

# Modules and Abstract Data Types

COS 326

David Walker

Princeton University

# Reminder

The take-home midterm exam has been posted.

You can use any course materials but it is an individual exercise. It is “closed friends” “closed TAs” and “closed rest of the internet”

See Piazza for instructions on how to download it.

You have 24 hours to do the exam.

The latest start time is Tuesday 11:59PM

The latest end time is Wednesday 11:59PM.

(ie: like an assignment, hand it in before midnight on Wednesday)

# The Reality of Development

We rarely know the *right* algorithms or the *right* data structures when we start a design project.

- When implementing a search engine, what data structures and algorithms should you use to build the index? To build the query evaluator?

Reality is that *we often have to go back and change our code*, once we've built a prototype.

- Often, we don't even know what the *user wants* (requirements) until they see a prototype.
- Often, we don't know where the *performance problems* are until we can run the software on realistic test cases.
- Sometimes we just want to change the design -- come up with *simpler* algorithms, architecture later in the design process

# Engineering for Change

Given that we know the software will change, how can we write the code so that doing the changes will be easier?

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The primary trick: use *data and algorithm abstraction*.

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Given that we know the software will change, how can we write the code so that doing the changes will be easier?

The primary trick: use *data and algorithm abstraction*.

- *Don't* code in terms of *concrete representations* that the language provides.
- *Do* code with *high-level abstractions* in mind that fit the problem domain.
- Implement the abstractions using a *well-defined interface*.
- Swap in *different implementations* for the abstractions.
- *Parallelize* the development process.

# Example

**Goal:** Implement a query engine.

**Requirements:** Need a scalable *dictionary* (a.k.a. index)

- maps words to *set* of URLs for the pages on which words appear.
- want the index so that we can efficiently satisfy queries
  - e.g., all links to pages that contain “Dave” and “Jill”.

**Wrong way** to think about this:

- Aha! A *list* of pairs of a word and a *list* of URLs.
- We can look up “Dave” and “Jill” in the *list* to get back a *list* of URLs.

# Example

```
type query =  
  Word of string  
| And of query * query  
| Or of query * query  
  
type index = (string * (url list)) list  
  
let rec eval(q:query) (h:index) : url list =  
  match q with  
  | Word x ->  
    let (_,urls) = List.find (fun (w,urls) -> w = x) h in  
    urls  
  | And (q1,q2) ->  
    merge_lists (eval q1 h) (eval q2 h)  
  | Or (q1,q2) ->  
    (eval q1 h) @ (eval q2 h)
```



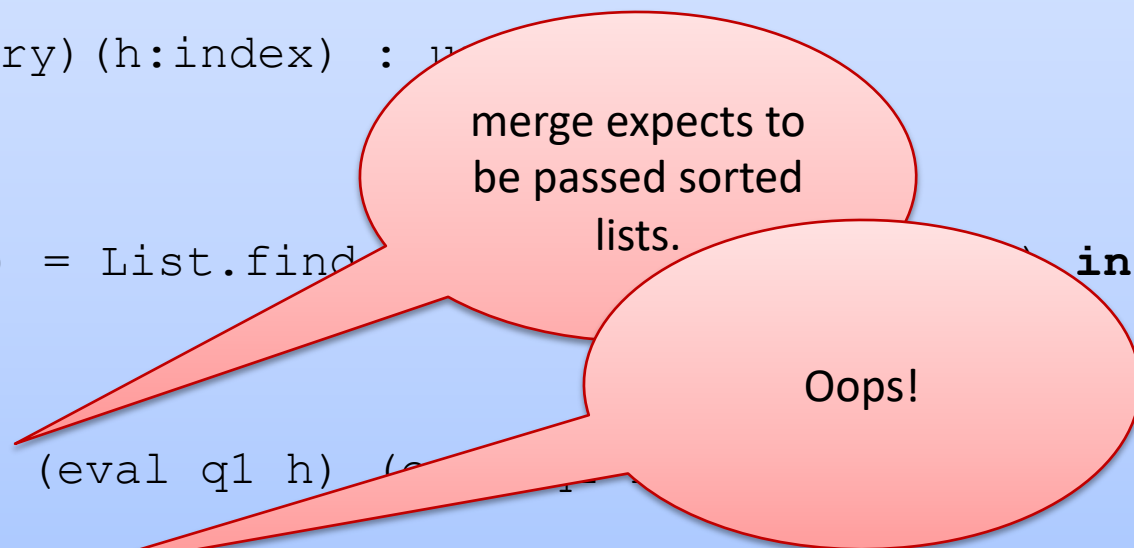
# Example

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    let (_,urls) = List.find (fun (url,w) -> w = x) in  
    urls  
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```

merge expects to  
be passed sorted  
lists.

# Example

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type query =  
  Word of string  
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let rec eval(q:query) (h:index) : url list =  
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  | Word x ->  
    let (_,urls) = List.find (fun (url,_) => url = x) h in  
    urls  
  | And (q1,q2) ->  
    merge_lists (eval q1 h) (eval q2 h)  
  | Or (q1,q2) ->  
    (eval q1 h) @ (eval q2 h)
```



merge expects to be passed sorted lists.

Oops!

# Example

```
type query =  
  Word of string  
| And of query * query  
| Or of query * query  
  
type index = string (url list) hashtable  
  
let rec eval(q:query) (h:index) : url list =  
  match q with  
  | Word x ->  
    let i = hash_string x in  
    let l = Array.get h [i] in  
    let urls = assoc_list_find l x in  
    urls  
  | And (q1,q2) -> ...  
  | Or (q1,q2) -> ...
```

I find out there's  
a better hash-  
table  
implementation

# A Better Way

```
type query =  
  Word of string  
| And of query * query  
| Or of query * query  
  
type index = string url_set dictionary  
  
let rec eval(q:query) (d:index) : url_set =  
  match q with  
  | Word x -> Dict.lookup d x  
  | And (q1,q2) -> Set.intersect (eval q1 h) (eval q2 h)  
  | Or (q1,q2) -> Set.union (eval q1 h) (eval q2 h)
```

# A Better Way

```
type query =  
  Word of string  
| And of query * query  
| Or of query * query  
  
type index = string url_set dictionary  
  
let rec eval(q:query) (d:index) : url_set =  
  match q with  
  | Word x -> Dict.lookup d x  
  | And (q1,q2) -> Set.intersect (eval q1 h) (eval q2 h)  
  | Or (q1,q2) -> Set.union (eval q1 h) (eval q2 h)
```

The problem domain  
talked about an  
abstract type of  
*ictionaries* and *sets of*  
*URLs.*

# A Better Way

```
type query =  
  Word of string  
| And of query * query  
| Or of query * query  
  
type index = string url_set dictionary  
  
let rec eval(q:query) (d:index) : url_set  
  match q with  
  | Word x -> Dict.lookup d x  
  | And (q1,q2) -> Set.intersect (eval q1 h) (eval q2 h)  
  | Or (q1,q2) -> Set.union (eval q1 h) (eval q2 h)
```

The problem domain talked about an abstract type of dictionaries and sets of URIs.

Once we've written the client, we know what operations we need on these abstract types.

# A Better Way

```
type query =  
  Word of string  
| And of query * query  
| Or of query * query  
  
type index = string url_set dictionary  
  
let rec eval (q:query) (d:index) : url_set  
  match q with  
  | Word x -> Dict.lookup d x  
  | And (q1,q2) -> Set.intersect (eval q1 h) (eval q2 h)  
  | Or (q1,q2) -> Set.union (eval q1 h) (eval q2 h)
```

The problem domain talked about an abstract type of *dictionaries* and *sets of URIs*.

Once we've written the client, we know what operations we need on these abstract types.

Later on, when we find out linked lists aren't so good for sets, we can replace them with balanced trees.

So we can define an interface, and send a pal off to implement the *abstract types* dictionary and set.

# Abstract Data Types



Barbara Liskov  
Assistant Professor, MIT  
1973

Invented CLU language  
that enforced data abstraction



Barbara Liskov  
Professor, MIT  
Turing Award 2008

“For contributions to practical and theoretical foundations of programming language and system design, especially related to data abstraction, fault tolerance, and distributed computing.”



# Building Abstract Types in OCaml

OCaml has mechanisms for building new abstract data types:

- ***signature***: an interface.
  - specifies the abstract type(s) without specifying their implementation
  - specifies the set of operations on the abstract types
- ***structure***: an implementation.
  - a collection of type and value definitions
  - notion of an implementation matching or satisfying an interface
    - gives rise to a notion of sub-typing
- ***functor***: a parameterized module
  - really, a function from modules to modules
  - allows us to factor out and re-use modules

# The Abstraction Barrier

**Rule of thumb: Use the language to enforce the abstraction barrier.**

- Reveal little information about *how* something is implemented
- Provide maximum flexibility for change moving forward.
- Murphy's Law: What is not enforced, will be broken

**But rules are meant to be broken: Exercise judgement.**

- may want to reveal more information for debugging purposes
  - eg: conversion to string so you can print things out

**ML gives you precise control over how much of the type is left abstract**

- different amounts of information can be revealed in different contexts
- type checker helps you detect violations of the abstraction barrier

# Simple Modules

Recall assignment #2:

query.ml

