

# Implementing OCaml in OCaml

## Part 1: Representing Program Syntax

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# Defining Programming Language Semantics

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To write a program, you have to know how the language works.

**Semantics:** The study of “how a programming language works”



# Defining Programming Language Semantics

To write a program, you have to know how the language works.

**Semantics:** The study of “how a programming language works”

**Methods** for defining program semantics:

- **Operational:** show how to rewrite program expressions step-by-step until you end up with a value
  - we’ve done some of this already
- **Denotational:** how interpret a program in a different language that is well understood
  - we aren’t going to do much of this – see COS 510
- **Equational:** specify the equal programs
  - we’ll do more of this later & use this semantics to prove things about our programs
- **Axiomatic:** provide (other kinds of) reasoning rules about programs



# Defining Program Semantics

In this series of lectures, we'll focus on operational definitions

We'll use the following techniques to communicate:

1. *examples* (good for intuition, but highly incomplete)
  - this doesn't get at the corner cases
2. *an interpreter program* written in OCaml
3. *mathematical notation*



# Defining Program Semantics

In this series of lectures, we'll focus on operational definitions

We'll use the following techniques to communicate:

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  - this doesn't get at the corner cases
2. *an interpreter program* written in OCaml
3. *mathematical notation*



# Implementing an Interpreter

text file containing program  
as a sequence of characters

```
let x = 3 in  
x + x
```

Parsing

data structure representing program

```
Let ("x",  
    Num 3,  
    Binop(Plus, Var "x", Var "x"))
```

data structure representing  
result of evaluation

```
Num 6
```

Evaluation

Pretty  
Printing

```
6
```

text file/stdout  
containing formatted output



# REPRESENTING SYNTAX



# Representing Syntax

Program syntax is a complicated tree-like data structure.





# Representing Syntax

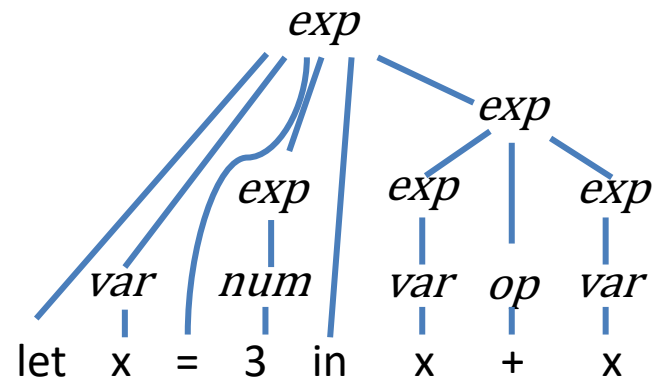
Program syntax is a complicated tree-like data structure.

```
let x = 3 in  
x + x
```



# Syntax Trees

let x = 3 in x + x



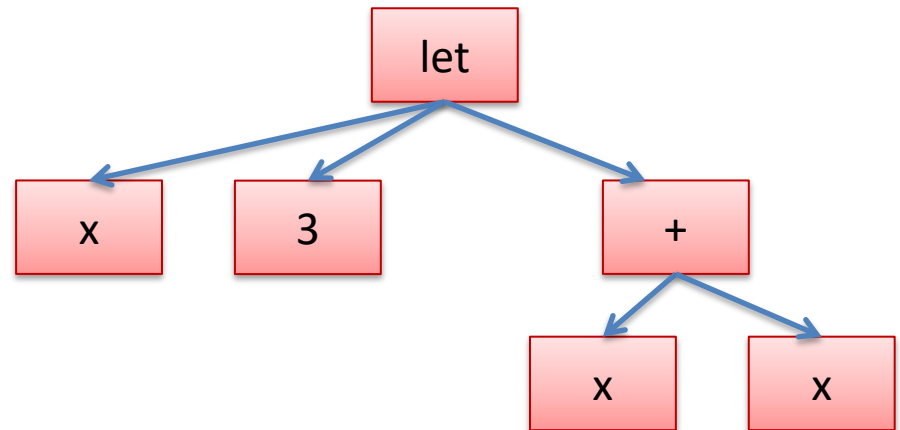
This is the *parse tree*.  
Useful for some purposes, but  
for the semantics it's *too much information*.



# Abstract Syntax Tree (AST)

Don't need all the "punctuation" (key words, white space).

let x = 3 in  
x + x



# Representing Syntax

More generally each let expression has 3 parts:

let  =  in 

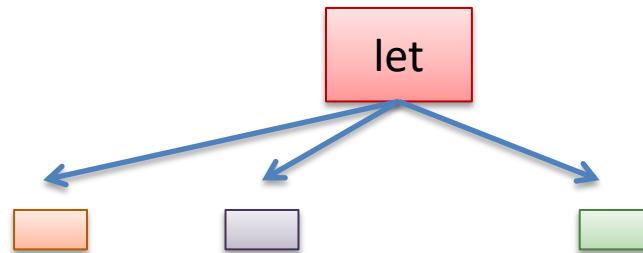


# Representing Syntax

More generally each let expression has 3 parts:

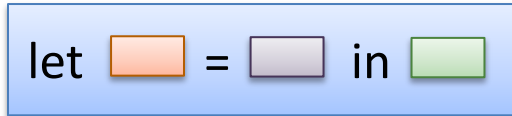
let  =  in 

And you can represent a let expression using a tree like this:

