Modules and Abstract Data Types

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Welcome Back!

Assignment #5 is out! Get started! Partners allowed!

Precept this week: Midterm analysis Why go? There's still a final! The proofs will be more difficult!

Before the Break

Design for change using the ML module system

- Structures implement new types & operations over them
- Signatures provide the interfaces
- Functors are functions from modules to modules
 they allow you to define parameterized modules
- ML also has dynamic, first-class modules

ANOTHER EXAMPLE OF FUNCTORS

A Bigger Example

```
module type SET =
  sig
    type elt
    type set
    val empty : set
    val is empty : set -> bool
    val insert : elt -> set -> set
    val singleton : elt -> set
    val union : set -> set -> set
    val intersect : set -> set -> set
    val remove : elt -> set -> set
    val member : elt -> set -> bool
    val choose : set -> (elt * set) option
    val fold : (elt -> 'a -> 'a) -> 'a -> set -> 'a
  end
```

```
module ListSet (Elt : sig type t end)
            : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  let empty : set = []
  let is empty (s:set) =
    match xs with
    | [] -> true
    | :: -> false
  let singleton (x:elt) : set = [x]
. . .
end
module IntListSet = ListSet(struct type t = int end)
module StringListSet = ListSet(struct type t = string end)
```





```
module ListSet (Elt : sig type t end)
             : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  let empty : set = []
                                           This is the signature of
  let is empty (s:set) =
                                           the resulting module –
    match xs with
                                           we have a set plus the
    | [] -> true
                                           knowledge that the Set's
                                           elt type is equal to Elt.t
    | :: -> false
  let singleton (x:elt) : set = [x]
end
module IntListSet = ListSet(struct type t = int end)
module StringListSet = ListSet(struct type t = string end)
```

```
module ListSet (Elt : sig type t end)
             : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  let empty : set = []
  let is empty (s:set) =
    match xs with
    | [] -> true
    | :: -> false
                                             These are two SET
  let singleton (x:elt) : set = [x]
                                            modules that I created
                                           with the ListSet functor.
end
module IntListSet = ListSet(struct type t = int end)
module StringListSet = ListSet(struct type t = string end)
```

```
module ListSet (Elt : sig type t end)
             : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  let empty : set = []
  let is empty (s:set) =
    match xs with
    | [] -> true
                                       In this case, I'm passing
    | :: -> false
                                         in an anonymous
  let singleton (x:elt) : set
                                         module for Elt that
. . .
                                         defines t to be int.
end
module IntListSet = ListSet(struct type t = int end)
module StringListSet = ListSet(struct type t = string end)
```





```
module ListSet (Elt : sig type t end)
             : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  let empty : set = []
  let is empty (s:set) =
    match xs with
    | [] -> true
    | :: -> false
  let singleton (x:elt) : set = [x]
  let insert (x:elt) (s:set) : set =
      if List.mem x s then s else x::s
end
```

```
module ListSet (Elt : sig type t end)
               :(SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  . . .
  let insert (x:elt) (s:set) : set =
      if List.mem x s then s else x::s
  let union (s1:set) (s2:set) : set = ???
end
```

```
module ListSet (Elt : sig type t end)
               : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
   - - -
  let insert (x:elt) (s:set) : set =
       if List.mem x s then s else x::s
  let union (s1:set) (s2:set) : set =
       s1 @ s2
                        Ugh. Wastes space if s1
end
                        and s2 have duplicates.
                         (Also, makes remove
                             harder...)
```

```
module ListSet (Elt : sig type t end)
               : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  . . .
  let insert (x:elt) (s:set) : set =
       if List.mem x s then s else x::s
  let union (s1:set) (s2:set) : set =
      List.fold right insert s1 s2
end
                                      Gets rid of the
                                      duplicates. Now
                                   remove can stop once it
                                     finds the element.
```

```
module ListSet (Elt : sig type t end)
                : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  let insert (x:elt) (s:set) : set =
       if List.mem x s then s else x::s
  let union (s1:set) (s2:set) : set =
       List.fold right insert s1 s2
end
                                         Gets rid of the
                                         duplicates. Now
            But List.mem and
                                      remove can stop once it
          List.fold right take time
                                        finds the element.
           proportional to the
           length of the list. So
            union is quadratic.
```

```
module ListSet (Elt : sig type t end)
               : (SET with elt = Elt.t) =
struct
  type elt = Elt.t
  type set = elt list
  let insert (x:elt) (s:set) : set =
       if List.mem x s then s else x::s
  let union (s1:set) (s2:set) : set =
       List.fold right insert s1 s2
                                 If we knew that s1 and
end
                                 s2 were sorted we could
                                   use the merge from
                                  mergesort to compute
                                   the sorted union in
                                      linear time.
```