```
type movie = { ... }  
  
let sort_by_studio = ...  
let sort_by_year = ...
```

main.ml

```
open Io  
open Query  
  
let main () = ... sort_by_studio ...  
  
let _ = main ()
```

# Simple Modules

Recall assignment #2:

query.ml

```
type movie = { ... }  
  
let sort_by_studio = ...  
let sort_by_year = ...
```

main.ml

```
open Io  
open Query  
  
let main () = ... sort_by_studio ...  
  
let _ = main ()
```

Each .ml file actually defines an ML module.

Convention: the file foo.ml or Foo.ml defines the module named Foo.

# Simple Modules

Recall assignment #2:

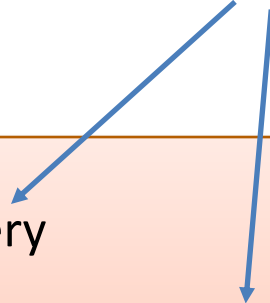
query.ml

```
type movie = { ... }  
  
let sort_by_studio = ...  
let sort_by_year = ...
```

main.ml

```
open Io  
open Query  
  
let main () = ... sort_by_studio ...  
  
let _ = main ()
```

open gives  
direct access to  
module components



# Simple Modules

Recall assignment #2:

query.ml

```
type movie = { ... }  
  
let sort_by_studio = ...  
let sort_by_year = ...
```

main.ml

```
open Io  
open Query  
  
let main () =  
  ... Query.sort_by_studio ...
```

redacted

Can refer to module  
components using dot notation

# Simple Modules

query.ml

```
type movie = { ... }  
  
let sort_by_studio = ...  
let sort_by_year = ...
```

main.ml

```
open Io  
open Query  
  
let main () =  
  ... Query.sort_by_studio ...
```

query.mli

```
type movie  
  
val sort_by_studio : movie list -> movie list  
val sort_by_year : movie list -> movie list
```

You can add interface files (.mli)  
(also called *signatures* in ML)

These interfaces can hide  
module components  
or render types abstract.

# Simple Modules

query.ml

```
type movie = { ... }  
  
let sort_by_studio = ...  
let sort_by_year = ...
```

main.ml

```
open Io  
open Query  
  
let main () =  
  ... Query.sort_by_studio ...
```

query.mli

```
type movie  
  
val sort_by_studio : movie list -> movie list  
val sort_by_year : movie list -> movie list
```

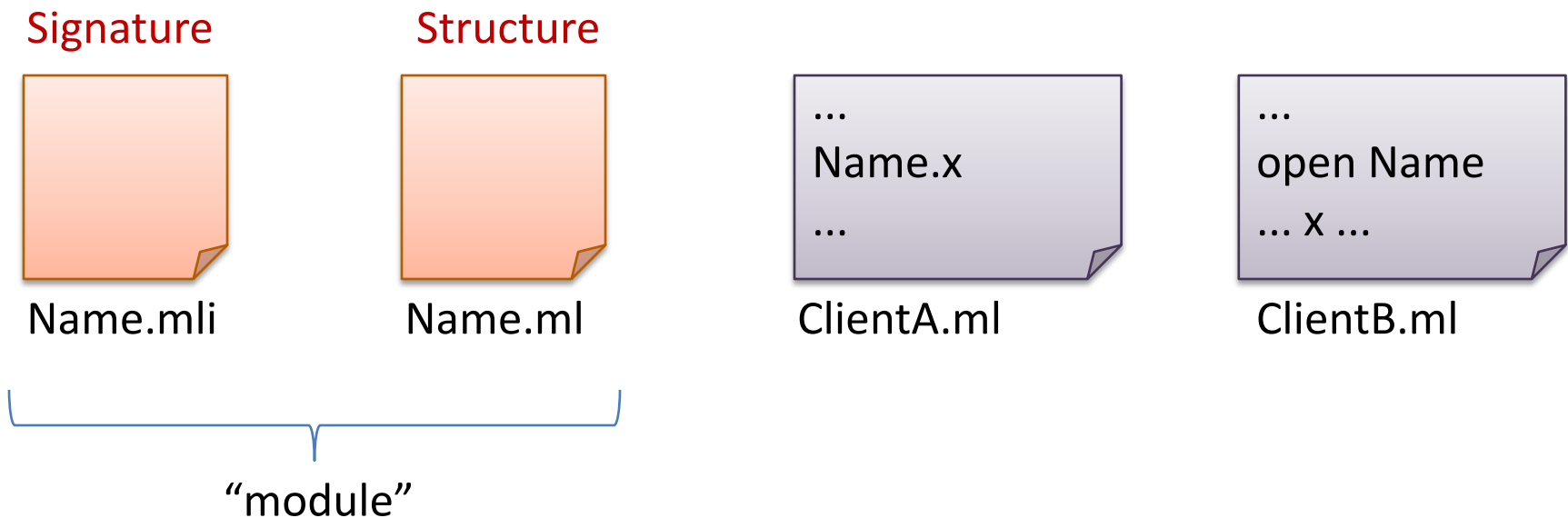
If you have no signature file, then the default signature is used: all components are fully visible to clients.



# Simple Modules

Simple summary:

- file Name.ml is a *structure* implementing a module named **Name**
- file Name.mli is a *signature* for the module named **Name**
  - if there is no file Name.mli, OCaml infers the default signature



# At first glance: OCaml modules = C modules?

C has:

- .h files (signatures) similar to .mli files?
- .c files (structures) similar to .ml files?

But ML also has:

- tighter control over type abstraction
  - define abstract, transparent or translucent types in signatures
    - i.e.: give none, all or some of the type information to clients
- more structure
  - modules can be defined within modules
  - i.e.: signatures and structures can be defined inside files
- more reuse
  - multiple modules can satisfy the same interface
  - the same module can satisfy multiple interfaces
  - modules take other modules as arguments (functors)
- fancy features: dynamic, first class modules

# Signature Definitions Inside Files

```
module type INT_STACK =  
  sig  
    type stack  
    val empty : unit -> stack  
    val push  : int -> stack -> stack  
    val is_empty : stack -> bool  
    val pop   : stack -> stack  
    val top   : stack -> int option  
  end
```

# Signature Definitions Inside Files

```
module type INT_STACK =  
  sig  
    type stack  
    val empty : unit -> stack  
    val push  : int -> stack -> stack  
    val is_empty : stack -> bool  
    val pop : stack -> stack option  
    val top  : stack -> int option  
  end
```

empty and push  
are abstract  
**constructors**:  
functions that build  
our abstract type.

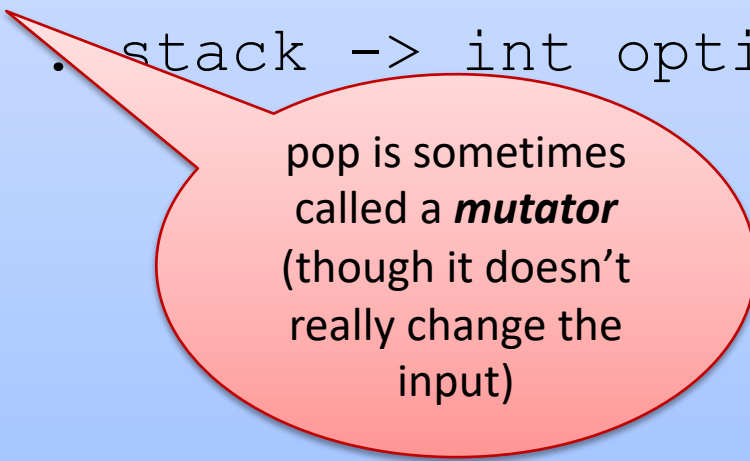
# Signature Definitions Inside Files