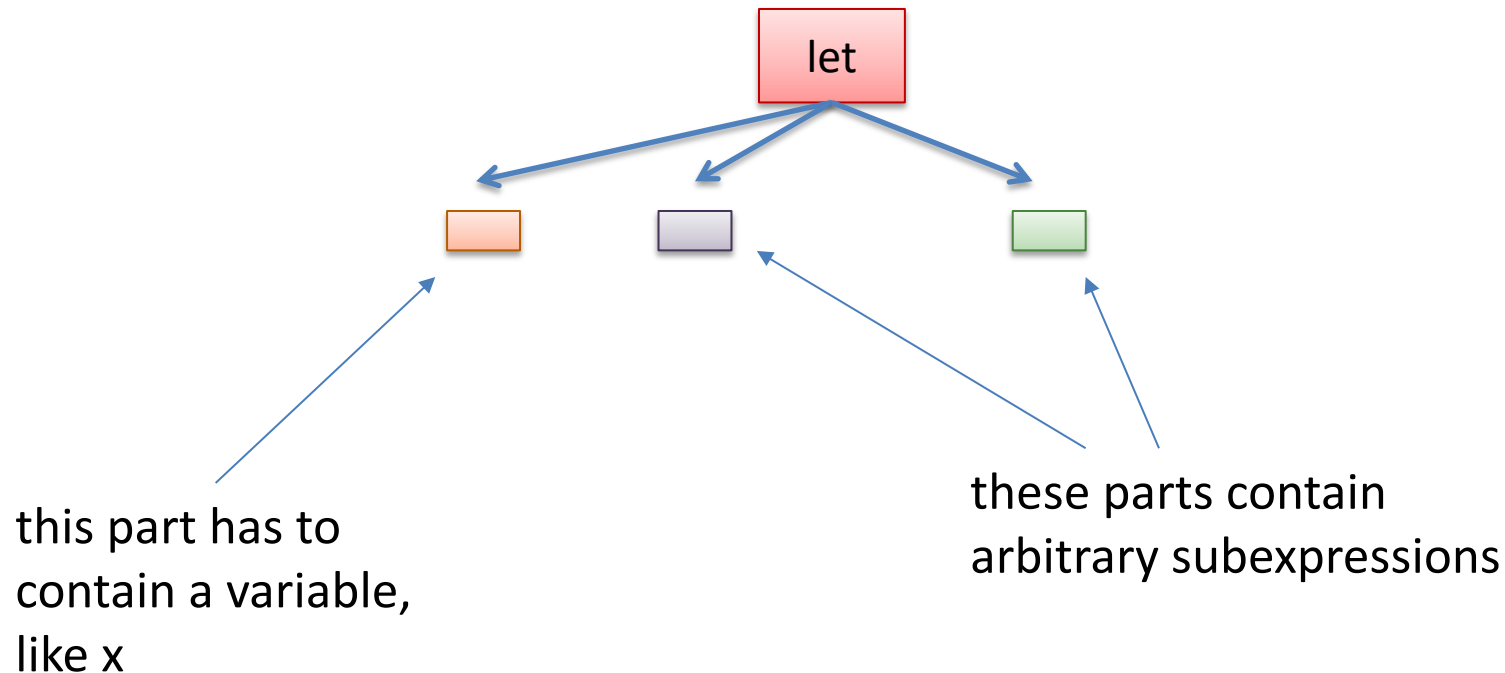


# Representing Syntax

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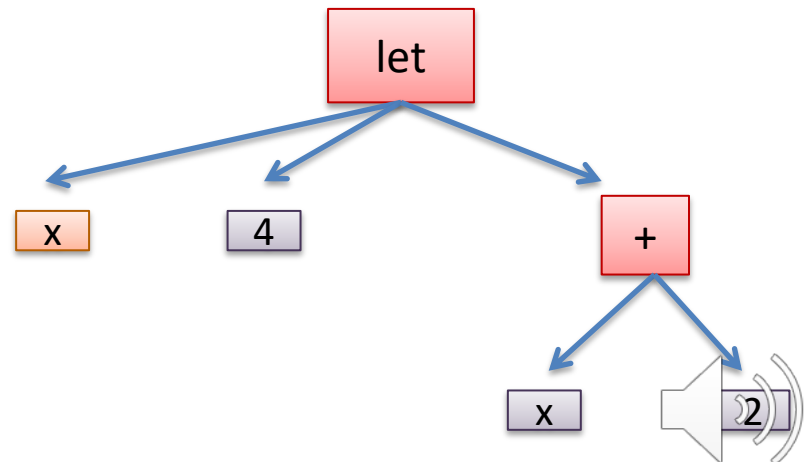
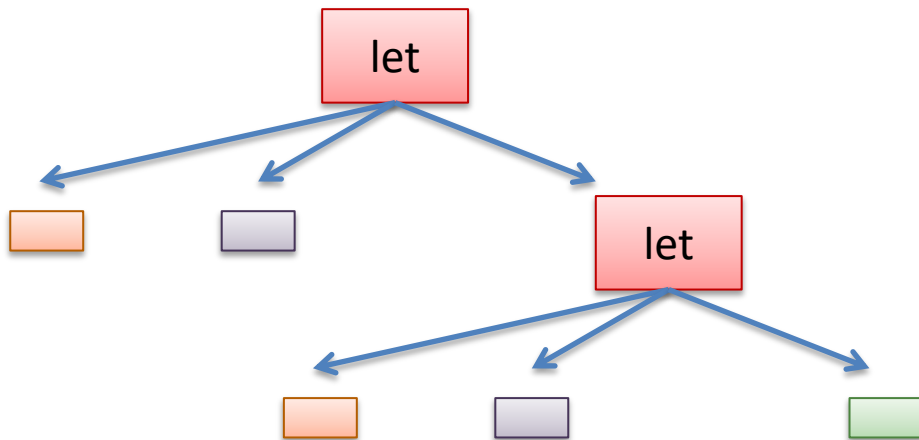


# Representing Syntax

More generally each let expression has 3 parts:

let  =  in 

And you create complicated programs by nesting let expressions (or any other expression) recursively inside one another:



Functional programming languages have sometimes been called “domain-specific languages for compiler writers”

Datatypes are amazing for representing complicated tree-like structures and that is exactly what a program is.

Use a different constructor for every different sort of expression

- one constructor for variables
- one constructor for let expressions
- one constructor for numbers
- one constructor for binary operators, like add
- ...





## Aside: Java for the loss

Languages like Java, that are based exclusively around heavy-weight class tend to be vastly more verbose when trying to represent syntax trees:

- one whole class for each different kind of syntax
- one class for variables
- one class for let expressions
- one class for numbers ...

In addition, writing traversals over the syntax is annoying, because your code is spread over N different classes (using a visitor pattern) rather than in one place.



## Aside: Java for the loss

Languages like Java, that are based exclusively around heavy-weight class tend to be vastly more verbose when trying to represent syntax trees:

- one whole class for each different kind of syntax
- one class for each different kind of node
- one class for each different kind of edge
- one class for each different kind of leaf

**SCORE: OCAML 3.8, JAVA 0**

(C: who cares?)

In addition, Java is more verbose because it uses classes (using a visitor pattern) to represent syntax trees. In OCaml, the syntax tree is represented by a single piece of code.



# Making These Ideas Precise

A datatype for simple OCaml expressions:

```
type variable = string

type op = Plus | Minus | Times | ...

type exp =
  | Int of int
  | Op of exp * op * exp
  | Var of variable
  | Let of variable * exp * exp

type value = exp
```



# Making These Ideas Precise

A datatype for simple OCaml expressions:

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type op = Plus | Minus | Times | ...
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let e1 = Int 3
```



# Making These Ideas Precise

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let e1 = Int 3
let e2 = Int 17
```



# Making These Ideas Precise

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A datatype for simple OCaml expressions:

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type variable = string
type op = Plus | Minus | Times | ...
type exp =
  | Int of int
  | Op of exp * op * exp
  | Var of variable
  | Let of variable * exp * exp
type value = exp

let e1 = Int 3
let e2 = Int 17
let e3 = Op (e1, Plus, e2)
```

represents "3 + 17"



# Making These Ideas Precise

We can represent the OCaml program:

```
let x = 30 in
  let y =
    (let z = 3 in
     z*4)
  in
  y+y
```

This is called  
**concrete syntax**  
(concrete syntax pertains to parsing)

This is called an  
**abstract syntax tree (AST)**

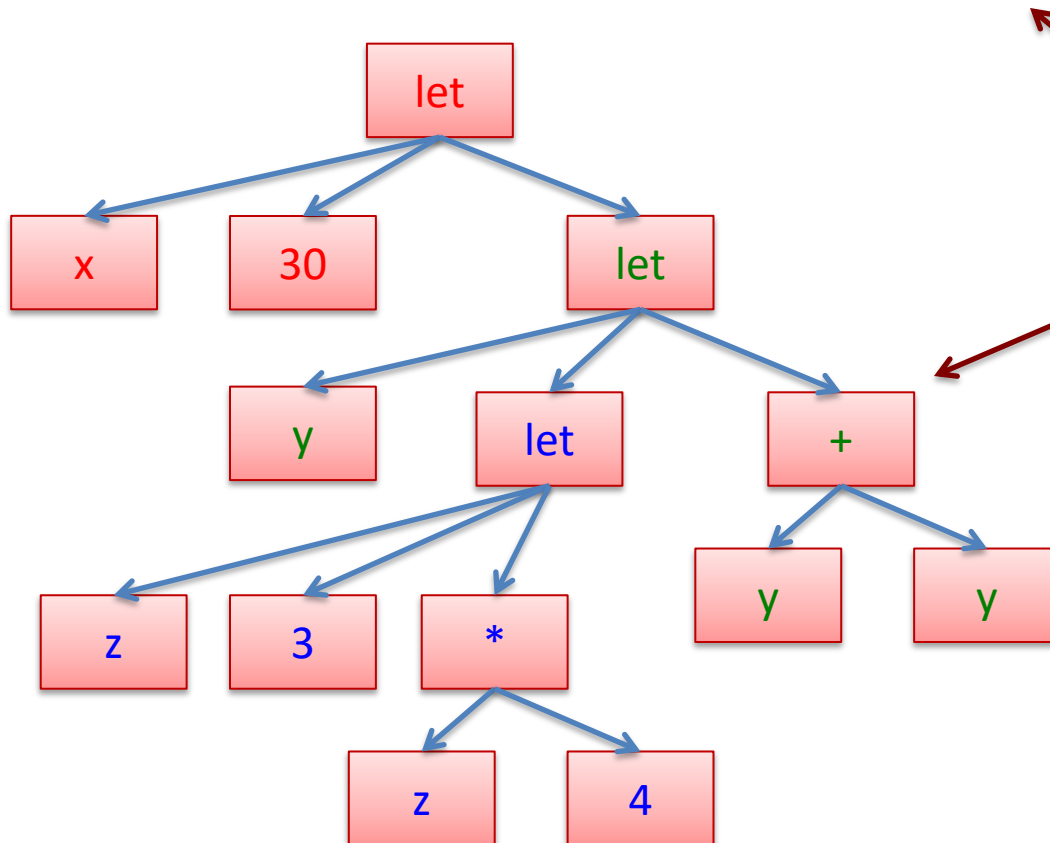
as an exp value:

```
Let("x", Int 30,
    Let("y",
        Let("z", Int 3,
            Op(Var "z", Times, Int 4)),
        Op(Var "y", Plus, Var "y"))
```



# ASTs as ... Trees

```
Let("x", Int 30,  
    Let("y", Let("z", Int 3,  
                Op(Var "z", Times, Int 4)),  
        Op(Var "y", Plus, Var "y"))
```



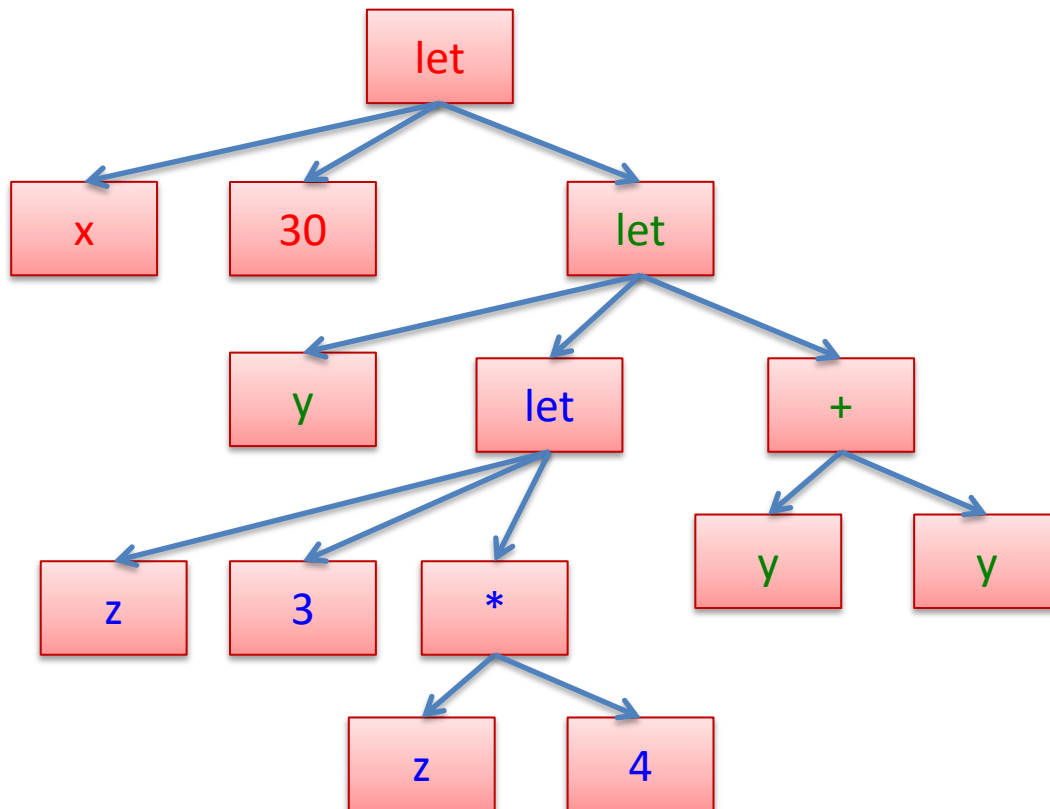
Visualizing the  
OCaml expression  
as a tree





# ASTs as ... Trees

```
Let("x", Int 30,  
    Let("y", Let("z", Int 3,  
                Op(Var "z", Times, Int 4)),  
          Op(Var "y", Plus, Var "y"))
```

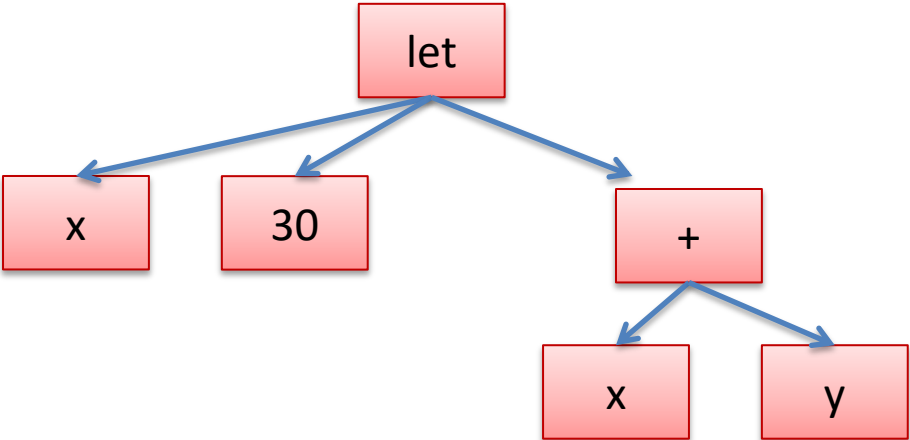


Now that we have a data structure to represent programs, we can write other programs to analyze them.



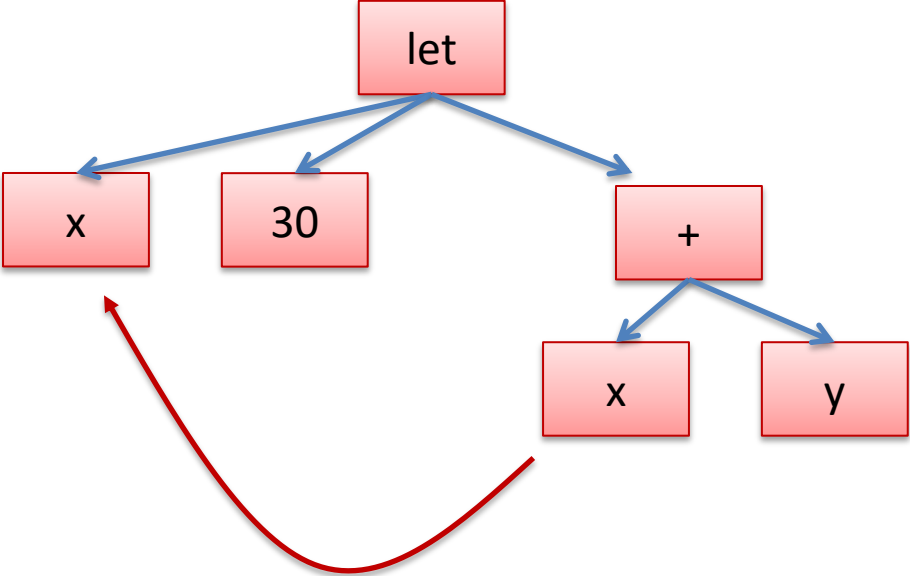
# Free vs Bound Variables

```
let x = 30 in  
x+y
```



# Free vs Bound Variables

```
let x = 30 in  
x+y
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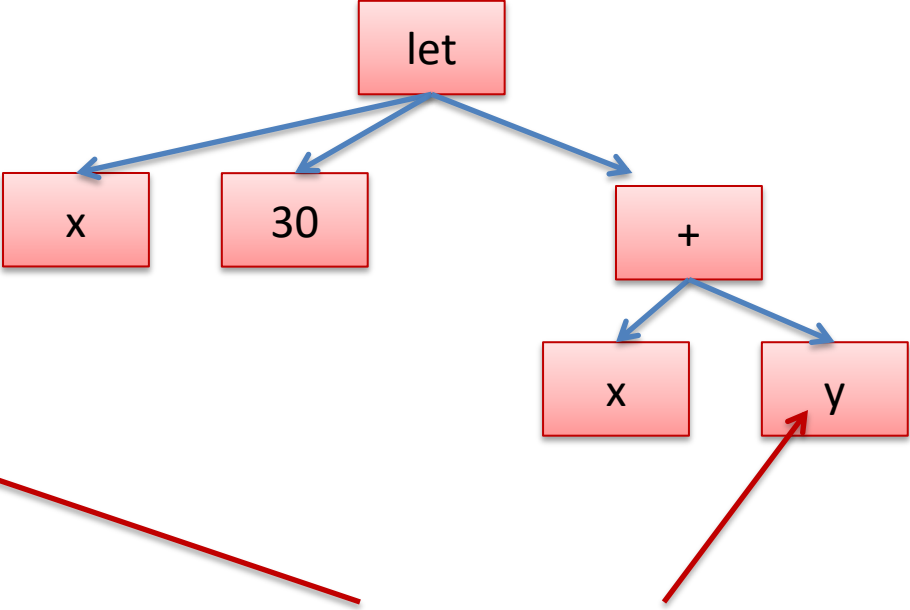


this use of x is bound here



# Free vs Bound Variables

```
let x = 30 in  
x+y
```



this use of y is free

we say: "y is a free variable in the expression (let x = 30 in x+y)"



# Other Examples


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```
fun z -> z + y
```



z is bound  
y is a free variable

```
match x with  
(y, z) -> y + z + w
```



x, w are free variables  
y, z are bound

```
let rec f x =  
  match x with  
  [] -> y  
  | hd:tl -> hd::f tl
```

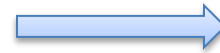
y is a free variable  
f, x, hd, tl are all bound



# A Few More Examples

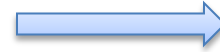
What are the free variables of the following expressions?

