

COS 326 Functional Programming: An elegant weapon for the modern age

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Princeton University



Alonzo Church, 1903-1995
Princeton Professor, 1929-1967

In 1936, Alonzo Church invented the lambda calculus. He called it a logic, but it was a language of pure functions -- the world's first programming language.

He said:

"There may, indeed, be other applications of the system than its use as a logic."



Alonzo Church, 1903-1995
Princeton Professor, 1929-1967

ented

Greatest technological
understatement of the 20th
century?

He said:

*"There may, indeed, be other
applications of the system than
its use as a logic."*



Alonzo Church
1934 -- developed lambda calculus



Programming Languages



Alan Turing (PhD Princeton 1938)
1936 -- developed Turing machines



Computers

Optional reading: ***The Birth of Computer Science at Princeton in the 1930s***
by Andrew W. Appel, 2012. <http://press.princeton.edu/chapters/s9780.pdf>

A few designers of functional programming languages



Alonzo Church:
 λ -calculus, 1934



John McCarthy
(PhD Princeton 1951)
LISP, 1958



Guy Steele & Gerry Sussman:
Scheme, 1975

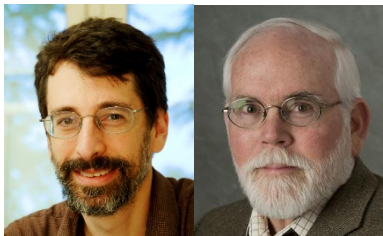
A few designers of functional programming languages