```
module type INT_STACK =  
  sig  
    type stack  
    val empty : unit -> stack  
    val push  : int -> stack -> stack  
    val is_empty : stack -> bool  
    val pop   : stack -> stack  
    val top   : stack -> int  
  end
```

`is_empty` is an **observer** – useful for determining properties of the ADT.

# Signature Definitions Inside Files

```
module type INT_STACK =  
  sig  
    type stack  
    val empty : unit -> stack  
    val push  : int -> stack -> stack  
    val is_empty : stack -> bool  
    val pop : stack -> stack  
    val top : stack -> int option  
  end
```



pop is sometimes called a *mutator* (though it doesn't really change the input)

# Signature Definitions Inside Files

```
module type INT_STACK =  
  sig  
    type stack  
    val empty : unit -> stack  
    val push  : int -> stack -> stack  
    val is_empty : stack -> bool  
    val pop   : stack -> stack  
    val top   : stack -> int option  
  end
```

top is also an *observer*, in this functional setting since it doesn't change the stack.

# Put comments in your signature!

```
module type INT_STACK =  
  sig  
    type stack  
  
    (* create an empty stack *)  
    val empty : unit -> stack  
  
    (* push an element on the top of the stack *)  
    val push : int -> stack -> stack  
  
    (* returns true iff the stack is empty *)  
    val is_empty : stack -> bool  
  
    (* pops top element off the stack;  
       returns empty stack if the stack is empty *)  
    val pop : stack -> stack  
  
    (* returns the top element of the stack; returns  
       None if the stack is empty *)  
    val top : stack -> int option  
  end
```



# Signature Comments

## Signature comments are for clients of the module

- explain what each function should do
  - how it manipulates abstract values (stacks)
- **not** how it manipulates concrete values
- don't reveal implementation details that should be hidden behind the abstraction

## Don't copy signature comments into your structures

- your comments will get out of date in one place or the other
- an extension of the general rule: don't copy code

## Place implementation comments inside your structure

- comments about implementation invariants hidden from client
- comments about helper functions

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# Module List

```
module List: sig .. end
```

List operations.

Some functions are flagged as not tail-recursive. A tail-recursive function uses constant stack space, while a non-tail-recursive function uses stack space proportional to the length of its list argument, which can be a problem with very long lists. When the function takes several list arguments, an approximate formula giving stack usage (in some unspecified constant unit) is shown in parentheses.

The above considerations can usually be ignored if your lists are not longer than about 10000 elements.

---

```
val length : 'a list -> int
```

Return the length (number of elements) of the given list.

```
val compare_lengths : 'a list -> 'b list -> int
```

Compare the lengths of two lists. `compare_lengths l1 l2` is equivalent to `compare (length l1) (length l2)`, except that the computation stops after iterating on the shortest list.  
**Since 4.05.0**

```
val compare_length_with : 'a list -> int -> int
```

Compare the length of a list to an integer. `compare_length_with l n` is equivalent to `compare (length l) n`, except that the computation stops after at most `n` iterations on the list.  
**Since 4.05.0**

```
val cons : 'a -> 'a list -> 'a list
```

```
cons x xs is x :: xs  
Since 4.03.0
```

```
val hd : 'a list -> 'a
```

Return the first element of the given list. Raise `Failure "hd"` if the list is empty.

```
val tl : 'a list -> 'a list
```

Return the given list without its first element. Raise `Failure "tl"` if the list is empty.

```
val nth : 'a list -> int -> 'a
```

Return the `n`-th element of the given list. The first element (head of the list) is at position 0. Raise `Failure "nth"` if the list is too short. Raise `Invalid_argument "List.nth"` if `n` is negative.

```
val nth_opt : 'a list -> int -> 'a option
```

Return the `n`-th element of the given list. The first element (head of the list) is at position 0. Return `None` if the list is too short. Raise `Invalid_argument "List.nth"` if `n` is negative.  
**Since 4.05**

```
val rev : 'a list -> 'a list
```

# Example Structure Inside a File

```
module ListIntStack : INT_STACK =  
  struct  
    type stack = int list  
    let empty () : stack = []  
    let push (i:int) (s:stack) : stack = i::s  
    let is_empty (s:stack) =  
      match s with  
        | [] -> true  
        | _::_ -> false  
    let pop (s:stack) : stack =  
      match s with  
        | [] -> []  
        | _::t -> t  
    let top (s:stack) : int option =  
      match s with  
        | [] -> None  
        | h::_ -> Some h  
  end
```

# Example Structure Inside a File

```
module ListIntStack : INT_STACK =  
  struct  
    type stack = int list  
    let empty () : stack = []  
    let push (i:int) (s:stack) = i::s  
    let is_empty (s:stack) =  
      match s with  
        | [] -> true  
        | _::_ -> false  
    let pop (s:stack) : stack =  
      match s with  
        | [] -> []  
        | _::t -> t  
    let top (s:stack) : int option =  
      match s with  
        | [] -> None  
        | h::_ -> Some h  
  end
```

Inside the module,  
we know the  
**concrete type** used  
to implement the  
abstract type.

# Example Structure Inside a File

```
module ListIntStack : INT_STACK =  
  struct  
    type stack = int list  
    let empty () : stack = []  
    let push (i:int) (s:stack) = ...  
    let is_empty (s:stack) =  
      match s with  
        | [] -> true  
        | _::_ -> false  
    let pop (s:stack) : stack =  
      match s with  
        | [] -> []  
        | _::t -> t  
    let top (s:stack) : int option =  
      match s with  
        | [] -> None  
        | h::_ -> Some h  
  end
```

But by giving the module the INT\_STACK interface, which does not reveal how stacks are being represented, we prevent code outside the module from knowing stacks are lists.

# An Example Client

```
module ListIntStack : INT_STACK =  
  struct  
    ...  
  end  
  
let s0 = ListIntStack.empty ()  
let s1 = ListIntStack.push 3 s0  
let s2 = ListIntStack.push 4 s1  
let x = ListIntStack.top s2
```

# An Example Client

```
module ListIntStack : INT_STACK =  
  struct  
    ...  
  end
```

```
let s0 = ListIntStack.empty ()  
let s1 = ListIntStack.push 3 s0  
let s2 = ListIntStack.push 4 s1  
let x = ListIntStack.top s2
```

```
s0 : ListIntStack.stack  
s1 : ListIntStack.stack  
s2 : ListIntStack.stack
```

# An Example Client

```
module ListIntStack : INT_STACK =  
  struct  
    ...  
  end  
  
let s0 = ListIntStack.empty ()  
let s1 = ListIntStack.push 3 s0  
let s2 = ListIntStack.push 4 s1  
let x = ListIntStack.top s2  
x : option int = Some 4
```



# An Example Client

```
module ListIntStack : INT_STACK =  
  struct  
    ...  
  end  
  
let s0 = ListIntStack.empty ()  
let s1 = ListIntStack.push 3 s0  
let s2 = ListIntStack.push 4 s1  
let x = ListIntStack.top s2  
x : option int = Some 4  
let x = ListIntStack.top (ListIntStack.pop s2)  
x : option int = Some 3
```

# An Example Client

```
module ListIntStack : INT_STACK =  
  struct  
    ...  
  end  
  
let s0 = ListIntStack.empty ()  
let s1 = ListIntStack.push 3 s0  
let s2 = ListIntStack.push 4 s1  
let x = ListIntStack.top s2  
x : option int = Some 4  
let x = ListIntStack.top (ListIntStack.pop s2)  
x : option int = Some 3  
open ListIntStack
```

# An Example Client

```
module ListIntStack : INT_STACK =  
  struct  
    ...  
  end  
  
let s0 = ListIntStack.empty ()  
let s1 = ListIntStack.push 3 s0  
let s2 = ListIntStack.push 4 s1  
let x = ListIntStack.top s2  
x : option int = Some 4  
let x = ListIntStack.top (ListIntStack.pop s2)  
x : option int = Some 3  
open ListIntStack  
let x = top (pop (pop s2))  
x : option int = None
```

# An Example Client

