

Did I Get it Right?

Part 4: Induction for Datatypes

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<http://~cos326/notes/reasoning-data.php>



Equational Reasoning: Some Key Ideas

What is the fundamental *definition of expression equality* ($e_1 == e_2$)?

- two expressions are equal if:
 - they evaluate to equal values, or
 - they both raise the same exception
 - they both fail to terminate
- note: we won't ask you to do proofs about expressions that don't terminate, use I/O or mutable data structures

What are some consequences of this definition?

- expression equality is reflexive, symmetric and transitive
- if $e_1 \rightarrow e_2$ then $e_1 == e_2$
- if $e_1 == e_2$ then $e[e_1/x] == e[e_2/x]$. (substitution of equals for equals)

How do we prove things about recursive functions?

- we use proofs by induction
- to reason about recursive calls on *smaller* data, we assume the property we are trying to prove (ie, we use the *induction hypothesis*)



More General Template for Inductive Datatypes

```
type t = C1 of t1 | C2 of t2 | ... | Cn of tn
```

types t1, t2 ... tn, may contain 1 or more occurrences of t within them.

Examples:

```
type mylist =  
  MyNil  
| MyCons of int * mylist
```

```
type 'a tree =  
  Leaf  
| Node of 'a * 'a tree * 'a tree
```

recursive occurrences



More General Template for Inductive Datatypes

$\text{type } t = C1 \text{ of } t1 \mid C2 \text{ of } t2 \mid \dots \mid Cn \text{ of } tn$

Theorem: For all $x : t$, $\text{property}(x)$.

Proof: By induction on structure of values x with type t .



More General Template for Inductive Datatypes

$\text{type } t = C1 \text{ of } t1 \mid C2 \text{ of } t2 \mid \dots \mid Cn \text{ of } tn$

Theorem: For all $x : t$, $\text{property}(x)$.

Proof: By induction on structure of values x with type t .

Case: $x == C1 v$:

... use IH on components of v that have type t ...

Case: $x == C2 v$:

... use IH on components of v that have type t ...

Case: $x == Cn v$:

... use IH on components of v that have type t ...



A PROOF ABOUT TREES



Another example

```
type 'a tree = Leaf | Node of 'a * 'a tree * 'a tree
```

```
let rec tm f t =  
  match t with  
  | Leaf -> Leaf  
  | Node (x, l, r) -> Node (f x, tm f l, tm f r)
```

```
let (<>) f g =  
  fun x -> f (g x)
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Theorem:

For all (total) functions $f : b \rightarrow c$,
For all (total) functions $g : a \rightarrow b$,
For all trees $t : \text{a tree}$,
 $\text{tm } f (\text{tm } g t) == \text{tm } (f \langle \rangle g) t$



“Forall intro”

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let rec tm f t =  
  match t with  
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```