```
if true then x else y
```



x and y

```
(fun x y ->  
  match x with  
    [] -> 0  
  | hd::tl -> w + hd) [] z
```



w and z

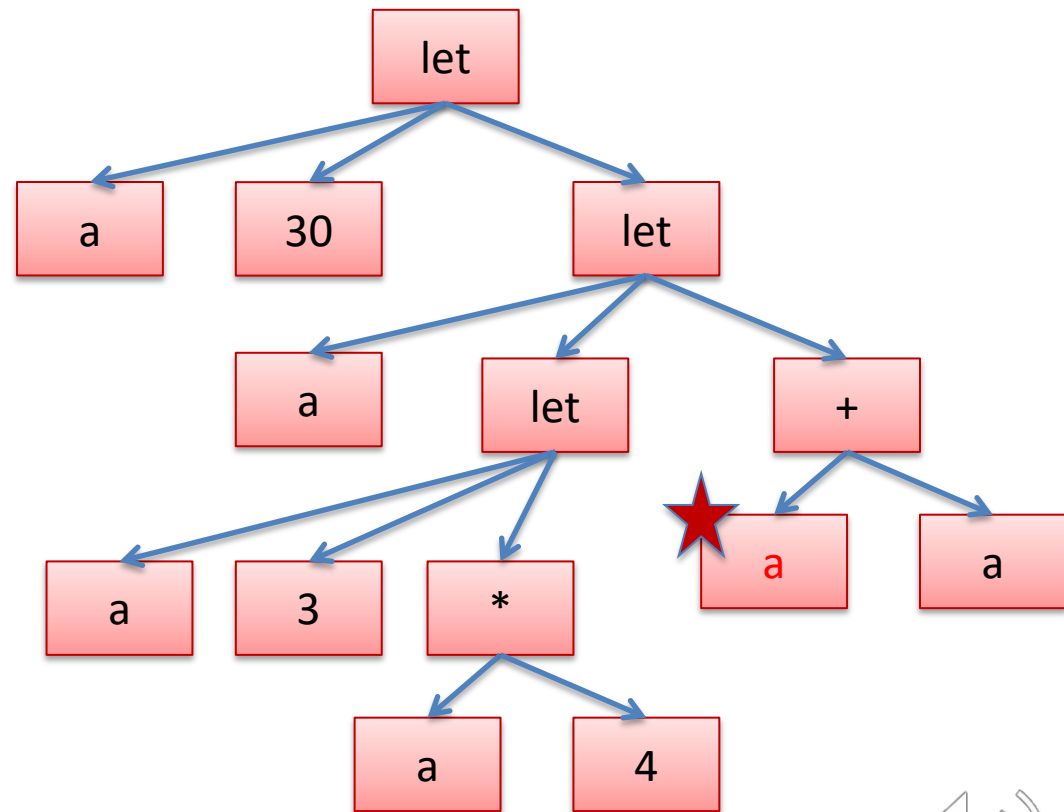
*The free variables of an expression  
do not depend upon the flow of control.*



# Abstract Syntax Trees

Given a variable occurrence, we can find where it is bound by ...

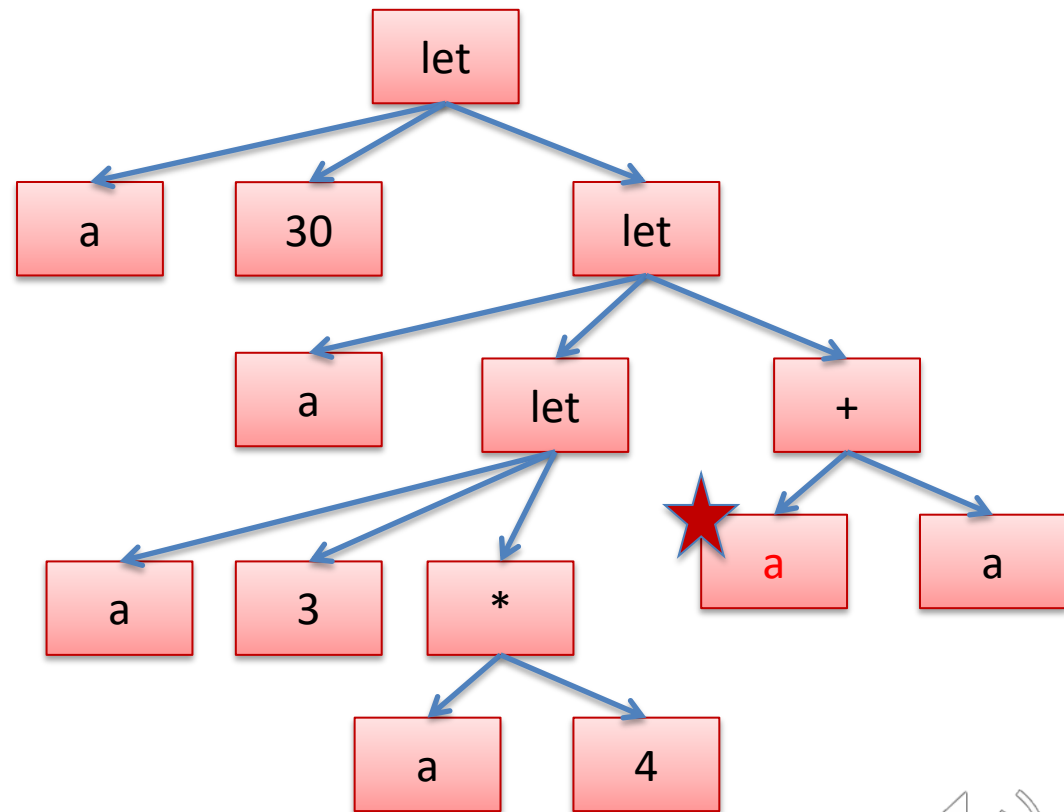
```
let a = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```