```
module type INT_STACK =  
  sig  
    type stack  
    val push  : int -> stack -> stack  
    ...  
  end
```

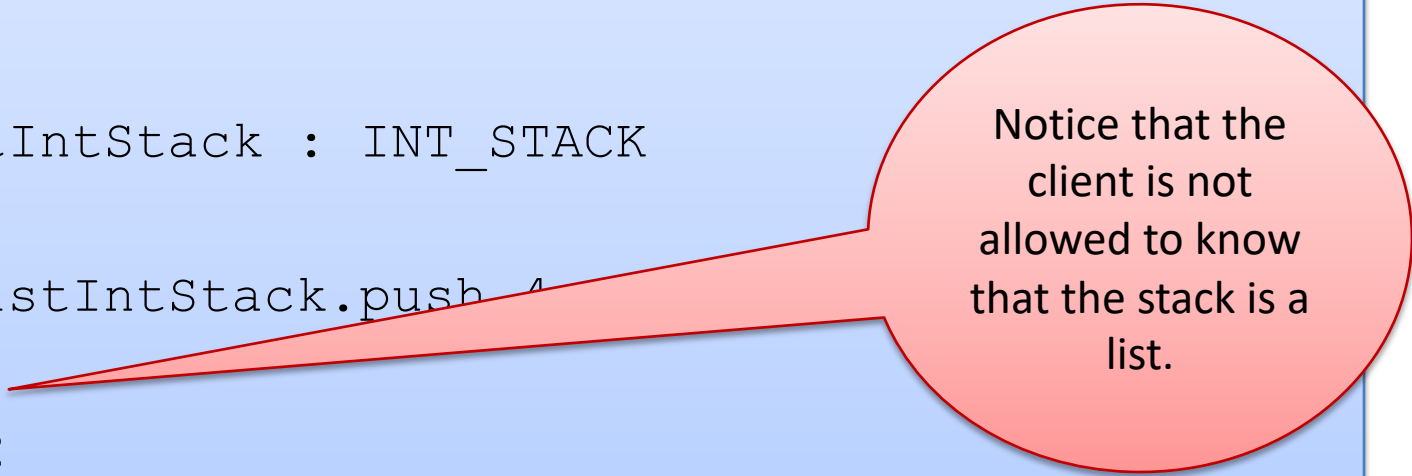
```
module ListIntStack : INT_STACK
```

```
let s2 = ListIntStack.push 4
```

```
...
```

```
List.rev s2
```

**Error: This expression has type stack but an expression was expected of type 'a list.**



Notice that the client is not allowed to know that the stack is a list.

# Example Structure

```
module ListIntStack (* : INT_STACK *) =  
  struct  
    type stack = int list  
    let empty () : stack = []  
    let push (i:int) (s:stack) = i::s  
    let is_empty (s:stack) =  
      match s with  
        | [] -> true  
        | _::_ -> false  
    exception EmptyStack  
    let pop (s:stack) =  
      match s with  
        | [] -> []  
        | _::t -> t  
    let top (s:stack) =  
      match s with  
        | [] -> None  
        | h::_ -> Some h  
  end
```

Note that when you are debugging, you may want to comment out the signature ascription so that you can access the contents of the module.

# The Client without the Signature

```
module ListIntStack (* : INT_STACK *) =  
  struct  
    ...  
  end  
  
let s = ListIntStack.empty()  
let s1 = ListIntStack.push 3 s  
let s2 = ListIntStack.push 4 s1  
  
...  
let x = List.rev s2  
x : int list = [3; 4]
```

If we don't seal the module with a signature, the client can know that stacks are lists.

# Example Structure

```
module ListIntStack : INT_STACK =
  struct
    type stack = int list
    let empty () : stack = []
    let push (i:int) (s:stack) =
    let is_empty (s:stack) =
      match s with
      | [ ] -> true
      | _::_ -> false
    exception EmptyStack
    let pop (s:stack) =
      match s with
      | [ ] -> []
      | _::t -> t
    let top (s:stack) =
      match s with
      | [ ] -> None
      | h::_ -> Some h
  end
```

When you put the signature on here, you are restricting client access to the information in the signature (which does *not* reveal that `stack = int list`.) So clients can *only* use the stack operations on a stack value (not list operations.)

# Example Structure

```
module type INT_STACK =  
  sig  
    type stack  
    ...  
  
    val inspect : stack -> int list  
    val run_unit_tests : unit -> unit  
  
end
```

```
module ListIntStack : INT_STACK =  
  struct  
    type stack = int list  
    ...  
  
    let inspect (s:stack) : int list = s  
    let run_unit_tests () : unit = ...  
  
end
```

Another technique:

Add testing components to your signature.

Or have 2 signatures, one for testing and one for the rest of the code)



# **DESIGN CHOICES FOR CORNER CASES**

# Interface design

```
module type INT_STACK =  
  sig  
    type stack  
    (* create an empty stack *)  
    val empty : unit -> stack  
  
    (* push an element on the top of the stack *)  
    val push : int -> stack  
  
    (* returns true if the stack is empty *)  
    val is_empty : stack -> bool  
  
    (* pops top element off the stack;  
       returns empty stack if the stack is empty *)  
    val pop : stack -> stack  
  
    (* returns the top element of the stack; returns  
       None if the stack is empty *)  
    val top : stack -> int option  
  end
```

Is this a good  
idea?

# Design choices

```
sig
  type stack
  (* pops top element;
     returns empty if empty
  *)
  val pop : stack -> stack
end
```

```
sig
  type stack
  (* pops top element;
     returns arbitrary stack
     if empty *)
  val pop : stack -> stack
end
```

```
sig
  type stack
  (* pops top element;
     returns option *)
  val pop :
    stack -> stack option
end
```

```
sig
  type stack
  exception EmptyStack
  (* pops top element;
     raises EmptyStack if empty
  *)
  val pop : stack -> stack
end
```

# Design choices

For some functions,  
there are some input values  
outside the *domain*  
of the function & the domain  
is not easily described by  
a simple type.

```
sig
  type stack
  (* pops top element;
   returns arbitrary stack
   if empty *)
  val pop : stack -> stack
end
```

Say the function returns an arbitrary result on those inputs.

When proving things about the program, there's an extra proof obligation: Prove that the input is in the domain of the function.

# Design choices

For some functions, there are some input values outside the *domain* of the function & the domain is not easily described by a simple type.

```
sig
  type stack
  (* pops top element;
     returns arbitrary stack
     if empty *)
  val pop : stack -> stack
end
```

Say the function returns an arbitrary result on those inputs.

When proving things about the program, there's an extra proof obligation: Prove that the input is in the domain of the function.

But when a programmer forgets to do this proof (or makes a mistake), such silent errors can be hard to track down.

# Design choices

For some functions, there are some input values outside the *domain* of the function.

```
sig
  type stack
  (* pops top element;
     crashes the program
     if empty *)
  val pop : stack -> stack
end
```

This is not *completely* crazy. One might still be able to guarantee that the input is always in the domain of the function.

It's what the C language does, for example.

# Design choices

For some functions, there are some input values outside the *domain* of the function.

```
sig
  type stack
  (* pops top element;
     crashes the program
     if empty *)
  val pop : stack -> stack
end
```

This is not *completely* crazy. One might still be able to guarantee that the input is always in the domain of the function.

It's what the C language does, for example.

But it's *almost completely* crazy. This is the biggest source of security vulnerabilities ever. It's why the hackers can drive your car, steal your money, read your e-mails, ...

# Design choices

```
sig
  type stack
  (* pops top element;
     returns empty if empty
  *)
  val pop : stack -> stack
end
```

```
sig
  type stack
  (* pops top element;
     returns arbitrary stack
     if empty *)
  val pop : stack -> stack
end
```

It's also reasonable to say the function returns a *specified, convenient*, result on those inputs. This is pretty much the same thing, in practice.

**Consider:** If supplying an empty stack to pop is probably a mistake on the part of the caller, it is better to stop the program right away (by raising an exception) than to let the error silently slip by. In the long run, finding the real error is tougher.



# Design choices

For some functions,  
there are some  
input values  
outside the *domain*  
of the function.

That's what exceptions are for!  
Raise an exception for values  
not in the domain.

```
sig
  type stack
  exception EmptyStack
  (* pops top element;
     raises EmptyStack if empty
  *)
  val pop : stack -> stack
end
```

# Design choices

Finally, you can just use option types in the obvious way.

Using an option has the advantage of forcing the caller to consider what to do on the “error” condition every time the function is called. They can't *forget* to handle this situation.

