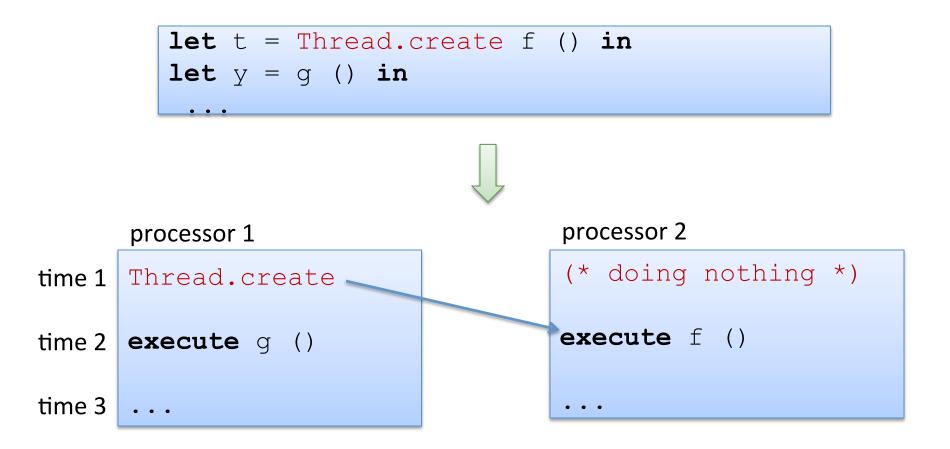
Parallelism 2

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Last Time: Threads!

A thread: an abstraction of a processor



THREADS & COORDINATION

Coordination

```
Thread.create : ('a -> 'b) -> 'a -> Thread.t
let t = Thread.create f () in
let y = g () in
....
```

How do we get back the result that t is computing?

First Attempt

```
let r = ref None
let t = Thread.create (fun _ -> r := Some(f ())) in
let y = g() in
match !r with
        | Some v -> (* compute with v and y *)
        | None -> ???
```

What's wrong with this?

Second Attempt

```
let r = ref None
let t = Thread.create (fun -> r := Some(f ())) in
let y = q() in
let rec wait() =
 match !r with
    | Some v -> v
    | None -> wait()
in
let v = wait() in
  (* compute with v and y *)
```

Two Problems

```
let r = ref None
let t = Thread.create (fun -> r := Some(f ())) in
let y = g() in
let rec wait() =
 match !r with
    | Some v -> v
     None -> wait()
in
let v = wait() in
  (* compute with v and v *)
```

First, we are *busy-waiting*.

- consuming cpu without doing something useful.
- the processor could be either running a useful thread/program or power down.

Two Problems

```
let r = ref None
let t = Thread.create (fun -> r := Some(f ())) in
let y = q() in
let rec wait() =
 match !r with
    | Some v -> v
    | None -> wait()
in
let v = wait() in
  (* compute with v and v *)
```

Second, an operation like r := Some v may not be *atomic*.

- **r** := Some **v** requires us to copy the bytes of Some **v** into the ref **r**
- we might see part of the bytes (corresponding to Some) before we've written in the other parts (e.g., v).
- So the waiter might see the wrong value.

An Aside: Atomicity

Consider the following:

let inc(r:int ref) = r := (!r) + 1

and suppose two threads are incrementing the same ref r:

Thread 1Thread 2inc(r);inc(r);!r!r

If r initially holds 0, then what will Thread 1 see when it reads r?

The problem is that we can't see exactly what instructions the compiler might produce to execute the code.

It might look like this:

Thread 1 Thread 2			
R1 := load(p);	R1 := load(p);		
R1 := R1 + 1;	R1 := R1 + 1;		
store R1 into r	store R1 into p		
R1 := load(p)	R1 := load(p)		

But a clever compiler might optimize this to:

Thread 1

Thread 2

- R1 := load(p);
- R1 := R1 + 1;

R1 := load(r)

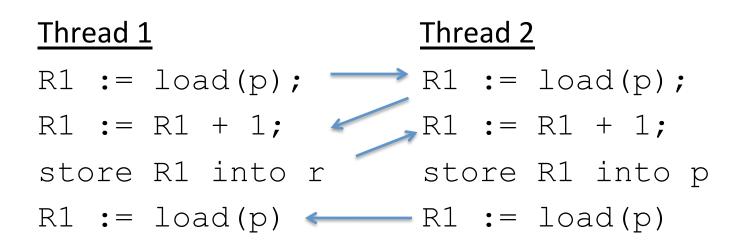
- R1 := load(p);
- R1 := R1 + 1;
- store R1 into p store R1 into p
 - R1 := load(r)

Furthermore, we don't know when the OS might interrupt one thread and run the other.

Thread 1 Thread 2			
R1 := load(p);	R1 := load(p);		
R1 := R1 + 1;	R1 := R1 + 1;		
store R1 into p	store R1 into p		
R1 := load(r)	R1 := load(p)		

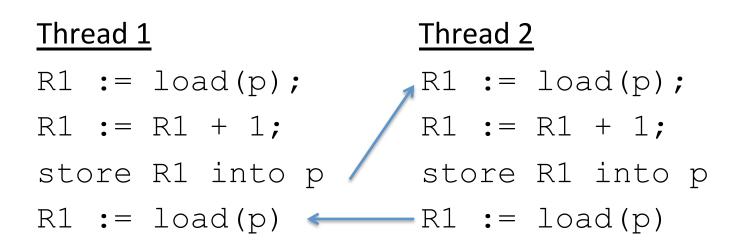
(The situation is similar, but not quite the same on multiprocessor systems.)

One possible interleaving of the instructions:



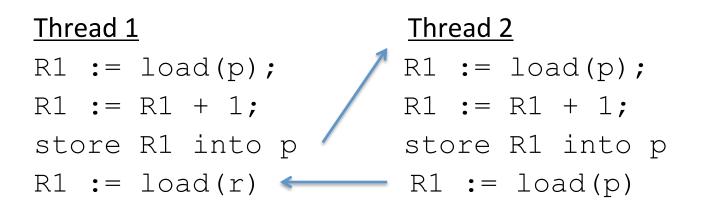
What answer do we get?

Another possible interleaving:



What answer do we get this time?

Another possible interleaving:



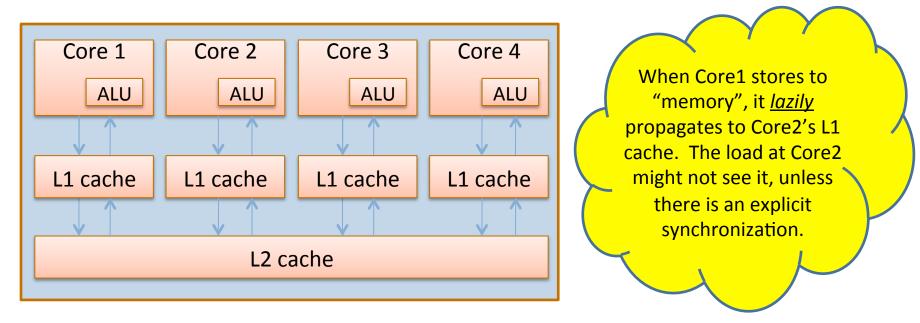
What answer do we get this time?

Moral: The system is responsible for *scheduling* execution of instructions.

Moral: This can lead to an enormous degree of *nondeterminism*.

Even Worse ...

In fact, today's multicore processors don't treat memory in a *sequentially consistent* fashion. That means that *we can't even assume that what we will see corresponds to some interleaving of the threads' instructions!*



Beyond the scope of this class! But the take-away is this: It's not a good idea to use ordinary loads/stores to synchronize threads; you should use explicit synchronization primitives so the hardware and optimizing compiler don't optimize them away.

Even Worse ...

In fact, today's multicore processors don't treat memory in a *sequentially consistent* fashion. That means that we can't even assume that what we will see corresponds to some interleaving of the threads' instructions!

<u>Thread 1</u>	<u>Thread 2</u>
R1 := load(r);	R1 := load(r);
R1 := R1 + 1;	R1 := R1 + 1;
store R1 into r	store R1 into r
R1 := load(r)	R1 := load(r)

Beyond the scope of this class! But the take-away is this: It's not a good idea to use ordinary loads/stores to synchronize threads; you should use explicit synchronization primitives so the hardware and optimizing compiler don't optimize them away.

The Happens Before Relation

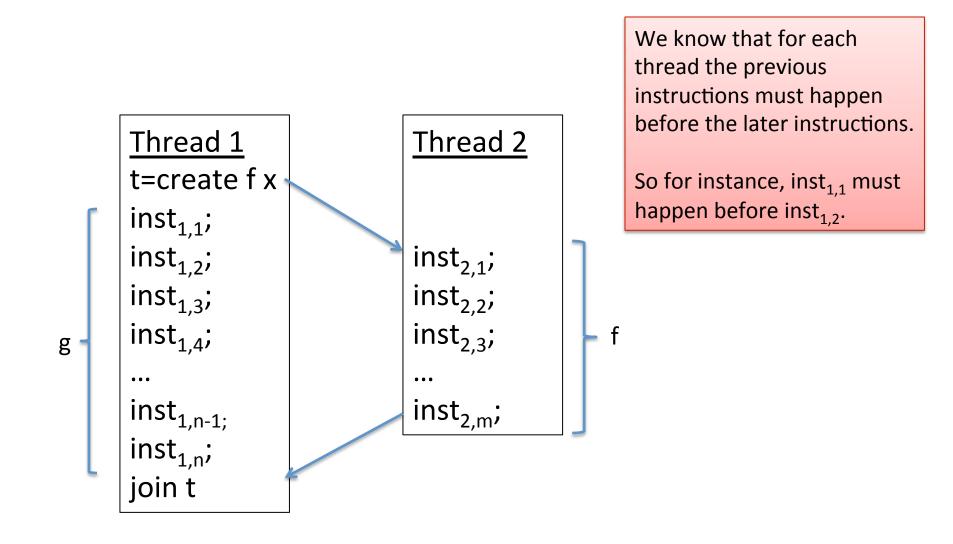
We assume OCaml obeys a particular *Happens Before* relation:

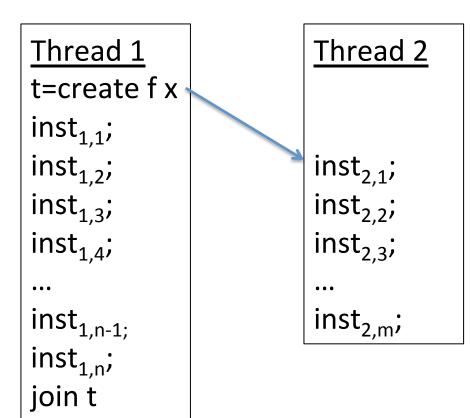
<u>Rule 1</u>: Given two expressions (or instructions) in sequence: e1; e2 we know that *e1 happens before e2*.