A Sorted List Set Functor

```
module type COMPARATOR = sig
  type t
  val compare : t -> t -> Order.order
end
module SortedListSet (Elt : COMPARATOR)
                    : (SET with elt = Elt.t) =
struct ...
  let rec insert (x:elt) (s:set) : set =
      match s with
      | [] -> [X]
      | h::t -> (match Elt.compare x h with
                  | Less -> x::s
                  | Eq -> s
                  | Greater -> h::(insert x t)) ...
```

A Sorted List Set Functor



A Sorted List Set Functor

```
module SortedListSet (Elt : COMPARATOR)
                    : (SET with elt = Elt.t) =
struct ...
  let rec union (s1:set) (s2:set) : set =
      match s1, s2 with
      [ [], −> s2
      , [] -> s1
      | h1::t1, h2::t2 ->
         (match Elt.compare h1 h2 with
             Less \rightarrow h1:: (union t1 s2)
            | Eq -> h1:: (union t1 t2)
             -> h2::(union s1 t2))
```

end

Simpler

```
let insert (x:elt) (s:set) : set = union [x] s ;;
```

end

Another Alternative: Bit Vectors

```
module BitVectorSet (Elt : sig type t
                                val index : t -> int
                                val max : int
                            end)
                   : (SET with elt = Elt.t) =
struct
  type set = bool array
  let empty = Array.create Elt.max false
  let member x s = s.(Elt.index x)
  let union s1 s2 =
       Array.init Elt.max
         (fun i -> s1.(i) || s2.(i))
  let intersect s1 s2 =
       Array.init Elt.max
         (fun i -> s1.(i) && s2.(i))
```

Another Alternative: Binary Search Trees

```
module BSTreeSet(Elt : sig type t
                           val compare : t -> t -> Order.order
                       end) : (SET with elt = Elt.t) =
struct
  type set = Leaf | Node of set * elt * set
  let empty() = Leaf
  let rec insert (x:elt) (s:set) : set =
      match s with
      Leaf -> Node(Leaf, x, Leaf)
      Node(left,e,right) ->
         (match Elt.compare x e with
              | Eq -> s
              | Less -> Node(insert x left, e, right)
              Greater -> Node(left, e, insert x right))
  let rec member (x:elt) (s:set) : bool =
      match s with
      Leaf -> false
      Node(left,e,right) ->
        (match Elt.compare x e with
            | Eq -> true
            | Less -> member x left
            | Greater -> member x right)
```

SIGNATURE SUBTYPING

Subtyping

A module matches any interface as long as it provides *at least* the definitions (of the right type) specified in the interface.

But as we saw earlier, the module can have more stuff.