```
sig
  type stack
  (* pops top element;
     returns option *)
  val pop:
    stack -> stack option
end
```

# Design choices

```
sig
  type stack
  (* pops top element;
     returns empty if empty
  *)
  val pop : stack -> stack
end
```

```
sig
  type stack
  (* pops top element;
     returns arbitrary stack
     if empty *)
  val pop : stack -> stack
end
```

All of these are reasonable  
design choices!

```
sig
  type stack
  (* pops top element;
     returns option *)
  val pop :
    stack -> stack option
end
```

```
type stack
exception EmptyStack
(* pops top element;
   raises EmptyStack if empty
*)
val pop : stack -> stack
end
```

# Design choices

**sig**

**type** stack

*(\* pops top element;  
returns empty if empty  
\*)*

**val** pop : stack -> stack

**end**

But use these two with extreme care

**type** stack

*(\* pops top element;  
returns **arbitrary stack**  
if empty \*)*

**val** pop : stack -> stack

All of these are reasonable  
design choices!

**sig**

**type** stack

*(\* pops top element;  
returns option \*)*

**val** pop :  
stack -> stack option

**end**

**type** stack

**exception** EmptyStack

*(\* pops top element;  
raises EmptyStack if empty  
\*)*

**val** pop : stack -> stack

**end**

But use the bottom two are more common. Options are the “safest.”  
They force consideration of the error condition every time.

**ANOTHER EXAMPLE**

# Polymorphic Queues

```
module type QUEUE =  
  sig  
    type `a queue  
    val empty : unit -> `a queue  
    val enqueue : `a -> `a queue -> `a queue  
    val is_empty : `a queue -> bool  
    exception EmptyQueue  
    val dequeue : `a queue -> `a queue  
    val front : `a queue -> `a  
  end
```

# Polymorphic Queues

```
module type QUEUE =  
  sig  
    type `a queue  
    val empty : unit -> `a queue  
    val enqueue : `a -> `a queue -> `a queue  
    val is_empty : `a queue -> bool  
    exception EmptyQueue  
    val dequeue : `a queue -> `a queue  
    val front : `a queue -> `a  
  end
```

These queues are re-usable for different element types.

Here's an exception that client code might want to catch

# One Implementation

```
module AppendListQueue : QUEUE =  
  struct  
    type `a queue = `a list  
    let empty() = []  
    let enqueue(x:`a) (q:`a queue) : `a queue = q @ [x]  
    let is_empty(q:`a queue) =  
      match q with  
      | [] -> true  
      | _::_ -> false  
  
    ...  
  
end
```



# One Implementation

```
module AppendListQueue : QUEUE =  
  struct  
    type `a queue = `a list  
    let empty() = []  
    let enqueue(x:`a) (q:`a queue) : `a queue = q @ [x]  
    let is_empty(q:`a queue) = ...  
  
    exception EmptyQueue  
    let deq(q:`a queue) : (`a * `a queue) =  
      match q with  
        | [] -> raise EmptyQueue  
        | h::t -> (h,t)  
    let dequeue(q:`a queue) : `a queue = snd (deq q)  
    let front(q:`a queue) : `a = fst (deq q)  
  
end
```

# One Implementation

```
module AppendListQueue : QUEUE =  
  struct  
    type `a queue = `a list  
    let empty() = []  
    let enqueue(x:`a) (q:`a queue) : `a queue = ...  
    let is_empty(q:`a queue) = ...  
  
    exception EmptyQueue  
    let deq(q:`a queue) : (`a * `a queue) =  
      match q with  
        | [] -> raise EmptyQueue  
        | h::t -> (h,t)  
    let dequeue(q:`a queue) : `a queue = ...  
    let front(q:`a queue) : `a = fst (dequeue q)  
  
end
```

Notice deq is a helper function that doesn't show up in the signature.

You can't use it outside the module.

# One Implementation

```
module AppendListQueue : QUEUE =  
struct  
  type 'a queue = 'a list  
  let empty() = []  
  let enqueue(x:'a) (q:'a queue) : 'a queue = q @ [x]  
  let is_empty(q:'a queue) = ...  
  
  exception EmptyQueue  
  let deq(q:'a queue) : ('a * 'a queue) =  
    match q with  
    | [] -> raise EmptyQueue  
    | h::t -> (h,t)  
  let dequeue(q:'a queue) : 'a queue = snd (deq q)  
  let front(q:'a queue) : 'a = fst (deq q)  
end
```

enqueue takes time  
proportional to the  
length of the queue



Dequeue runs in  
constant time



# An Alternative Implementation

```
module DoubleListQueue : QUEUE =  
  struct  
    type `a queue = {front:`a list; rear:`a list}  
  
    ...  
  
end
```

# In Pictures

abstraction

a, b, c, d, e



implementation

{ front=[a; b]; rear=[e; d; c] }

```
let q0 = empty { front=[]; rear=[] }
let q1 = enqueue 3 q0 { front=[]; rear=[3] }
let q2 = enqueue 4 q1 { front=[]; rear=[4; 3] }
let q3 = enqueue 5 q2 { front=[]; rear=[5; 4; 3] }
let q4 = dequeue q3 { front=[4; 5]; rear=[] }
let q5 = dequeue q4 { front=[5]; rear=[] }
let q6 = enqueue 6 q5 { front=[5]; rear=[6] }
let q7 = enqueue 7 q6 { front=[5]; rear=[7; 6] }
```

# An Alternative Implementation

```
module DoubleListQueue : QUEUE =  
  struct  
    type `a queue = {front:`a list;  
                    rear:`a list}  
    let empty() = {front=[]; rear=[]}  
  
    let enqueue x q = {front=q.front; rear=x::q.rear}  
  
    let is_empty q =  
      match q.front, q.rear with  
      | [], [] -> true  
      | _, _ -> false  
  
    ...  
  
end
```

enqueue runs in  
constant time



# An Alternative Implementation

```
module DoubleListQueue : QUEUE =  
struct  
  type `a queue = {front:`a list;  
                   rear:`a list}  
  
  exception EmptyQueue  
  
  let deq (q:`a queue) : `a * `a queue =  
    match q.front with  
    | h::t -> (h, {front=t; rear=q.rear})  
    | [] -> match List.rev q.rear with  
        | h::t -> (h, {front=t; rear=[]})  
        | [] -> raise EmptyQueue  
  
  let dequeue (q:`a queue) : `a queue = snd(deq q)  
  let front (q:`a queue) : `a = fst(deq q)  
  
end
```

dequeue runs in  
*amortized*  
constant time



# How would we design an abstraction?

Think:

- what data do you want?
  - define some types for your data
- what operations on that data do you want?
  - define some types for your operations

Write some test cases:

- example data, operations

From this, we can derive a signature

- list the types
- list the operations with their types
- don't forget to provide enough operations that you can debug!

Then we can build an implementation

- when prototyping, build the simplest thing you can.
- later, we can swap in a more efficient implementation.
- (assuming we respect the abstraction barrier.)



# Common Interfaces

The stack and queue interfaces are quite similar:

```
module type STACK =  
  sig  
    type `a stack  
    val empty : unit -> `a stack  
    val push  : int -> `a stack -> `a stack  
    val is_empty : `a stack -> bool  
    exception EmptyStack  
    val pop  
    val top  
  end
```

```
module type QUEUE =  
  sig  
    type `a queue  
    val empty : unit -> `a queue  
    val enqueue : `a -> `a queue -> `a queue  
    val is_empty : `a queue -> bool  
    exception EmptyQueue  
    val dequeue : `a queue -> `a queue  
    val front : `a queue -> `a  
  end
```

# It's a good idea to factor out patterns

Stacks and Queues share common features.

Both can be considered “containers”

Create a reusable container interface!