Rule 2: Given a program:

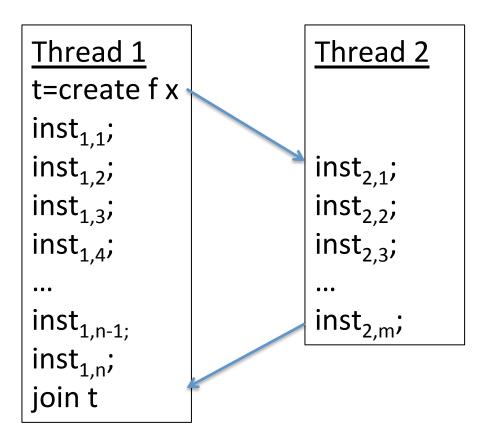
```
let t = Thread.create f x in
....
Thread.join t;
e
```

we know that (f x) happens before e.



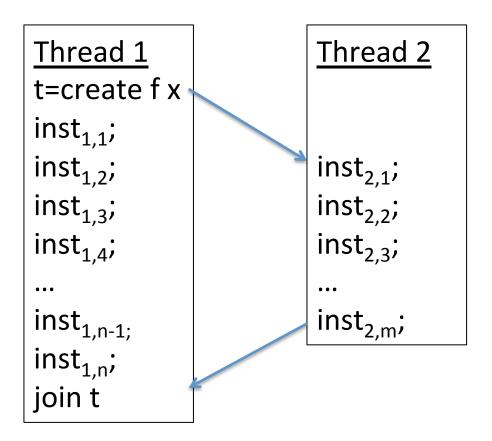


We also know that the fork must happen before the first instruction of the second thread.



We also know that the fork must happen before the first instruction of the second thread.

And thanks to the join, we know that all of the instructions of the second thread must be completed before the join finishes.



However, in general, we do not know whether $inst_{1,i}$ executes before or after $inst_{2,i}$.

In general, synchronization instructions like fork and join reduce the number of possible interleavings.

Synchronization cuts down nondeterminism.

In the absence of synchronization we don't know anything...

Another approach to the coordination Problem

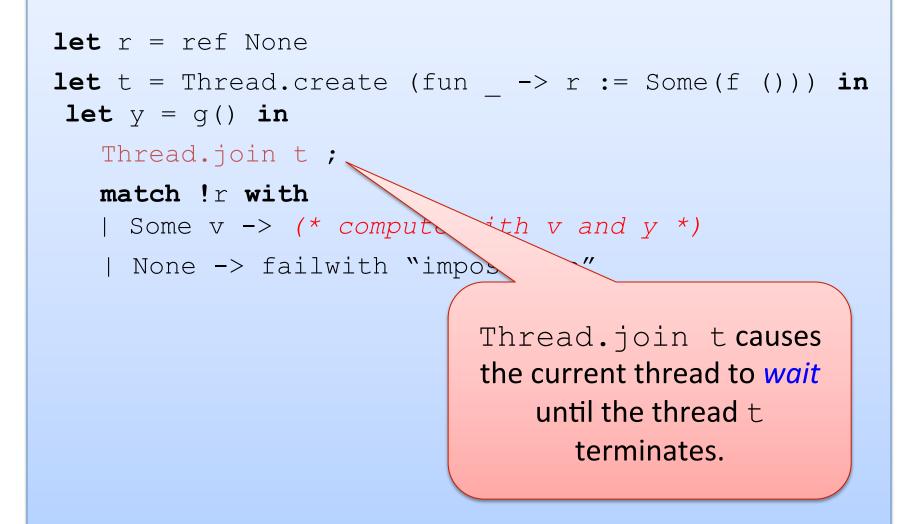
```
Thread.create : ('a -> 'b) -> 'a -> Thread.t
let t = Thread.create f () in
let y = g () in
....
```

How do we get back the result that t is computing?

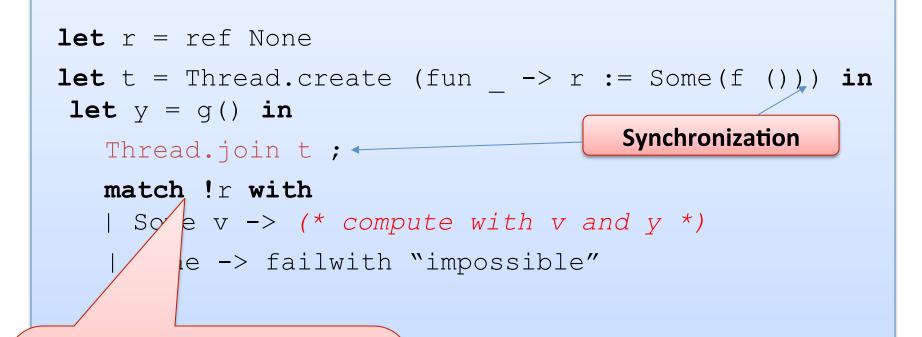
One Solution (using join)

```
let r = ref None
let r = Thread.create (fun _ -> r := Some(f ())) in
let y = g() in
Thread.join t ;
match !r with
| Some v -> (* compute with v and y *)
| None -> failwith "impossible"
```

One Solution (using join)



One Solution (using join)



So after the join, we know that any of the operations of t have *completed*.

FUTURES: A PARALLEL PROGRAMMING ABSTRACTION

Futures

The fork-join pattern we just saw is so common, we'll create an abstraction for it:

```
module type FUTURE =
siq
  type 'a future
  (* future f x forks a thread to run f(x))
     and stores the result in a future when complete *)
  val future : ('a->'b) -> 'a -> 'b future
  (* force f causes us to wait until the
     thread computing the future value is done
     and then returns its value. *)
  val force : 'a future -> 'a
end
```

Does that interface looks familiar ?

Future Implementation

```
module Future : FUTURE =
struct
type `a future = {tid : Thread.t ;
value : 'a option ref }
```

Future Implementation

Future Implementation

```
module Future : FUTURE =
struct
  type `a future = {tid : Thread.t ;
                     value : 'a option ref }
  let future(f: 'a-> 'b) (x: 'a) : 'b future =
    let r = ref None in
    let t = Thread.create (fun () \rightarrow r := Some(f x)) ()
    in
    {tid=t ; value=r}
  let force (f: 'a future) : 'a =
    Thread.join f.tid ;
    match !(f.value) with
    | Some v -> v
    | None -> failwith "impossible!"
```

end

Now using Futures

```
let x = future f () in
let y = g () in
let v = force x in
(* compute with v and y *)
```

Back to the Futures

```
module type FUTURE =
sig
type `a future
val future : ('a->'b) -> 'a -> `b future
val force :'a future -> `a
end
```

val	f	:	unit	->	int
val	g	:	unit	->	int

with futures library:

```
let x = future f () in
let y = g () in
let v = force x in
y + v
```

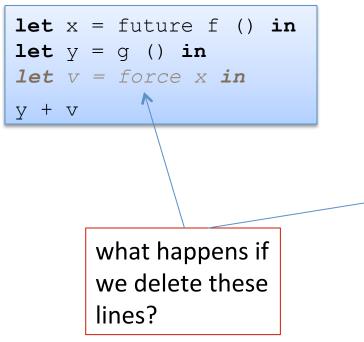
without futures library:

Back to the Futures

```
module type FUTURE =
sig
type 'a future
val future : ('a->'b) -> 'a -> 'b future
val force :'a future -> 'a
end
```

val	f	:	unit	->	int
val	g	:	unit	->	int

with futures library:



without futures library:

Back to the Futures

```
module type FUTURE =
sig
type `a future
val future : ('a->'b) -> 'a -> `b future
val force :'a future -> `a
end
```

val	f	:	unit	->	int
val	g	:	unit	->	int

with futures library:

```
let x = future f () in
let y = g () in
let v = force x in
y + x
what happens if
we use x and
forget to force?
```

without futures library:

```
let r = ref None
let t = Thread.create
        (fun _ -> r := Some(f ()))
        ()
in
let y = g() in
Thread.join t ;
match !r with
     Some v -> y + v
     | None -> failwith "impossible"
```

Back to the Futures

```
module type FUTURE =
sig
type `a future
val future : ('a->'b) -> 'a -> `b future
val force :'a future -> `a
end
```

val	f	:	unit	->	int
val	g	:	unit	->	int

with futures library:

```
let x = future f () in
let y = g () in
let v = force x in
y + x
```

Moral: Futures + typing ensure entire categories of errors can't happen -- you protect yourself from your own stupidity

without futures library:

Back to the Futures

```
module type FUTURE =
sig
type `a future
val future : ('a->'b) -> 'a -> `b future
val force :'a future -> `a
end
```

val	f	:	unit	->	int
val	g	:	unit	->	int

with futures library:

let x = future f () in
let v = force x in
let y = g () in
y + x
what happens if you
relocate force, join?