# Abstract Syntax Trees

crawling up the tree to the nearest enclosing let...

```
let a = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```

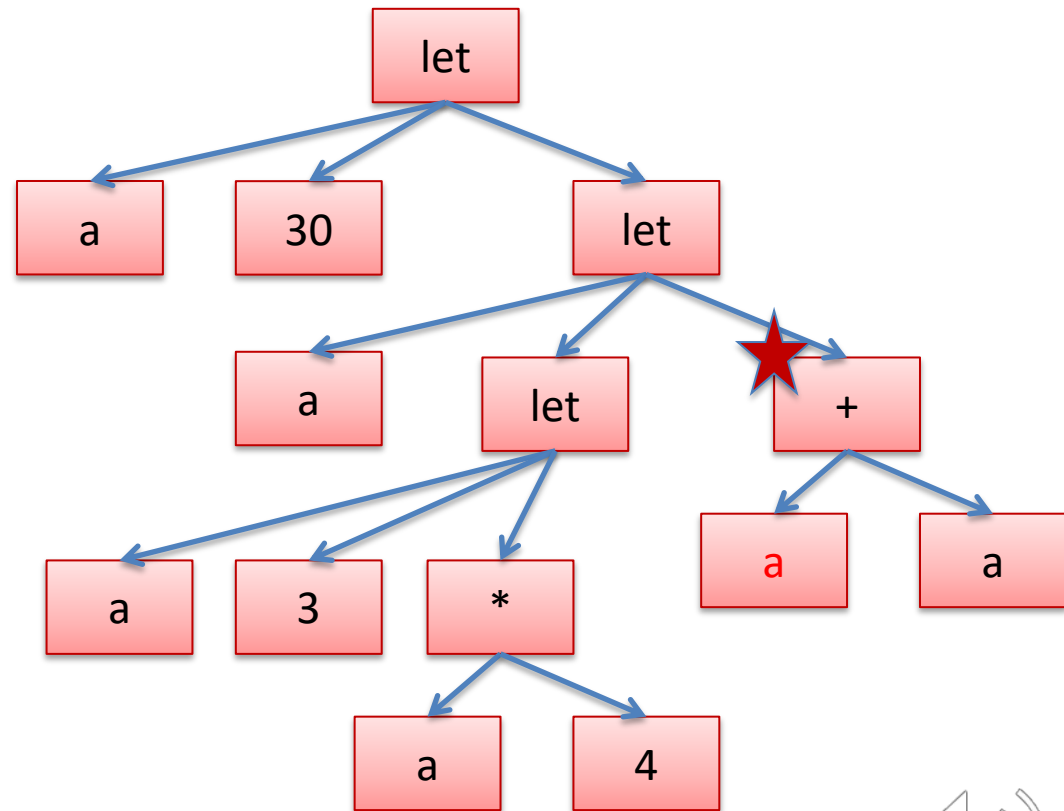




# Abstract Syntax Trees

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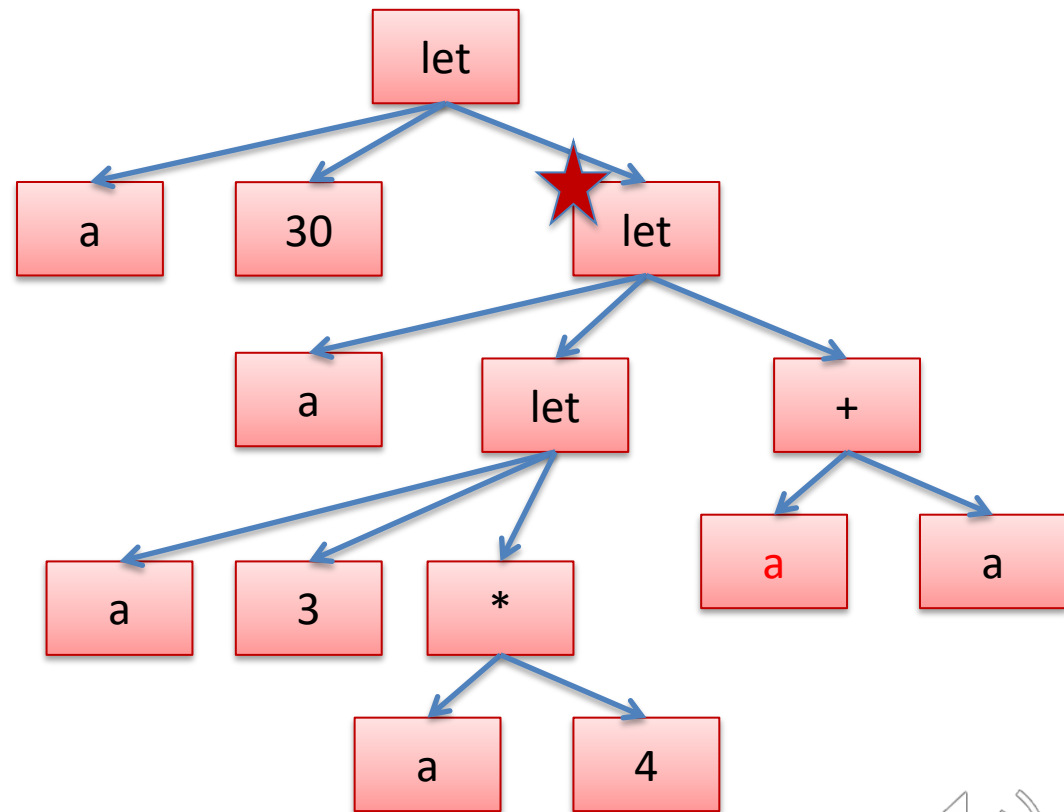
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```



# Abstract Syntax Trees

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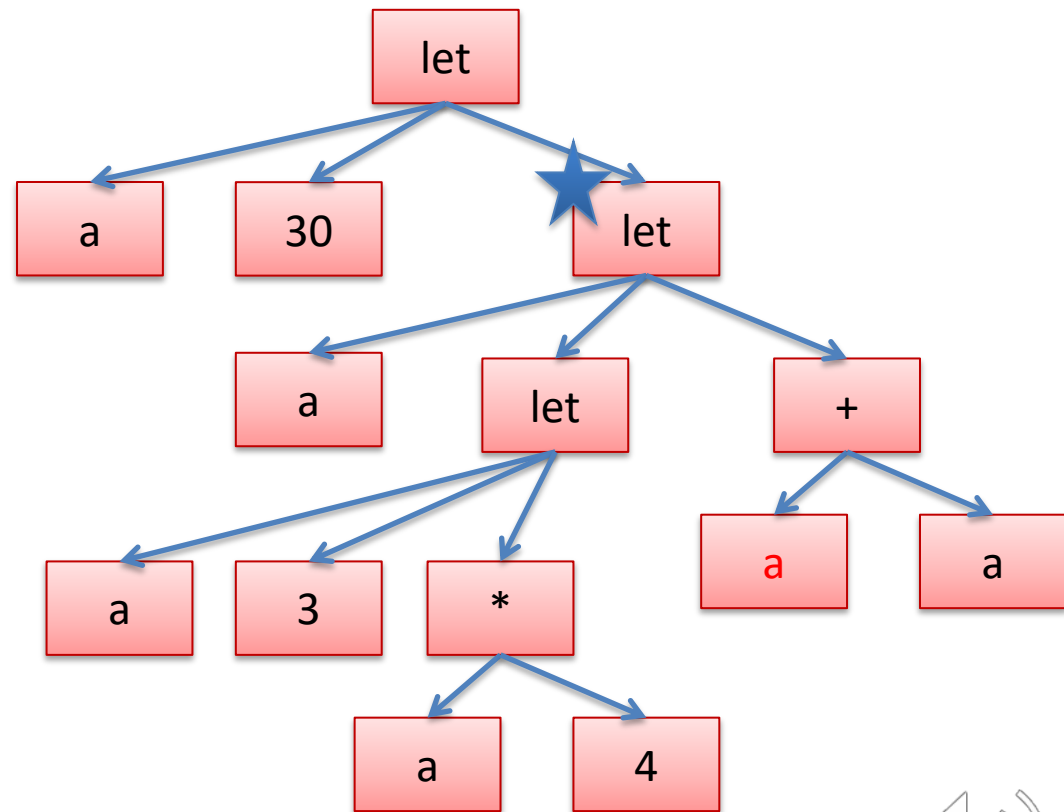
```
let a = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```



# Abstract Syntax Trees

and checking if the “let” binds the same variable – if so, we’ve found the nearest enclosing definition. If not, we keep going up.