```
module type CONTAINER =  
  sig  
    type 'a t  
    val empty : unit -> 'a t  
    val insert : 'a -> 'a t -> 'a t  
    val is_empty : 'a t -> bool  
    exception Empty  
    val remove : 'a t -> 'a t  
    val first : 'a t -> 'a  
  end
```

# It's a good idea to factor out patterns

```
module type CONTAINER = sig ... end  
  
module Queue : CONTAINER = struct ... end  
module Stack : CONTAINER = struct ... end
```

```
module DepthFirstSearch : SEARCHER =  
  struct  
    type to_do : Graph.node Queue.t  
  
  end
```

```
module BreadthFirstSearch : SEARCHER =  
  struct  
    type to_do : Graph.node Stack.t  
  
  end
```

Still repeated code!

Breadth-first and depth-first search code is the same!

Just use different containers!

Need parameterized modules!

# **FUNCTORS**



David MacQueen  
Bell Laboratories 1983-2001  
U. of Chicago 2001-2012

Designer of ML module system,  
functors,  
sharing constraints, etc.

# Matrices

Suppose I ask you to write a generic package for matrices.

- e.g., matrix addition, matrix multiplication

The package should be *parameterized* by the element type.

- Matrix elements may be ints or floats or complex ...
- And the elements still have a collection of operations on them:
  - addition, multiplication, zero element, etc.

What we'll see:

- **RING**: a signature for matrix elements
- **MATRIX**: a signature for operations on matrices
- **DenseMatrix**: a functor that will generate a MATRIX with a specific RING as an element type

# Ring Signature

```
module type RING =  
  sig  
    type t  
    val zero : t  
    val one  : t  
    val add  : t -> t -> t  
    val mul  : t -> t -> t  
  end
```

# Some Rings

```
module IntRing =  
  struct  
    type t = int  
    let zero = 0  
    let one = 1  
    let add x y = x + y  
    let mul x y = x * y  
  end
```

```
module BoolRing =  
  struct  
    type t = bool  
    let zero = false  
    let one = true  
    let add x y = x || y  
    let mul x y = x && y  
  end
```

```
module FloatRing =  
  struct  
    type t = float  
    let zero = 0.0  
    let one = 1.0  
    let add = (+.)  
    let mul = (*.)  
  end
```

# Matrix Signature

```
module type MATRIX =  
  sig  
    type elt  
    type matrix  
    val matrix_of_list : elt list list -> matrix  
    val add : matrix -> matrix -> matrix  
    val mul : matrix -> matrix -> matrix  
  end
```



# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct  
  
  ...  
  
end
```

# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct  
  
  ...  
  
end
```

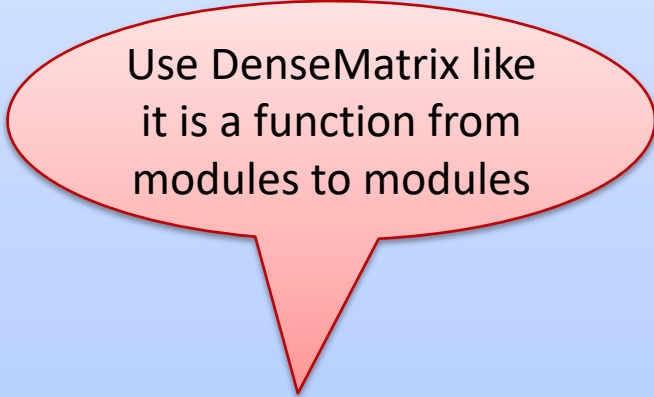
The diagram features three red callout bubbles pointing to specific parts of the code signature:

- A bubble on the left points to the parameter `(R:RING)` and contains the text: "Argument R must be a RING".
- A bubble in the center points to the return type `(MATRIX with type elt = R.t)` and contains the text: "Result must be a MATRIX".
- A bubble on the right points to the `elt = R.t` part of the return type and contains the text: "Specify Result.elt = R.t".

# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct
```

```
...
```



Use DenseMatrix like  
it is a function from  
modules to modules

```
end
```

```
module IntMatrix = DenseMatrix(IntRing)  
module FloatMatrix = DenseMatrix(FloatRing)  
module BoolMatrix = DenseMatrix(BoolRing)
```

# The Type of IntMatrix

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct ... end
```

```
module IntMatrix = DenseMatrix(IntRing)
```

What is the signature  
of IntMatrix?

# The Type of IntMatrix

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct ... end
```

```
module IntMatrix = DenseMatrix(IntRing)
```

What is the signature  
of IntMatrix?

It depends on both  
the signatures of  
DenseMatrix and of  
it's argument IntRing

# The Type of IntMatrix

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct ... end
```

```
module IntMatrix = DenseMatrix(IntRing)
```

```
module type MATRIX =  
  sig  
    type elt  
    type matrix  
    val matrix_of_list : elt list list -> matrix  
    val add : matrix -> matrix -> matrix  
    val mul : matrix -> matrix -> matrix  
  end
```

+

```
type elt = R.t
```

# The Type of IntMatrix

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
  struct ... end
```

```
module IntMatrix = DenseMatrix(IntRing)
```

```
module type MATRIX =  
  sig  
    type elt  
    type matrix  
    val matrix_of_list : elt list list -> matrix  
    val add : matrix -> matrix -> matrix  
    val mul : matrix -> matrix -> matrix  
  end
```

+ type elt = R.t

Recall:

```
module IntRing =  
  struct  
    type t = int  
    let zero = 0  
    ...  
  end
```

# The Type of IntMatrix

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
  struct ... end
```

```
module IntMatrix = DenseMatrix(IntRing)
```

```
module type MATRIX =  
  sig  
    type elt  
    type matrix  
    val matrix_of_list : elt list list -> matrix  
    val add : matrix -> matrix -> matrix  
    val mul : matrix -> matrix -> matrix  
  end
```

+ type elt = R.t

=

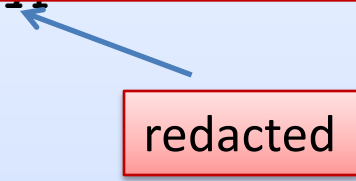
```
module type MATRIX =  
  sig  
    type elt = int  
    type matrix  
    ...  
  end
```

```
module IntRing =  
  struct  
    type t = int  
    ...  
  end
```



# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R +) =  
struct  
  
  ...  
  
end  
  
module IntMatrix = DenseMatrix(IntRing)  
module FloatMatrix = DenseMatrix(FloatRing)  
module BoolMatrix = DenseMatrix(BoolRing)
```



# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R t) =  
struct
```

```
...
```

redacted

```
module type MATRIX =  
  sig  
    type elt  
    type matrix  
  
    val matrix_of_list :  
      elt list list -> matrix  
  
    val add : matrix -> matrix -> matrix  
    val mul : matrix -> matrix -> matrix  
  end
```

abstract =  
unknown!

nonexistent

```
end
```

```
module IntMatrix = DenseMatrix(IntRing)  
module FloatMatrix = DenseMatrix(FloatRing)  
module BoolMatrix = DenseMatrix(BoolRing)
```

# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct
```

redacted

If the "with" clause is redacted then IntMatrix.elt is abstract -- we could never build a matrix because we could never generate an elt

nonexistent

```
module type MATRIX =  
sig  
  type elt  
  type matrix  
  
  val matrix_of_list :  
    elt list list -> matrix  
  
  val add : matrix -> matrix -> matrix  
  val mul : matrix -> matrix -> matrix  
end
```

abstract = unknown!

```
end
```

```
module IntMatrix = DenseMatrix(IntRing)  
module FloatMatrix = DenseMatrix(FloatRing)  
module BoolMatrix = DenseMatrix(BoolRing)
```

# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct
```

```
...
```

sharing constraint

```
module type MATRIX =
```

```
sig
```

```
type elt = int
```

```
type matrix
```

```
val matrix_of_list :
```

```
elt list list -> matrix
```

```
val add : matrix -> matrix -> matrix
```

```
val mul : matrix -> matrix -> matrix
```

```
end
```

known to be  
int when  
R.t = int like  
when R = IntRing

list of list of  
ints

```
end
```

```
module IntMatrix = DenseMatrix(IntRing)
```

```
module FloatMatrix = DenseMatrix(FloatRing)
```

```
module BoolMatrix = DenseMatrix(BoolRing)
```

# The DenseMatrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct
```

sharing constraint

The "with" clause makes IntMatrix.elt equal to int -- we can build a matrix from any int list list

```
module type MATRIX =  
  sig  
    type elt = int  
    type matrix  
  
    val matrix_of_list :  
      elt list list -> matrix  
  
    val add : matrix -> matrix -> matrix  
    val mul : matrix -> matrix -> matrix  
  end
```

known to be int when R.t = int like when R = IntRing

list of list of ints

```
end
```

```
module IntMatrix = DenseMatrix(IntRing)  
module FloatMatrix = DenseMatrix(FloatRing)  
module BoolMatrix = DenseMatrix(BoolRing)
```

# Matrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct  
  type elt = ...  
  type matrix = ...  
  let matrix_of_list = ...  
  let add m1 m2 = ...  
  let mul m1 m2 = ...  
end
```

To define a functor, just write down a module as its body.

That module has to match the result signature (MATRIX).

This module may refer to the functor arguments, like R.