without futures library:

```
let r = ref None
let t = Thread.create
        (fun _ -> r := Some(f ()))
        ()
in
Thread.join t ;
let y = g() in
match !r with
     Some v -> y + v
     | None -> failwith "impossible"
```

Back to the Futures

```
module type FUTURE =
sig
type `a future
val future : ('a->'b) -> 'a -> `b future
val force :'a future -> `a
end
```

val	f	:	unit	->	int
val	g	:	unit	->	int

with futures library:

let x = future f () in
let v = force x in
let y = g () in
y + x

Moral: Futures are not a universal savior

without futures library:

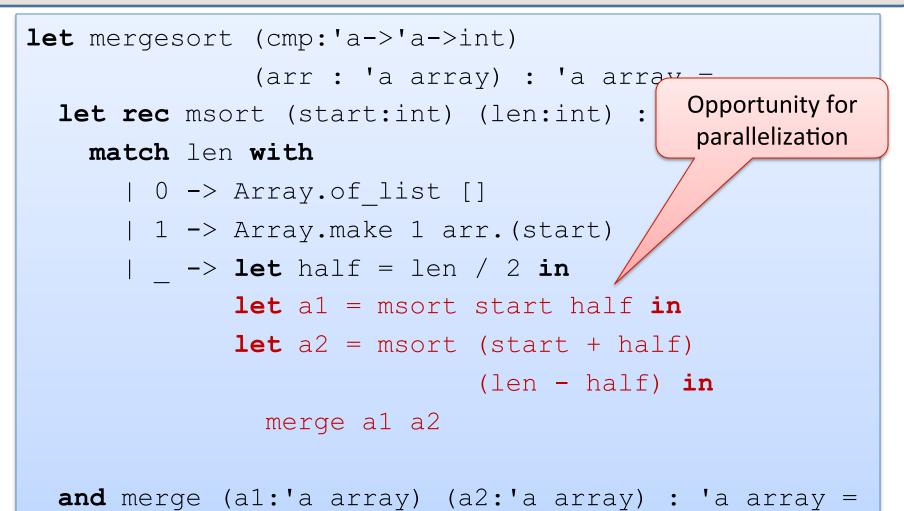
An Example: Mergesort on Arrays

```
let mergesort (cmp:'a->'a->int)
              (arr : 'a array) : 'a array =
 let rec msort (start:int) (len:int) : 'a array =
   match len with
      | 0 -> Array.of list []
      | 1 -> Array.make 1 arr.(start)
      -> let half = len / 2 in
             let a1 = msort start half in
             let a2 = msort (start + half)
                            (len - half) in
               merge al a2
```

and merge (al:'a array) (a2:'a array) : 'a array =

• • •

An Example: Mergesort on Arrays



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Making Mergesort Parallel

```
let mergesort (cmp:'a->'a->int)
              (arr : 'a array) : 'a array =
  let rec msort (start:int) (len:int) : 'a array =
   match len with
      | 0 ->  Array.of list []
      | 1 -> Array.make 1 arr.(start)
      -> let half = len / 2 in
             let a1 f =
               Future.future (msort start) half in
             let a2 =
               msort (start + half) (len - half) in
             merge (Future.force al f) a2
```

and merge (al:'a array) (a2:'a array) : 'a array =

Divide-and-Conquer

This is an instance of a basic *divide-and-conquer* pattern in parallel programming

- take the problem to be solved and divide it in half
- fork a thread to solve the first half
- simultaneously solve the second half
- synchronize with the thread we forked to get its results
- combine the two solution halves into a solution for the whole problem.

Warning: the fact that we only had to rewrite 2 lines of code for mergesort made the parallelization transformation look deceptively easy

 we also had to verify that any two threads did not touch overlapping portions of the array -- if they did we would have to again worry about scheduling nondeterminism

Caveats

There is some overhead for creating a thread.

- On uniprocessor, parallel code *slower* than sequential code.

Even on a multiprocessor, we do not always want to fork.

- when the subarray is small, faster to sort it sequentially than to fork
 - similar to using insertion sort when arrays are small vs. quicksort
- this is known as a granularity problem
 - more parallelism than we can effectively take advantage of.

Caveats

In a good implementation of futures, a compiler and run-time system might look to see whether the cost of doing the fork is justified by the amount of work that will be done. Today, it's up to you to figure this out... ③

- typically, use parallel divide-and-conquer until:
 - (a) we have generated *at least* as many threads as there are processors
 - often *more threads* than processors because different jobs take different amounts of time to complete and we would like to keep all processors busy
 - (b) the sub-arrays have gotten small enough that it's not worth forking.

We're not going to worry about these performance-tuning details too much but rather focus on the distinctions between *parallel* and *sequential algorithms*.

Another Example

```
type 'a tree = Leaf | Node of 'a node
and 'a node = {left : 'a tree ;
              value : 'a ;
               right : 'a tree }
let rec fold (f: 'a -> 'b -> 'b -> 'b) (u: 'b)
             (t:'a tree) : 'b =
 match t with
  | Leaf -> u
  | Node n ->
    f n.value (fold f u n.left) (fold f u n.right)
let sum (t:int tree) = fold (+) 0 t
```

Another Example

```
type 'a tree = Leaf | Node of 'a node
and 'a node = {left : 'a tree ;
              value : 'a ;
               right : 'a tree }
let rec pfold (f:'a -> 'b -> 'b -> 'b) (u:'b)
              (t:'a tree) : 'b =
 match t with
  | Leaf -> u
  | Node n ->
     let l f = Future.future (pfold f u) n.left in
     let r = pfold f u n.right in
     f n.value (Future.force 1 f) r
let sum (t:int tree) = pfold (+) 0 t
```

Note

If the tree is unbalanced, then we're not going to get the same speedup as if it's balanced.

Consider the degenerate case of a list.

- The forked child will terminate without doing any useful work.
- So the parent is going to have to do all that work.
- Pure overhead... 😕

In general, lists are a horrible data structure for parallelism.

- we can't cut the list in half in constant time
- for arrays and trees, we can do that (assuming the tree is balanced.)

Side Effects?

```
type 'a tree = Leaf | Node of 'a node
and 'a node = { left : 'a tree ;
                value: 'a ;
                right : 'a tree }
let rec pfold (f:'a -> 'b -> 'b -> 'b) (u:'b)
              (t:'a tree) : 'b =
 match t with
  | Leaf -> u
  | Node n ->
    let l f = Future.future (pfold f u) n.left in
     let r = pfold f u n.right in
     f n.value (Future.force 1 f) r
let print (t:int tree) =
 pfold (fun n \rightarrow Printf.print "%d\n" n) ()
```

Huge Point

If code is purely functional, then it never matters in what order it is run. If f () and g () are pure then all of the following are equivalent:

Huge Point

If code is purely functional, then it never matters in what order it is run. If f () and g () are pure then all of the following are equivalent:

	f() g()	
-	g () f ()	

As soon as we introduce *side-effects*, the order starts to matter.

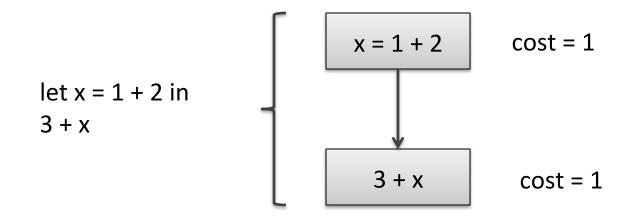
- This is why, IMHO, *imperative* languages where even the simplest of program phrases involves a side effect, are doomed.
- Of course, we've been saying this for 30 years!
- See J. Backus's Turing Award lecture, "Can Programming be Liberated from the von Neumann Style? A Functional Style and Its Algebra of Programs." http://www.cs.cmu.edu/~crary/819-f09/Backus78.pdf

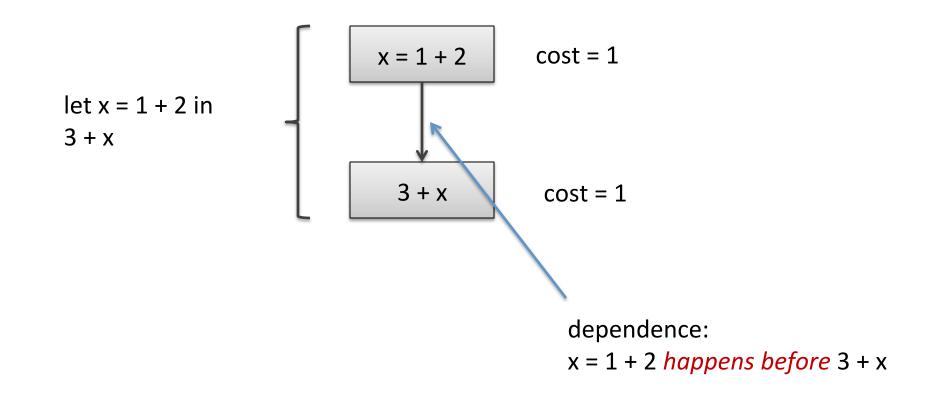
Future Reasoning in a Nutshell

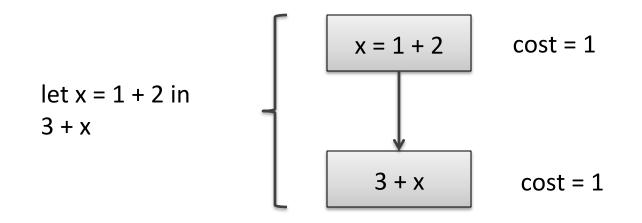
f () == force (future f ())