Alonzo Church:
 λ -calculus, 1934



Robin Milner
ML, 1978

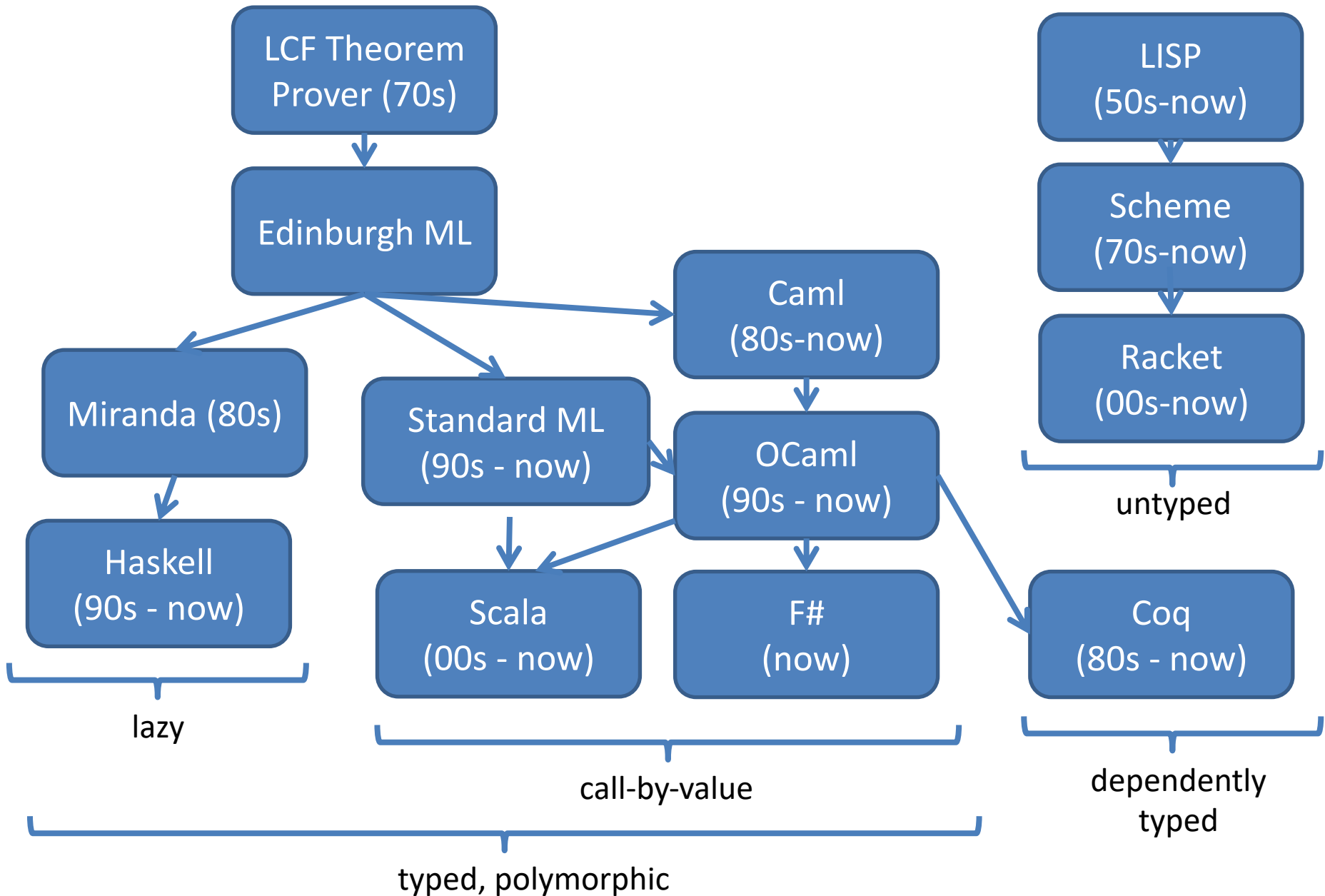


Appel & MacQueen: SML/NJ, 1988

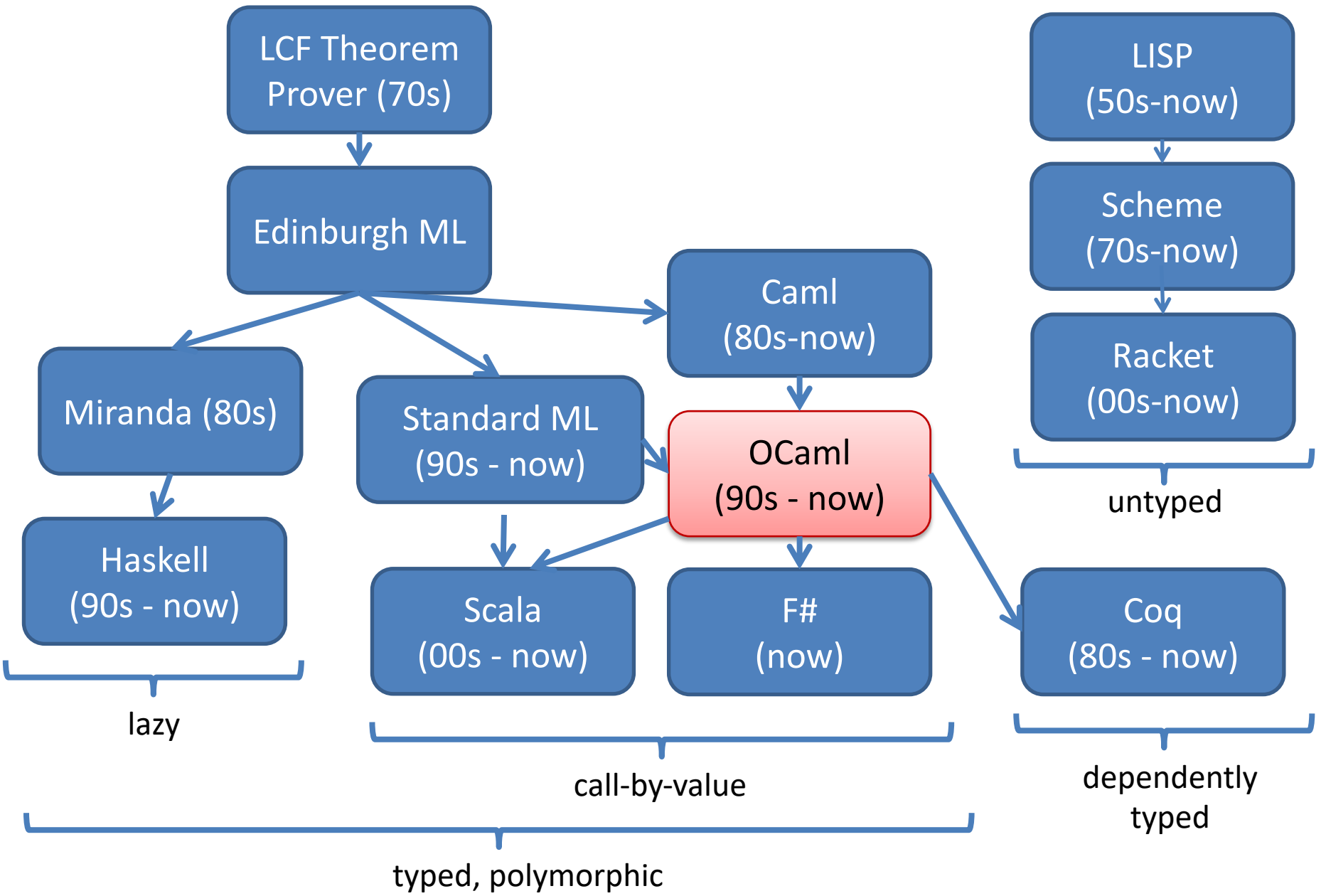


Xavier Leroy: Ocaml, 1990's

Vastly Abbreviated FP Genealogy



Vastly Abbreviated FP Genealogy



But Why Functional Programming *Now*?

- Functional programming will introduce you to new ways to *think about* and *structure* your programs:
 - new reasoning principles
 - new abstractions
 - new design patterns
 - new algorithms
 - elegant code
- Technology trends point to increasing parallelism:
 - multicore, gpu, data center
 - functional programming techniques such as map-reduce provide a plausible way forward for many applications

Functional Languages: Who's using them?



map-reduce in their data centers



Scala for correctness, maintainability, flexibility



Erlang for concurrency, Haskell for managing PHP



F# in Visual Studio



Coq (re)proof of 4-color theorem



Haskell to synthesize hardware



Haskell for specifying equity derivatives



- www.artima.com/scalazine/articles/twitter_on_scala.html
- gregosuri.com/how-facebook-uses-erlang-for-real-time-chat
- www.janestcapital.com/technology/ocaml.php
- msdn.microsoft.com/en-us/fsharp/cc742182
- labs.google.com/papers/mapreduce.html
- www.haskell.org/haskellwiki/Haskell_in_industry

Functional Languages: Join the crowd

- Elements of functional programming are showing up all over
 - **F#** in Microsoft Visual Studio
 - **Scala** combines ML (a functional language) with Objects
 - runs on the JVM
 - **C#** includes “delegates”
 - delegates == functions
 - **Python** includes “lambdas”
 - lambdas == more functions
 - **Javascript**
 - find tutorials online about using functional programming techniques to write more elegant code
 - **C++** libraries for map-reduce
 - enabled functional parallelism at Google
 - **Java** has generics and GC
 - ...