```
module IntMatrix = DenseMatrix(IntRing)  
module FloatMatrix = DenseMatrix(FloatRing)  
module BoolMatrix = DenseMatrix(BoolRing)
```

# Matrix Functor

```
module DenseMatrix (R:RING) : (MATRIX with type elt = R.t) =  
struct  
  type elt = R.t  
  type matrix = (elt list) list  
  let matrix_of_list rows = rows  
  let add m1 m2 =  
    List.map (fun (r1,r2) ->  
      List.map (fun (e1,e2) -> R.add e1 e2))  
      (List.combine r1 r2))  
    (List.combine m1 m2)  
  let mul m1 m2 = (* good exercise *)  
end  
  
module IntMatrix = DenseMatrix(IntRing)  
module FloatMatrix = DenseMatrix(FloatRing)  
module BoolMatrix = DenseMatrix(BoolRing)
```

Satisfies the sharing  
constraint

Can refer to functor  
argument  
values or argument  
types!

# **ANONYMOUS STRUCTURES**



# Another Example

```
module type UNSIGNED_BIGNUM =  
sig  
  type ubignum  
  val fromInt : int -> ubignum  
  val toInt : ubignum -> int  
  val plus : ubignum -> ubignum -> ubignum  
  val minus : ubignum -> ubignum -> ubignum  
  val times : ubignum -> ubignum -> ubignum  
  ...  
end
```

# An Implementation

```
module My_UBignum_1000 : UNSIGNED_BIGNUM =  
struct  
  let base = 1000  
  
  type ubignum = int list  
  
  let toInt (b:ubignum) :int = ...  
  
  let plus (b1:ubignum) (b2:ubignum) :ubignum = ...  
  
  let minus (b1:ubignum) (b2:ubignum) :ubignum = ...  
  
  let times (b1:ubignum) (b2:ubignum) :ubignum = ...  
  ...  
end
```

What if we want  
to change the  
base? Binary?  
Hex?  $2^{32}$ ?  $2^{64}$ ?

# Another Functor Example

```
module type BASE =
sig
  val base : int
end

module UbignumGenerator(Base:BASE) : UNSIGNED_BIGNUM =
struct
  type ubignum = int list
  let toInt(b:ubignum):int =
    List.fold_left (fun a c -> c*Base.base + a) 0 b ...
end

module Ubignum_10 =
  UbignumGenerator(struct let base = 10 end) ;;

module Ubignum_2 =
  UbignumGenerator(struct let base = 2 end) ;;
```

Anonymous  
structures

# **SIGNATURE SUBTYPING**

# Subtyping

A module matches any interface as long as it provides *at least* the definitions (of the right type) specified in the interface.

But as we saw earlier, the module can have more stuff.

- e.g., the `deq` function in the Queue modules

Basic principle of subtyping for modules:

- wherever you are expecting a module with signature  $S$ , you can use a module with signature  $S'$ , as long as all of the stuff in  $S$  appears in  $S'$ .
- That is,  $S'$  is a bigger interface.

# Groups versus Rings

```
module type GROUP =
  sig
    type t
    val zero : t
    val add : t -> t -> t
  end
module type RING =
  sig
    type t
    val zero : t
    val one : t
    val add : t -> t -> t
    val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```

# Groups versus Rings

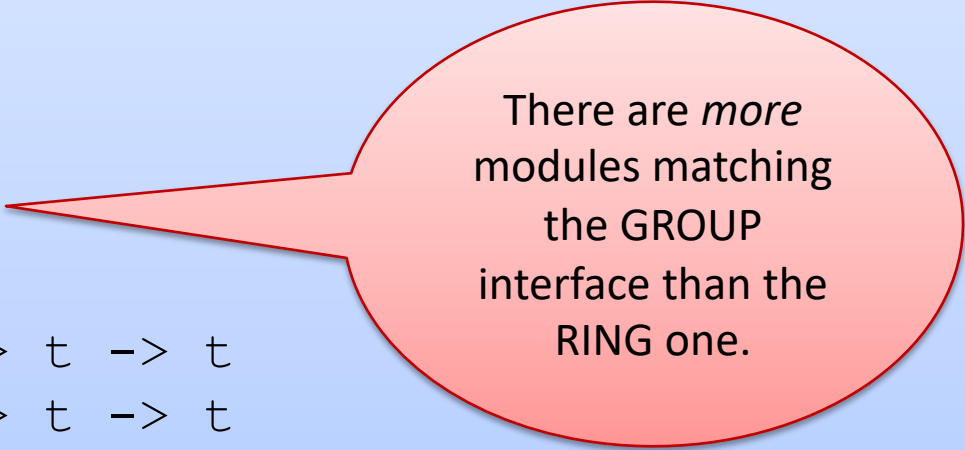
```
module type GROUP =
  sig
    type t
    val zero : t
    val add : t -> t -> t
  end
module type RING =
  sig
    type t
    val zero : t
    val one : t
    val add : t -> t -> t
    val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```



RING is a sub-type  
of GROUP.

# Groups versus Rings

```
module type GROUP =
  sig
    type t
    val zero : t
    val add : t -> t -> t
  end
module type RING =
  sig
    type t
    val zero : t
    val one : t
    val add : t -> t -> t
    val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```

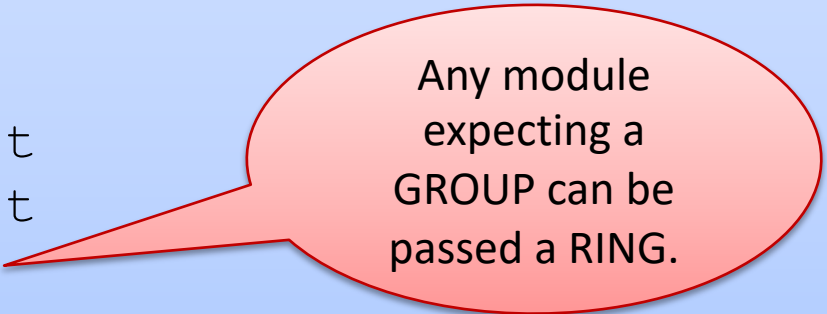


There are *more* modules matching the GROUP interface than the RING one.



# Groups versus Rings

```
module type GROUP =
  sig
    type t
    val zero : t
    val add : t -> t -> t
  end
module type RING =
  sig
    type t
    val zero : t
    val one : t
    val add : t -> t -> t
    val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```



Any module expecting a GROUP can be passed a RING.

# Groups versus Rings

```
module type GROUP =
  sig
    type t
    val zero : t
    val add : t -> t -> t
  end
module type RING =
  sig
    include GROUP
    val one : t
    val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```

The **include** primitive is like cutting-and-pasting the signature's content here.

# Groups versus Rings

```
module type GROUP =
  sig
    type t
    val zero : t
    val add : t -> t -> t
  end
module type RING =
  sig
    include GROUP
    val one : t
    val mul : t -> t -> t
  end
module IntGroup : GROUP = IntRing
module FloatGroup : GROUP = FloatRing
module BoolGroup : GROUP = BoolRing
```

That *ensures* we will be a sub-type of the included signature.

# **MODULE EVALUATION**

# Evaluating the contents of a module

A structure is a series of declarations

- How does one evaluate a type declaration? We'll ignore it.
- How does one evaluate a let declaration?

let x = e

← evaluate the expression e  
bind the value to x

How does one evaluate an entire structure?

