

Modules and Representation Invariants

COS 326

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In previous classes:

Reasoning about individual OCaml expressions.

Now:

Reasoning about Modules (abstract types + collections of values)

A Signature for Sets

```
module type SET =  
  sig  
    type 'a set  
    val empty : 'a set  
    val mem : 'a -> 'a set -> bool  
    val add : 'a -> 'a set -> 'a set  
    val rem : 'a -> 'a set -> 'a set  
    val size : 'a set -> int  
    val union : 'a set -> 'a set -> 'a set  
    val inter : 'a set -> 'a set -> 'a set  
  end
```

Sets as Lists

```
module Set1 : SET =  
  struct  
    type `a set = `a list  
    let empty = []  
    let mem = List.mem  
    let add x l = x :: l  
    let rem x l = List.filter ((<>) x) l  
    let rec size l =  
      match l with  
      | [] -> 0  
      | h::t -> size t + (if mem h t then 0 else 1)  
    let union l1 l2 = l1 @ l2  
    let inter l1 l2 = List.filter (fun h -> mem h l2) l1  
  end
```

Very slow in many ways!

Sets as Lists without Duplicates

```
module Set2 : SET =  
struct  
  type `a set = `a list  
  let empty = []  
  let mem = List.mem  
  (* add: check if already a member *)  
  let add x l = if mem x l then l else x::l  
  let rem x l = List.filter ((<>) x) l  
  (* size: list length is number of unique elements *)  
  let size l = List.length l  
  (* union: discard duplicates *)  
  let union l1 l2 = List.fold_left  
    (fun a x -> if mem x l2 then a else x::a) l2 l1  
  let inter l1 l2 = List.filter (fun h -> mem h l2) l1  
end
```

Back to Sets

The interesting operation:

```
(* size: list length is number of unique elements *)  
let size (l:'a set) : int = List.length l
```

Why does this work? It depends on an invariant:

All lists supplied as an argument contain no duplicates.

A *representation invariant* is a property that holds of all values of a particular (abstract) type.

Implementing Representation Invariants

For lists with no duplicates:

```
(* checks that a list has no duplicates *)
let rec inv (s : 'a set) : bool =
  match s with
  | [] -> true
  | hd::tail -> not (mem hd tail) && inv tail

let rec check (s : 'a set) (m:string) : 'a set =
  if inv s then
    s
  else
    failwith m
```

Debugging with Representation Invariants

As a precondition on input sets:

```
(* size: list length is number of unique elements *)  
let size (s:'a set) : int =  
  ignore (check s "size: bad set input");  
  List.length s
```


Debugging with Representation Invariants

As a precondition on input sets:

```
(* size: list length is number of unique elements *)  
let size (s:'a set) : int =  
  ignore (check s "size: bad set input");  
  List.length s
```

As a postcondition on output sets:

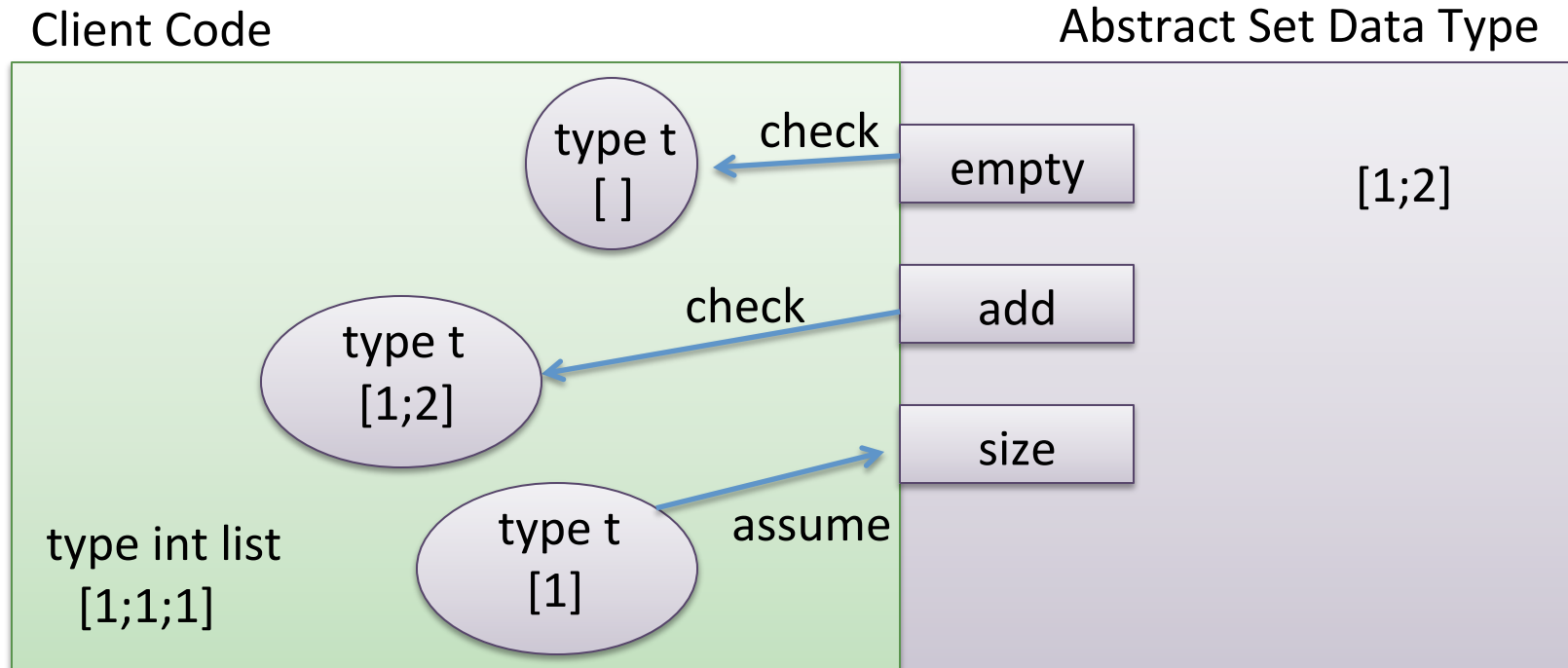
```
(* add x to set s *)  
let add x s =  
  let s = if mem x s then s else x::s in  
  check s "add: bad set output"
```

A Signature for Sets

```
module type SET =  
  sig  
    type `a set  
    val empty : `a set  
    val mem : `a -> `a set -> bool  
    val add : `a -> `a set -> `a set  
    val rem : `a -> `a set -> `a set  
    val size : `a set -> int  
    val union : `a set -> `a set -> `a set  
    val inter : `a set -> `a set -> `a set  
  end
```

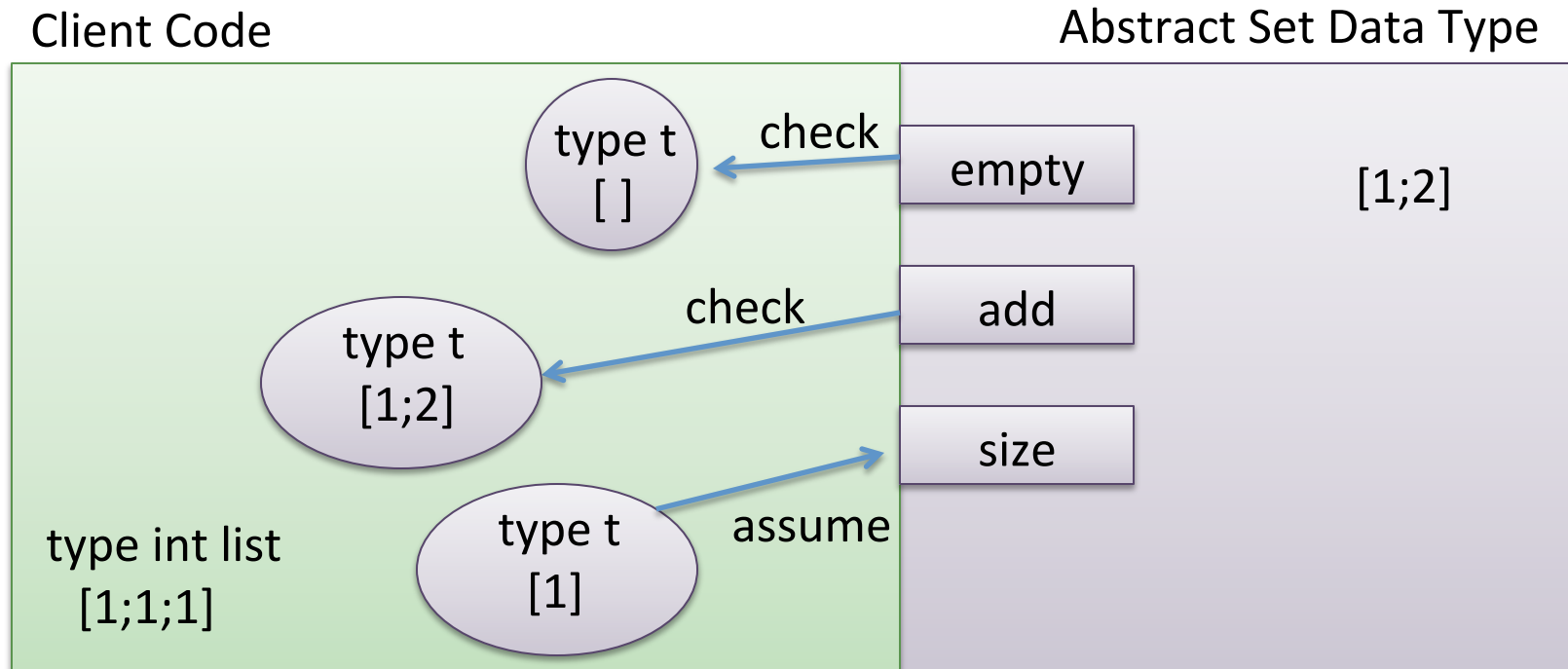
Suppose we check all the **red values** satisfy our invariant leaving the module, do we have to check the **blue values** entering the module satisfy our invariant?

Representation Invariants Pictorially



When debugging, we can check our invariant each time we construct a value of abstract type. We then get to assume the invariant on input to the module.

Representation Invariants Pictorially



When proving, we prove our invariant holds each time we construct a value of abstract type and release it to the client. We *get to assume* the invariant holds on input to the module.

Such a proof technique is *highly modular*: Independent of the client!