COURSE LOGISTICS

Course Staff

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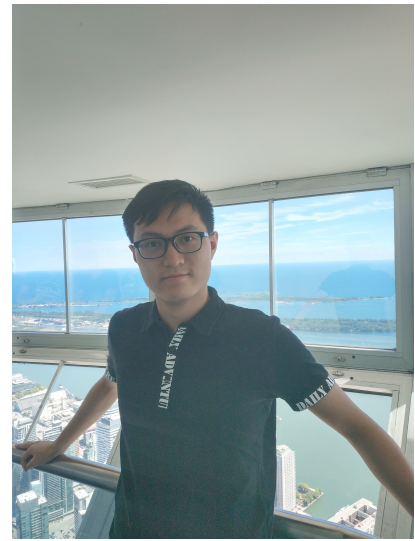
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Andrew Wonnacott
Piazza Guru

Friend 010



Resources

- coursehome:
 - <http://www.cs.princeton.edu/~cos326>
- Lecture schedule and readings:
 - [\\$\(coursehome\)/lectures.php](#)
- Assignments:
 - [\\$\(coursehome\)/assignments.php](#)
- Precepts
 - useful if you want to do well on exams and homeworks
- Install OCaml: [\\$\(coursehome\)/resources.php](#)

Collaboration Policy

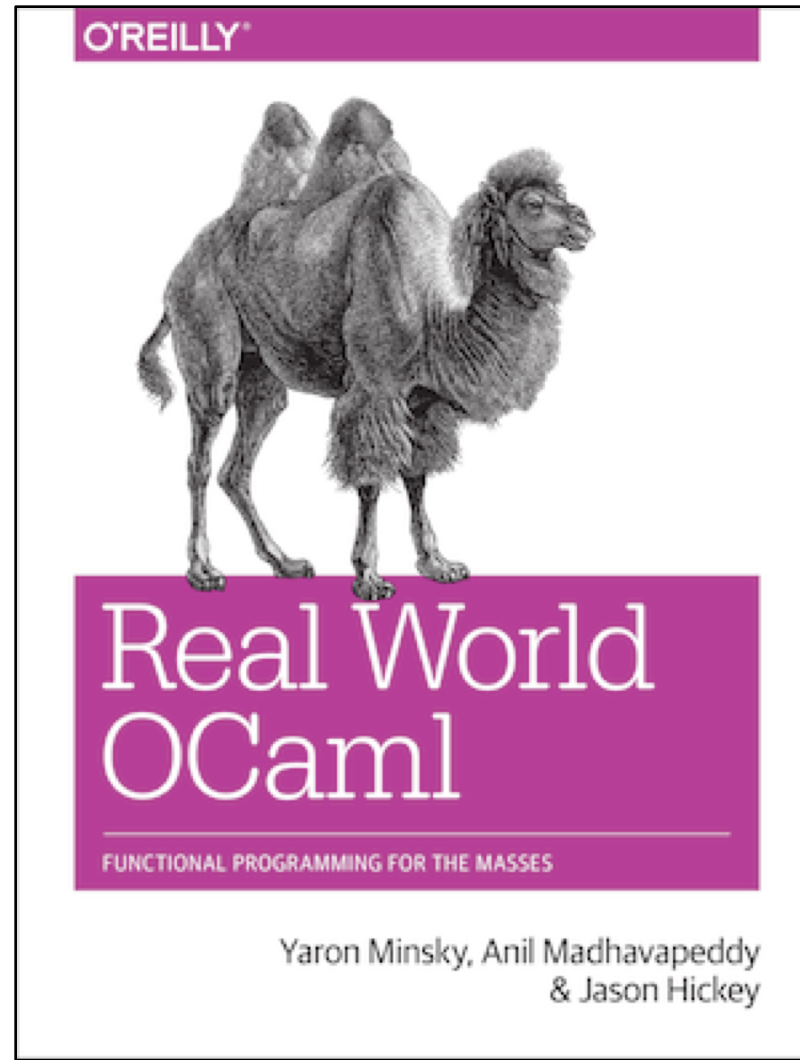
The COS 326 collaboration policy can be found here:

<http://www.cs.princeton.edu/~cos326/info.php#collab>

Read it in full prior to beginning the first assignment.

Please ask questions whenever anything is unclear, at any time during the course.