```
let (⟨⟩) f g =  
  fun x -> f (g x)
```

To begin, let's *pick an arbitrary total function f and total function g* .

We'll prove the theorem without assuming any particular properties of f or g (other than the fact that the types match up). So, for the f and g we picked, we'll prove:

Theorem:

For all trees $t : \text{a tree}$,
 $\text{tm } f (\text{tm } g t) == \text{tm } (f \langle \rangle g) t$



Another example

Theorem:

For all trees t : a tree,
 $\text{tm } f (\text{tm } g \ t) == \text{tm } (f \langle \rangle g) \ t$

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Case: $t = \text{Leaf}$

No inductive hypothesis to use.

(Leaf doesn't contain any smaller components with type tree.)

Proof:

$\text{tm } f (\text{tm } g \ \text{Leaf})$



Another example

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Case: $t = \text{Leaf}$

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Proof:

$\text{tm } f (\text{tm } g \ \text{Leaf})$	
$== \text{tm } f \ \text{Leaf}$	(eval tm g Leaf)
$== \text{Leaf}$	(eval tm f Leaf)
$== \text{tm } (f \langle \rangle g) \ \text{Leaf}$	(reverse eval)



Another example

Theorem:

For all trees t : a tree,
 $\text{tm } f (\text{tm } g \ t) == \text{tm } (f \langle \rangle g) \ t$

Case: $t = \text{Node}(v, l, r)$

IH1: $\text{tm } f (\text{tm } g \ l) == \text{tm } (f \langle \rangle g) \ l$

IH2: $\text{tm } f (\text{tm } g \ r) == \text{tm } (f \langle \rangle g) \ r$

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Proof:

$\text{tm } f (\text{tm } g (\text{Node } (v, l, r)))$

$== \text{tm } (f \langle \rangle g) (\text{Node } (v, l, r))$

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let rec tm f t =  
  match t with  
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Proof:

$\text{tm } f (\text{tm } g (\text{Node } (v, l, r)))$
 $== \text{tm } f (\text{Node } (g v, \text{tm } g l, \text{tm } g r))$

(eval inner tm)

$== \text{tm } (f \langle \rangle g) (\text{Node } (v, l, r))$

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let rec tm f t =  
  match t with  
  | Leaf -> Leaf  
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let (⟨⟩) f g =  
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(eval inner tm)

$\text{Node } ((f \langle \rangle g) v, \text{tm } (f \langle \rangle g) l, \text{tm } (f \langle \rangle g) r)$
 $== \text{tm } (f \langle \rangle g) (\text{Node } (v, l, r))$

(eval reverse)

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let rec tm f t =  
  match t with  
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  | Node (x, l, r) -> Node (f x, tm f l, tm f r)
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```
let (⟨⟩) f g =  
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Theorem:

For all trees t : a tree,

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Proof:

$$\begin{aligned} & \text{tm } f (\text{tm } g (\text{Node } (v, l, r))) \\ == & \text{tm } f (\text{Node } (g \ v, \text{tm } g \ l, \text{tm } g \ r)) \\ == & \text{Node } (f \ (g \ v), \text{tm } f \ (\text{tm } g \ l), \text{tm } f \ (\text{tm } g \ r)) \end{aligned}$$

(eval inner tm)

(eval – since g, tm are total)

$$\begin{aligned} & \text{Node } ((f \langle \rangle g) \ v, \text{tm } (f \langle \rangle g) \ l, \text{tm } (f \langle \rangle g) \ r) \\ == & \text{tm } (f \langle \rangle g) (\text{Node } (v, l, r)) \end{aligned}$$

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let rec tm f t =
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let (⟨⟩) f g =
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Proof:

$$\begin{aligned} & \text{tm } f (\text{tm } g (\text{Node } (v, l, r))) \\ & == \text{tm } f (\text{Node } (g \ v, \text{tm } g \ l, \text{tm } g \ r)) && \text{(eval inner tm)} \\ & == \text{Node } (f (g \ v), \text{tm } f (\text{tm } g \ l), \text{tm } f (\text{tm } g \ r)) && \text{(eval – since g, tm are total)} \end{aligned}$$

$$\begin{aligned} & \text{Node } ((f \langle \rangle g) \ v, \text{tm } (f \langle \rangle g) \ l, \text{tm } f (\text{tm } g \ r)) \\ & == \text{Node } ((f \langle \rangle g) \ v, \text{tm } (f \langle \rangle g) \ l, \text{tm } (f \langle \rangle g) \ r) && \text{(IH2)} \\ & == \text{tm } (f \langle \rangle g) (\text{Node } (v, l, r)) && \text{(eval reverse)} \end{aligned}$$

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Proof:

$$\begin{aligned} & \text{tm } f (\text{tm } g (\text{Node } (v, l, r))) \\ \Rightarrow & \text{tm } f (\text{Node } (g \ v, \text{tm } g \ l, \text{tm } g \ r)) && \text{(eval inner tm)} \\ \Rightarrow & \text{Node } (f (g \ v), \text{tm } f (\text{tm } g \ l), \text{tm } f (\text{tm } g \ r)) && \text{(eval – since g, tm are total)} \\ \Rightarrow & \text{Node } ((f \langle \rangle g) \ v, \text{tm } f (\text{tm } g \ l), \text{tm } f (\text{tm } g \ r)) \\ \Rightarrow & \text{Node } ((f \langle \rangle g) \ v, \text{tm } (f \langle \rangle g) \ l, \text{tm } f (\text{tm } g \ r)) && \text{(IH1)} \\ \Rightarrow & \text{Node } ((f \langle \rangle g) \ v, \text{tm } (f \langle \rangle g) \ l, \text{tm } (f \langle \rangle g) \ r) && \text{(IH2)} \\ \Rightarrow & \text{tm } (f \langle \rangle g) (\text{Node } (v, l, r)) && \text{(eval reverse)} \end{aligned}$$

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Case: $t = \text{Node}(v, l, r)$

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Proof:

$$\begin{aligned} & \text{tm } f (\text{tm } g (\text{Node } (v, l, r))) \\ == & \text{tm } f (\text{Node } (g \ v, \text{tm } g \ l, \text{tm } g \ r)) \\ == & \text{Node } (f \ (g \ v), \text{tm } f (\text{tm } g \ l), \text{tm } f (\text{tm } g \ r)) \\ == & \text{Node } ((f \langle \rangle g) \ v, \text{tm } f (\text{tm } g \ l), \text{tm } f (\text{tm } g \ r)) \\ == & \text{Node } ((f \langle \rangle g) \ v, \text{tm } (f \langle \rangle g) \ l, \text{tm } f (\text{tm } g \ r)) \\ == & \text{Node } ((f \langle \rangle g) \ v, \text{tm } (f \langle \rangle g) \ l, \text{tm } (f \langle \rangle g) \ r) \\ == & \text{tm } (f \langle \rangle g) (\text{Node } (v, l, r)) \end{aligned}$$

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let (⟨⟩) f g =  
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(eval inner tm)

(eval – since g, tm are total)

(eval reverse)

(IH1)

(IH2)

(eval reverse)



Summary: Proof Template for Trees

```
type 'a tree = Leaf | Node of 'a * 'a tree * 'a tree
```

Theorem: For all $x : 'a \text{ tree}$, $\text{property}(x)$.

Proof: By induction on the structure of trees x .

Case: $x == \text{Leaf}$:

... no use of inductive hypothesis (this is the smallest tree) ...

Case: $x == \text{Node}(v, \text{left}, \text{right})$:

IH1: $\text{property}(\text{left})$

IH2: $\text{property}(\text{right})$

... use IH1 and IH 2 in your proof ...



Summary of Template for Inductive Datatypes

$\text{type } t = C1 \text{ of } t1 \mid C2 \text{ of } t2 \mid \dots \mid Cn \text{ of } tn$

Theorem: For all $x : t$, $\text{property}(x)$.

Proof: By induction on structure of values x with type t .

Case: $x == C1 v$:

... use IH on components of v that have type t ...

Case: $x == C2 v$:

... use IH on components of v that have type t ...

Case: $x == Cn v$:

... use IH on components of v that have type t ...

use patterns
that divide
up the cases

Take inspiration
from the
structure of the
program



Exercise

```
type 'a tree = Leaf of 'a | Node of 'a tree * 'a tree
```

```
let rec flip (t: 'a tree) =
```

```
  match t with
```

```
  | Leaf _ -> t
```

```
  | Node (a,b) -> Node (flip b, flip a)
```

Theorem: for all t: 'a tree, $\text{flip}(\text{flip } t) = t$.

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