Scheduling Parallel Computations

let x = 1 + 2 in 3 + x

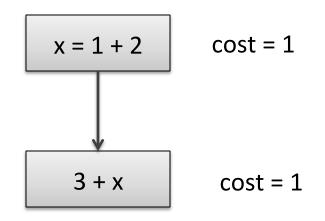




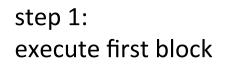


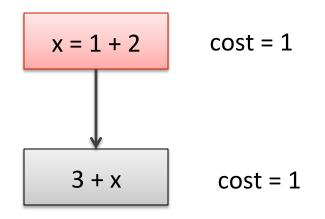
Execution of dependency diagrams: A processor can only begin executing the computation associated with a block when the computations of all of its predecessor blocks have been completed.

step 1: execute first block

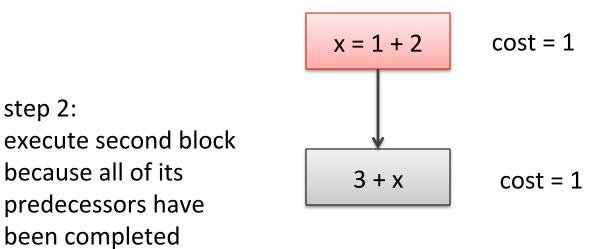


Cost so far: 0

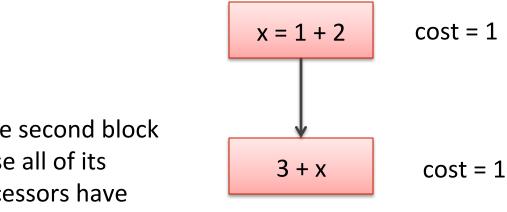




Cost so far: 1

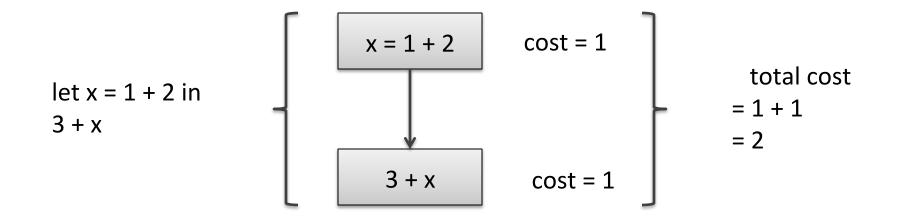


Cost so far: 1



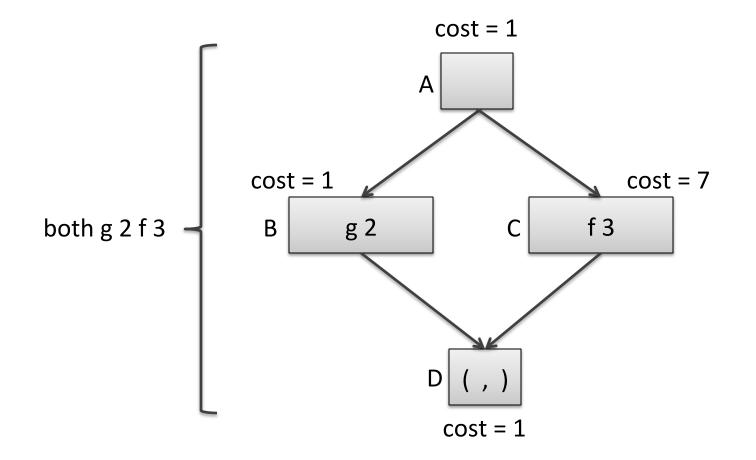
step 2: execute second block because all of its predecessors have been completed

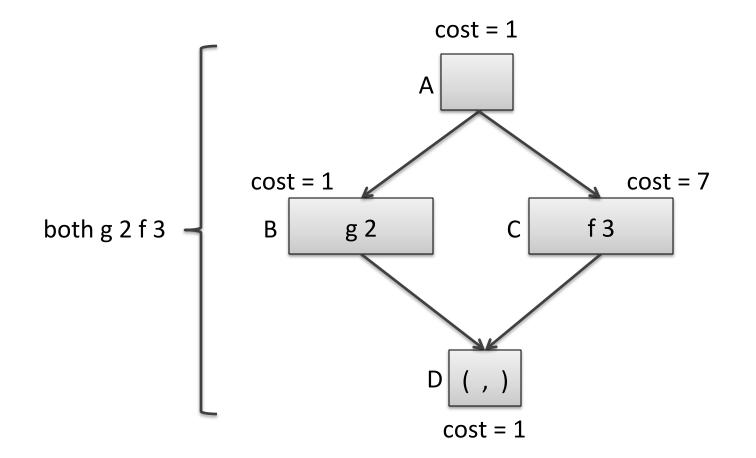
Cost so far: 1 + 1



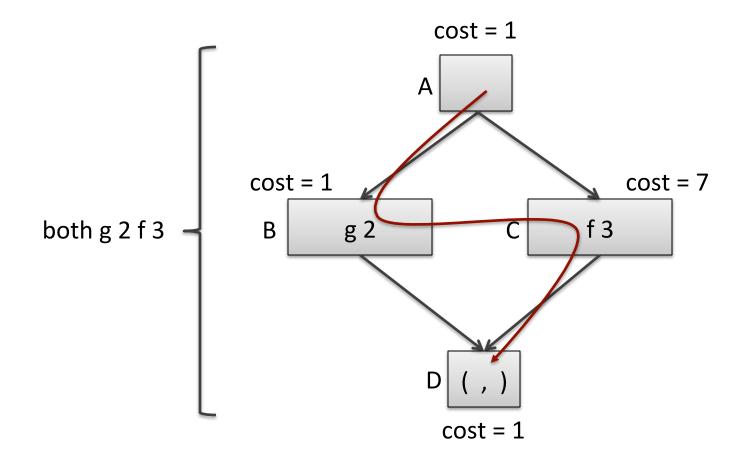
A Handy Abbreviation

let both f x g y =
 let r1 = future f x in
 let r2 = future g y in
 (force r1, force r2)

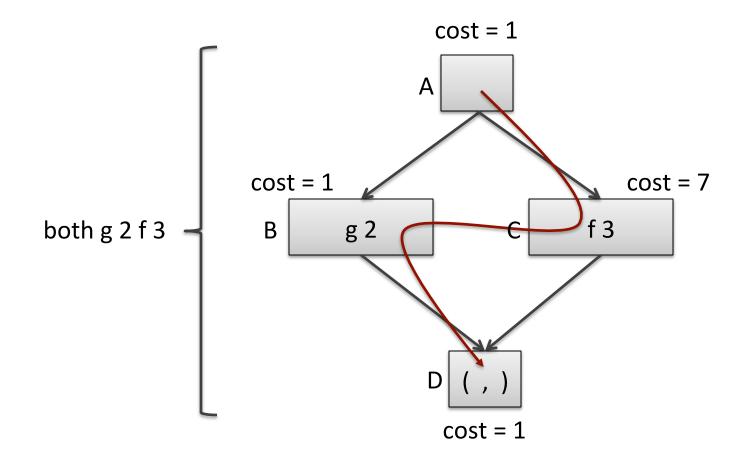




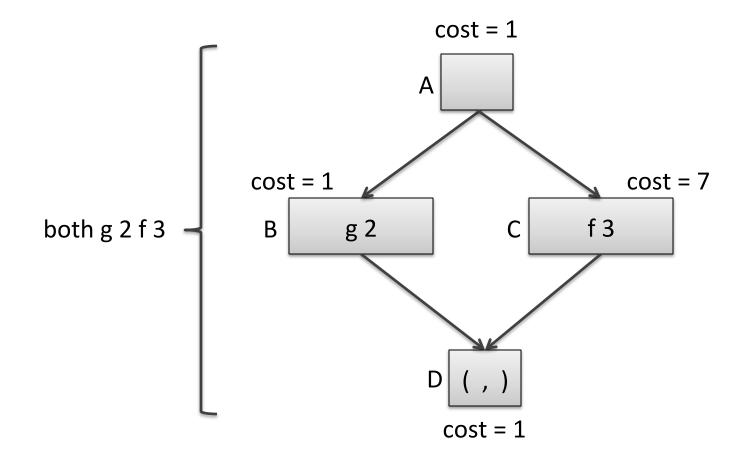
Suppose we have 1 processor. How much time does this computation take?



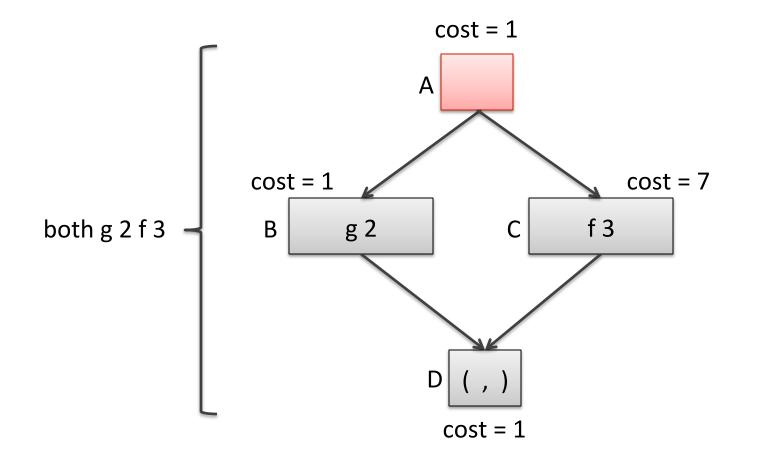
Suppose we have 1 processor. How much time does this computation take? Scheduld A-B-C-D: 1 + 1 + 7 + 1