- e.g., the deq function in the Queue modules
- Basic principle of subtyping for modules:
 - wherever you are expecting a module with signature S, you can use a module with signature S', as long as all of the stuff in S appears in S'.
 - That is, S' is a bigger interface.

```
module type GROUP =
  sig
  type t
   val zero : t
   val add : t -> t -> t
  end
module type RING =
  sig
  type t
   val zero : t
   val one : t
   val add : t -> t -> t
   val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```



```
module type GROUP =
  sig
   type t
    val zero : t
    val add : t -> t -> t
  end
module type RING =
  sig
                                     There are more
   type t
                                    modules matching
    val zero : t
                                       the GROUP
    val one : t
                                    interface than the
                                       RING one.
    val add : t -> t -> t
    val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```







ASSIGNMENT #5 CODE WALKTHROUGH

MODULE EVALUATION

The Structure of ML

An ML program is a sequence of modules.

```
module m1 = module expression ...
module m2 = module expression ...
module m3 = module expression ...
```

To evaluate an ML program, we must evaluate this sequence of module expressions. How?
A module expression is a series of declarations

- How does one evaluate a type declaration? We'll ignore it.
- How does one evaluate a let declaration?



evaluate the expression e bind the value to x

How does one evaluate an entire structure?

evaluate each declaration in order from first to last

main.ml

let x = 326

```
let main () =
    Printf.printf "Hello COS %d\n" x
```

```
let foo =
    Printf.printf "Byeee!\n"
```

```
let _ =
main ()
```



<u>Step 1:</u> evaluate the 1st declaration

but the RHS (326) is already a value so there's nothing to do except remember that x is bound to the integer 326

```
main.ml
let x = 326
let main () =
  Printf.printf "Hello COS %d\n" x
let foo =
  Printf.printf "Byeee!\n"
let _ =
   main ()
```

<u>Step 2:</u>

evaluate the 2nd declaration this is slightly trickier:

let main () = ...

really declares a function. It's equivalent to:

let main = fun () -> ...

"fun () -> ..." is already a value, like 326. So there's nothing to do again.

```
main.ml
```

let x = 326

```
let main () =
```

Printf.printf "Hello COS %d\n" x

```
let foo =
```

```
Printf.printf "Byeee!\n"
```

```
let _ =
main ()
```

<u>Step 3:</u> evaluate the 3rd declaration

let foo = ...

evaluation of this expression has an effect – it prints out "Byeee!\n" to the terminal.

the resulting value is () which is bound to foo

```
main.ml
```

let x = 326

```
let main () =
    Printf.printf "Hello COS %d\n" x
```

```
let foo =
    Printf.printf "Byeee!\n"
```

```
let _ =
main ()
```

<u>Step 4:</u> evaluate the 4th declaration

let _ = ...

evaluation main () causes another effect.

"Hello ..." is printed

the resulting value is () again. the "_" indicates we don't care to bind () to any variable

main.ml

let x = 326

```
let main =
 (fun () ->
    Printf.printf "Hello COS %d\n" x)
```

let foo =
 Printf.printf "Byeee!\n"

let _ =
 main ()

This evaluates exactly the same way

We just replaced

let main () = ...

with the equivalent

let main = fun () -> ...

main.ml

let x = 326

```
let main =
  Printf.printf "Hello COS %d\n" x;
  (fun () -> ())
```

```
let foo =
    Printf.printf "Byeee!\n"
```

let _ = main () This rewrite does something different.

On the 2nd step, it prints because that's what evaluating this expression does:

Printf.printf "Hello COS %d\n" x; (fun () -> ())

The result of the expression is:

fun () -> ()

which is bound to main. This is a pretty silly function.

main.ml

module C326 = struct let x = 326let main = Printf.printf "Hello COS %d\n" x; (fun () -> ()) let foo = Printf.printf "Byeee!\n" let _ = main () end let _ = Printf.printf "Done\n"

Now what happens?

main.ml

module C326 = struct let x = 326

let main =
 Printf.printf "Hello COS %d\n" x;
 (fun () -> ())

```
let foo = Printf.printf "Byeee!\n"
```

```
let _ = main ()
end
```

let done =
 Printf.printf "Done\n"

Now what happens?

The entire file contains 2 decls:

- module C326 = ...
- let done = ...

