `RandomAccess`
- Direct Known Subclasses:** `AttributeList`, `RoleList`, `RoleUnresolvedList`
- Code Snippet:**

```
public class ArrayList<E>
    extends AbstractList<E>
    implements List<E>, RandomAccess, Cloneable, Serializable
```
- Description:** Resizable-array implementation of the `List` interface. Implements all optional list operations, and permits all elements, including `null`. In addition to implementing the `List` interface, this class provides methods to manipulate the size of the array that is used internally to store the list. (This class is roughly equivalent to `Vector`, except that it is unsynchronized.)
- Performance:** The `size`, `isEmpty`, `get`, `set`, `iterator`, and `listIterator` operations run in constant time. The `add` operation runs in *amortized constant time*, that is, adding `n` elements requires $O(n)$ time. All of the other operations run in linear time (roughly speaking). The constant factor is low compared to that for the `LinkedList` implementation.



The screenshot shows the Java API documentation for the `LinkedList` class. The page is titled "Class LinkedList<E>" and is located in the `java.util` package. It lists the following information:

- Module:** `java.base`
- Package:** `java.util`
- Class:** `LinkedList<E>`
- Superclasses:** `java.lang.Object`, `java.util.AbstractCollection<E>`, `java.util.AbstractList<E>`, `java.util.AbstractSequentialList<E>`, `java.util.LinkedList<E>`
- Type Parameters:** `E` - the type of elements held in this collection
- All Implemented Interfaces:** `Serializable`, `Cloneable`, `Iterable<E>`, `Collection<E>`, `Deque<E>`, `List<E>`, `Queue<E>`
- Code Snippet:**

```
public class LinkedList<E>
    extends AbstractSequentialList<E>
    implements List<E>, Deque<E>, Cloneable, Serializable
```
- Description:** Doubly-linked list implementation of the `List` and `Deque` interfaces. Implements all optional list operations, and permits all elements (including `null`).
- Performance:** All of the operations perform as could be expected for a doubly-linked list. Operations that index into the list will traverse the list from the beginning or the end, whichever is closer to the specified index.

This course. Implement from scratch (once).

Beyond. Basis for understanding performance guarantees.

Best practices.

- Use our `Stack` and `Queue` for stacks and queues to improve design and efficiency.
- Use Java's `ArrayList` or `LinkedList` when other ops needed.
(but remember that some ops are inefficient)

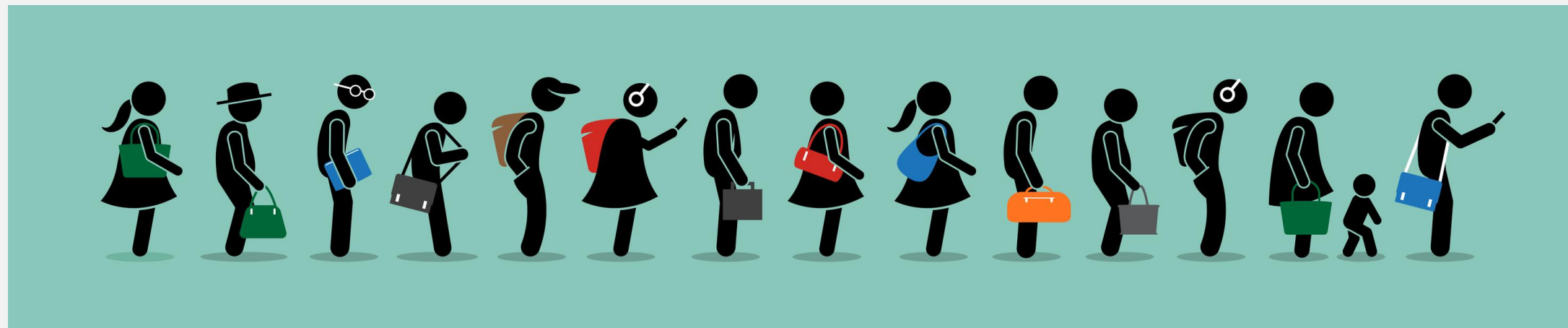
Stacks and queues summary

Fundamental data types.

- Value: **collection** of objects.
- Operations: **add**, **remove**, iterate, test if empty.

Stack. Examine the item most recently added (LIFO).

Queue. Examine the item least recently added (FIFO).



Efficient implementations.

- Singly linked list.
- Resizing array.

Next time. Advanced Java (including **iterators** for collections).

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