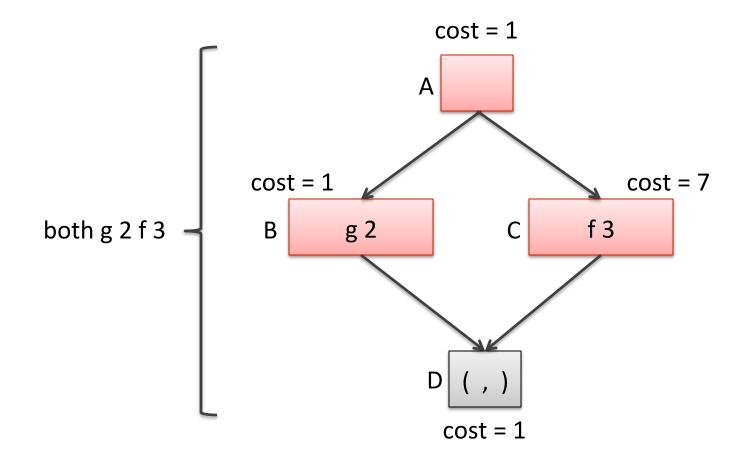
Suppose we have 1 processor. How much time does this computation take? Schedule A-C-B-D: 1 + 1 + 7 + 1



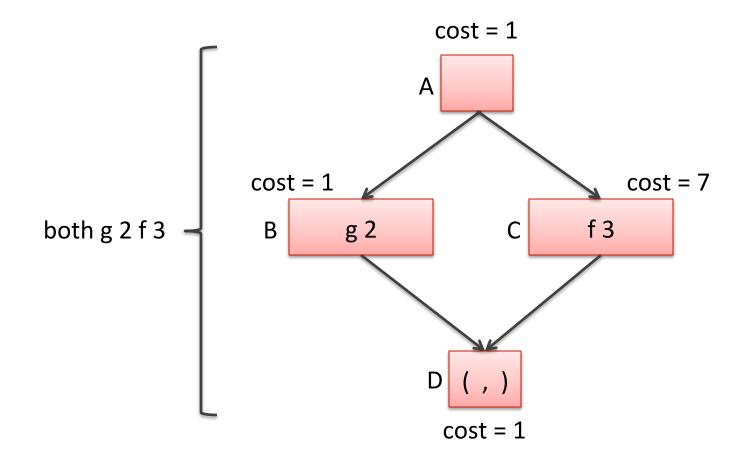
Suppose we have 2 processors. How much time does this computation take?



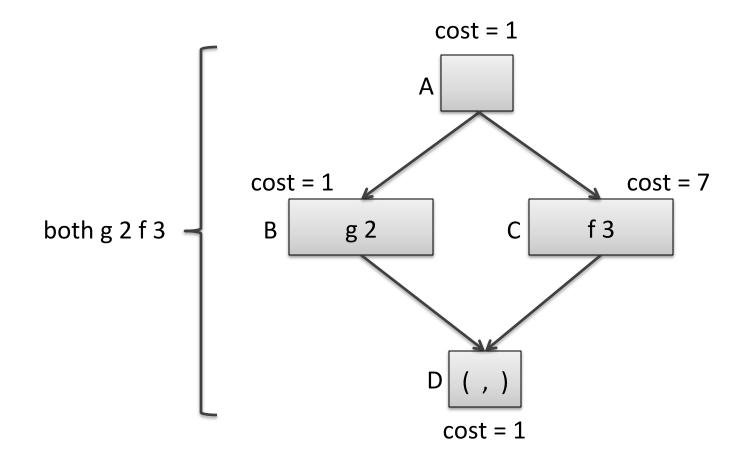
Suppose we have 2 processors. How much time does this computation take? Cost so far: 1



Suppose we have **2** processors. How much time does this computation take? Cost so far: 1 + max(1,7)

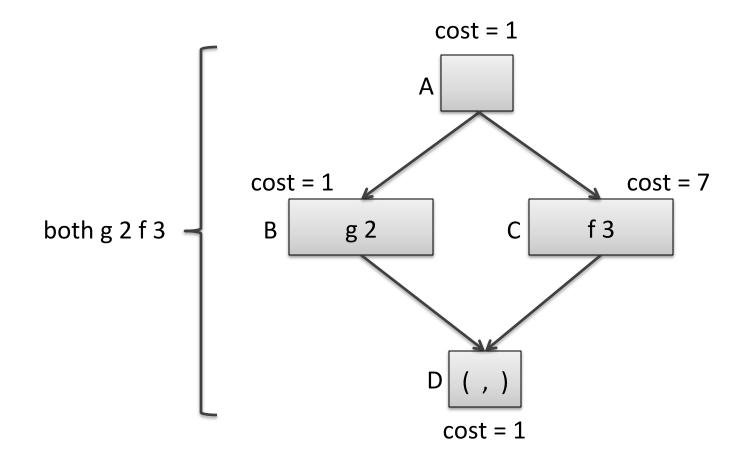


Suppose we have 2 processors. How much time does this computation take? Cost so far: $1 + \max(1,7) + 1$



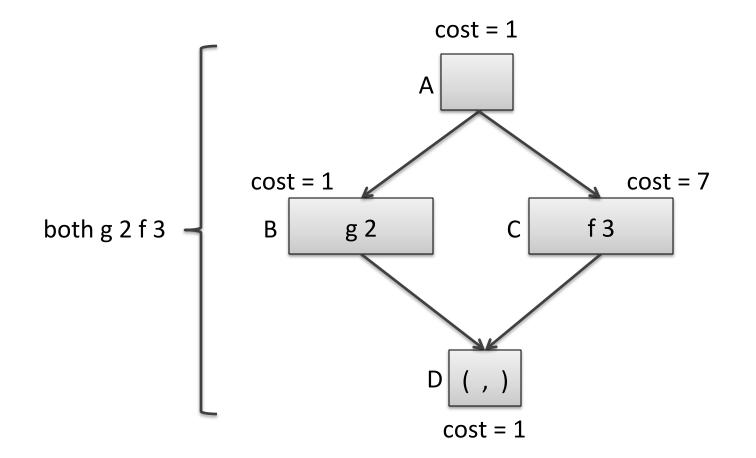
Suppose we have 2 processors. How much time does this computation take? Total cost: 1 + max(1,7) + 1. We say the *schedule* we used was: A-CB-D

Visualizing Computational Costs



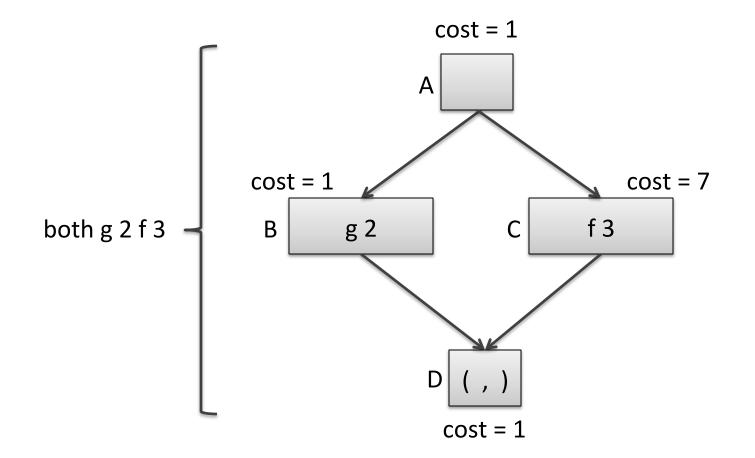
Suppose we have **3** processors. How much time does this computation take?

Visualizing Computational Costs



Suppose we have 3 processors. How much time does this computation take? Schedule A-BC-D: 1 + max(1,7) + 1 = 9

Visualizing Computational Costs



Suppose we have infinite processors. How much time does this computation take? Schedule A-BC-D: $1 + \max(1,7) + 1 = 9$

Work and Span

- Understanding the complexity of a parallel program is a little more complex than a sequential program
 - the number of processors has a significant effect
- One way to *approximate* the cost is to consider a parallel algorithm independently of the machine it runs on is to consider *two* metrics:
 - Work: The cost of executing a program with just 1 processor.
 - Span: The cost of executing a program with an infinite number of processors
- Always good to minimize work
 - Every instruction executed consumes energy
 - Minimize span as a second consideration
 - Communication costs are also crucial (we are ignoring them)

Parallelism

The parallelism of an algorithm is an estimate of the maximum number of processors an algorithm can profit from.

parallelism = work / span

If work = span then parallelism = 1.

- We can only use 1 processor
- It's a sequential algorithm

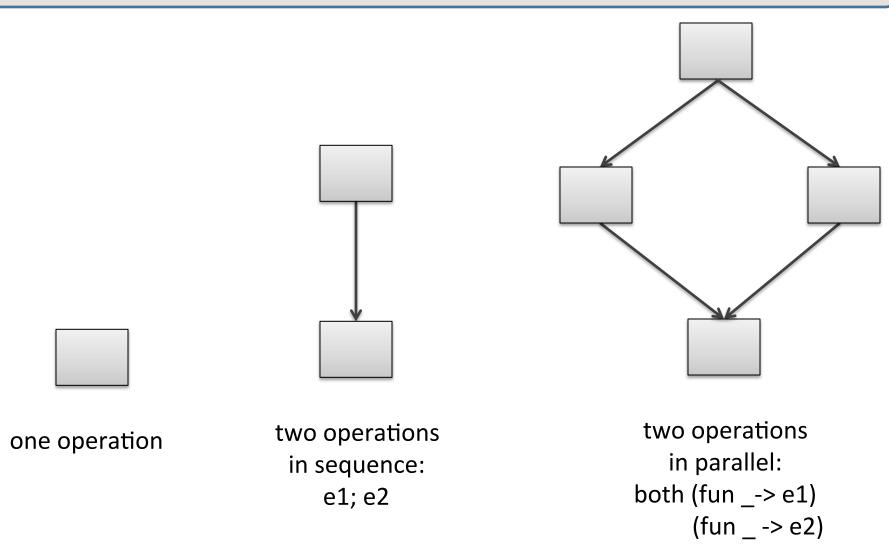
If span = $\frac{1}{2}$ work then parallelism = 2