Course Textbook



<http://realworldocaml.org/>

Exams

Midterm

- take-home during midterm week

Final

- during exam period in January
- make your travel plans accordingly
- I have *no control at all* over when the exam occurs.
Unfortunately, it has often been at the end of exams

Assignment 0

Figure out how to download and install the latest version of OCaml on your machine by the time precept begins tomorrow.
(or, how to use OCaml by ssh to Princeton University servers)

Resources Page:

<http://www.cs.princeton.edu/~cos326/resources.php>

Hint:

ocaml.org

Public Service Announcement

The Pen is Mightier than the Keyboard: Advantages of Longhand Over Laptop Note Taking

Pam Mueller (Princeton University)

Daniel Oppenheimer (UCLA)

Journal of Psychological Science, June 2014, vol 25, no 6

<http://pss.sagepub.com/content/25/6/1159.fullkeytype=ref&siteid=sppss&ijkey=CjRAwmrlURGNw>

<https://www.scientificamerican.com/article/a-learning-secret-don-t-take-notes-with-a-laptop/>

- You learn conceptual topics better by taking notes by hand.
 - We may need this experiment to be replicated a few more times to gain confidence in the result.
- Instagram and Fortnite distract your classmates.

A Functional Introduction

Thinking Functionally

In **Java** or **C**, you get (most) work done by *changing* something

```
temp = pair.x;  
pair.x = pair.y;  
pair.y = temp;
```



commands *modify* or *change* an existing data structure (like pair)

In **ML**, you get (most) work done by *producing something new*

```
let (x,y) = pair in  
(y,x)
```



you *analyze* existing data (like pair) and you *produce* new data (y,x)

This simple switch in perspective can change the way you
think
about programming and problem solving.

Thinking Functionally

pure, functional code:

```
let (x,y) = pair in  
(y,x)
```

- *outputs are everything!*
- *output is function of input*
- *data properties are stable*
- *repeatable*
- *parallelism apparent*
- *easier to test*
- *easier to compose*

imperative code:

```
temp = pair.x;  
pair.x = pair.y;  
pair.y = temp;
```

- *outputs are irrelevant!*
- *output is not function of input*
- *data properties change*
- *unrepeatable*
- *parallelism hidden*
- *harder to test*
- *harder to compose*

Why OCaml?

Small, orthogonal core based on the *lambda calculus*.

- Control is based on (recursive) functions.
- Instead of for-loops, while-loops, do-loops, iterators, etc.
 - can be defined as library functions.
- Makes it easy to define semantics



Supports *first-class, lexically-scoped, higher-order* procedures

- a.k.a. first-class functions or closures or lambdas.
- **first-class**: functions are data values like any other data value
 - like numbers, they can be stored, defined anonymously, ...
- **lexically-scoped**: meaning of variables determined statically.
- **higher-order**: functions as arguments and results
 - programs passed to programs; generated from programs

These features also found in Scheme, Haskell, Scala, F#, Clojure,

Why OCaml?

Statically typed: debugging and testing aid

- compiler catches many silly errors before you can run the code.
 - A type is worth a thousand tests (start at 6:20):
 - <https://www.youtube.com/watch?v=q1Yi-WM7XqQ>
- Java is also strongly, statically typed.
- Scheme, Python, Javascript, etc. are all strongly, *dynamically typed* – type errors are discovered while the code is running.

Strongly typed: compiler enforces type abstraction.

- cannot cast an integer to a record, function, string, etc.
 - so we can utilize *types as capabilities*; crucial for local reasoning
- C/C++ are *weakly-typed* (statically typed) languages. The compiler will happily let you do something smart (*more often stupid*).

Type inference: compiler fills in types for you



Integer Functor Ord Char
 Either Monad
 Bool Enum
 Int [...] Eq
 -> Read
 Num (,_)
 Bounded IO Show
 Integral () IO Show
 Maybe String Ratio Float

I prefer the strong, static type.

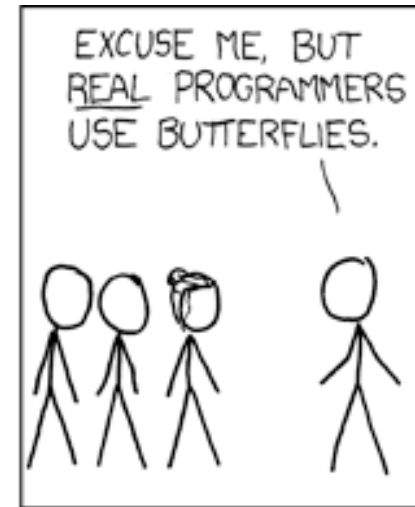
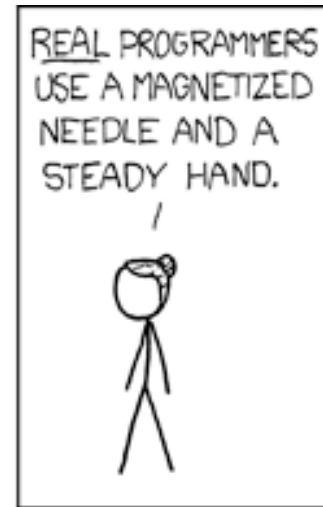
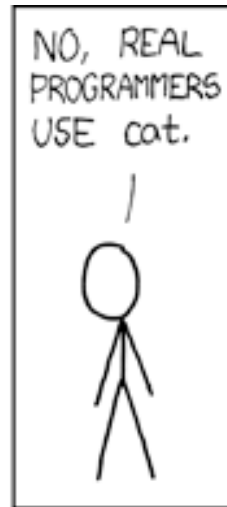
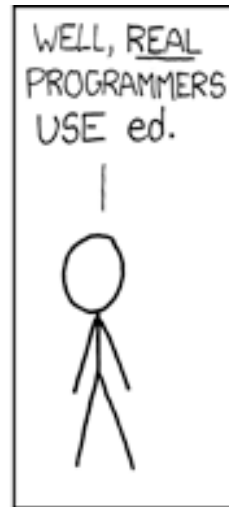
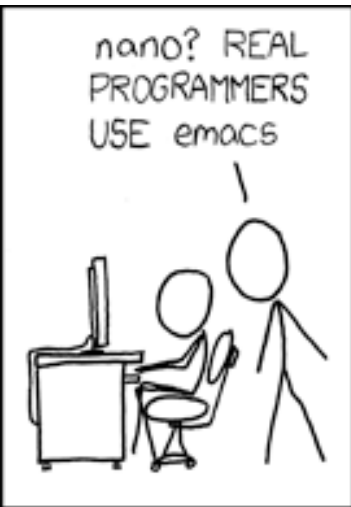
Installing, Running Ocaml