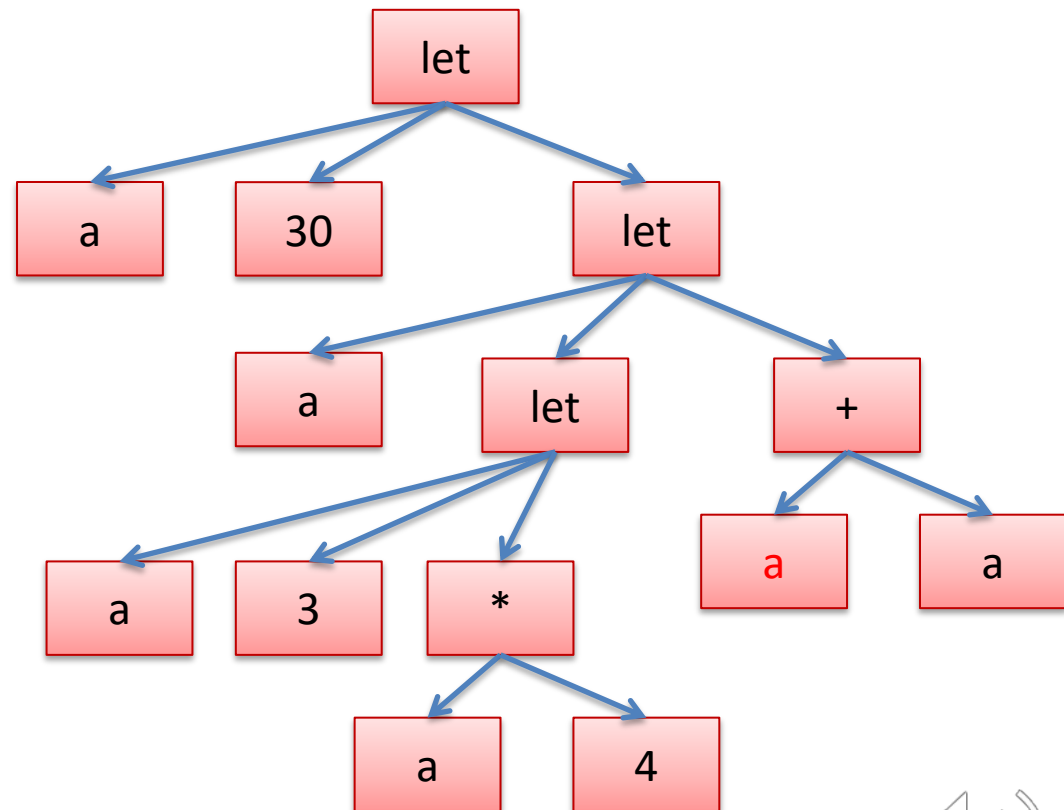
```
let a = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```



# Abstract Syntax Trees

We can also systematically rename the variables so that it's not so confusing. Recall systematic renaming is called *alpha-conversion*

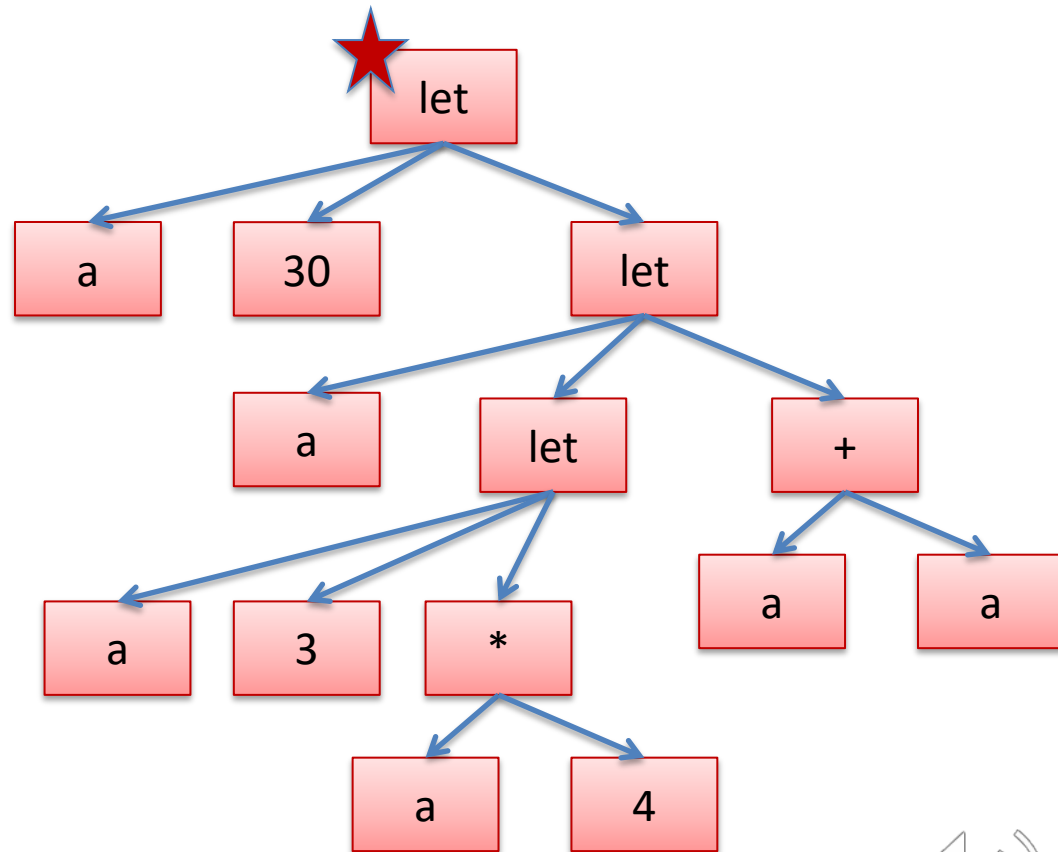
```
let a = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```



# Abstract Syntax Trees

Start with a let, and pick a fresh variable name, say “x”

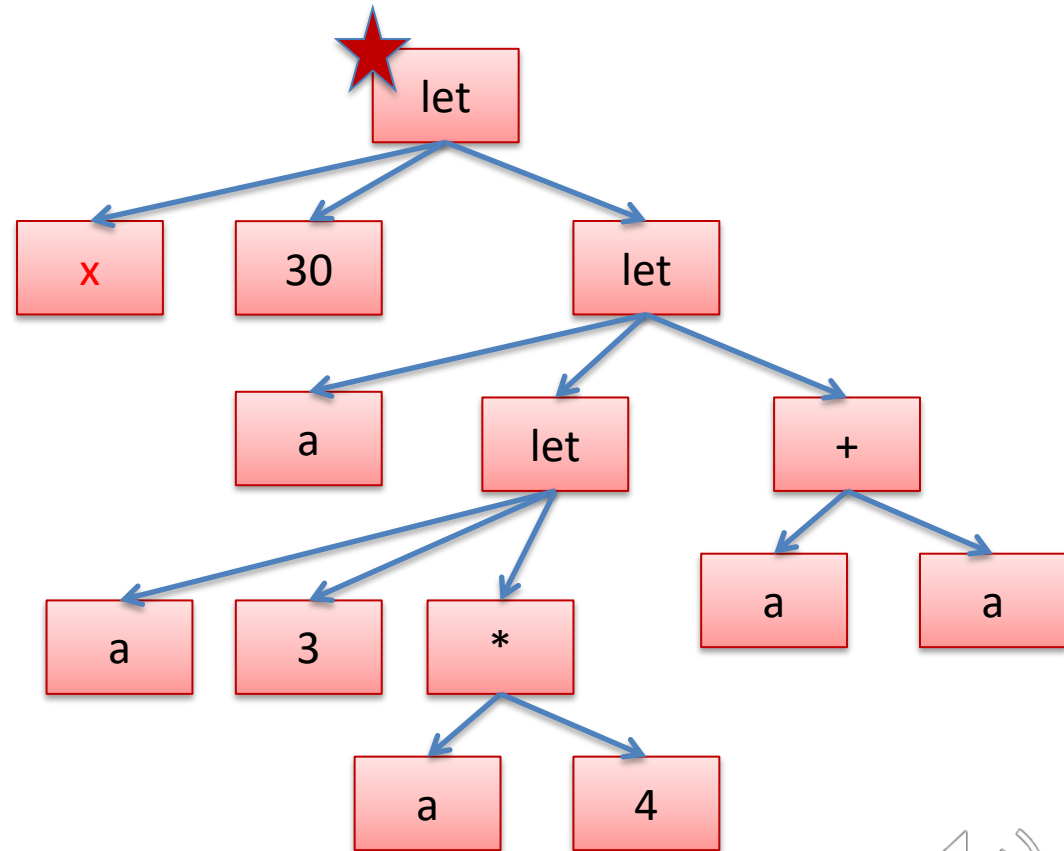
```
let a = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```



# Abstract Syntax Trees

Rename the binding occurrence from “a” to “x”.

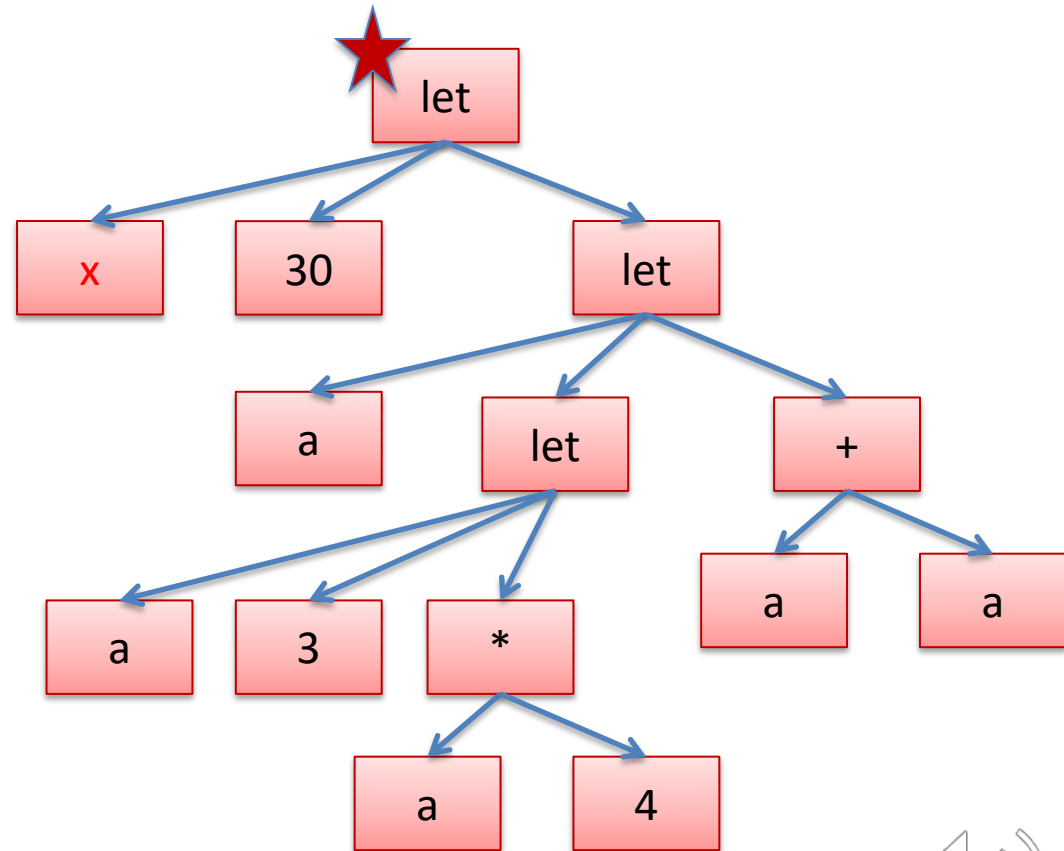
```
let x = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```



