

Reasoning About Modular Programs

Part 2: Proving Representation Invariants

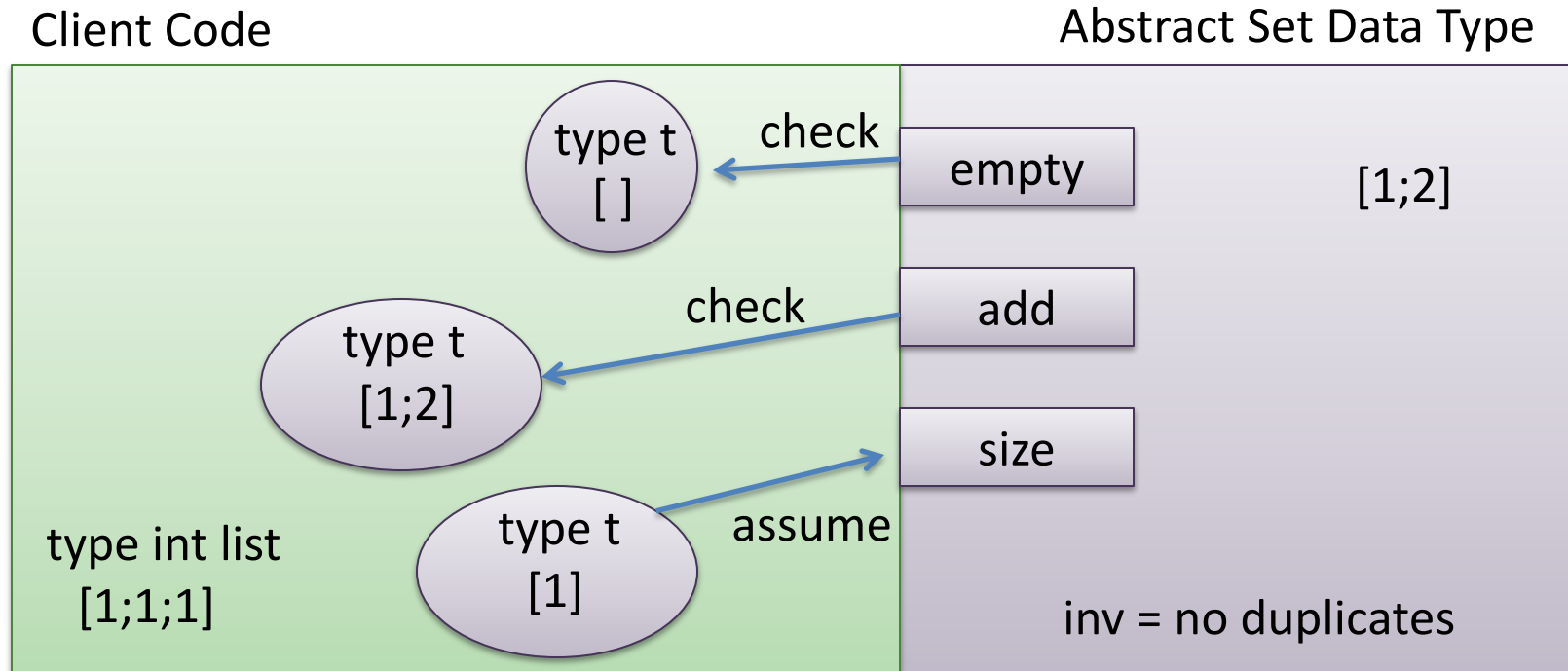
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Representation Invariants



All values of abstract type must satisfy the representation invariant.

Assume the invariant on entry to the module; prove it on leaving the module



A Signature for Sets

```
module type SET =  
  sig  
    type `a set  
    val empty : `a set  
    val mem : `a -> `a set -> bool  
    val add : `a -> `a set -> `a set  
    val rem : `a -> `a set -> `a set  
    val size : `a set -> int  
    val union : `a set -> `a set -> `a set  
    val inter : `a set -> `a set -> `a set  
  end
```



Proving Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
    [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Definition of empty:

```
let empty : 'a set = []
```

Proof Obligation:

```
inv (empty) == true
```

Proof:

```
  inv (empty)  
== inv []  
== match [] with [] -> true | hd::tail -> ...  
== true
```



Proving Representation Invariants

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```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking add:

```
let add (x:'a) (l:'a set) : 'a set =  
  if mem x l then l else x::l
```

Proof obligation:

for all $x:'a$ and for all $l:'a$ set,

if $inv(l)$ then $inv(add\ x\ l)$

← assume invariant on input

← prove invariant on output



Aside: Universal Theorems

Lots of theorems have the form:

forall $x:t$. $P(x)$

To prove such theorems, we often **pick an arbitrary representative r of the type t and then prove $P(r)$ is true.**

(Often times we just use “ x ” as the name of the representative. This just helps prevent a proliferation of names.)