- OCaml comes with compilers:
 - “ocamlc” – fast bytecode compiler
 - “ocamlopt” – optimizing, native code compiler
 - “ocamlbuild” – a nice wrapper that computes dependencies
- And an interactive, top-level shell:
 - occasionally useful for trying something out.
 - “ocaml” at the prompt.
 - *but use the compiler most of the time*
- And many other tools
 - e.g., debugger, dependency generator, profiler, etc.
- See the course web pages for installation pointers
 - also OCaml.org

Editing Ocaml Programs

- Many options: pick your own poison
 - Emacs
 - what I'll be using in class.
 - good but not great support for OCaml.
 - I like it because it's what I'm used to
 - (extensions written in elisp – a functional language!)
 - OCaml IDE
 - integrated development environment written in Ocaml.
 - haven't used it much, so can't comment.
 - Eclipse
 - I've put up a link to an Ocaml plugin
 - I haven't tried it but others recommend it
 - Sublime, atom
 - A lot of students seem to gravitate to this

XKCD on Editors

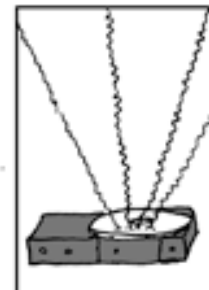
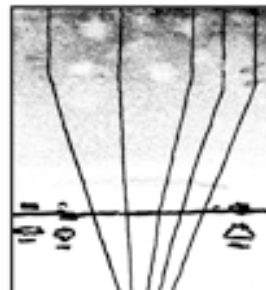


THE DISTURBANCE RIPPLES OUTWARD, CHANGING THE FLOW OF THE EDDY CURRENTS IN THE UPPER ATMOSPHERE.



THESE CAUSE MOMENTARY POCKETS OF HIGHER-PRESSURE AIR TO FORM,

WHICH ACT AS LENSES THAT DEFLECT INCOMING COSMIC RAYS, FOCUSING THEM TO STRIKE THE DRIVE PLATTER AND FLIP THE DESIRED BIT.



AN INTRODUCTORY EXAMPLE (OR TWO)

OCaml Compiler and Interpreter

- Demo:
 - emacs
 - ml files
 - writing simple programs: hello.ml, sum.ml
 - simple debugging and unit tests
 - ocamlc compiler

A First OCaml Program

hello.ml:

```
print_string "Hello COS 326!!\n";;
```

A First OCaml Program

hello.ml:

```
print_string "Hello COS 326!!\n"
```

a function

its string argument
enclosed in "..."

a program
can be nothing
more than
just a single
expression
(but that is
uncommon)

no parens. normally call a function f like this:

```
f arg
```

(parens are used for grouping, precedence
only when necessary)

A First OCaml Program

hello.ml:

```
print_string "Hello COS 326!!\n"
```

compiling and running hello.ml:

```
$ ocamlbuild hello.d.byte  
$ ./hello.d.byte  
Hello COS 326!!  
$
```

.d for debugging
(other choices .p for profiled; or none)

.byte for interpreted bytecode
(other choices .native for machine code)

A Second OCaml Program

sumTo8.ml:

```
(* sum the numbers from 0 to n
   precondition: n must be a natural number
*)
let rec sumTo (n:int) : int =
  match n with
  | 0 -> 0
  | n -> n + sumTo (n-1)

let _ =
  print_int (sumTo 8);
  print_newline()
```

a comment
(* ... *)



A Second OCaml Program

the name of the function being defined

sumTo8.ml:

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```

the keyword "let" begins a definition; keyword "rec" indicates recursion

A Second OCaml Program

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```

result type int

argument
named n
with type int

A Second OCaml Program

deconstruct the value `n`
using pattern matching

sumTo8.ml:

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```

data to be
deconstructed
appears
between
key words
“match” and
“with”