Repeating myself

You may

assume the invariant $inv(i)$ for module inputs i with abstract type

provided you

prove the invariant $inv(o)$ for all module outputs o with abstract type

Back to Sets

The interesting operation:

```
(* size: list length is number of unique elements *)  
let size (l:'a set) : int = List.length l
```

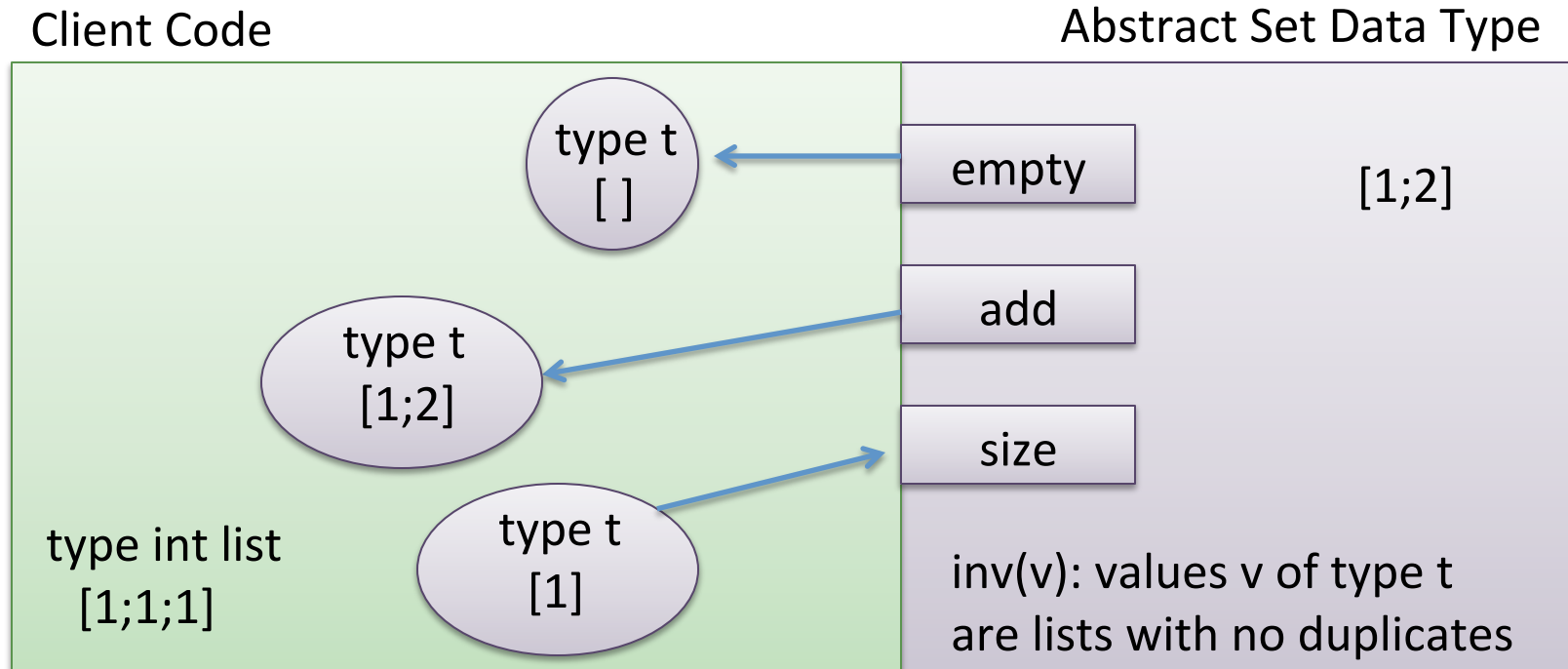
Why does this work? It depends on an invariant:

All lists supplied as an argument contain no duplicates.

How is this invariant enforced? By using abstract types. Every value of the **abstract type 'a set** satisfies the invariant. Internally, the module knows that the 'a set is 'a list and can establish the invariant, but externally clients don't know that and can't mess with established invariants.

Representation Invariants

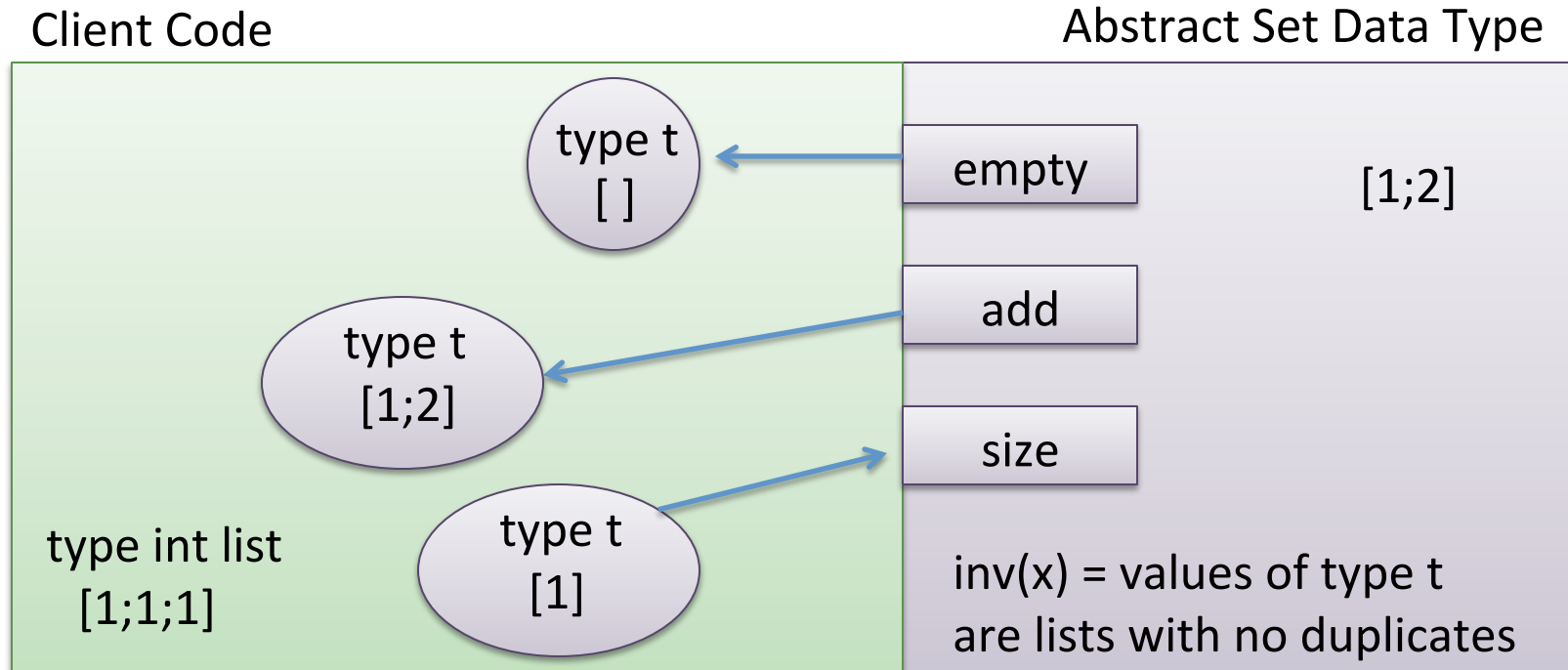
A *representation invariant* $inv(v)$ for abstract type t is a property of all data values v with abstract data type t



- Invariants on abstract types are *local* to the ADT because they talk about the representation. Client code doesn't know or care what the invariant is.
- However, client code *preserves the invariant* because it can't mess with values of abstract type directly.

Representation Invariants

A *representation invariant* $inv(v)$ for *abstract type* t is a property of all data values v with abstract data type t



- Because Clients can't mess with the invariants on abstract types, ADT code gets to *assume the invariant for inputs with abs. type* provided it *proves the invariant for outputs with abs. type*
- These proofs are *modular*: Done in isolation in the ADT module

Establishing Representation Invariants

E.g., when it comes to the size function:

```
(* signature *)  
val size : 'a set -> int  
  
(* implementation: length is # of distinct elements *)  
let size l = List.length l
```

If we want to assume all arguments to size have no duplicates, then:

- we have to ensure that our client can only pass us a list with no dups
- clients get their values of type 'a set from our module, hence we have to ensure other functions in our module only produce lists with no duplicates
 - empty, add, rem, union, intersect
- typically the proof that a function produces elements that satisfy **inv** depend on assumptions that function inputs satisfy **inv**
 - add, rem, union, intersect

PROVING THE REP INVARIANT FOR THE SET ADT

Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
    [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Definition of empty:

```
let empty : 'a set = []
```

Proof Obligation:

```
inv (empty) == true
```

Proof:

```
  inv (empty)  
== inv []  
== match [] with [] -> true | hd::tail -> ...  
== true
```

Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking add:

```
let add (x:'a) (l:'a set) : 'a set =  
  if mem x l then l else x::l
```

Proof obligation:

for all $x:'a$ and for all $l:'a \text{ set}$,

if $inv(l)$ then $inv(add\ x\ l)$

← assume invariant on input

← prove invariant on output

Representation Invariants

```
let rec inv (l : 'a list) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail  
  
let add (x:'a) (l:'a list) : 'a list =  
  if mem x l then l else x::l
```

Theorem: for all $x:'a$ and for all $l:'a \text{ list}$, if $\text{inv}(l)$ then $\text{inv}(\text{add } x \ l)$

Proof:

(1) pick an arbitrary x and l . (2) assume $\text{inv}(l)$.

Break in to two cases:

- one case when $\text{mem } x \ l$ is true
- one case where $\text{mem } x \ l$ is false

Representation Invariants

```
let rec inv (l : 'a list) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail  
  
let add (x:'a) (l:'a list) : 'a list =  
  if mem x l then l else x::l
```

Theorem: for all $x:'a$ and for all $l:'a \text{ list}$, if $\text{inv}(l)$ then $\text{inv}(\text{add } x \ l)$

Proof:

(1) pick an arbitrary x and l . (2) assume $\text{inv}(l)$.

case 1: assume (3): $\text{mem } x \ l == \text{true}$:

$\text{inv}(\text{add } x \ l)$	
$== \text{inv}(\text{if mem } x \ l \text{ then } l \text{ else } x::l)$	(eval)
$== \text{inv}(l)$	(by (3), eval)
$== \text{true}$	(by (2))

Representation Invariants

```
let rec inv (l : 'a set) : bool =  
  match l with  
  [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

```
let add (x:'a) (l:'a set) : 'a set =  
  if mem x l then l else x::l
```

Theorem: for all $x:'a$ and for all $l:'a \text{ set}$, if $\text{inv}(l)$ then $\text{inv}(\text{add } x \ l)$

Proof:

(1) pick an arbitrary x and l . (2) assume $\text{inv}(l)$.

case 2: assume (3) $\text{not}(\text{mem } x \ l) == \text{true}$:

$\text{inv}(\text{add } x \ l)$	
$== \text{inv}(\text{if mem } x \ l \text{ then } l \text{ else } x::l)$	(eval)
$== \text{inv}(x::l)$	(by (3))
$== \text{not}(\text{mem } x \ l) \ \&\& \ \text{inv}(l)$	(by eval)
$== \text{true} \ \&\& \ \text{inv}(l)$	(by (3))
$== \text{true} \ \&\& \ \text{true}$	(by (2))
$== \text{true}$	(eval)

Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
  | [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking rem:

```
let rem (x:'a) (l:'a set) : 'a set =  
  List.filter ((<>) x) l
```

Proof obligation?

for all $x:'a$ and for all $l:'a$ set,

if $inv(l)$ then $inv(\text{rem } x \ l)$

← assume invariant on input

← prove invariant on output

Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
    [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

Checking size:

```
let size (l:'a set) : int =  
  List.length l
```

Proof obligation?

no obligation – does not produce value with type 'a set

Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
  | [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

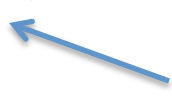
Checking union:

```
let union (l1:'a set) (l2:'a set) : 'a set =  
  ...
```

Proof obligation?

for all $l1:'a\ set$ and for all $l2:'a\ set$,

if $inv(l1)$ and $inv(l2)$ then $inv(\text{union } l1\ l2)$



assume invariant on input



prove invariant on output

Representation Invariants

Representation Invariant for sets without duplicates:

```
let rec inv (l : 'a set) : bool =  
  match l with  
  | [] -> true  
  | hd::tail -> not (mem hd tail) && inv tail
```

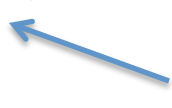
Checking inter:

```
let inter (l1:'a set) (l2:'a set) : 'a set =  
  ...
```

Proof obligation?

for all $l1:'a\ set$ and for all $l2:'a\ set$,

if $inv(l1)$ and $inv(l2)$ then $inv(inter\ l1\ l2)$



assume invariant on input



prove invariant on output

Representation Invariants: a Few Types

- Given a module with abstract type t
- Define an invariant $\text{Inv}(x)$
- Assume arguments to functions satisfy Inv
- Prove results from functions satisfy Inv

sig

type t

prove: $\text{Inv}(\text{value})$



val value : t

prove: for all $x:\text{int}$, $\text{Inv}(\text{constructor } x)$

val constructor : $\text{int} \rightarrow t$

val transform : $\text{int} \rightarrow t \rightarrow t$

prove:
for all $x:\text{int}$,
for all $v:t$,
if $\text{Inv}(v)$
then $\text{Inv}(\text{transform } x v)$

val destructor : $t \rightarrow \text{int}$

end

assume $\text{Inv}(t)$

REPRESENTATION INVARIANTS FOR HIGHER TYPES

Representation Invariants: More Types

What about more complex types?

eg: for abstract type t , consider: `val op : t * t -> t option`

Basic concept: Assume arguments are “valid”; Prove results “valid”

We know what it means to be a “valid” value v for abstract type t :

- $\text{Inv}(v)$ must be true

What is a valid pair? v is valid for type $s1 * s2$ if

- (1) $\text{fst } v$ is valid for type $s1$, and
- (2) $\text{snd } v$ is valid for type $s2$

Equivalently: $(v1, v2)$ is valid for type $s1 * s2$ if

- (1) $v1$ is valid for type $s1$, and
- (2) $v2$ is valid for type $s2$

Representation Invariants: More Types

What is a valid pair? v is valid for type $s1 * s2$ if

- (1) $\text{fst } v$ is valid for $s1$, and
- (2) $\text{snd } v$ is valid for $s2$

eg: for abstract type t , consider: $\text{val op} : t * t \rightarrow t$

must prove to establish rep invariant:
for all $x : t * t$,
if $\text{Inv}(\text{fst } x)$ and $\text{Inv}(\text{snd } x)$ then
 $\text{Inv}(\text{op } x)$

Equivalent
Alternative:

must prove to establish rep invariant:
for all $x1:t, x2:t$
if $\text{Inv}(x1)$ and $\text{Inv}(x2)$ then
 $\text{Inv}(\text{op}(x1, x2))$

Representation Invariants: More Types

Another Example:

`val v : t * (t -> t)`

must prove both to satisfy the rep invariant:

(1) valid (fst v) for type t:

ie: $\text{inv}(\text{fst } v)$

(2) valid (snd v) for type t -> t:

ie: for all $v1:t$,

if $\text{Inv}(v1)$ then

$\text{Inv}((\text{snd } v) v1)$

Representation Invariants: More Types

What is a valid option? v is valid for type $s1$ option if

- (1) v is **None**, or
- (2) v is **Some** u , and u is valid for type $s1$

eg: for abstract type t , consider: $\text{val op} : t * t \rightarrow t \text{ option}$

must prove to satisfy rep invariant:

for all $x : t * t$,

if $\text{Inv}(\text{fst } x)$ and $\text{Inv}(\text{snd } x)$

then

either:

(1) $\text{op } x$ is **None** or

(2) $\text{op } x$ is **Some** u and $\text{Inv } u$

Representation Invariants: More Types

Suppose we are defining an abstract type t .

Consider happens when the type int shows up in a signature.

The type int does not involve the abstract type t at all, in any way.

eg: in our set module, consider: `val size : t -> int`

When is a value v of type int valid?

all values v of type int are valid

`val size : t -> int`

must prove nothing

`val const : int`

must prove nothing

`val create : int -> t`

for all $v:int$,
assume nothing about v ,
must prove $Inv(\text{create } v)$

Representation Invariants: More Types

What is a valid function? Value f is valid for type $t1 \rightarrow t2$ if

- for all inputs arg that are valid for type $t1$,
- it is the case that $f\ arg$ is valid for type $t2$

eg: for abstract type t , consider: $val\ op : t * t \rightarrow t\ option$

must prove to satisfy rep invariant:

for all $x : t * t$,
if $Inv(fst\ x)$ and $Inv(snd\ x)$
then

either:

- (1) $op\ x$ is `None` or
- (2) $op\ x$ is `Some u` and $Inv\ u$

valid for type $t * t$
(the argument)

valid for type $t\ option$
(the result)

Representation Invariants: More Types

What is a valid function? Value f is valid for type $t1 \rightarrow t2$ if

- for all inputs arg that are valid for type $t1$,
- it is the case that $f\ arg$ is valid for type $t2$

eg: for abstract type t , consider: $val\ op : (t \rightarrow t) \rightarrow t$

must prove to satisfy rep invariant:

```
for all  $x : t \rightarrow t$ ,  
  if  
    {for all arguments  $arg:t$ ,  
     if  $Inv(arg)$  then  $Inv(x\ arg)$  }  
  then  
     $Inv\ (op\ x)$ 
```

valid for type $t \rightarrow t$
(the argument)

valid for type t
(the result)

Representation Invariants: More Types

```
sig
  type t
  val create : int -> t
  val incr : t -> t
  val apply : t * (t -> t) -> t
  val check_t : t -> t
end
```

```
representation invariant:
let inv x = x >= 0
```

```
function apply, must prove:
  for all x:t,
  for all f:t -> t
    if x valid for t
    and f valid for t -> t
    then f x valid for t
```

```
struct
  type t = int
  let create n = abs n
  let incr n = if n < maxint then n + 1
                else raise Overflow
  let apply (x, f) = f x
  let check_t x = assert (x >= 0); x
end
```

```
function apply, must prove:
  for all x:t,
  for all f:t -> t
    if (1) inv(x)
    and (2) for all y:t, if inv(y) then inv(f y)
    then inv(f x)
```

```
Proof: By (1) and (2), inv(f x)
```

ANOTHER EXAMPLE

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val from_int : int -> t  
  
    val to_int : t -> int  
  
    val map : (t -> t) -> t -> t list  
  
  end
```

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val from_int : int -> t  
  
    val to_int : t -> int  
  
    val map : (t -> t) -> t -> t list  
  
  end
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let from_int (n:int) : t =  
      if n <= 0 then 0 else n  
  
    let to_int (n:t) : int = n  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
  end
```


Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val from_int : int -> t  
  
    val to_int : t -> int  
  
    val map : (t -> t) -> t -> t list  
  
  end
```

```
let inv n : bool =  
  n >= 0
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let from_int (n:int) : t =  
      if n <= 0 then 0 else n  
  
    let to_int (n:t) : int = n  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
  end
```

Look to the signature to figure out what to verify

```
module type NAT =  
  sig  
    type t  
    val from_int : int -> t  
    val to_int : t -> int  
    val map : (t -> t) -> t -> t list  
  end
```

```
let inv n : bool =  
  n >= 0
```

since function result has
type t, must prove the
output satisfies inv()

```
type t = int
```

can assume inv(x) for all
inputs; don't need to
prove
anything of the outputs
with type int

for `map f x`, assume:

- (1) inv(x), and
- (2) f's results satisfy inv() when it's inputs satisfy inv().

then prove that all elements of the
output list satisfy inv()

Verifying The Invariant

In general, we use a type-directed proof methodology:

- Let t be the abstract type and $inv()$ the representation invariant
- For each value v with type s in the signature, we must check that v is valid for type s as follows:
 - v is valid for t if
 - $inv(v)$
 - $(v1, v2)$ is valid for $s1 * s2$ if
 - $v1$ is valid for $s1$, and
 - $v2$ is valid for $s2$
 - v is valid for type s option if
 - v is None or,
 - v is Some u and u is valid for type s
 - v is valid for type $s1 \rightarrow s2$ if
 - for all arguments a , if a is valid for $s1$, then $v a$ is valid for $s2$
 - v is valid for int if
 - always
 - $[v1; \dots; vn]$ is valid for type s list if
 - $v1 \dots vn$ are all valid for type s

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val from_int : int -> t  
  
    ...  
  
end
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let from_int (n:int) : t =  
      if n <= 0 then 0 else n  
  
    ...  
  
end
```

```
let inv n : bool =  
  n >= 0
```

Must prove:

```
for all n,  
  inv (from_int n) == true
```

Proof strategy: Split into 2 cases.

(1) $n > 0$, and (2) $n \leq 0$

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val from_int : int -> t  
  
    ...  
  
end
```

Must prove:

```
for all n,  
  inv (from_int n) == true
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let from_int (n:int) : t =  
      if n <= 0 then 0 else n  
  
    ...  
  
end
```

```
let inv n : bool =  
  n >= 0
```

Case: $n > 0$

```
  inv (from_int n)  
  == inv (if n <= 0 then 0 else n)  
  == inv n  
  == true
```

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val from_int : int -> t  
  
    ...  
  
end
```

Must prove:

```
for all n,  
  inv (from_int n) == true
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let from_int (n:int) : t =  
      if n <= 0 then 0 else n  
  
    ...  
  
end
```

```
let inv n : bool =  
  n >= 0
```

Case: $n \leq 0$

```
  inv (from_int n)  
  == inv (if n <= 0 then 0 else n)  
  == inv 0  
  == true
```

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val to_int : t -> int  
  
    ...  
  
end
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let to_int (n:t) : int = n  
  
    ...  
  
end
```

```
let inv n : bool =  
  n >= 0
```

Must prove:

```
for all n,  
  if inv n then  
    we must show ... nothing ...  
    since the output type is int
```

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val map : (t -> t) -> t -> t list  
  
    ...  
  
end
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
    ...  
  end
```

```
let inv n : bool =  
  n >= 0
```

Must prove:

```
for all f valid for type t -> t  
for all n valid for type t  
  map f n is valid for type t list
```

Proof: By induction on n.

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val map : (t -> t) -> t -> t list  
  
    ...  
  
end
```

Must prove:

```
for all f valid for type t -> t  
for all n valid for type t  
  map f n is valid for type t list
```

Proof: By induction on nat n.

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
    ...  
  end
```

```
let inv n : bool =  
  n >= 0
```

Case: $n = 0$

```
map f n == []
```

(Note: each value v in $[]$ satisfies $\text{inv}(v)$)

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val map : (t -> t) -> t -> t list  
  
    ...  
  
end
```

Must prove:

```
for all f valid for type t -> t  
for all n valid for type t  
  map f n is valid for type t list
```

Proof: By induction on nat n.

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
    ...  
  end
```

```
let inv n : bool =  
  n >= 0
```

Case: $n > 0$

```
map f n == f n :: map f (n-1)
```

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val map : (t -> t) -> t -> t list  
  
    ...  
  
end
```

Must prove:

```
for all f valid for type t -> t  
for all n valid for type t  
  map f n is valid for type t list
```

Proof: By induction on nat n.

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
    ...  
  end
```

```
let inv n : bool =  
  n >= 0
```

Case: $n > 0$

```
map f n == f n :: map f (n-1)
```

By IH, **map f (n-1)** is valid for t list.

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val map : (t -> t) -> t -> t list  
  
    ...  
  
end
```

Must prove:

```
for all f valid for type t -> t  
for all n valid for type t  
  map f n is valid for type t list
```

Proof: By induction on nat n.