• We can use up to 2 processors

If work = 100, span = 1

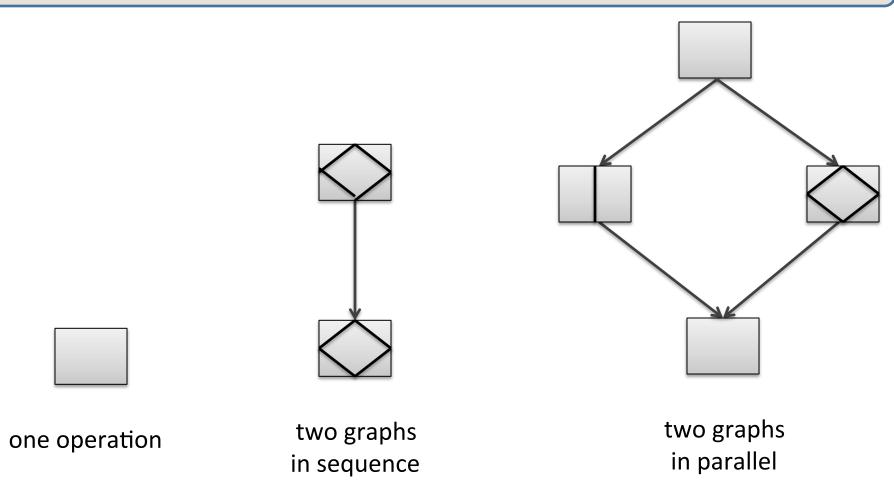
- All operations are independent & can be executed in parallel
- We can use up to 100 processors

Series-Parallel Graphs



Series-parallel graphs arise from execution of functional programs with parallel pairs. Also known as well-structured, nested parallelism.

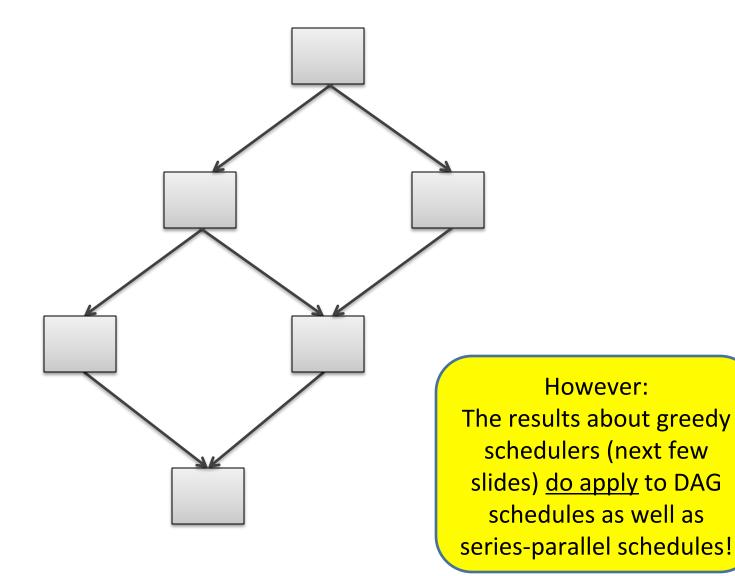
Series-Parallel Graphs Compose



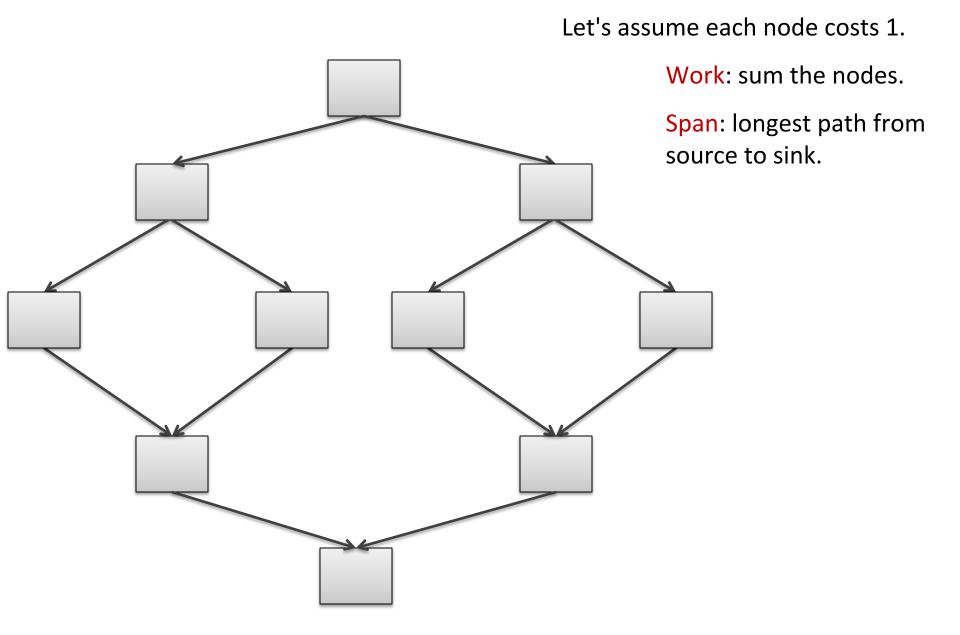
In general, a series-parallel graph has a source and a sink and is:

- a single node, or
- two series-parallel graphs in sequence, or
- two series-parallel graphs in parallel