A Second OCaml Program

vertical bar "|" separates the alternative patterns

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  | n -> n + sumTo (n-1)

let _ =
  print_int (sumTo 8);
  print_newline();
  _
```

deconstructed data matches one of 2 cases:

(i) the data matches the pattern 0, or (ii) the data matches the variable pattern n

A Second OCaml Program

Each branch of the match statement constructs a result

sumTo8.ml:

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```

construct
the result 0

construct
a result
using a
recursive
call to sumTo

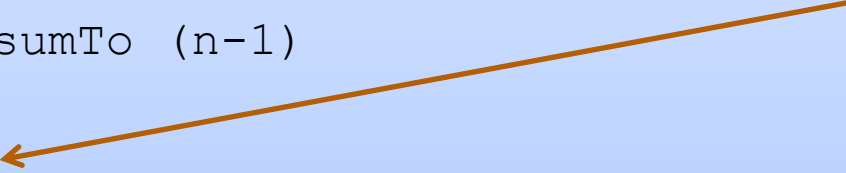
A Second OCaml Program

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let _ =
  print_int (sumTo 8);
  print_newline()
```

print the
result of
calling
sumTo on 8



print a
new line



OCAML BASICS: EXPRESSIONS, VALUES, SIMPLE TYPES

Terminology: Expressions, Values, Types

Expressions are computations

- 2 + 3 is a computation

Values (a subset of the expressions) are the results of computations

- 5 is a value

Types describe collections of values and the computations that generate those values

- int is a type
- values of type int include
 - 0, 1, 2, 3, ..., max_int
 - -1, -2, ..., min_int

Some simple types, values, expressions

<u>Type:</u>	<u>Values:</u>	<u>Expressions:</u>
int	-2, 0, 42	42 * (13 + 1)
float	3.14, -1., 2e12	(3.14 +. 12.0) *. 10e6
char	'a', 'b', '&'	int_of_char 'a'
string	"moo", "cow"	"moo" ^ "cow"
bool	true, false	if true then 3 else 4
unit	()	print_int 3

For more primitive types and functions over them,
see the OCaml Reference Manual here:


<http://caml.inria.fr/pub/docs/manual-ocaml/libref/Pervasives.html>

Evaluation

$$42 * (13 + 1)$$

Evaluation

$42 * (13 + 1) \text{ -->* } 588$




Read like this: “the expression $42 * (13 + 1)$ **evaluates to** the value 588”

The “*****” is there to say that it does so in 0 or more small steps

Evaluation

42 * (13 + 1) --> * 588



Read like this: “the expression 42 * (13 + 1) **evaluates to** the value 588”

The “*” is there to say that it does so in 0 or more small steps

Here I’m telling you how to execute an OCaml expression --- ie, I’m telling you something about the *operational semantics* of OCaml

More on semantics later.

Evaluation

<code>42 * (13 + 1)</code>	<code>-->*</code>	<code>588</code>
<code>(3.14 +. 12.0) *. 10e6</code>	<code>-->*</code>	<code>151400000.</code>
<code>int_of_char 'a'</code>	<code>-->*</code>	<code>97</code>
<code>"moo" ^ "cow"</code>	<code>-->*</code>	<code>"moocow"</code>
<code>if true then 3 else 4</code>	<code>-->*</code>	<code>3</code>
<code>print_int 3</code>	<code>-->*</code>	<code>()</code>

Evaluation

1 + "hello" -->* ???

Evaluation

1 + "hello" -->* ???

“+” processes integers
“hello” is not an integer
evaluation is undefined!

Don't worry! This expression doesn't type check.

Aside: See this talk on Javascript:
<https://www.destroyallsoftware.com/talks/wat>

OCAML BASICS: CORE EXPRESSION SYNTAX

Core Expression Syntax

The simplest OCaml expressions e are:

- values *numbers, strings, bools, ...*
- id *variables (x, foo, ...)*
- e_1 op e_2 *operators (x+3, ...)*
- id e_1 e_2 ... e_n *function call (foo 3 42)*
- **let** id = e_1 **in** e_2 *local variable decl.*
- **if** e_1 **then** e_2 **else** e_3 *a conditional*
- (e) *a parenthesized expression*
- (e : t) *an expression with its type*

A note on parentheses

In most languages, arguments are parenthesized & separated by commas:

```
f(x, y, z)      sum(3, 4, 5)
```

In OCaml, we don't write the parentheses or the commas:

```
f x y z      sum 3 4 5
```

But we do have to worry about *grouping*. For example,

```
f x y z
f x (y z)
```

The first one passes three arguments to f (x, y, and z)

The second passes two arguments to f (x, and the result of applying the function y to z.)

OCAML BASICS: TYPE CHECKING

Type Checking

Every value has a type and so does every expression

This is a concept that is familiar from Java but it becomes more important when programming in a functional language

We write ($e : t$) to say that *expression e has type t*. eg:

2 : int

"hello" : string

2 + 2 : int

"I say " ^ "hello" : string

Type Checking Rules

There are a set of **simple rules** that govern type checking

- programs that do not follow the rules will not type check and O’Caml will refuse to compile them for you (the nerve!)
- at first you may find this to be a pain ...