We execute both of them in order.

main.ml

module C326 = struct let x = 326

let main =
 Printf.printf "Hello COS %d\n" x;
 (fun () -> ())

```
let foo = Printf.printf "Byeee!\n"
```

```
let _ = main ()
end
```

let done =
 Printf.printf "Done\n"

Now what happens?

The entire file contains 2 decls:

- module C326 = ...
- let done = ...

We execute both of them in order.

Executing the module declaration has the effect of executing every declaration within it in order.

Executing let done = ... is as before

main.ml

```
module C326 =
```

struct

```
exception Unimplemented
let x = raise Unimplemented
```

```
let main =
  Printf.printf "Hello COS %d\n" x;
  (fun () -> ())
```

```
let foo = Printf.printf "Byeee!\n"
```

```
let _ = main ()
end
```

let done =
 Printf.printf "Done\n"

Now what happens?

main.ml

```
module C326 =
```

struct

```
exception Unimplemented
let x = raise Unimplemented
```

```
let main =
  Printf.printf "Hello COS %d\n" x;
  (fun () -> ())
```

```
let foo = Printf.printf "Byeee!\n"
```

```
let _ = main ()
end
```

let done =
 Printf.printf "Done\n"

Now what happens?

The entire file contains 2 decls:

- module C326 = ...
- let done = ...

We execute both of them in order.

Executing the module declaration has the effect of executing every declaration within it in order.

The first declaration within it raises an exception which is not caught! That is the only result.

main.ml

module type S = sig type t = int val x : t

end

```
module F (M:S) : S =
```

struct

```
let wow = Printf.printf "%d\n" M.x
let t = M.t
let x = M.x
end
```

let done = Printf.printf "Done\n"

Now what happens?

The entire file contains 3 decls:

- module type = ...
- module F (M:S) : S = ...
- let done = ...

main.ml

```
module type S =
sig
type t = int
val x : t
end
```

```
module F (M:S) : S =
struct
let wow = Printf.printf "%d\n" M.x
let t = M.t
let x = M.x
end
```

let done = Printf.printf "Done\n"

The signature declaration has no (run-time) effect.

The functor declaration is like declaring a function value.

The body of the functor is not executed until it is applied.

The functor is not applied here so M.x is not printed.

Only "Donen" is printed.

```
main.ml
module type S = sig ... end
module F (M:S) : S =
struct
 let wow = Printf.printf "%dn" M.x
 let t = M.t
 let x = M.x
end
let module M1 = F(
   struct
    type t = int
    val x = 3
   end)
let done = Printf.printf "Done\n"
```

What happens now?

```
main.ml
```

```
module type S = sig ... end
```

```
module F(M:S) : S =
struct
 let wow = Printf.printf "%dn" M.x
 let t = M.t
 let x = M.x
end
let module M1 = F(
   struct
    type t = int
    val x = 3
   end)
```

let done = Printf.printf "Done\n"

What happens now?

When M1 is declared, F is applied to an argument.

This creates a new structure and its components are executed.

This has the effect of printing 3.

FIRST CLASS MODULES

Limitations of the Module language

The module language contains:

- structures
- functions from structures to structures

This is like a programming with just typed functions and simple data d. Possible expressions:

d f d f (f d) f (d, f d) etc

But there is no dynamic decision making

We can't do this:

module Set =
 if parse_command_line () = "big_set" then
 HashSet
 else
 ListSet

First-class Modules

There is a way of including modules in the expression language. And then to get it back out.

```
module type Box = sig val x : int end
module Three : Box = struct let x = 3 end
module Four : Box = struct let x = 4 end
let three = (module Three : Box)
let four = (module Four : Box)
```

First-class Modules

There is a way of including modules in the expression language. And then to get it back out.

```
module type Box = sig val x : int end
module Three : Box = struct let x = 3 end
module Four : Box = struct let x = 4 end
let three = (module Three : Box)
let four = (module Four : Box)
```

three and four are ordinary values that can be passed around like other ordinary values