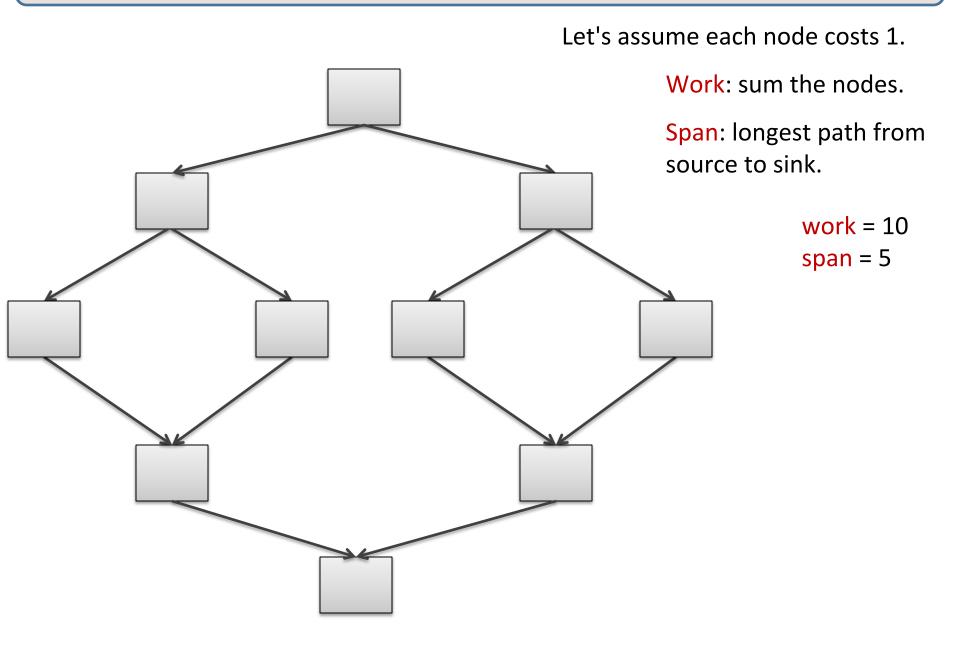
Not a Series-Parallel Graph

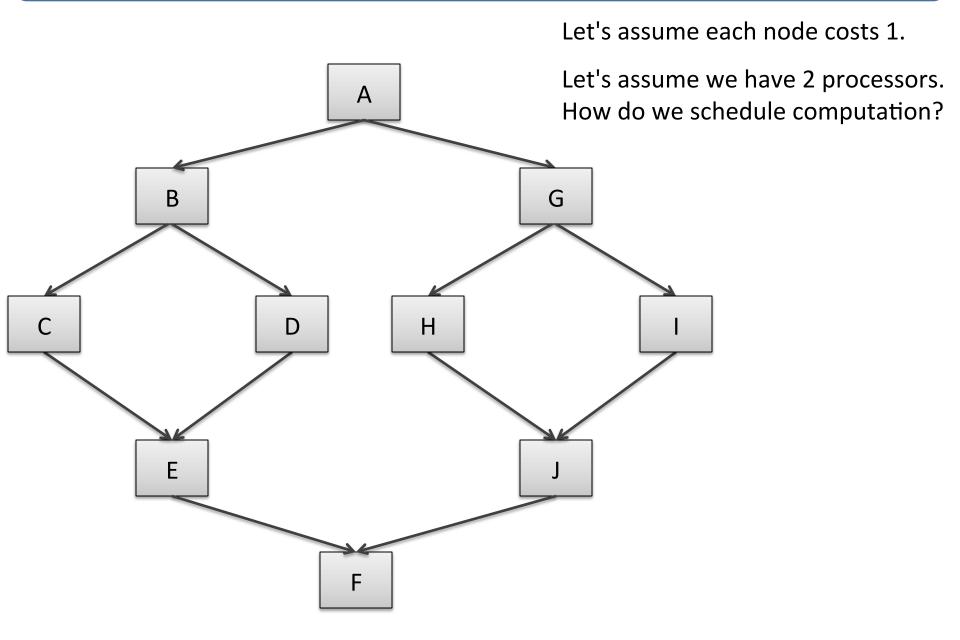


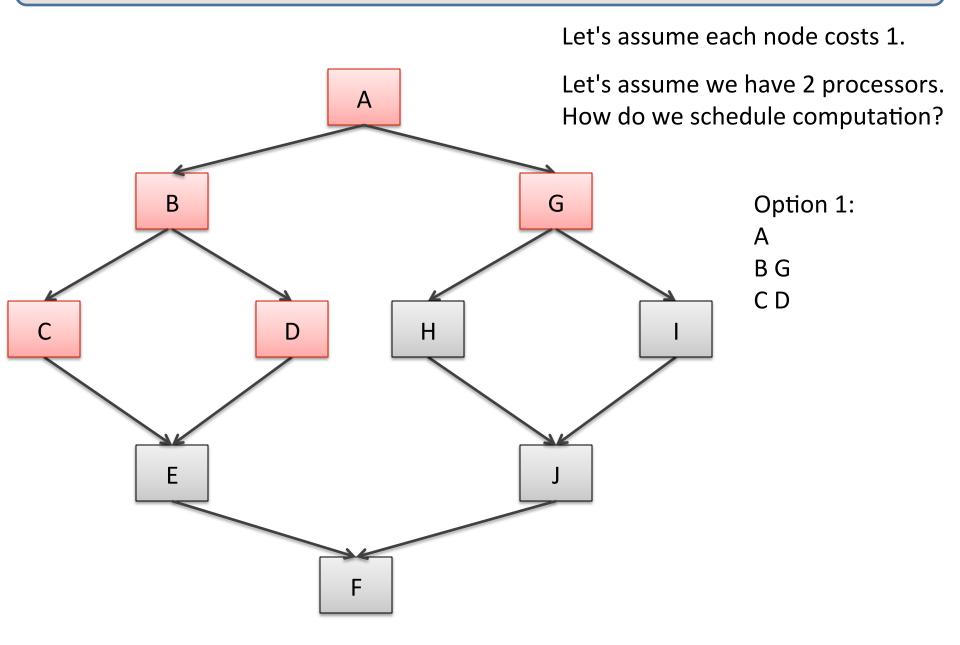
Work and Span of Acyclic Graphs

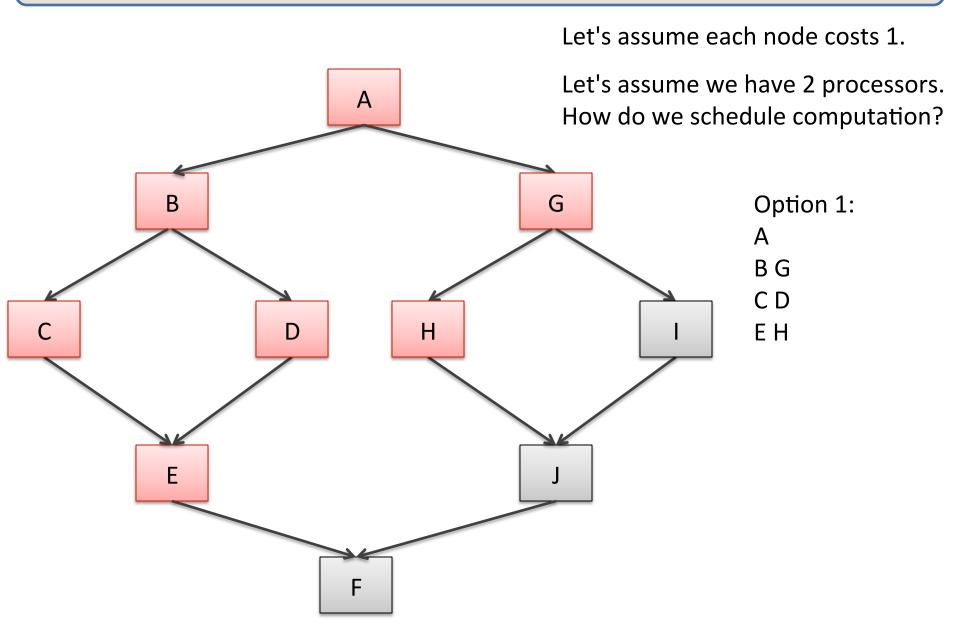


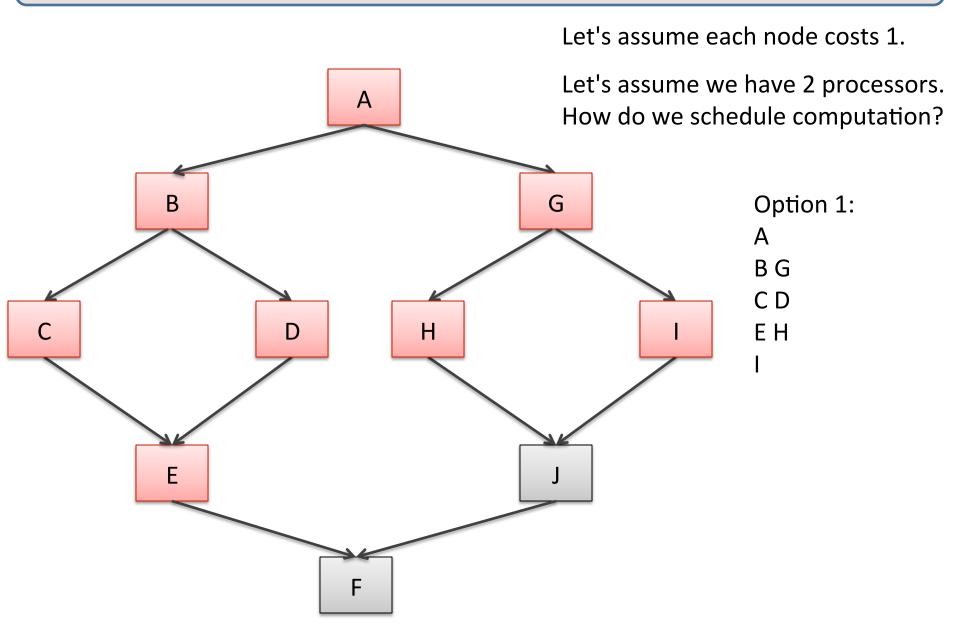
Work and Span of Acyclic Graphs

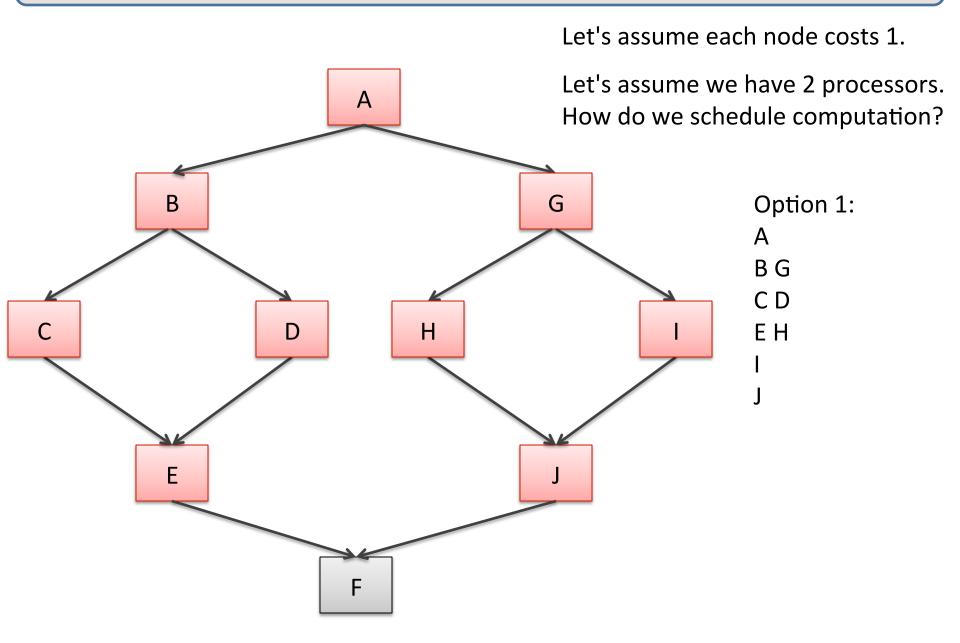


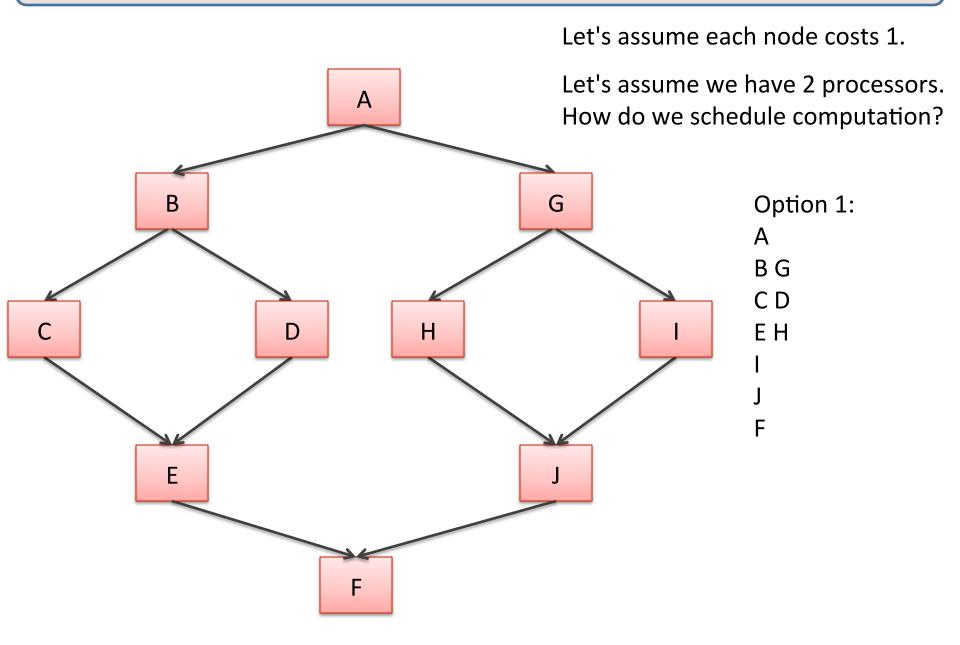


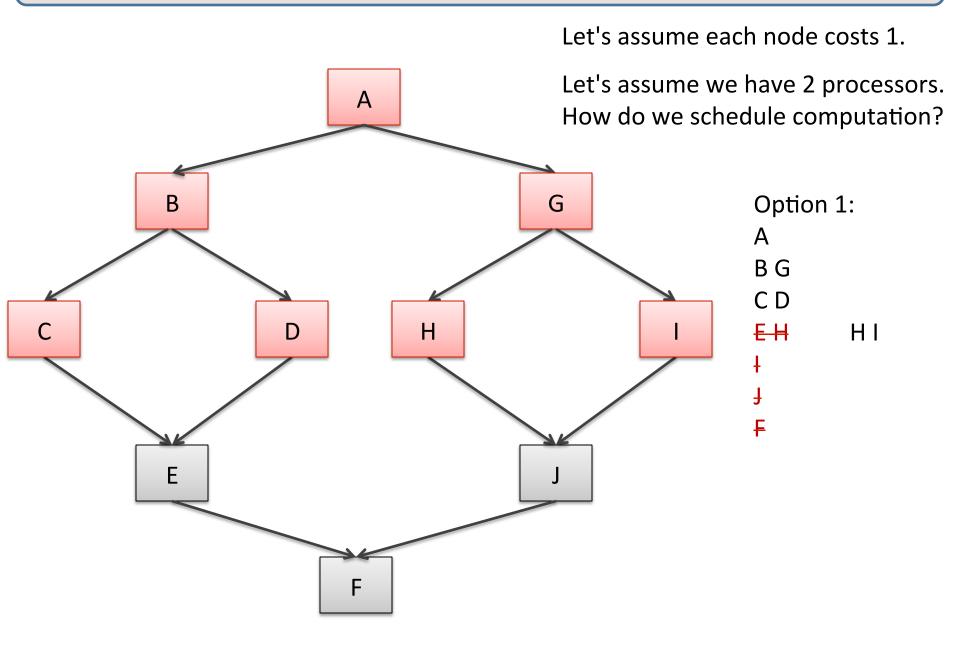


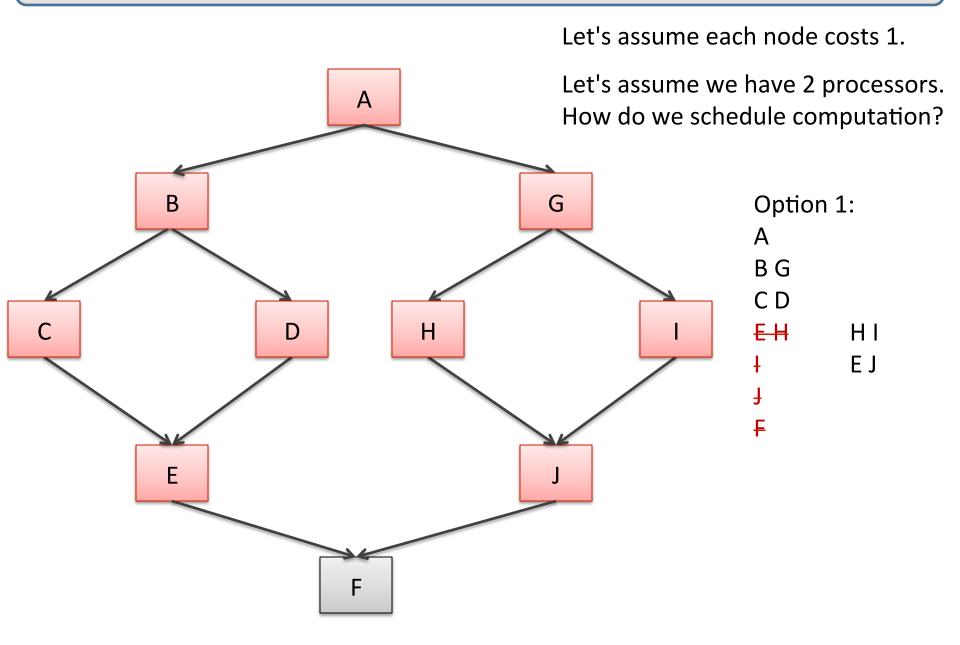


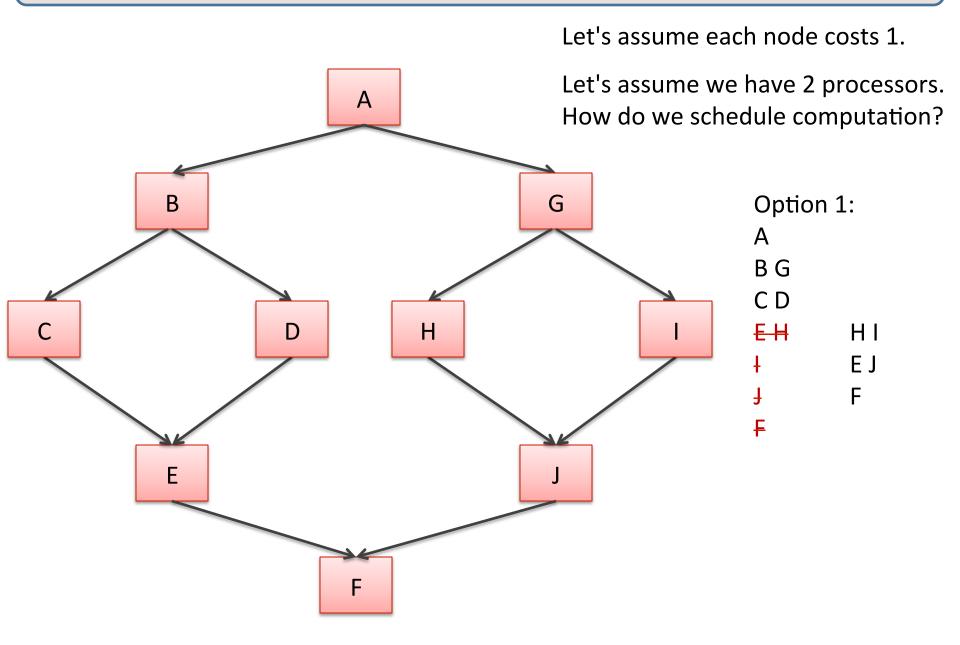


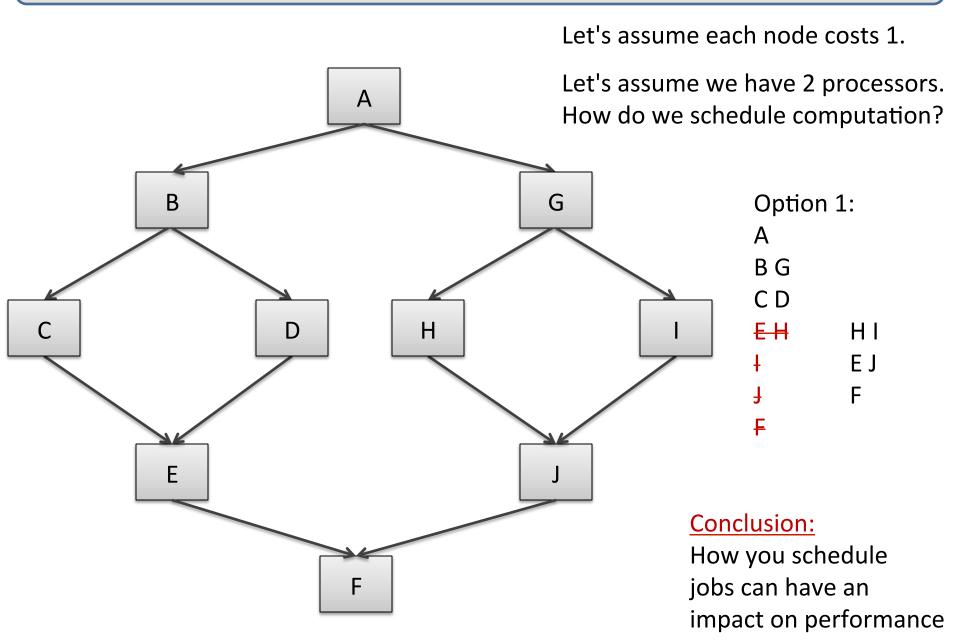












Greedy Schedulers

- Greedy schedulers will schedule some task to a processor as soon as that processor is free.
 - Doesn't sound so smart!
- Properties (for p processors):
 - T(p) < work/p + span</p>
 - won't be worse than dividing up the data perfectly between processors, except for the last little bit, which causes you to add the span on top of the perfect division
 - T(p) >= max(work/p, span)
 - can't do better than perfect division between processors (work/p)
 - can't be faster than span

Greedy Schedulers

Properties (for p processors):