But types are a great thing:

- help us *think* about *how to construct* our programs
- help us *find stupid programming errors*
- help us track down errors quickly when we *edit our code*
- allow us to *enforce powerful invariants* about data structures

Type Checking Rules

Example rules:

- (1) `0 : int` (and similarly for any other integer constant `n`)
- (2) `"abc" : string` (and similarly for any other string constant `"..."`)

Type Checking Rules

Example rules:

- (1) $0 : \text{int}$ (and similarly for any other integer constant n)
- (2) $"\text{abc}" : \text{string}$ (and similarly for any other string constant "...")
- (3) if $e1 : \text{int}$ and $e2 : \text{int}$
then $e1 + e2 : \text{int}$
- (4) if $e1 : \text{int}$ and $e2 : \text{int}$
then $e1 * e2 : \text{int}$

Type Checking Rules

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- (5) if $e1 : \text{string}$ and $e2 : \text{string}$
then $e1 \wedge e2 : \text{string}$
- (6) if $e : \text{int}$
then $\text{string_of_int } e : \text{string}$

Type Checking Rules

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Using the rules:

$2 : \text{int}$ and $3 : \text{int}$. (By rule 1)

Type Checking Rules

Example rules:

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Using the rules:

$2 : \text{int}$ and $3 : \text{int}$. (By rule 1)
Therefore, $(2 + 3) : \text{int}$ (By rule 3)

Type Checking Rules

Example rules:

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Using the rules:

$2 : \text{int}$ and $3 : \text{int}$. (By rule 1)
Therefore, $(2 + 3) : \text{int}$ (By rule 3)
 $5 : \text{int}$ (By rule 1)

Type Checking Rules

Example rules:

- (1) $0 : \text{int}$ (and similarly for any other integer constant n)
- (2) $"\text{abc}" : \text{string}$ (and similarly for any other string constant s)
- (3) if $e_1 : \text{int}$ and $e_2 : \text{int}$
then $e_1 + e_2 : \text{int}$
- (5) if $e_1 : \text{string}$ and $e_2 : \text{string}$
then $e_1 \wedge e_2 : \text{string}$

FYI: This is a *formal proof*
that the expression is well-
typed!

Using the rules:

- $2 : \text{int}$ and $3 : \text{int}$. (By rule 1)
- Therefore, $(2 + 3) : \text{int}$ (By rule 3)
- $5 : \text{int}$ (By rule 1)
- Therefore, $(2 + 3) * 5 : \text{int}$ (By rule 4 and our previous work)

Type Checking Rules

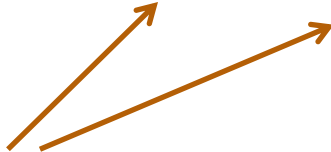
Example rules:

- (1) `0 : int` (and similarly for any other integer constant `n`)
- (2) `"abc" : string` (and similarly for any other string constant `"..."`)
- (3) if `e1 : int` and `e2 : int`
then `e1 + e2 : int`
- (4) if `e1 : int` and `e2 : int`
then `e1 * e2 : int`
- (5) if `e1 : string` and `e2 : string`
then `e1 ^ e2 : string`
- (6) if `e : int`
then `string_of_int e : string`

Another perspective:

rule (4) for typing expressions
says I can put any expression
with type `int` in place of the `????`

`???? * ???? : int`



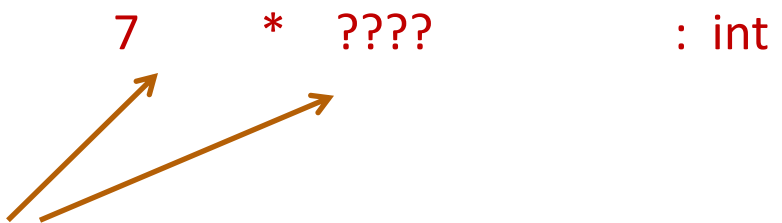
Type Checking Rules

Example rules:

- (1) $0 : \text{int}$ (and similarly for any other integer constant n)
- (2) $"\text{abc}" : \text{string}$ (and similarly for any other string constant "...")
- (3) if $e1 : \text{int}$ and $e2 : \text{int}$
then $e1 + e2 : \text{int}$
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then $e1 * e2 : \text{int}$
- (5) if $e1 : \text{string}$ and $e2 : \text{string}$
then $e1 \wedge e2 : \text{string}$
- (6) if $e : \text{int}$
then $\text{string_of_int } e : \text{string}$

Another perspective:

rule (4) for typing expressions
says I can put any expression
with type int in place of the $????$



The diagram shows the expression $7 * ????$ followed by $: \text{int}$. Two orange arrows originate from the text 'any expression with type int in place of the ????' and point to the 7 and the $????$ in the expression, illustrating that both can be replaced by an expression of type int .

Type Checking Rules

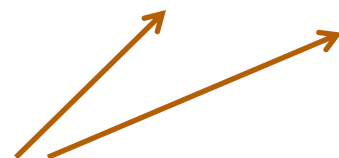
Example rules:

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Another perspective:

rule (4) for typing expressions
says I can put any expression
with type int in place of the ????