# Abstract Syntax Trees

Then rename all of the occurrences of the variables *that this let binds*.

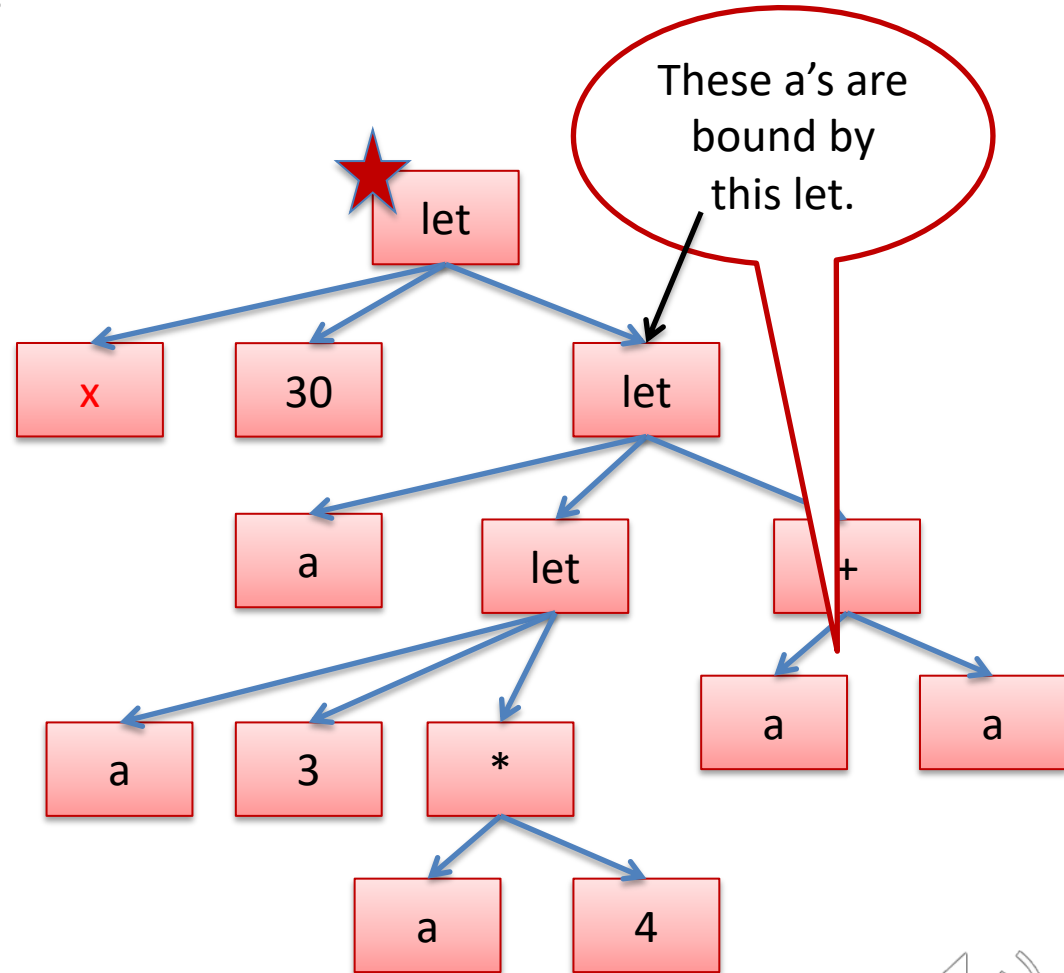
```
let x = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```



# Abstract Syntax Trees

There are none in this case!