If we can't do the proof by picking an arbitrary representative, we may want to split values of type t into cases or use induction



Aside: Conditional Theorems

Lots of theorems have the form:

if $P(x)$ then $Q(y)$

To prove such theorems, we typically **assume** $P(x)$ is true and then under that assumption, **prove** $Q(y)$ is true.

Such conditionals are equivalent to logical implications:

$P(x) \implies Q(y)$



Aside: Conditional Theorems

Putting ideas together, proving:

for all $x:t, y:t'$, if $P(x)$ then $Q(y)$

will involve:

- (1) picking arbitrary $x:t, y:t'$
- (2) assuming $P(x)$ is true and then using that assumption to
- (3) prove $Q(y)$ is true.



Representation Invariants

```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

```
let add (x:'a) (l:'a set) : 'a set =  
  if mem x l then l else x::l
```

Theorem: for all $x:'a$ and for all $l:'a \text{ set}$, if $\text{inv}(l)$ then $\text{inv}(\text{add } x \ l)$

Proof:

(1) pick an arbitrary x and l . (2) assume $\text{inv}(l)$.

Break into two cases:

- one case when $\text{mem } x \ l$ is true
- one case where $\text{mem } x \ l$ is false



Representation Invariants

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  match l with  
  [] -> true  
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```

```
let add (x:'a) (l:'a set) : 'a set =  
  if mem x l then l else x::l
```

Theorem: for all $x:'a$ and for all $l:'a \text{ set}$, if $\text{inv}(l)$ then $\text{inv}(\text{add } x \ l)$

Proof:

(1) pick an arbitrary x and l . (2) assume $\text{inv}(l)$.

case 1: assume (3): $\text{mem } x \ l == \text{true}$:

$\text{inv}(\text{add } x \ l)$	
$== \text{inv}(\text{if mem } x \ l \text{ then } l \text{ else } x::l)$	(eval)
$== \text{inv}(l)$	(by (3), eval)
$== \text{true}$	(by (2))



Representation Invariants

```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

```
let add (x:'a) (l:'a set) : 'a set =  
  if mem x l then l else x::l
```

Theorem: for all $x:'a$ and for all $l:'a \text{ set}$, if $\text{inv}(l)$ then $\text{inv}(\text{add } x \ l)$

Proof:

(1) pick an arbitrary x and l . (2) assume $\text{inv}(l)$.

case 2: assume (3) $\text{not}(\text{mem } x \ l) == \text{true}$:

$\text{inv}(\text{add } x \ l)$	
$== \text{inv}(\text{if mem } x \ l \text{ then } l \text{ else } x::l)$	(eval)
$== \text{inv}(x::l)$	(by (3))
$== \text{not}(\text{mem } x \ l) \ \&\& \ \text{inv}(l)$	(by eval)
$== \text{true} \ \&\& \ \text{inv}(l)$	(by (3))
$== \text{true} \ \&\& \ \text{true}$	(by (2))
$== \text{true}$	(eval)



Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking rem:

```
let rem (x:'a) (l:'a set) : 'a set =  
  List.filter ((<>) x) l
```

Proof obligation?

for all $x:'a$ and for all $l:'a$ set,

if $inv(l)$ then $inv(\text{rem } x \ l)$

← assume invariant on input

← prove invariant on output



Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
    [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking size:

```
let size (l:'a set) : int =  
  List.length l
```

Proof obligation?

no obligation – does not produce value with type 'a set



Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking union:

```
let union (l1:'a set) (l2:'a set) : 'a set =  
  ...
```

Proof obligation?

for all $l1:'a \text{ set}$ and for all $l2:'a \text{ set}$,
if $\text{inv}(l1)$ and $\text{inv}(l2)$ then $\text{inv}(\text{union } l1 \ l2)$



assume invariant on input



prove invariant on output



Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking inter:

```
let inter (l1:'a set) (l2:'a set) : 'a set =  
  ...
```

Proof obligation?

for all $l1:'a \text{ set}$ and for all $l2:'a \text{ set}$,

if $inv(l1)$ and $inv(l2)$ then $inv(inter\ l1\ l2)$



assume invariant on input



prove invariant on output



Summary: Representation Invariants So Far

Given a module with abstract type t

Define an invariant $\text{Inv}(x)$

Assume arguments to functions satisfy Inv

Prove results from functions satisfy Inv

sig

type t

prove: $\text{Inv}(\text{value})$

val $\text{value} : t$

prove: for all $x:\text{int}$, $\text{Inv}(\text{constructor } x)$

val $\text{constructor} : \text{int} \rightarrow t$

val $\text{transform} : \text{int} \rightarrow t \rightarrow t$

prove:
for all $x:\text{int}$,
for all $v:t$,
if $\text{Inv}(v)$
then $\text{Inv}(\text{transform } x \ v)$

val $\text{destructor} : t \rightarrow \text{int}$

end

assume $\text{Inv}(t)$