- evaluate each declaration in order from first to last

# Evaluating the contents of a module

main.ml

```
let x = 326
```

```
let main () =
```

```
  Printf.printf "Hello COS %d\n" x
```

```
let foo =
```


```
  Printf.printf "Byeeee!\n"
```

```
let _ =
```

```
  main ()
```

# Evaluating the contents of a module

main.ml



```
let x = 326

let main () =
  Printf.printf "Hello COS %d\n" x

let foo =
  Printf.printf "Byeeee!\n"

let _ =
  main ()
```


Step 1:

evaluate the 1<sup>st</sup> declaration

but the RHS (326)  
is already a value so there's  
nothing to do except  
remember that x is bound  
to the integer 326

# Evaluating the contents of a module

main.ml



```
let x = 326
let main () =
  Printf.printf "Hello COS %d\n" x

let foo =
  Printf.printf "Byeee!\n"

let _ =
  main ()
```

Step 2:

evaluate the 2<sup>nd</sup> declaration  
this is slightly trickier:

**let main () = ...**

really declares a function.  
It's equivalent to:

**let main = fun () -> ...**

“**fun () -> ...**” is already  
a value, like 326.

So there's nothing to do again.



# Evaluating the contents of a module

main.ml

```
let x = 326

let main () =
  Printf.printf "Hello COS %d\n" x

let foo =
  Printf.printf "Byeeee!\n"

let _ =
  main ()
```

Step 3:

evaluate the 3<sup>rd</sup> declaration

**let foo = ...**

evaluation of this expression has an effect – it prints out **“Byeeee!\n”** to the terminal.

the resulting value is () which is bound to foo

# Evaluating the contents of a module


main.ml

```
let x = 326

let main () =
  Printf.printf "Hello COS %d\n" x

let foo =
  Printf.printf "Byeeee!\n"

let _ =
  main ()
```



Step 4:

evaluate the 4<sup>th</sup> declaration

**let \_ = ...**

evaluation main ()  
causes another effect.

**"Hello ..."** is printed

the resulting value is () again.  
the "\_" indicates we don't  
care to bind () to any variable

# A Variation

main.ml

```
let x = 326

let main =
  (fun () ->
    Printf.printf "Hello COS %d\n" x)

let foo =
  Printf.printf "Byeeee!\n"

let _ =
  main ()
```

This evaluates exactly  
the same way

We just replaced

`let main () = ...`

with the equivalent

`let main = fun () -> ...`

# A Variation

main.ml

```
let x = 326

let main =
  Printf.printf "Hello COS %d\n" x;
  (fun () -> ())

let foo =
  Printf.printf "Byeeee!\n"

let _ =
  main ()
```

This rewrite does something different.

On the 2<sup>nd</sup> step, it prints because that's what evaluating this expression does:

```
Printf.printf "Hello COS %d\n" x;
(fun () -> ())
```

The result of the expression is:

```
fun () -> ()
```

which is bound to main.  
This is a pretty silly function.

# A Variation

main.ml

```
module C326 =  
struct  
  let x = 326  
  
  let main =  
    Printf.printf "Hello COS %d\n" x;  
    (fun () -> ())  
  
  let foo = Printf.printf "Byeeee!\n"  
  
  let _ = main ()  
end  
  
let _ =  
  Printf.printf "Done\n"
```

Now what happens?

# A Variation

main.ml

```
module C326 =  
struct  
  let x = 326  
  
  let main =  
    Printf.printf "Hello COS %d\n" x;  
    (fun () -> ())  
  
  let foo = Printf.printf "Byeeee!\n"  
  
  let _ = main ()  
end  
  
let done =  
  Printf.printf "Done\n"
```

Now what happens?

The entire file contains 2 decls:

- module C326 = ...
- let done = ...

We execute both of them in order.

# A Variation

main.ml

```
module C326 =  
struct  
  let x = 326  
  
  let main =  
    Printf.printf "Hello COS %d\n" x;  
    (fun () -> ())  
  
  let foo = Printf.printf "Byeeee!\n"  
  
  let _ = main ()  
end  
  
let done =  
  Printf.printf "Done\n"
```

Now what happens?

The entire file contains 2 decls:

- module C326 = ...
- let done = ...

We execute both of them in order.

Executing the module declaration has the effect of executing every declaration within it in order.

Executing let done = ... is as before

# A Variation

main.ml

```
module C326 =  
struct  
  exception Unimplemented  
  let x = raise Unimplemented  
  
  let main =  
    Printf.printf "Hello COS %d\n" x;  
    (fun () -> ())  
  
  let foo = Printf.printf "Byeeee!\n"  
  
  let _ = main ()  
end  
  
let done =  
  Printf.printf "Done\n"
```

Now what happens?



# A Variation

main.ml

```
module C326 =  
struct  
  exception Unimplemented  
  let x = raise Unimplemented  
  
  let main =  
    Printf.printf "Hello COS %d\n" x;  
    (fun () -> ())  
  
  let foo = Printf.printf "Byeeee!\n"  
  
  let _ = main ()  
end  
  
let done =  
  Printf.printf "Done\n"
```

Now what happens?

The entire file contains 2 decls:

- module C326 = ...
- let done = ...

We execute both of them in order.

Executing the module declaration has the effect of executing every declaration within it in order.

The first declaration within it raises an exception which is not caught! That is the only result.

# A Variation

main.ml

```
module type S =
```

```
sig
```

```
  type t = int
```

```
  val x : t
```

```
end
```

```
module F (M:S) : S =
```

```
struct
```

```
  let wow = Printf.printf "%d\n" M.x
```

```
  let t = M.t
```

```
  let x = M.x
```

```
end
```

```
let done = Printf.printf "Done\n"
```

Now what happens?

The entire file contains 2 decls:

- module type = ...
- module F (M:S) : S = ...
- let done = ...

# A Variation

main.ml

```
module type S =
```

```
sig
```

```
  type t = int
```

```
  val x : t
```

```
end
```

```
module F (M:S) : S =
```

```
struct
```

```
  let wow = Printf.printf "%d\n" M.x
```

```
  let t = M.t
```

```
  let x = M.x
```

```
end
```

```
let done = Printf.printf "Done\n"
```

The signature declaration has no (run-time) effect.

The functor declaration is like declaring a function value.

The body of the functor is not executed until it is applied.

The functor is not applied here so M.x is not printed.

Only "Done\n" is printed.

# A Variation

main.ml

```
module type S = sig ... end

module F (M:S) : S =
struct
  let wow = Printf.printf "%d\n" M.x
  let t = M.t
  let x = M.x
end

let module M1 = F (
  struct
    type t = int
    val x = 3
  end)

let done = Printf.printf "Done\n"
```

What happens now?

# A Variation

main.ml

```
module type S = sig ... end

module F (M:S) : S =
struct
  let wow = Printf.printf "%d\n" M.x
  let t = M.t
  let x = M.x
end

let module M1 = F (
  struct
    type t = int
    val x = 3
  end)

let done = Printf.printf "Done\n"
```

What happens now?

When M1 is declared,  
F is applied to an argument.

This creates a new structure and  
its components are executed.

This has the effect of printing 3.

# **SUMMARY**

# Key Points

OCaml's linguistic mechanisms include:

- *signatures* (interfaces)
- *structures* (implementations)
- *functors* (functions from modules to modules)

We can use the module system

- provides support for *name-spaces*
- *hiding information* (types, local value definitions)
- *code reuse* (via functors, reusable interfaces, reusable modules)

Information hiding allows design in terms of *abstract* types and algorithms.

- think “sets” not “lists” or “arrays” or “trees”
- think “document” not “strings”
- the less you reveal, the easier it is to replace an implementation
- use linguistic mechanisms to implement information hiding
  - invariants written down as comments are easy to violate
  - use the type checker to guarantee you have strong protections in place

# Wrap up and Summary

It is often tempting to break the abstraction barrier.

- e.g., during development, you want to print out a set, so you just call a convenient function you have lying around for iterating over lists and printing them out.

But the barrier supports future change of implementations.

- e.g., moving from unsorted invariant to sorted invariant.
- or from lists to balanced trees.

Languages often allow information to leak through the barrier.

- “good” clients should not take advantage of this.
- but they always end up doing it.
- so you end up having to support these leaks when you upgrade, else you’ll break the clients.



# Wrap up and Summary

It is often tempting to break the abstraction barrier.

- e.g., during development, you want to print out a set, just call a convenient function you have lying around, iterating over lists and printing them out.



But the barrier supports future change and experimentation.

- e.g., moving from unsorted input to sorted invariant.
- or from lists to balanced trees.

Languages often allow a way to leak through the barrier.

- “good” clients do not take advantage of this.
- but some do end up doing it.

Having to support these leaks when you upgrade, or when you break the clients.