max(work/p, span) <= T(p) < work/p + span</pre>

Consequences:

- as span gets small relative to work/p
 - work/p + span ==> work/p
 - max(work/p, span) ==> work/p
 - so T(p) ==> work/p -- greedy schedulers converge to the optimum!
- if span approaches the work
 - work/p + span ==> span
 - max(work/p, span) ==> span
 - so T(p) ==> span greedy schedulers converge to the optimum!

SUMMARY

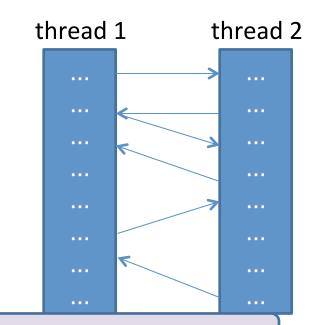
Programming with mutation, threads and locks

Reasoning about the correctness of *pure* parallel programs that include futures is easy -- no harder than ordinary, sequential programs. (Reasoning about their performance may be harder.)

Reasoning about shared variables and synchronization is *hard* in general, but *futures* are a *discipline* for getting it right.

Much of programming-language design is the art of finding good disciplines in which it's harder* to write bad programs.

Even aside from PL design, the same is true of software engineering with Abstract Data Types: if you engineer *disciplines* into your interfaces, it is harder for the user to get it wrong.



*but somebody will always find a way...