

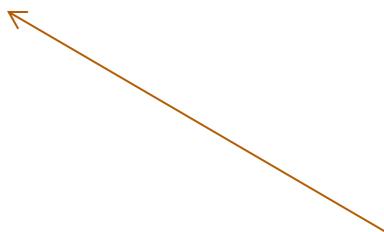
Poly-HO!

COS 326

Speaker: Andrew Appel

Princeton University

polymorphic,
higher-order
programming



Some Design & Coding Rules

- Save some software-engineering effort:
Never write the same code twice.

“Ooh, I get it! I’ll write the code once, copy-paste it somewhere else . . . that way, I didn’t write the same code twice”

- What’s wrong with that?
 - find and fix a bug in one copy, have to fix in all of them.
 - decide to change the functionality, have to track down all of the places where it gets used.
- Instead, a better practice:
 - factor out the common bits into a reusable procedure.
 - even better: use someone else’s (well-tested, well-documented, and well-maintained) procedure.



Factoring Code in OCaml

Consider these definitions:

```
let rec inc_all (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (hd+1)::(inc_all tl)
```

```
let rec square_all (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (hd*hd)::(square_all tl)
```



Factoring Code in OCaml

Consider these definitions:

```
let rec inc_all (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (hd+1)::(inc_all tl)
```

```
let rec square_all (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (hd*hd)::(square_all tl)
```

The code is almost identical – factor it out!



Factoring Code in OCaml

A *higher-order* function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl)
```



Factoring Code in OCaml

A *higher-order* function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd) :: (map f tl)
```

Uses of the function:

```
let inc x = x+1  
let inc_all xs = map inc xs
```



Factoring Code in OCaml

A *higher-order* function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl)
```

Uses of the function:

```
let inc x = x+1  
let inc_all xs = map inc xs  
  
let square y = y*y  
let square_all xs = map square xs
```

Writing little
functions like inc
just so we call
map is a pain.



Factoring Code in OCaml

A higher-order function captures the recursion pattern:

```
let rec map (f:int->int) (xs:int list) : int list =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl);;
```

Uses of the function:

```
let inc_all xs = map (fun x -> x + 1) xs
```

```
let square_all xs = map (fun y -> y * y) xs
```

We can use an
anonymous
function instead

Originally, Alonzo Church wrote this function using λ instead of **fun**:
 $(\lambda x. x+1)$ or
 $(\lambda x. x*x)$



Another example

```
let rec sum (xs:int list) : int =
  match xs with
  | [] -> 0
  | hd::tl -> hd + (sum tl)

let rec prod (xs:int list) : int =
  match xs with
  | [] -> 1
  | hd::tl -> hd * (prod tl)
```

Goal: Create a function called **reduce** that when supplied with a few arguments can implement both `sum` and `prod`. Define `sum2` and `prod2` using `reduce`.

(Try it)

Goal: If you finish early, use `map` and `reduce` together to find the sum of the squares of the elements of a list.

(Try it)



Another example

```
let rec sum (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> hd + (sum tl)
```

```
let rec prod (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> hd * (prod tl)
```

Another example

```
let rec sum (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> hd OP (RECURSIVE CALL ON tl)
```

```
let rec prod (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> hd OP (RECURSIVE CALL ON tl)
```

Another example

```
let rec sum (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> f hd (RECURSIVE CALL ON tl)
```

```
let rec prod (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> f hd (RECURSIVE CALL ON tl)
```

A generic reducer

```
let add x y = x + y
let mul x y = x * y

let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =
  match xs with
  | [] -> b
  | hd::tl -> f hd (reduce f b tl)

let sum xs = reduce add 0 xs
let prod xs = reduce mul 1 xs
```

Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> f hd (reduce f b tl)  
  
let sum xs = reduce (fun x y -> x+y) 0 xs  
let prod xs = reduce (fun x y -> x*y) 1 xs
```

Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> f hd (reduce f b tl)
```

```
let sum xs = reduce (fun x y -> x+y) 0 xs  
let prod xs = reduce (fun x y -> x*y) 1 xs
```