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
    ...  
  end
```

```
let inv n : bool =  
  n >= 0
```

Case: $n > 0$

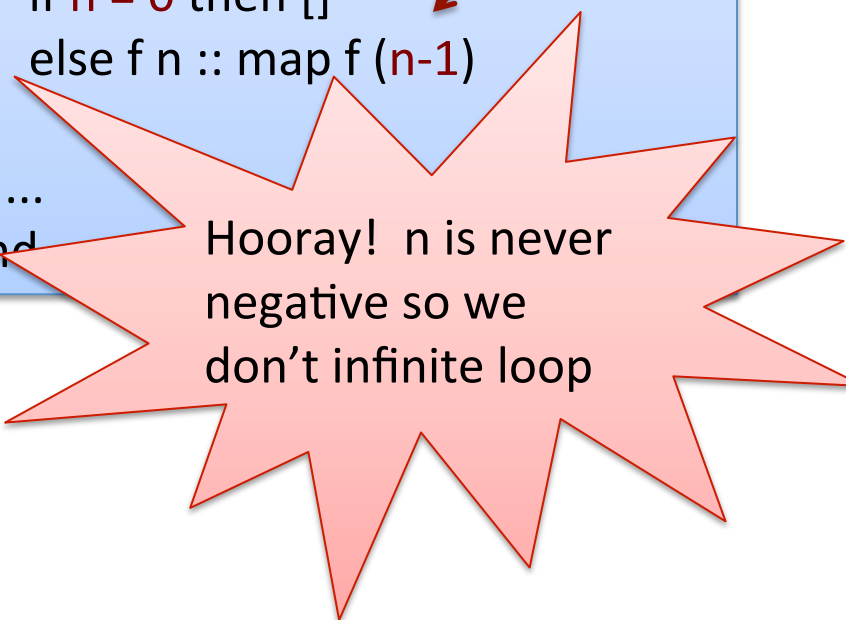
```
map f n == f n :: map f (n-1)
```

By IH, **map f (n-1)** is valid for t list.
Since **f valid for t -> t** and **n valid for t**
f n :: map f (n-1) is valid for t list

Natural Numbers

```
module type NAT =  
  sig  
  
    type t  
  
    val map : (t -> t) -> t -> t list  
  
    ...  
  
  end
```

```
module Nat : NAT =  
  struct  
  
    type t = int  
  
    let rec map f n =  
      if n = 0 then []  
      else f n :: map f (n-1)  
  
    ...  
  end
```



Hooray! n is never
negative so we
don't infinite loop

End result: We have proved a strong
property ($n \geq 0$) of every
value with abstract type `Nat.t`

Summary for Representation Invariants

- The signature of the module tells you what to prove
- Roughly speaking:
 - assume invariant holds on values with abstract type *on the way in*
 - prove invariant holds on values with abstract type *on the way out*

ABSTRACTION FUNCTIONS

Abstraction

