# **Thinking Inductively**

Speaker: David Walker COS 326 Princeton University



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#### Inductive Programming

An *inductive data type* T is a data type defined by:

- base cases
  - don't refer to T
- inductive cases
  - build new data of type T from pre-existing data of type T
  - the pre-existing data is guaranteed to be *smaller* than the new values



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Example: a tree

- base case:
  - the leaf of the tree
- inductive case:
  - the internal nodes of the tree
  - the left- and right- subtrees are the "smaller" data



To *program* a function over inductive data:

- think: what does my function need to do to be correct?
- solve the programming problem for the base cases
  - solve them one-by-one
- solve the programming problem for inductive cases:
  - solve them one-by-one
  - assume your function already works correctly on <u>smaller</u> data values
  - call your function, when necessary, on <u>smaller</u> data values



To *prove* a function over inductive data is correct:

- think: what is the correctness theorem for this function?
- prove the function correct for the base cases
  - prove them one-by-one
- prove the function correct for the inductive cases:
  - prove them one-by-one
  - assume your function already works correctly on <u>smaller</u> data values
  - use this assumption to reason about calls over <u>smaller</u> data values
  - this assumption is called the *induction hypothesis* of your proof



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  - this assumption is called the *induction hypothesis* of your proof

To be a good programmer, you also need to be a good prover.



## LISTS: AN INDUCTIVE DATA TYPE



#### Lists are Inductive Data

In OCaml, a list value is:

[] (the empty list)
v :: vs (a value v followed by a shorter list of values vs)

Base Case

Inductive Case

 $\left( \left( \right) \right)$ 

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In OCaml, a list value is:

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- v :: vs (a value v followed by a shorter list of values vs)

An example:

- 2 :: 3 :: 5 :: [] has type int list
- is the same as: 2 :: (3 :: (5 :: []))
- "::" is called "cons"

An alternative syntax ("syntactic sugar" for lists):

- [2; 3; 5]
- But this is just a shorthand for 2 :: 3 :: 5 :: []. If you ever get confused fall back on the 2 basic *constructors*, :: and []



#### **Typing Lists**

Typing rules for lists:

- (1) [] may have any list type, t list
- (2) if e1 : t and e2 : t list then (e1 :: e2) : t list

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More examples:

```
(1 + 2) :: (3 + 4) :: [] :??
```

(2 :: []) :: (5 :: 6 :: []) :: [] :??

[[2]; [5; 6]] :??



## **Typing Lists**

Typing rules for lists:

- (1) [] may have any list type t list
- (2) if e1 : t and e2 : t list then (e1 :: e2) : t list

More examples:

(1 + 2) :: (3 + 4) :: [] : int list

(2 :: [ ]) :: (5 :: 6 :: [ ]) :: [ ] : int list list

[ [2]; [5; 6] ] : int list list

(Remember that the 3<sup>rd</sup> example is an abbreviation for the 2<sup>nd</sup>)



What type does this have?

[2]::[3]



What type does this have?



```
# [2] :: [3];;
Error: This expression has type int but an
        expression was expected of type
        int list
#
```



What type does this have?



#### Give me a simple fix that makes the expression type check?



What type does this have?



Give me a simple fix that makes the expression type check?

Either: 2 :: [3] : int list

Or: [2]::[[3]] : int list list



#### **Analyzing Lists**

Just like options, there are two possibilities when deconstructing lists. Hence we use a match with two branches





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Just like options, there are two possibilities when deconstructing lists. Hence we use a match with two branches

```
(* return Some v, if v is the first list element;
return None, if the list is empty *)
let head (xs : int list) : int option =
match xs with
  [] -> None
  | hd :: _ -> Some hd
```

This function isn't recursive -- we only extracted a small , fixed amount of information from the list -- the first element

```
(* Given a list of pairs of integers,
  produce the list of products of the pairs
  prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
```



```
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  prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
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let rec prods (xs : (int * int) list) : int list =
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let rec prods (xs : (int * int) list) : int list =
  match xs with
  | | | ->
  | (x,y) :: tl ->
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   produce the list of products of the pairs
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let rec prods (xs : (int * int) list) : int list =
  match xs with
    [] \rightarrow []
  | (x,y) :: tl -> ?? :: ??
                the result type is int list, so we can speculate
                that we should create a list
```



```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
   prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] -> []
  | (x,y) :: tl -> (x * y) :: ??
               the first element is the product
```



```
(* Given a list of pairs of integers,
   produce the list of products of the pairs
   prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
    [] \rightarrow []
  | (x,y) :: tl -> (x * y) :: ??
               to complete the job, we must compute
               the products for the rest of the list
```

```
(* Given a list of pairs of integers,
  produce the list of products of the pairs
  prods [(2,3); (4,7); (5,2)] == [6; 28; 10]
*)
let rec prods (xs : (int * int) list) : int list =
  match xs with
  | [] -> []
  | (x,y) :: tl -> (x * y) :: prods tl
```



#### Three Parts to Constructing a Function

#### (1) Think about how to *break down* the input into cases:

```
let rec prods (xs : (int*int) list) : int list =
  match xs with
  [] -> ...
  | (x,y) :: tl -> ...
```

(2) Assume the recursive call on smaller data is correct.

(3) Use the result of the recursive call to *build* correct answer.

```
let rec prods (xs : (int*int) list) : int list =
    ...
    | (x,y) :: tl -> ... prods tl ...
```









```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
 match (xs, ys) with
```



```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
match (xs, ys) with
  | ([], []) ->
  | ([], y::ys') ->
  | (x::xs', []) ->
  | (x::xs', y::ys') ->
```



```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') ->
  | (x::xs', []) ->
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```

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```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  | (x::xs', []) -> None
  | (x::xs', y::ys') ->
```

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let rec zip (xs : int list) (ys : int list) : (int \* int) list option = match (xs, ys) with | ([], []) -> Some [] | ([], y::ys') -> None (x::xs', []) -> None | (x::xs', y::ys') -> (x, y) :: zip xs' ys' is this ok?

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  | (x::xs', []) -> None
  | (x::xs', y::ys') -> (x, y) :: zip xs' ys'
```

No! zip returns a list option, not a list! ' We need to match it and decide if it is Some or None.



```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | ([], y::ys') -> None
  | (x::xs', []) -> None
  | (x::xs', y::ys') ->
      (match zip xs' ys' with
         None -> None
        | Some zs \rightarrow (x, y) :: zs)
```

```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
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         None -> None
        | Some zs \rightarrow Some ((x, y) :: zs))
```



```
let rec zip (xs : int list) (ys : int list)
  : (int * int) list option =
  match (xs, ys) with
  | ([], []) -> Some []
  | (x::xs', y::ys') ->
      (match zip xs' ys' with
         None -> None
       | Some zs \rightarrow Some ((x, y) :: zs))
  | ( , ) -> None
```

Clean up. Reorganize the cases. Pattern matching proceeds in order.



#### A bad list example

```
let rec sum (xs : int list) : int =
  match xs with
  | hd::tl -> hd + sum tl
```



#### A bad list example