```
let sum_of_squares xs = sum (map (fun x -> x * x) xs)  
let pairify xs = map (fun x -> (x,x)) xs
```

Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> f hd (reduce f b tl)  
  
let sum xs = reduce (+) 0 xs  
let prod xs = reduce ( * ) 1 xs  
  
let sum_of_squares xs = sum (map (fun x -> x * x) xs)  
let pairify xs = map (fun x -> (x,x)) xs
```


Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> f hd (reduce f b tl)
```

```
let sum xs = reduce (+) 0 xs
```

```
let prod xs = reduce (*) 1 xs
```

```
let sum_of_squares xs = sum (map (fun x -> x * x) xs)
```

```
let pairify xs = map (fun x -> (x,x)) xs
```

wrong



Using Anonymous Functions

```
let rec reduce (f:int->int->int) (b:int) (xs:int list) : int =  
  match xs with  
  | [] -> b  
  | hd::tl -> f hd (reduce f b tl)  
  
let sum xs = reduce (+) 0 xs  
let prod xs = reduce (*) 1 xs  
  
let sum_of_squares xs = sum (map (fun x -> x * x) xs)  
let pairify xs = map (fun x -> (x,x)) xs
```

wrong -- creates a comment! ug. OCaml -0.1

what does work is: (*)



More on Anonymous Functions

Function declarations:

```
let square x = x*x  
let add x y = x+y
```

are *syntactic sugar* for:

```
let square = (fun x -> x*x)  
let add = (fun x y -> x+y)
```

In other words, *functions are values* we can bind to a variable, just like 3 or “moo” or true.

Functions are 2nd class no more!



One argument, one result

Simplifying further:

```
let add = (fun x y -> x+y)
```

is shorthand for:

```
let add = (fun x -> (fun y -> x+y))
```

That is, add is a function which:

- when given a value x , *returns a function* $(\text{fun } y \rightarrow x+y)$ which:
 - when given a value y , returns $x+y$.

Curried Functions

curry: verb

(1) to prepare or flavor with hot-tasting spices

(2) to encode a multi-argument function using nested, higher-order functions.

(1)



(2)

```
fun x -> (fun y -> x+y) (* curried *)  
fun x y -> x + y        (* curried *)  
fun (x,y) -> x+y        (* uncurried *)
```

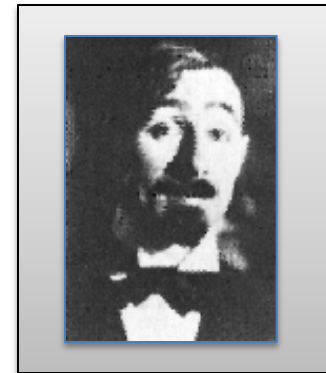
Curried Functions

Named after the logician **Haskell B. Curry** (1950s).

- was trying to find minimal logics that are powerful enough to encode traditional logics.
- much easier to prove something about a logic with 3 connectives than one with 20.
- the ideas translate directly to math (set & category theory) as well as to computer science.
- Actually, **Moses Schönfinkel** did some of this in 1924
 - thankfully, we don't have to talk about *Schönfinkelled* functions



Curry



Schönfinkel

What's so good about Currying?

In addition to simplifying the language, currying functions so that they only take one argument leads to two major wins:

1. We can *partially apply* a function.
2. We can more easily *compose* functions.



Partial Application

```
let add = (fun x -> (fun y -> x+y))
```

Curried functions allow defs of new, *partially applied* functions:

```
let inc = add 1
```

Equivalent to writing:

```
let inc = (fun y -> 1+y)
```

which is equivalent to writing:

```
let inc y = 1+y
```

also:

```
let inc2 = add 2  
let inc3 = add 3
```



SIMPLE REASONING ABOUT HIGHER-ORDER FUNCTIONS



Reasoning About Definitions

We can factor this program

```
let square_all ys =  
  match ys with  
    | [] -> []  
    | hd::tl -> (square hd)::(square_all tl)
```

into this program:

```
let square_all = map square
```

assuming we already have a definition of map

Reasoning About Definitions

```
let square_all ys =  
  match ys with  
  | [] -> []  
  | hd::tl -> (square hd)::(square_all tl)
```