```
module type SET =  
  sig  
    type `a set  
    val empty : `a set  
    val mem : `a -> `a set -> bool  
    ...  
  end
```

- When explaining our modules to clients, we would like to explain them in terms of *abstract values*
 - *sets*, not the lists (or maybe trees) that implement them
- From a client's perspective, operations act on abstract values
- Signature comments, specifications, preconditions and post-conditions in terms of those abstract values
- *How are these abstract values connected to the implementation?*

Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{ }

implementation
view:

[1; 1; 2; 3; 2; 3]

[]

[4, 5]

[4, 5, 5]

[1; 2; 3]

[5, 4]

lists of
integers

Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{ }

implementation view:

[1; 1; 2; 3; 2; 3]

[1; 2; 3]

[]

[4, 5]

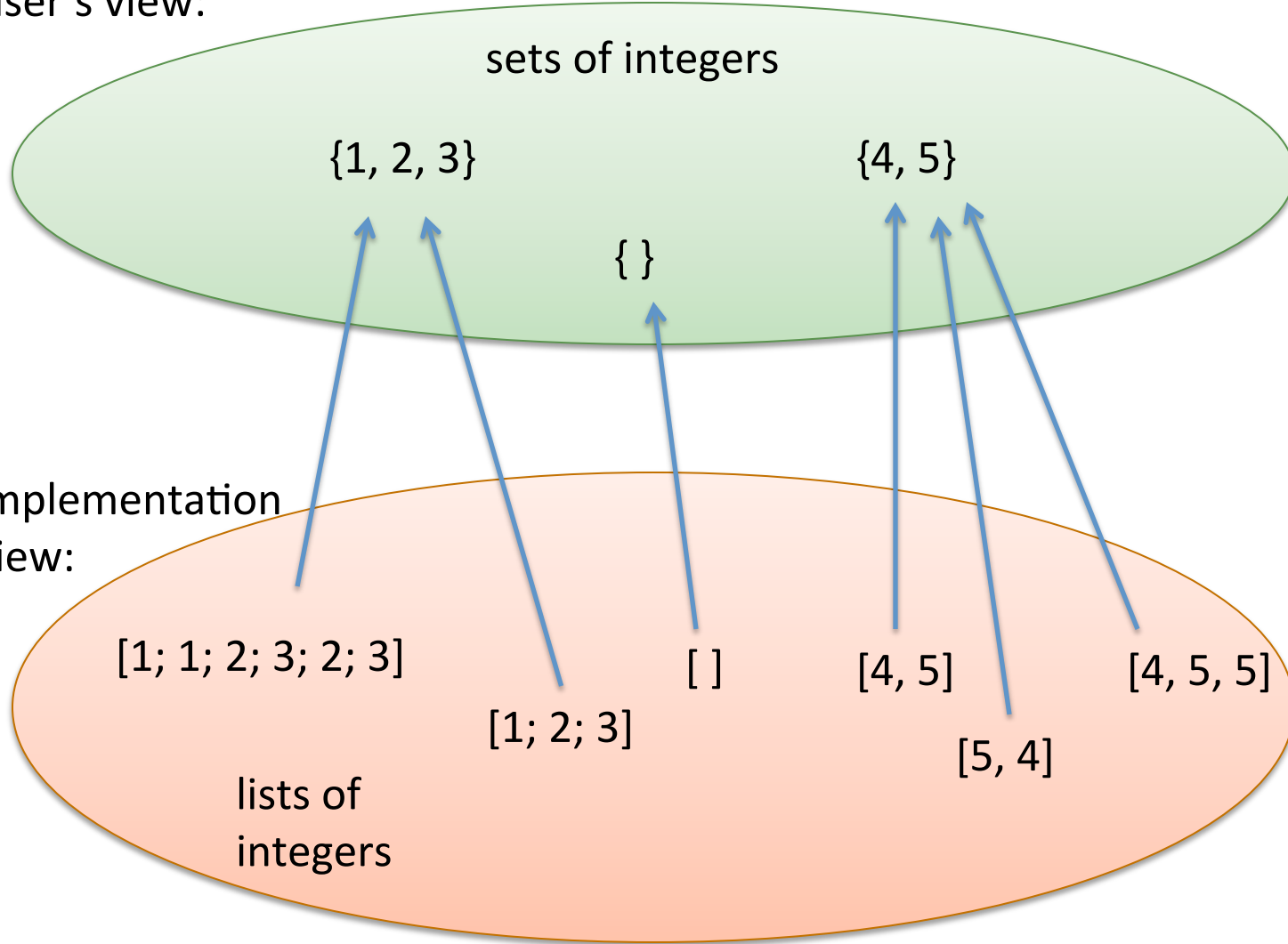
[5, 4]

[4, 5, 5]

lists of integers

there's a relationship here, of course!

we are trying to *implement* the *abstraction*



Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{ }

implementation
view:

[1; 1; 2; 3; 2; 3]

[1; 2; 3]

[]

[4, 5]

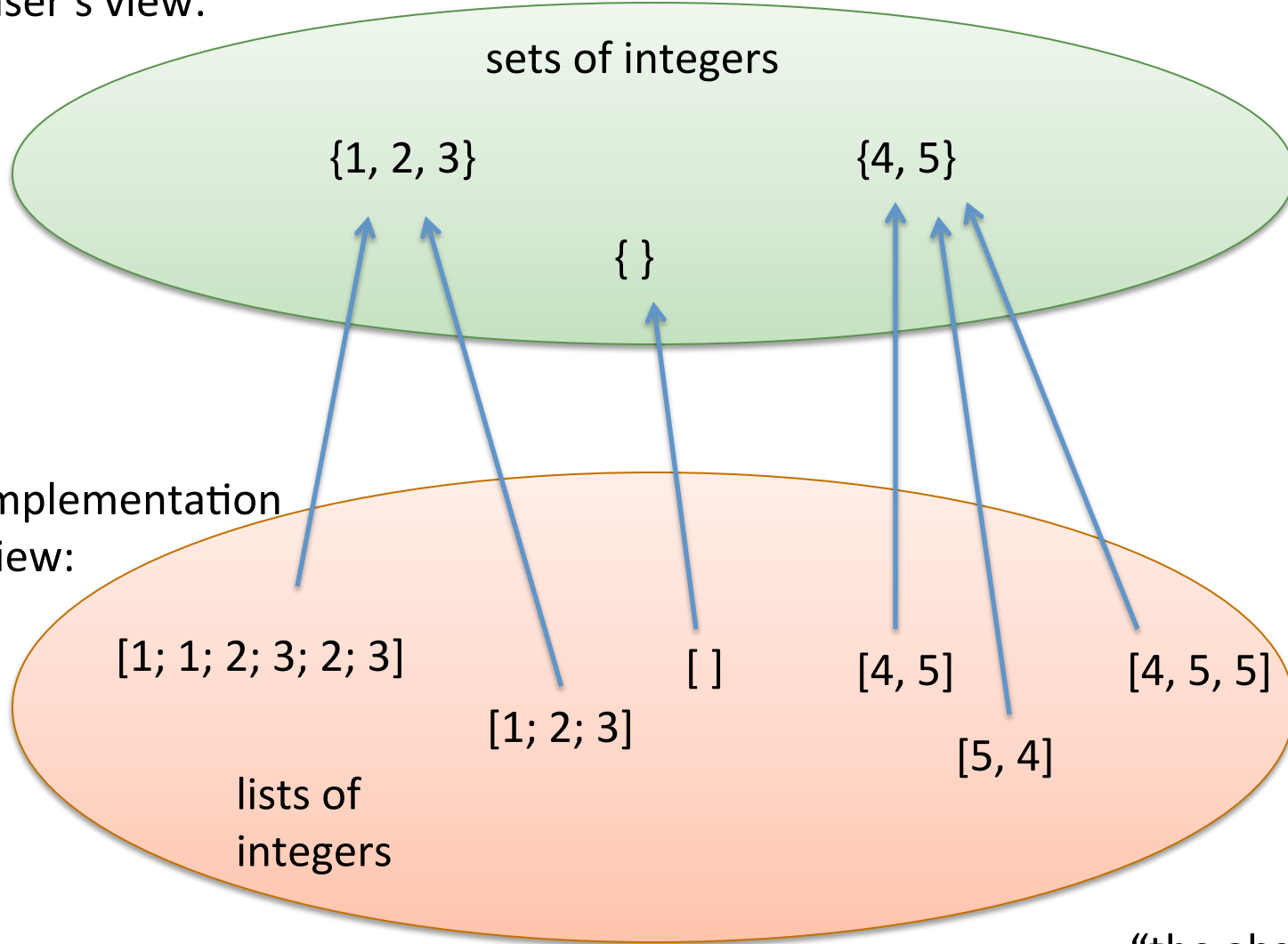
[5, 4]

[4, 5, 5]

lists of
integers

this
relationship
is a
function:
*it converts
concrete
values to
abstract
ones*

function called
"the abstraction function"



Abstraction

user's view:

sets of integers

{1, 2, 3}