$7 * (\text{add_one } 17) : \text{int}$



Type Checking Rules

You can always start up the OCaml interpreter to find out a type of a simple expression:

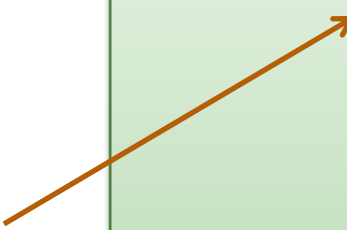
```
$ ocaml
      Objective Caml Version 3.12.0
#
```

Type Checking Rules

You can always start up the OCaml interpreter to find out a type of a simple expression:

```
$ ocaml
      Objective Caml Version 3.12.0
# 3 + 1;;
```

use “;;”
to end
a phrase
in the
top level



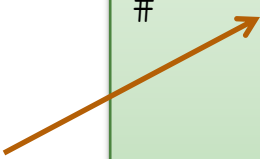
(“;;” can also end a top-level phrase in a file, but I’m going to avoid using it there because then some of you will confuse it with a “;”)

Type Checking Rules

You can always start up the OCaml interpreter to find out a type of a simple expression:

```
$ ocaml
      Objective Caml Version 3.12.0
# 3 + 1;;
- : int = 4
#
```

press
return
and you
find out
the type
and the
value

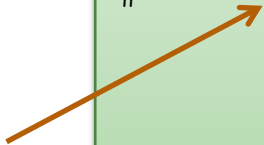


Type Checking Rules

- You can always start up the OCaml interpreter to find out a type of a simple expression:

```
$ ocaml
      Objective Caml Version 3.12.0
# 3 + 1;;
- : int = 4
# "hello " ^ "world";;
- : string = "hello world"
#
```

press
return
and you
find out
the type
and the
value



Type Checking Rules

- You can always start up the OCaml interpreter to find out a type of a simple expression:

```
$ ocaml
      Objective Caml Version 3.12.0
# 3 + 1;;
- : int = 4
# "hello " ^ "world";;
- : string = "hello world"
# #quit;;
$
```

Type Checking Rules

Example rules:

- (1) `0 : int` (and similarly for any other integer constant `n`)
- (2) `"abc" : string` (and similarly for any other string constant "...")
- (3) if `e1 : int` and `e2 : int`
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then `e1 ^ e2 : string`
- (6) if `e : int`
then `string_of_int e : string`

Violating the rules:

<code>"hello" : string</code>	(By rule 2)
<code>1 : int</code>	(By rule 1)
<code>1 + "hello" : ??</code>	(NO TYPE! Rule 3 does not apply!)

Type Checking Rules

Violating the rules:

```
# "hello" + 1;;
```

```
Error: This expression has type string but an  
expression was expected of type int
```

The type error message tells you the type that was **expected** and the type that it **inferred** for your subexpression

By the way, this was one of the nonsensical expressions that did not evaluate to a value

It is a **good thing** that this expression does not type check!

“Well typed programs do not go wrong”

Robin Milner, 1978

Type Checking Rules

Violating the rules:

```
# "hello" + 1;;
```

```
Error: This expression has type string but an  
expression was expected of type int
```

A possible fix:

```
# "hello" ^ (string_of_int 1);;  
- : string = "hello1"
```

One of the keys to becoming a good ML programmer is to understand type error messages.

Type Checking Rules

What about this expression:

```
# 3 / 0 ;;  
Exception: Division_by_zero.
```

Why doesn't the ML type checker do us the favor of telling us the expression will raise an exception?

Type Checking Rules

What about this expression:

```
# 3 / 0 ;;  
Exception: Division_by_zero.
```

Why doesn't the ML type checker do us the favor of telling us the expression will raise an exception?

- In general, detecting a divide-by-zero error requires we know that the divisor evaluates to 0.
- In general, deciding whether the divisor evaluates to 0 requires solving the halting problem:

```
# 3 / (if turing_machine_halts m then 0 else 1);;
```

There are type systems that will rule out divide-by-zero errors, but they require programmers supply proofs to the type checker

Isn't that cheating?

“Well typed programs do not go wrong”

Robin Milner, 1978

(3 / 0) is well typed. Does it “go wrong?” Answer: No.

“Go wrong” is a technical term meaning, “**have no defined semantics.**” Raising an exception is perfectly well defined semantics, which we can reason about, which we can handle in ML with an exception handler.

So, it's not cheating.

(Discussion: why do we make this distinction, anyway?)

Type Soundness

“Well typed programs do not go wrong”

Programming languages with this property have *sound* type systems. They are called *safe* languages.

Safe languages are generally *immune* to buffer overrun vulnerabilities, uninitialized pointer vulnerabilities, etc., etc.
(but not immune to all bugs!)

Safe languages: ML, Java, Python, ...

Unsafe languages: C, C++, Pascal

Well typed programs do not go wrong



Robin Milner

Turing Award, 1991

“For three distinct and complete achievements:

1. **LCF**, the mechanization of Scott's Logic of Computable Functions, probably the first theoretically based yet practical tool for machine assisted proof construction;
2. **ML**, the first language to include polymorphic type inference together with a type-safe exception-handling mechanism;
3. **CCS**, a general theory of concurrency.

In addition, he formulated and strongly advanced full abstraction, the study of the relationship between operational and denotational semantics.”

“Well typed programs do not go wrong”

Robin Milner, 1978

**OVERALL SUMMARY:
A SHORT INTRODUCTION TO
FUNCTIONAL PROGRAMMING**

OCaml

OCaml is a *functional* programming language

- Java gets most work done by *modifying* data
- OCaml gets most work done by producing *new, immutable* data

OCaml is a *typed* programming language

- the *type* of an expression *correctly predicts* the kind of *value* the expression will generate when it is executed
- types help us *understand* and *write* our programs
- the type system is *sound*; the language is *safe*