First-class Modules

... and then getting them back out

```
module type Box = sig val x : int end
module Three : Box = struct let x = 3 end
module Four : Box = struct let x = 4 end
let three = (module Three : Box)
let four = (module Four : Box)
let three or four : (module Box) =
  if command line () then three else four
module Three or Four = (val three or four : Box)
                   keyword val brings it back into the
                   module language
```

DESIGN CONSIDERATIONS FROM REAL WORLD OCAML

Expose Concrete Types Rarely

```
type 'a tree =
Leaf
| Node of 'a * 'a tree * 'a tree
```

```
match t with
  Leaf -> ...
| Node (v, left, right) -> ...
```



```
match top t with
   None -> ...
| Some (v, left, right) -> ...
```

```
type `a tree
type `a view =
  Empty
| Single of `a
| Children of `a tree * `a tree
val split : `a tree -> `a view
```

```
match split t with
    Empty -> ...
| Single v -> ...
| Children (t1, t2) -> ...
```

Design for Call Sites

Basic rules: Choose good names for types, record labels, values

```
Good names aren't always long: fun x -> x * 2
```

Rule of thumb:

- names with small scope are short
- names with large scope are long (eg: names in module interfaces)

Tradeoff:

- long, descriptive names for uncommon values
- shorter names for common values ("map")

substring "0123456" 2 3

substring "0123456" 2 3

VS

substring "0123456" ~pos:2 ~len:3

Defining functions with labelled arguments

```
let divide ~num ~denom = num / denom
val divide : num:int -> denom:int -> int
```

Optional Arguments

```
let concat ?sep x y =
   let sep =
    match sep with
        None -> ""
        Some x -> x
   in
        x ^ sep ^ y
val concat : ?sep:string -> string -> string -> string
```

```
concat "foo" "bar";;
concat ~sep:":" "foo" "bar";;
```
Optional Arguments



let concat ?(sep="") x y = x ^ sep ^ y

Pitfalls of optional arguments:

- Users might not realize there is an optional argument
- Easy to accidentally get a "bad default"

Rules of thumb:

- Avoid in functions that are rarely used
- Avoid in functions internal to a module
- Avoid in situations where you have to "think carefully" about that argument
- Avoid just to save a couple of characters of typing
- Use it to make your code clearer

More on labelled and optional arguments: Real World OCaml Chap 2

Create Uniform Interfaces

Jane St Core

An alternate to the standard core libraries:

opam install core

More features

More uniform:

- a module for every type: Int, Float, ...
 - useful for instantiating functors
- the primary type in a module is called t: Int.t
- put t first:
 - the functions that take t should take t first:
- functions that routinely throw an exception end in _exn
 - otherwise, return an option

Interfaces before Implementations

Interfaces before Implementations

When writing functions:

- start with the type of the function
- the types drive the structure of the code
 - eg: 1 case per element of a data type

When writing modules:

- start with the type of the module (the signature)
- is there a primary type t?
- what accessors do you need? what types?
- interfaces allow you to flesh out a design

DESIGN CONSIDERATIONS: REPRESENTATION INVARIANTS

Efficient Data Structures

In 226, you learned about all kinds of clever data structures:

- red-black trees
- union-find sets
- tries, ...

Not just any tree is a red-black tree. In order to be a red-black tree, you need to obey several *invariants*:

- keys are in order in the tree
- # of black nodes to the root is constant along all paths,...

Operations such as look-up, *depend upon* those invariants to be correct. All inputs to look-up must satisfy the red-black invariant

Such invariants are often called *representation invariants*

A Signature for Sets

```
module type SET =
  sig
    type 'a set
    val empty : 'a set
    val mem : `a -> `a set -> bool
    val add : 'a -> 'a set -> 'a set
    val rem : 'a -> 'a set -> 'a set
    val size : 'a set -> int
    val union : 'a set -> 'a set -> 'a set
    val inter : 'a set -> 'a set -> 'a set
  end
```