```
let x = 30 in  
let a =  
  (let a = 3 in a*4)  
in  
a+a
```

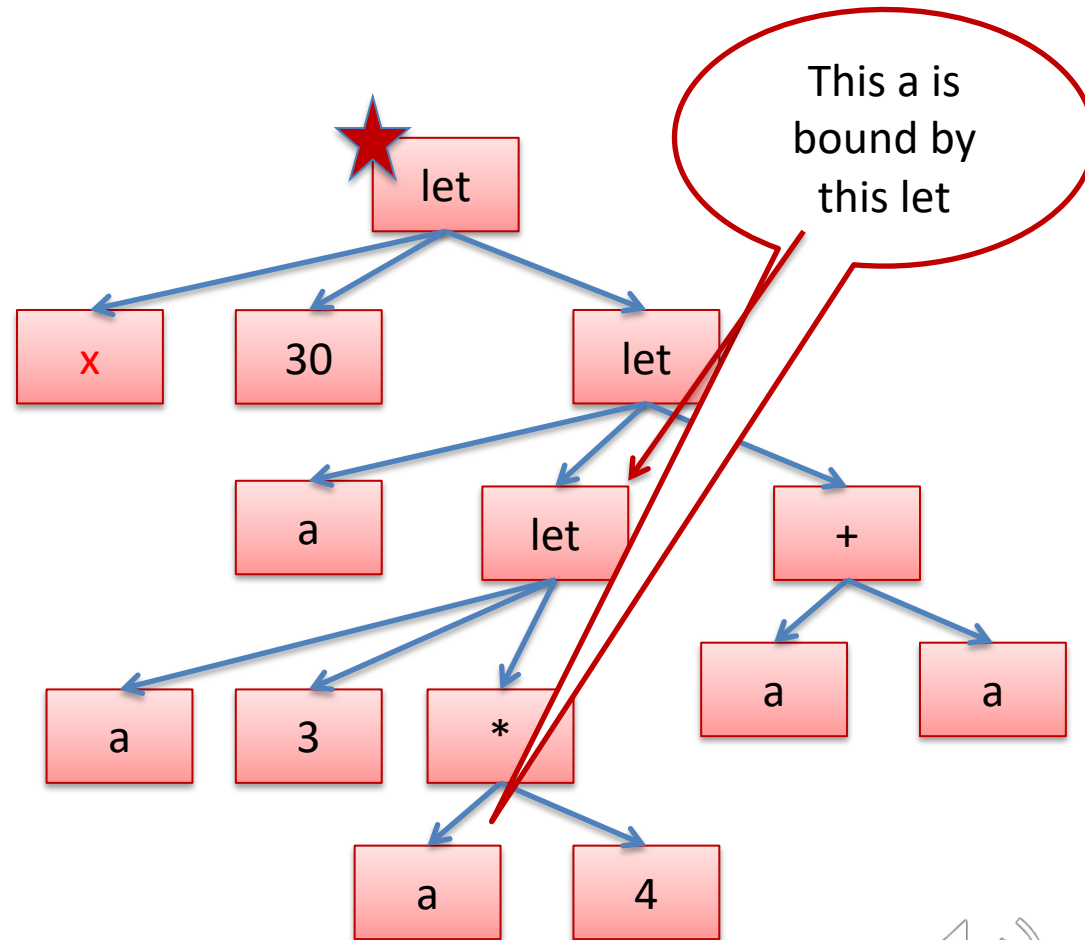




# Abstract Syntax Trees

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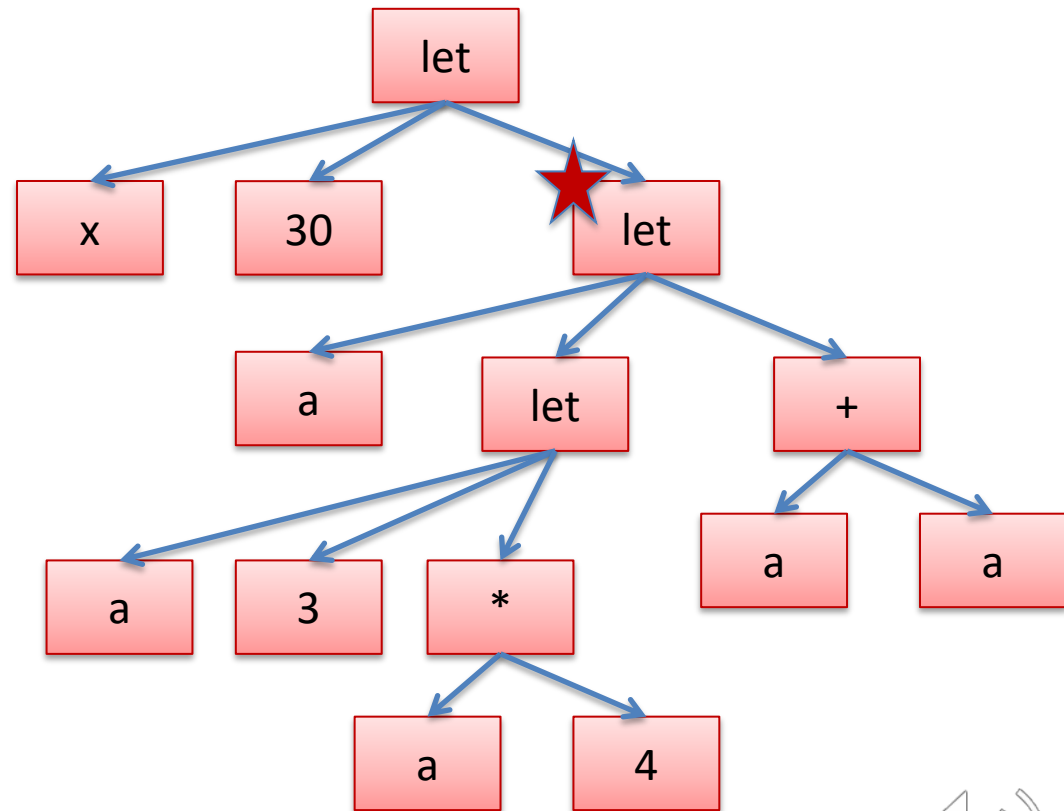
```
let x = 30 in  
let a =  
  (let a = 3 in a*4)  
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a+a
```



# Abstract Syntax Trees

Let's do another let, renaming "a" to "y".

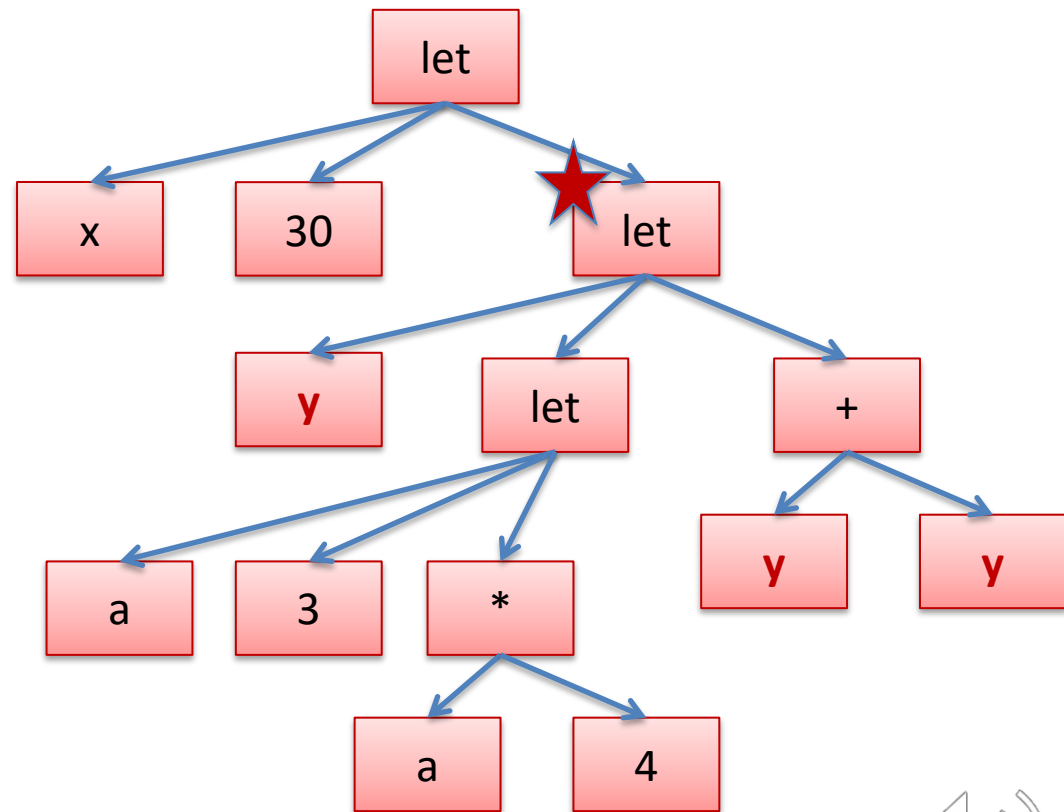
```
let x = 30 in  
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# Abstract Syntax Trees

Let's do another let, renaming "a" to "y".

```
let x = 30 in  
let y =  
  (let a = 3 in a*4)  
in  
y+y
```



# Implementing Renaming

```
type var = string
type op = Plus | Minus
type exp =
  | Int of int
  | Op  of exp * op * exp
  | Var of var
  | Let of var * exp * exp
```

```
let rec rename (x:var) (y:var) (e:exp) : exp =
```



# Implementing Renaming

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```
type var = string
type op = Plus | Minus
type exp =
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  | Let of var * exp * exp
```

```
let rec rename (x:var) (y:var) (e:exp) : exp =
  match e with
  | Op  (e1, op, e2) ->
    Op op (rename x y e1, rename x y e2)
  | Var z ->
    if z = x then y else z
  | Int i ->
    i
  | Let (z, e1, e2) ->
    Let z (rename x y e1, rename x y e2)
```



# Implementing Renaming

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type var = string
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type exp =
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  | Let of var * exp * exp
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let rec rename (x:var) (y:var) (e:exp) : exp =
  match e with
  | Op (e1, op, e2) ->
    Op (rename x y e1, op, rename x y e2)
  | Var z ->
    z
  | Int i ->
    i
  | Let (z, e1, e2) ->
    Let (z, rename x y e1, rename x y e2)
```



# Implementing Renaming

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# Implementing Renaming

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  | Var z ->
    if z = x then Var y else e
  | Int i ->
    Int i
  | Let (z, e1, e2) ->
```





# Implementing Renaming

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```
type var = string
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  | Let of var * exp * exp
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let rec rename (x:var) (y:var) (e:exp) : exp =
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  | Op (e1, op, e2) ->
    Op (rename x y e1, op, rename x y e2)
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    if z = x then Var y else e
  | Int i ->
    Int i
  | Let (z, e1, e2) ->
    Let (z, rename x y e1,
        if z = x then e2 else rename x y e2)
```



# Exercise

Here's the syntax of our little language:

```
type var = string
type op = Plus | Minus
type exp =
  | Int of int
  | Op of exp * op * exp
  | Var of var
  | Let of var * exp * exp
```

Extending the abstract syntax of expressions. Extend the implementation of the renaming function.

- **(Easy)** Booleans true and false, if statements, and operations like and, or, not
- **(Harder)** Pairs and patterns “let (x,y) = e1 in e2”