{4, 5}

{ }

implementation
view:

[1; 1; 2; 3; 2; 3]

[]

[4, 5]

[4, 5, 5]

lists of
integers

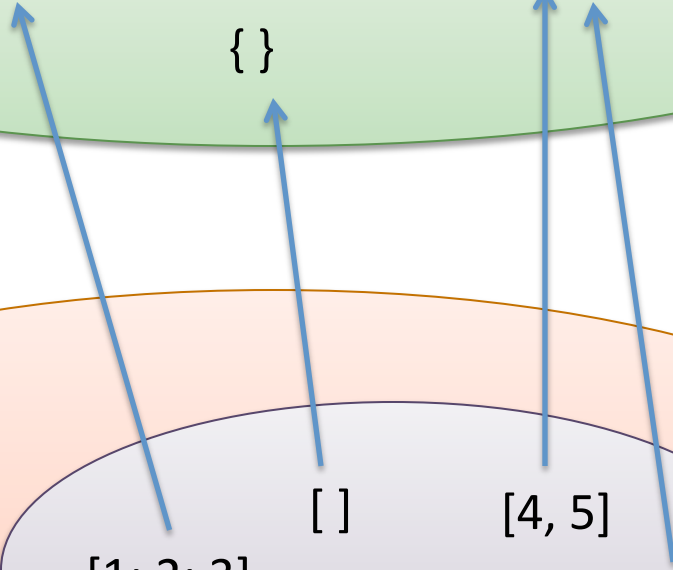
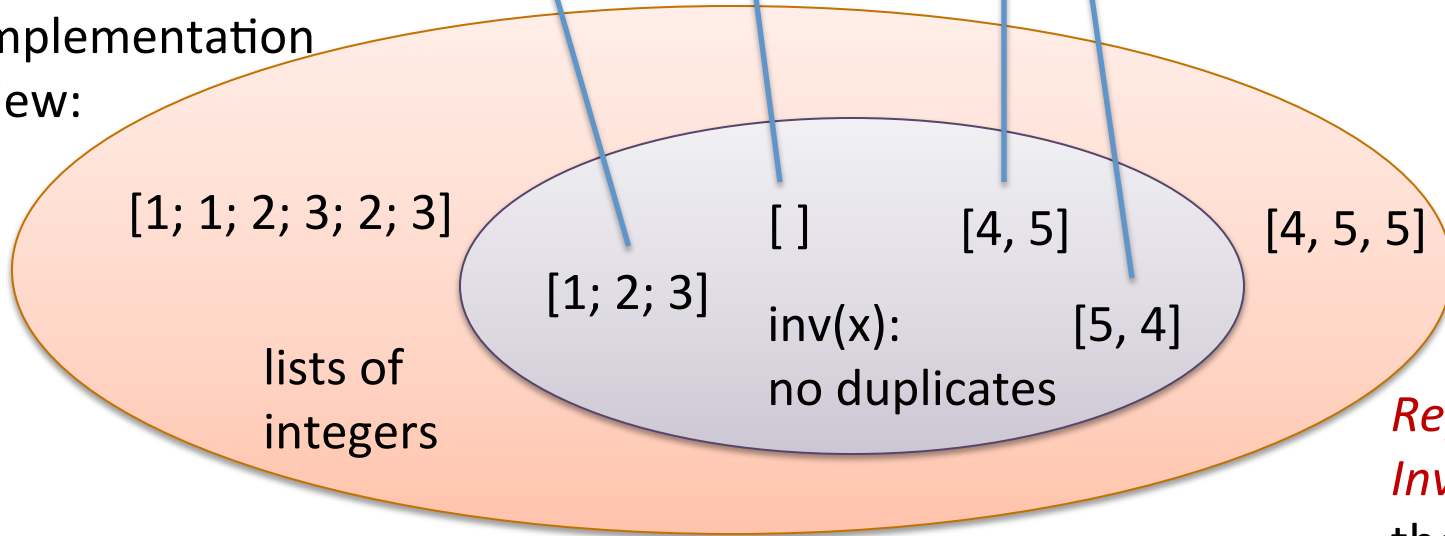
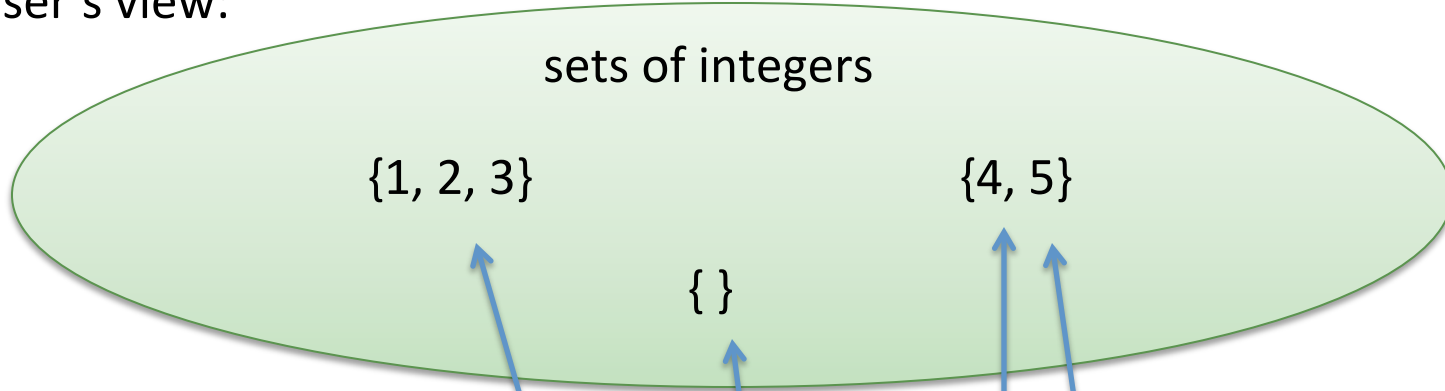
[1; 2; 3]

inv(x):
no duplicates

[5, 4]

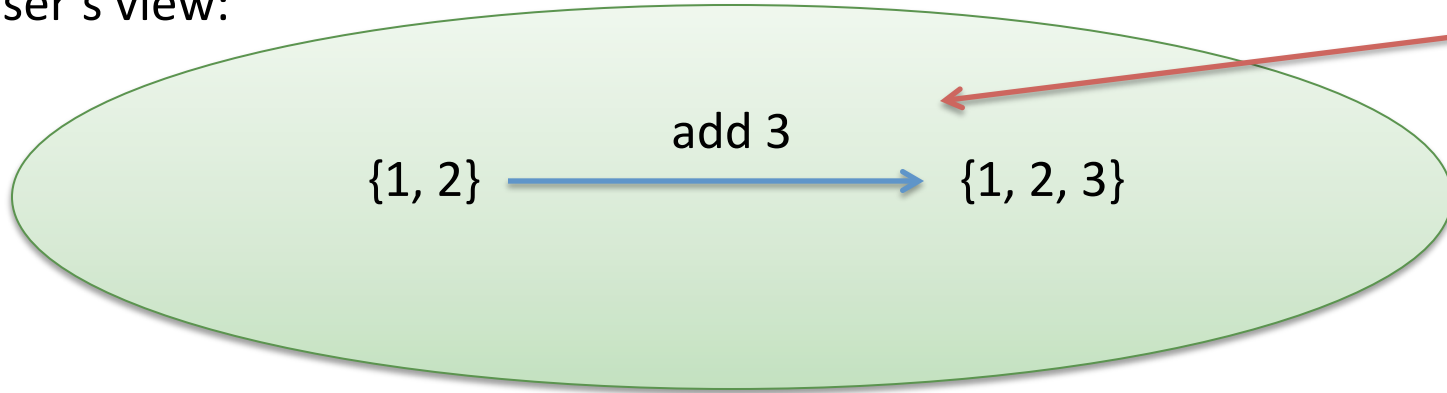
abstraction
function

Representation Invariant cuts down the domain of the abstraction function



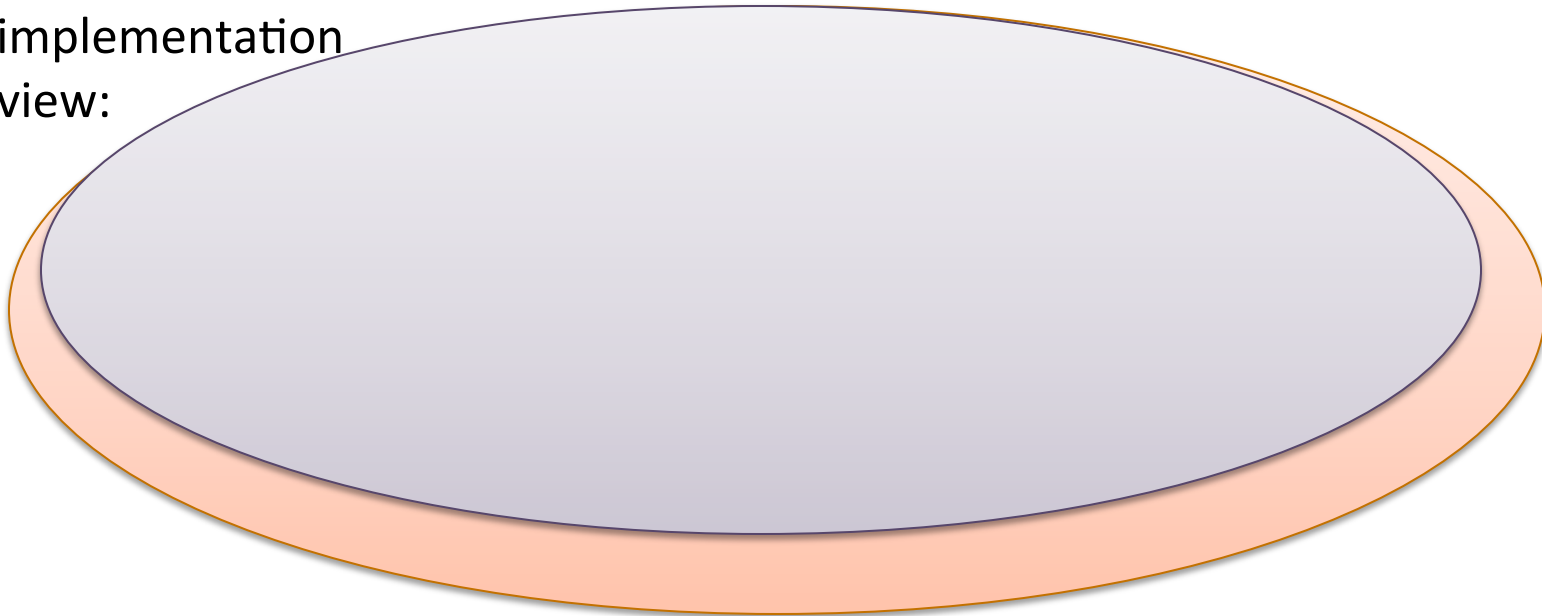
Specifications

user's view:



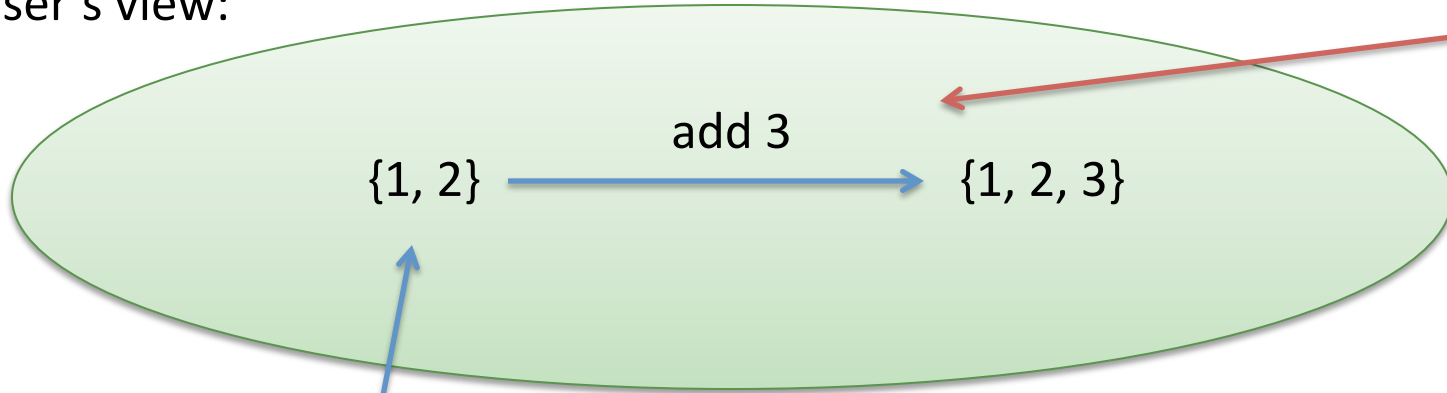
a specification tells us what operations on abstract values do

implementation view:



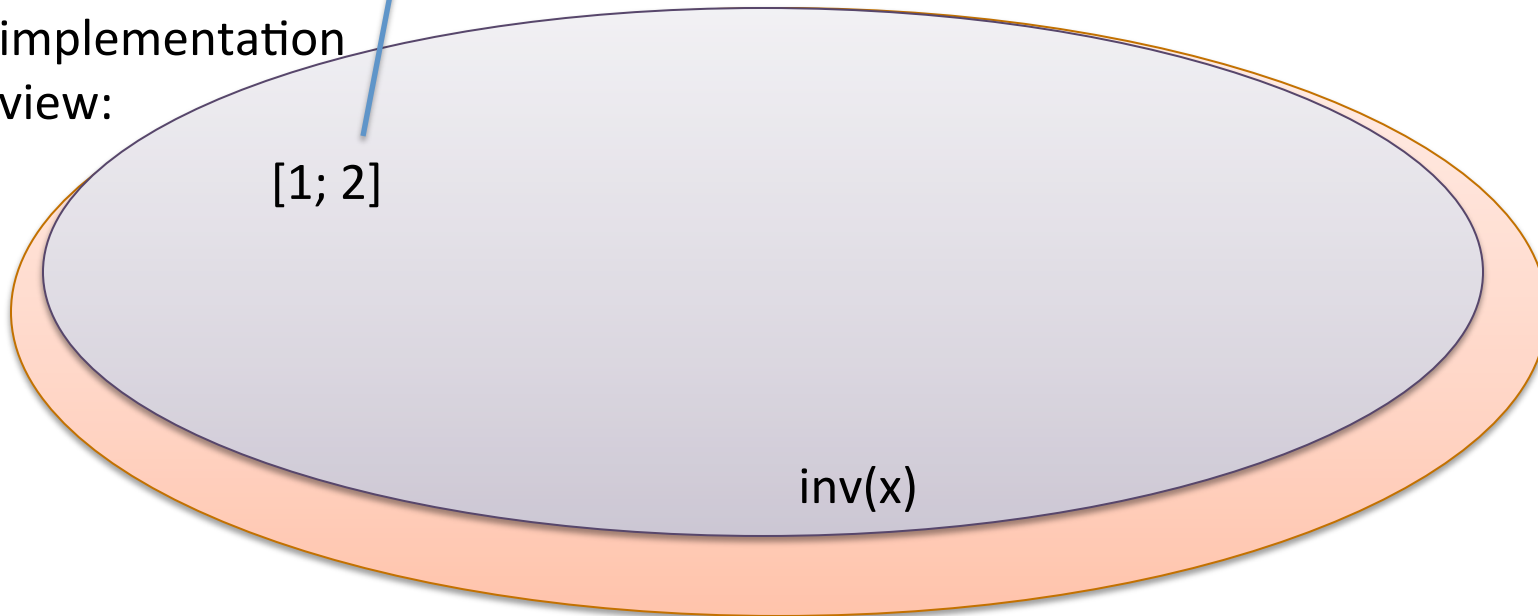
Specifications

user's view:



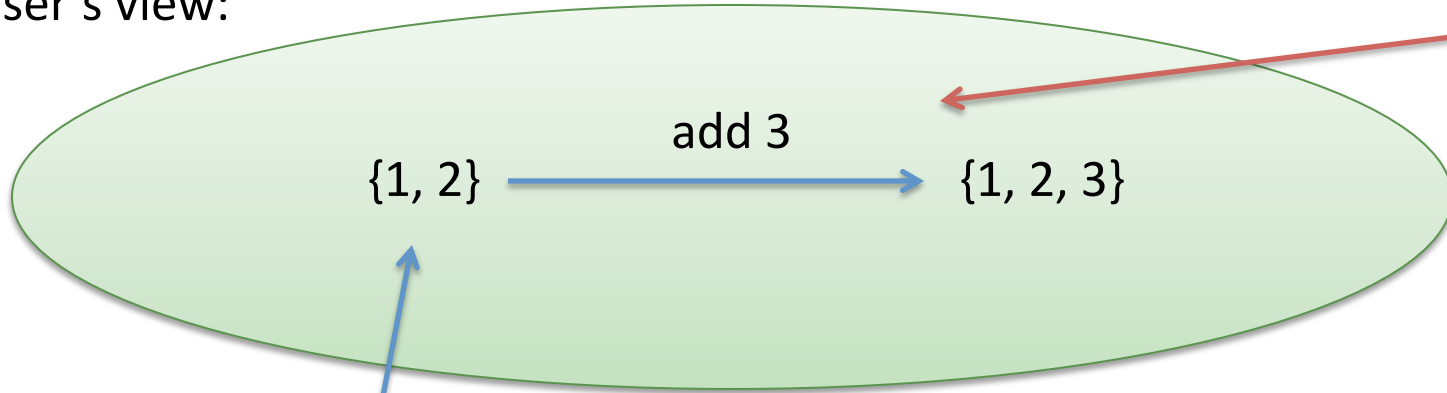
a specification tells us what operations on abstract values do

implementation view:



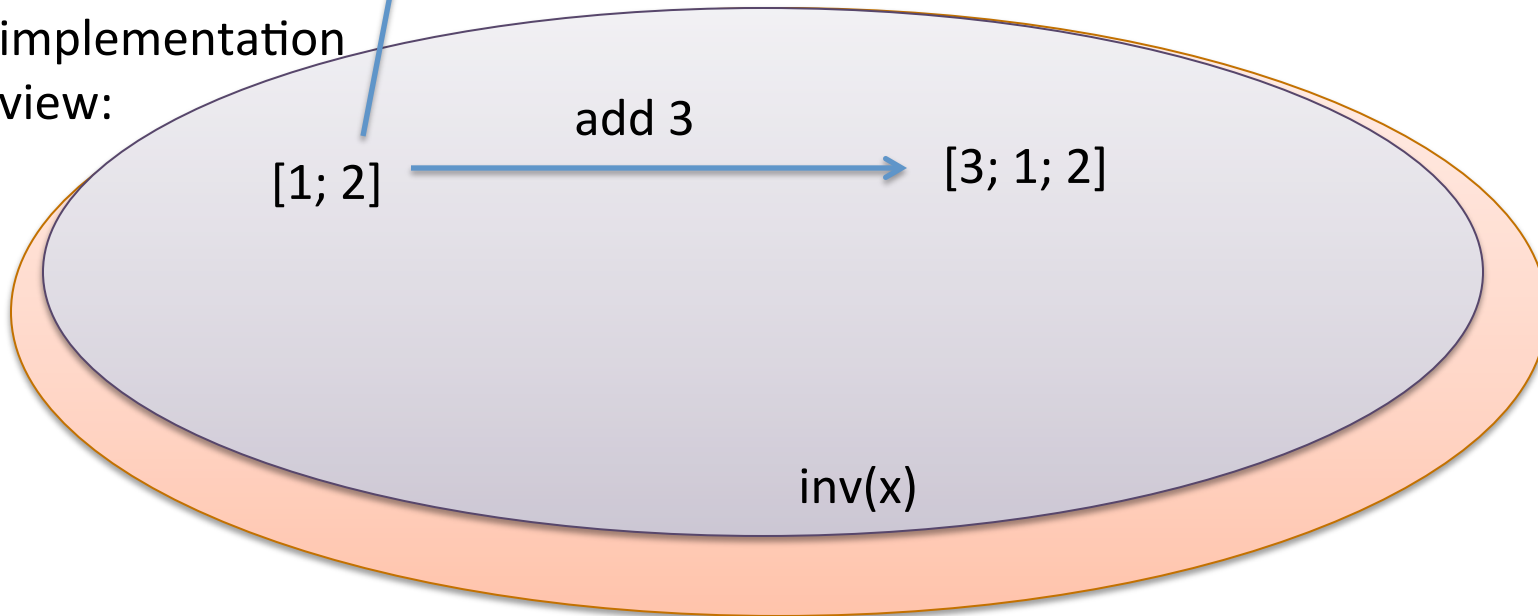
Specifications

user's view:



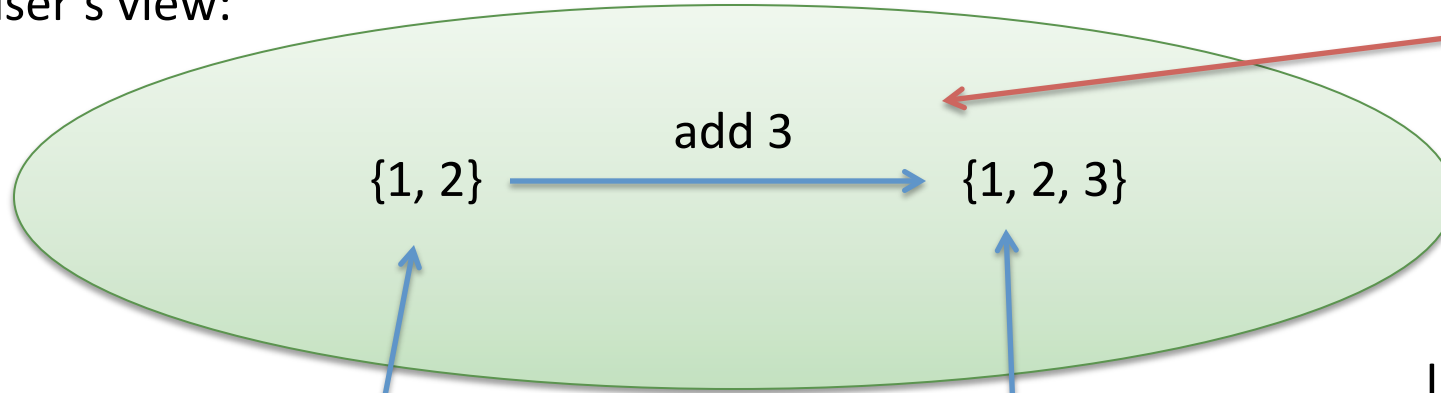
a specification tells us what operations on abstract values do

implementation view:



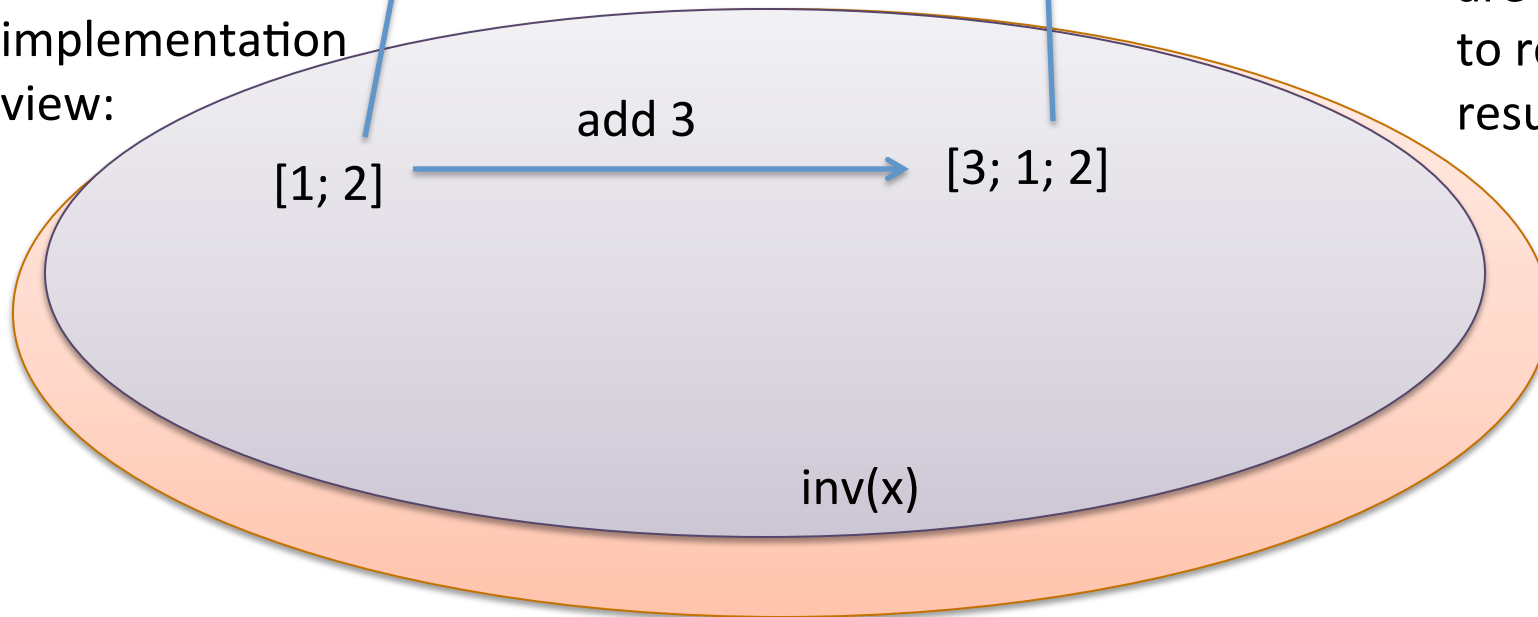
Specifications

user's view:



a specification tells us what operations on abstract values do

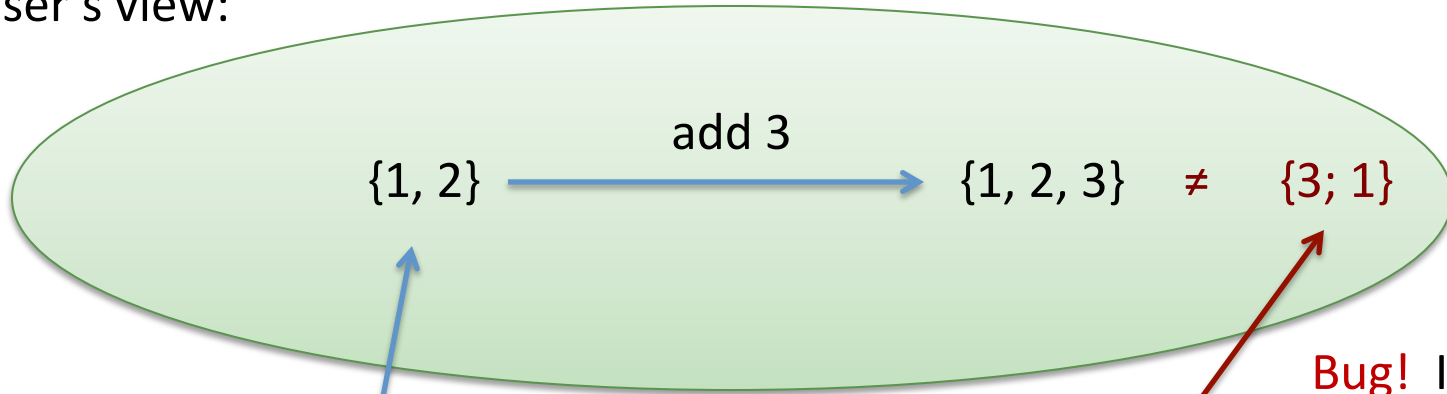
implementation view:



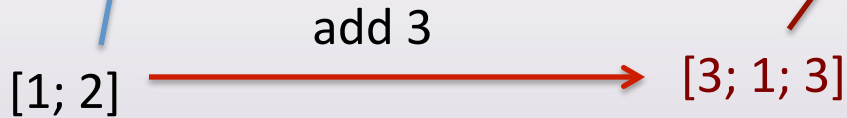
In general: related arguments are mapped to related results

Specifications

user's view:



implementation view:



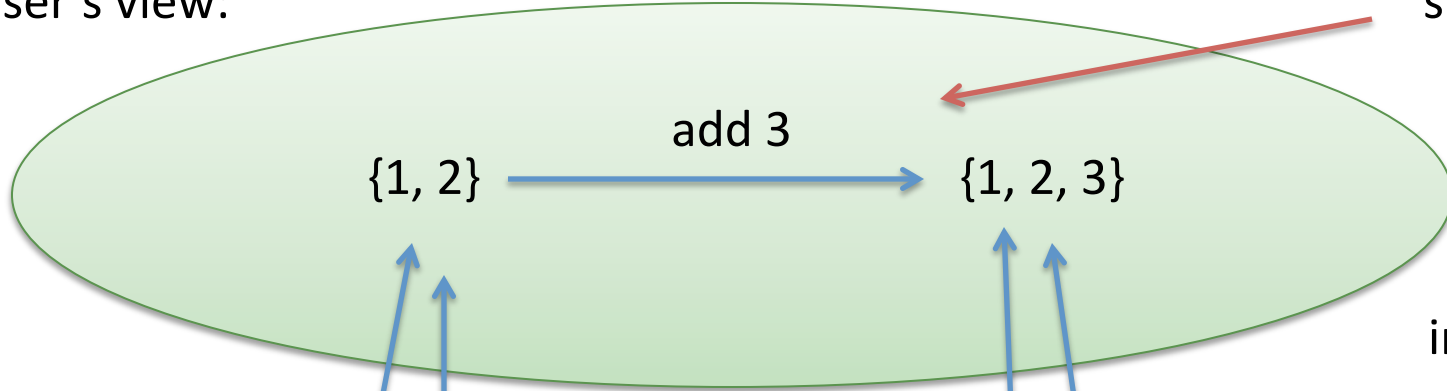
Bug! Implementation does not correspond to the correct abstract value!

$inv(x)$

Specifications

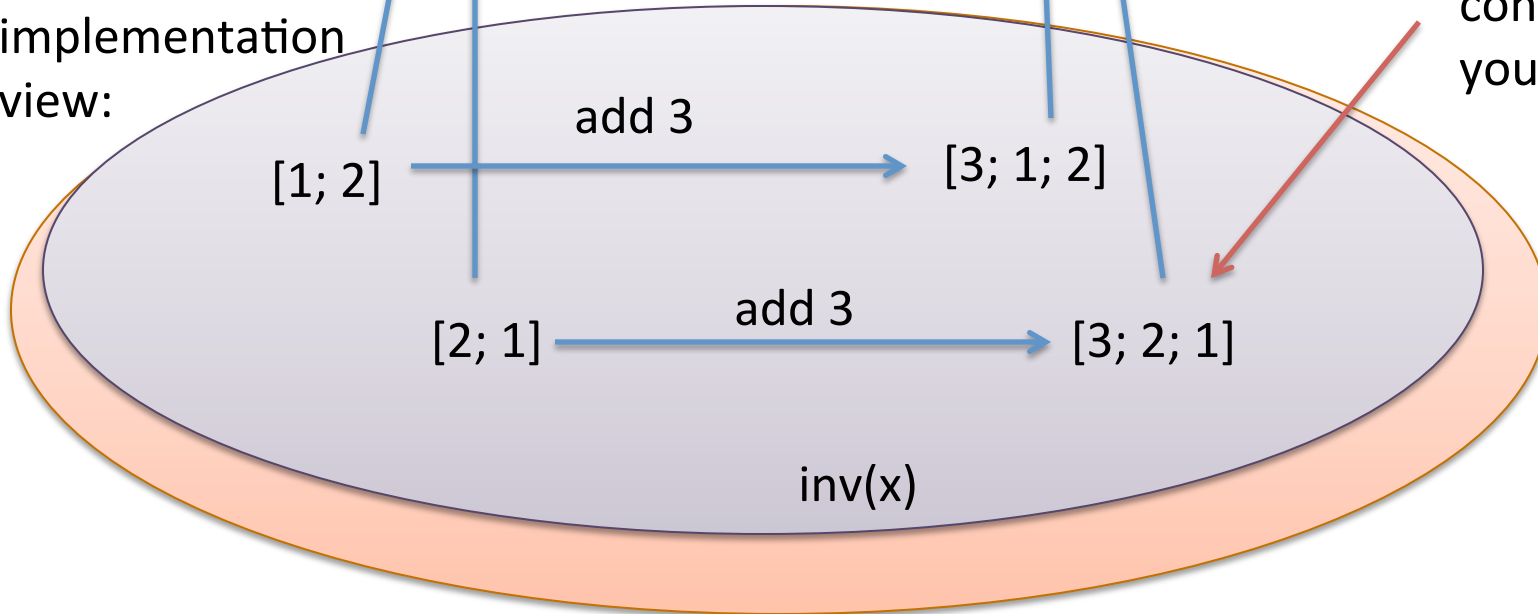
user's view:

specification

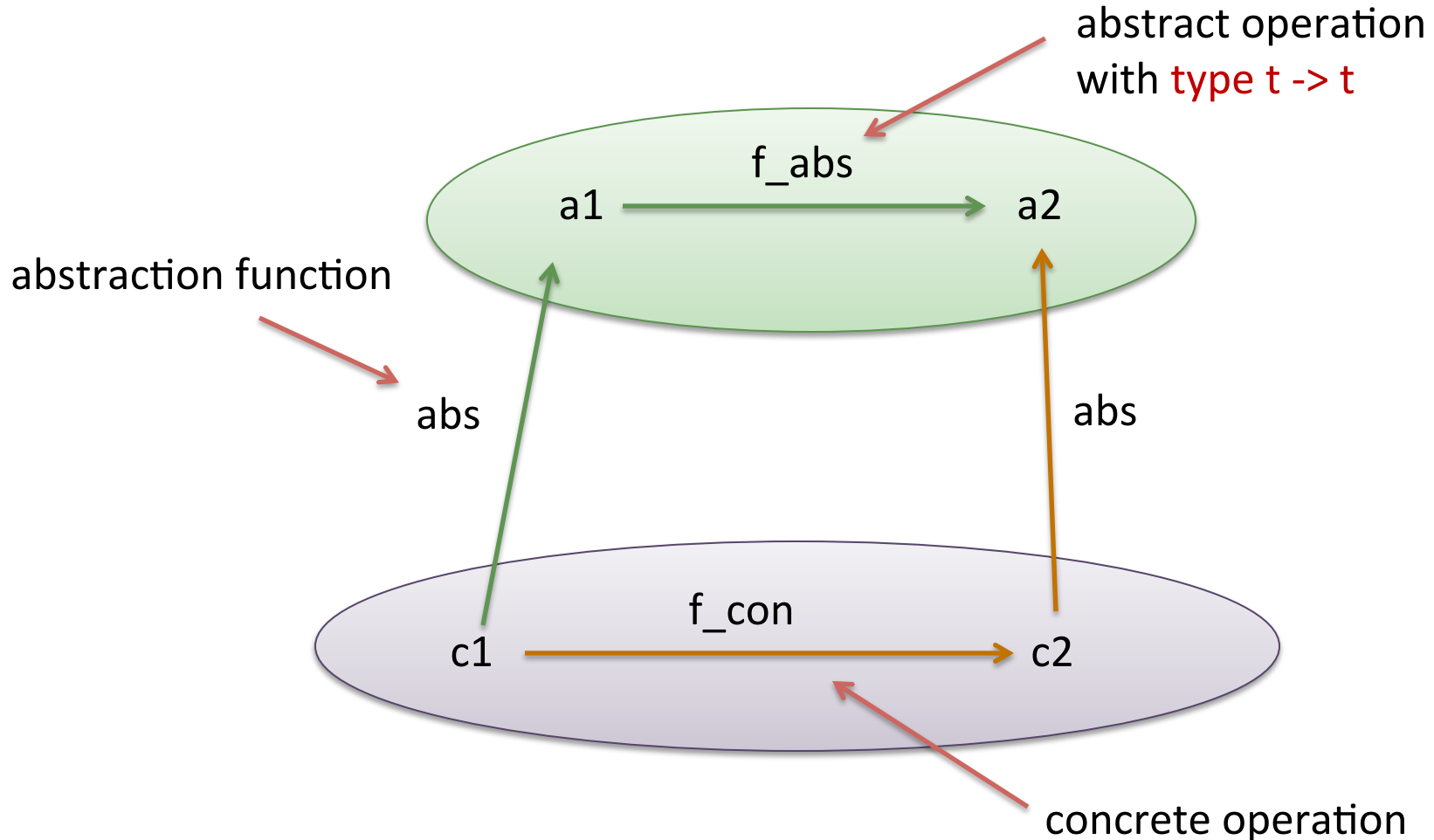


implementation view:

implementation must correspond no matter which concrete value you start with



A more general view



to prove:

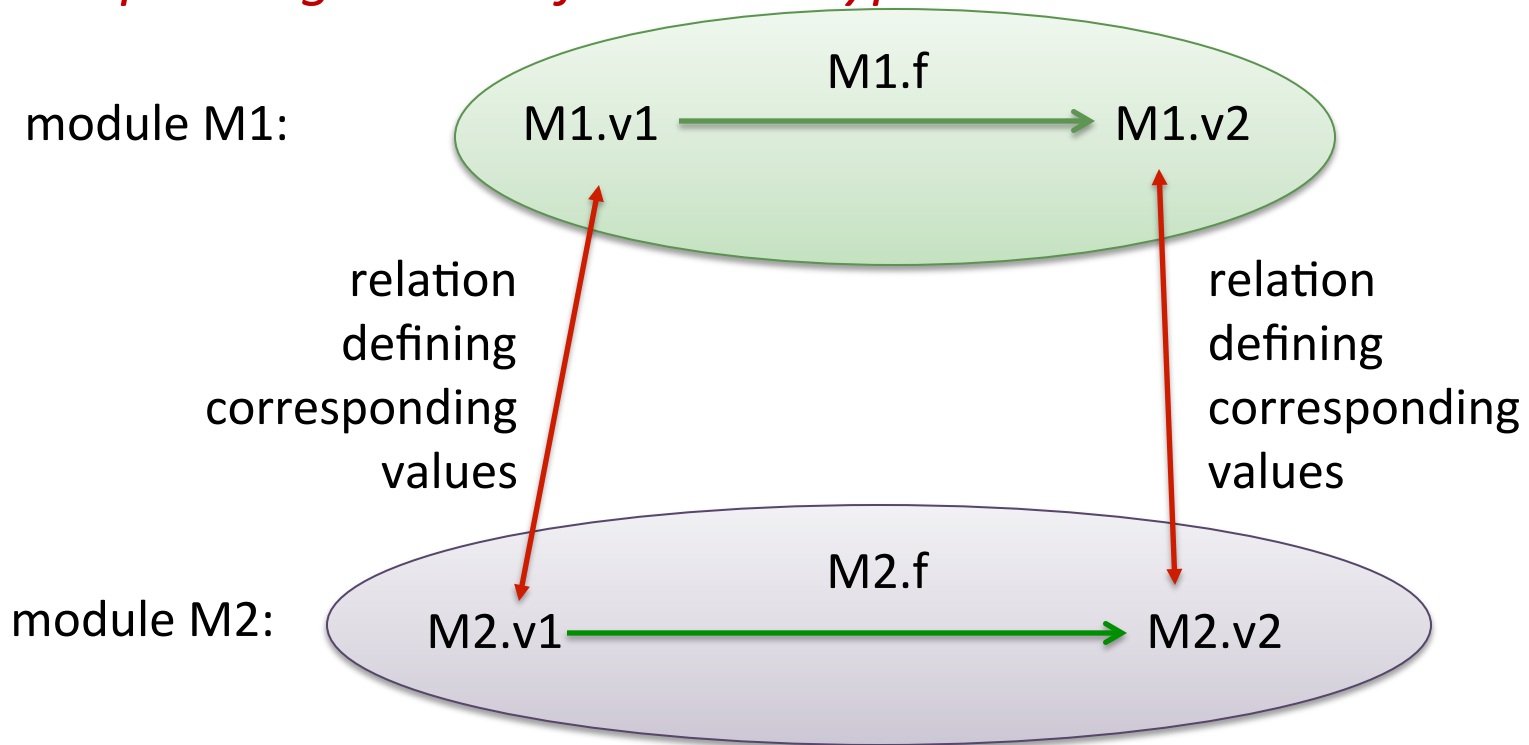
for all $c1:t$, if $\text{inv}(c1)$ then $f_abs (\text{abs } c1) == \text{abs } (f_con \ c1)$

abstract then apply the abstract op == apply concrete op then abstract

Another Viewpoint

A specification is really just another implementation (in this viewpoint)
– but it's often simpler (“more abstract”)

We can use similar ideas to compare *any two implementations of the same signature*. Just come up with a relation between corresponding values of abstract type.



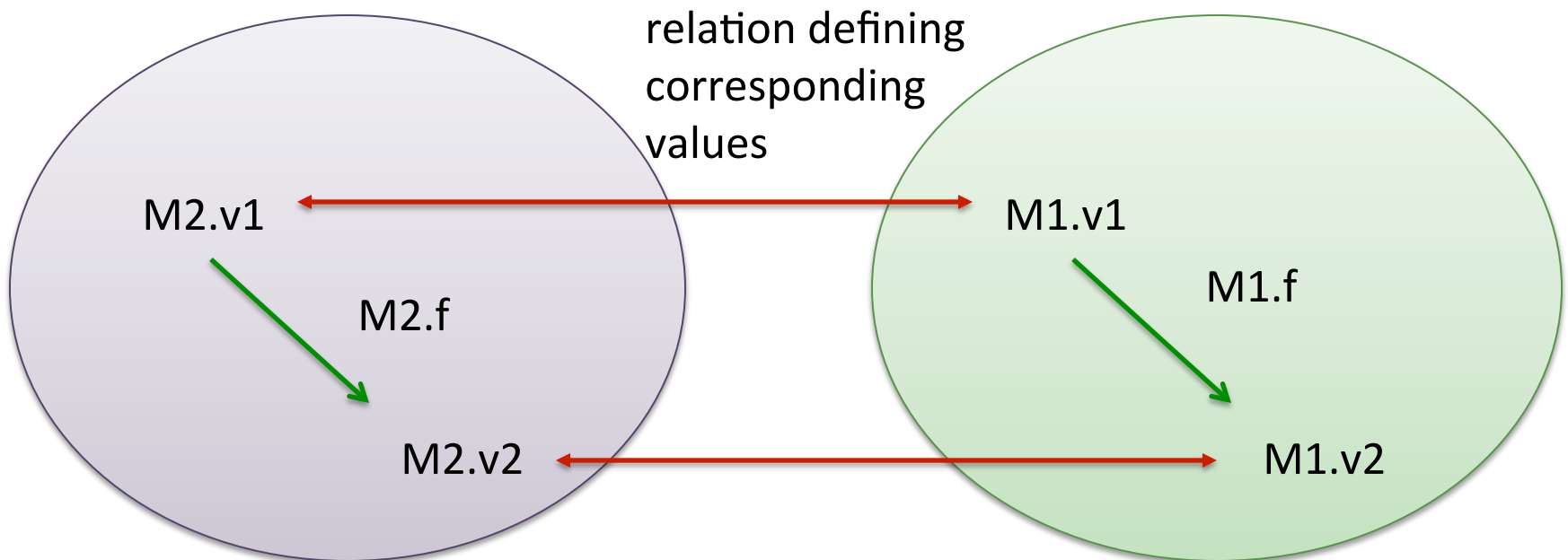
We ask: Do operations like *f* take related arguments to related results?

What is a specification?

It is really just another implementation

- but it's often simpler (“more abstract”)

We can use similar ideas to compare *any two implementations of the same signature*. *Just come up with a relation between corresponding values of abstract type.*



One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider a client that might use the module:

```
let x1 = M1.bump (M1.bump (M1.zero))
```

```
let x2 = M2.bump (M2.bump (M2.zero))
```

What is the relationship?

```
is_related (x1, x2) =  
  x1 == x2/2 - 1
```

And it persists: Any sequence of operations produces related results from M1 and M2!

How do we prove it?

One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Recall: A representation invariant is a property that holds for all values of abs. type:

- if **M.v** has **abstract type t**,
 - we want **inv(M.v)** to be true

Inter-module relations are a lot like representation invariants!

- if **M1.v** and **M2.v** have **abstract type t**,
 - we want **is_related(M1.v, M2.v)** to be true

It's just
a relation
between
two modules
instead of
one

One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Recall: To prove a rep. inv., assume it holds on inputs & prove it holds on outputs:

- if **M.f has type $t \rightarrow t$** , we prove that:
 - if **$inv(v)$** then **$inv(M.f v)$**

Likewise for inter-module relations:

- if **M1.f** and **M2.f** have type **$t \rightarrow t$** , we prove that:
 - if **$is_related(v1, v2)$** then
 - **$is_related(M1.f v1, M2.f v2)$**

related functions
produce related results
from related arguments



One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider zero, which has abstract type t.

Must prove: `is_related (M1.zero, M2.zero)`

Equivalent to proving: `M1.zero == M2.zero/2 - 1`

Proof:

```
M1.zero  
== 0                (substitution)  
== 2/2 - 1         (math)  
== M2.zero/2 - 1  (substitution)
```

```
is_related (x1, x2) =  
x1 == x2/2 - 1
```

One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider bump, which has abstract type $t \rightarrow t$.

Must prove for all $v1:int, v2:int$

if $is_related(v1,v2)$ then $is_related(M1.bump\ v1, M2.bump\ v2)$

Proof:

(1) Assume $is_related(v1, v2)$.

(2) $v1 == v2/2 - 1$ (by def)

Next, prove:

$(M2.bump\ v2)/2 - 1 == M1.bump\ v1$

$(M2.bump\ v2)/2 - 1$

$== (v2 + 2)/2 - 1$

$== (v2/2 - 1) + 1$

$== v1 + 1$

$== M1.bump\ v1$

(eval)

(math)

(by 2)

(eval, reverse)

$is_related(x1, x2) =$
 $x1 == x2/2 - 1$

One Signature, Two Implementations

```
module type S =  
  sig  
    type t  
    val zero : t  
    val bump : t -> t  
    val reveal : t -> int  
  end
```

```
module M1 : S =  
  struct  
    type t = int  
    let zero = 0  
    let bump n = n + 1  
    let reveal n = n  
  end
```

```
module M2 : S =  
  struct  
    type t = int  
    let zero = 2  
    let bump n = n + 2  
    let reveal n = n/2 - 1  
  end
```

Consider reveal, which has abstract type $t \rightarrow \text{int}$.

Must prove for all $v1:\text{int}$, $v2:\text{int}$

if $\text{is_related}(v1,v2)$ then $\text{M1.reveal } v1 == \text{M2.reveal } v2$

$\text{is_related } (x1, x2) =$
 $x1 == x2/2 - 1$

Proof:

(1) Assume $\text{is_related}(v1, v2)$.

(2) $v1 == v2/2 - 1$ (by def)

Next, prove:

$(\text{M2.reveal } v2 == \text{M1.reveal } v1)$

$(\text{M2.reveal } v2)$

$== v2/2 - 1$

$== v1$

$== \text{M1.reveal } v1$

(eval)

(by 2)

(eval, reverse)

Summary of Proof Technique

To prove $M1 == M2$ relative to signature S ,

- Start by defining a relation “**is_related**”:
 - **is_related** ($v1, v2$) should hold for values with abstract type t when $v1$ comes from module $M1$ and $v2$ comes from module $M2$
- Extend “**is_related**” to types other than just abstract t . For example:
 - if $v1, v2$ have type **int**, then they must be exactly the same
 - ie, we must prove: $v1 == v2$
 - if $v1, v2$ have type **$s1 \rightarrow s2$** then we consider $arg1, arg2$ such that:
 - if **is_related**($arg1, arg2$) then we prove
 - **is_related**($v1\ arg1, v2\ arg2$)
 - if $v1, v2$ have type **$s\ option$** then we must prove:
 - $v1 == None$ and $v2 == None$, or
 - $v1 == Some\ u1$ and $v2 == Some\ u2$ and **is_related**($u1, u2$) at type s
- For each **val $v:s$** in S , prove **is_related**($M1.v, M2.v$) at type s