Sets as Lists

```
module Set1 : SET =
  struct
    type 'a set = 'a list
    let empty = []
    let mem = List.mem
    let add x 1 = x :: 1
    let rem x l = List.filter ((<>) x) l
    let rec size 1 =
      match 1 with
      | [] -> 0
      | h::t -> size t + (if mem h t then 0 else 1)
    let union 11 12 = 11 @ 12
    let inter 11 12 = List.filter (fun h -> mem h 12) 11
  end
```

Sets as Lists without Duplicates

```
module Set2 : SET =
  struct
    type 'a set = 'a list
    let empty = []
    let mem = List.mem
    (* add: check if already a member *)
    let add x l = if mem x l then l else x::l
    let rem x l = List.filter ((<>) x) l
    (* size: list length is number of unique elements *)
    let size l = List.length l
    (* union: discard duplicates *)
    let union 11 12 = List.fold left
         (fun a x \rightarrow if mem x 12 then a else x::a) 12 11
    let inter 11 12 = List.filter (fun h -> mem h 12) 11
  end
```

Back to Sets

The interesting operation:

(* size: list length is number of unique elements *)
let size (l:'a set) : int = List.length l

Why does this work? It depends on an invariant:

All lists supplied as an argument contain no duplicates.

A *representation invariant* is a property that holds of all values of a particular (abstract) type.

Implementing Representation Invariants

For lists with no duplicates:

```
(* checks that a list has no duplicates *)
let rec inv (s : 'a set) : bool =
   match s with
    [] -> true
    | hd::tail -> not (mem hd tail) && inv tail
let rec check (s : 'a set) (m:string) : 'a set =
   if inv s then
        s
   else
      failwith m
```

Debugging with Representation Invariants

As a precondition on input sets:

```
(* size: list length is number of unique elements *)
let size (s:'a set) : int =
   ignore (check s "size: bad set input");
   List.length s
```

Debugging with Representation Invariants

As a precondition on input sets:

```
(* size: list length is number of unique elements *)
let size (s:'a set) : int =
   ignore (check s "size: bad set input");
   List.length s
```

As a postcondition on output sets:

```
(* add x to set s *)
let add x s =
   let s = if mem x s then s else x::s in
   check s "add: bad set output"
```

A Signature for Sets

```
module type SET =
  sig
    type 'a set
    val empty : 'a set
    val mem : 'a -> 'a set -> bool
    val add : 'a -> 'a set -> 'a set
    val rem : 'a -> 'a set -> 'a set
    val size : 'a set -> int
    val union : 'a set -> 'a set -> 'a set
    val inter : 'a set -> 'a set -> 'a set
  end
```

Suppose we check all the red values satisfy our invariant leaving the module, do we have to check the blue values entering the module satisfy our invariant?

Representation Invariants Pictorially



When debugging, we can check our invariant each time we construct a value of abstract type. We then get to assume the invariant on input to the module.

Representation Invariants Pictorially



When proving, we prove our invariant holds each time we construct a value of abstract type and release it to the client. We *get to assume* the invariant holds on input to the module.

Such a proof technique is *highly modular*: Independent of the client!

Repeating myself

You may

assume the invariant inv(i) for module inputs i with abstract type

provided you

prove the invariant inv(o) for all module outputs o with abstract type

Design with Representation Invariants

A key to writing correct code is understanding your own invariants very precisely

Try to write down key invariants

- if you write them down then you can be sure you know what they are yourself!
- you may find as you write them down that they were a little fuzzier than you had thought
- great documentation for others
- great debugging tool
- you'll need them to prove to yourself that your code is correct

SUMMARY

Summary

OCaml's linguistic mechanisms include:

- signatures (interfaces)
- structures (implementations)
- *functors* (functions from modules to modules)
- *first-class modules* (modules as expressions

We can use the module system

- provides support for *name-spaces*
- hiding information (types, local value definitions)
- *code reuse* (via functors, reuseable interfaces, reuseable modules)

There's lots, lots, lots more to learn about how to design collections of modules effective. Real World OCaml has some good hints.