```
let square_all = map square
```

Goal: Rewrite definitions so my program is simpler, easier to understand, more concise, ...

Question: What are the reasoning principles for rewriting programs without breaking them? For reasoning about the behavior of programs? About the equivalence of two programs?

I want some *rules* that never fail.

Simple Equational Reasoning

Rewrite 1 (Function de-sugaring):

```
let f x = body
```

==

```
let f = (fun x -> body)
```

Rewrite 2 (Substitution):

```
(fun x -> ... x ...) arg
```

==

```
... arg ...
```

if **arg** is a value or, when executed, **will always terminate without effect** and produce a value

roughly: all occurrences of **x** replaced by **arg** (though getting this *exactly* right is shockingly difficult)

Rewrite 3 (Eta-expansion):

```
let f = def
```

==

```
let f x = (def) x
```

if **f** has a function type

chose name **x** wisely so it does not shadow other names used in **def**

Using the rules

```
let square_all ys =  
  match ys with  
  | [] -> []  
  | hd::tl -> (square hd)::(square_all tl)
```



```
let square_all = map square
```

Let's use these rules

to prove that these two functions are equivalent

Eliminating the Sugar in Map

```
let rec map f xs =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl)
```

Eliminating the Sugar in Map

```
let rec map f xs =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl)
```

```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl))))
```

Consider square_all

```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
        | [] -> []  
        | hd::tl -> (f hd)::(map f tl)))
```

```
let square_all =  
  map square
```


Substitute map definition into square_all


```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)))  
  
let square_all =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)  
    )  
  ) square
```



Substitute map definition into square_all

```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)))
```

```
let square_all =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)  
    )  
  ) square
```



Substitute map definition into square_all

```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)))
```

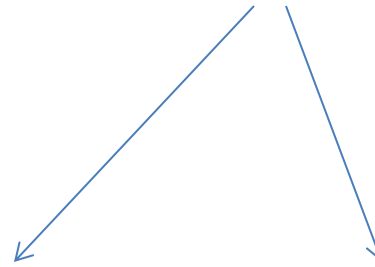
```
let square_all =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)  
    )  
  ) square
```

Substitute Square

```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd) :: (map f tl)))
```

```
let square_all =  
  (  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (square hd) :: (map square tl)    )
```

argument **square** substituted
for parameter **f**



Expanding map square

```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)))
```

```
let square_all ys =  
  (fun xs ->  
    match xs with  
    | [] -> []  
    | hd::tl -> (square hd)::(map square tl)  
  ) ys
```

add argument
via eta-expansion

Expanding map square

```
let rec map =  
  (fun f ->  
    (fun xs ->  
      match xs with  
      | [] -> []  
      | hd::tl -> (f hd)::(map f tl)))
```

```
let square_all ys =
```

```
  match ys with  
  | [] -> []  
  | hd::tl -> (square hd)::(map square tl)
```

← substitute again
(argument ys for
parameter xs)


So Far

```
let rec map f xs =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl)
```

```
let square_all xs = map square xs
```

```
let square_all ys =  
  match ys with  
  | [] -> []  
  | hd::tl -> (square hd)::(map square tl)
```

proof by
simple
rewriting
unrolls
definition
once



Next Step

```
let rec map f xs =  
  match xs with  
  | [] -> []  
  | hd::tl -> (f hd)::(map f tl)
```

```
let square_all xs = map square xs
```

```
let square_all ys =  
  match ys with  
  | [] -> []  
  | hd::tl -> (square hd)::(map square tl)
```

```
let rec square_all ys =  
  match ys with  
  | [] -> []  
  | hd::tl -> (square hd)::(square_all tl)
```

proof by
simple
rewriting
unrolls
definition
once

proof
by
induction
eliminates
recursive
function
map

Summary

We saw this:

```
let rec map f xs =  
    match xs with  
    | [] -> []  
    | hd::tl -> (f hd)::(map f tl);;  
  
let square_all = map square
```

Is equivalent to this:

```
let square_all ys =  
    match ys with  
    | [] -> []  
    | hd::tl -> (square hd)::(map square tl)
```

Morals of the story:

- (1) OCaml's *HOT* (higher-order, typed) functions capture recursion patterns
- (2) we can figure out what is going on by *equational reasoning*.
- (3) ... but we typically need to do *proofs by induction* to reason about recursive (inductive) functions