

Three reasons to study program performance

- 1. To predict program behavior
- Will my program finish?
- When will my program finish?
- 2. To compare algorithms and implementations.
- Will this change make my program faster?
- How can I make my program faster?
- 3. To develop a basis for understanding the problem and for designing new algorithms
- · Enables new technology.
- Enables new research.

An *algorithm* is a method for solving a problem that is suitable for implementation as a computer program.



We study several algorithms later in this course.

Taking more CS courses? You'll learn dozens of algorithms. 5

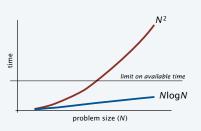
An algorithm design success story

N-body simulation

- Goal: Simulate gravitational interactions among N bodies.
- Brute-force algorithm uses N^2 steps per time unit.
- Issue (1970s): Too slow to address scientific problems of interest.
- Success story: Barnes-Hut algorithm uses NlogN steps and enables new research.



PU '81 senior thesis





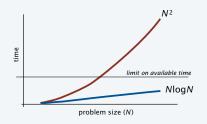
Another algorithm design success story

Discrete Fourier transform

- Goal: Break down waveform of N samples into periodic components.
- Applications: digital signal processing, spectroscopy, ...
- Brute-force algorithm uses N^2 steps.
- Issue (1950s): Too slow to address commercial applications of interest.
- Success story: FFT algorithm uses NlogN steps and enables new technology.



ohn Tukey







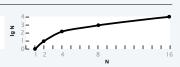
is the number x satisfying $2^x = N$.

Def. The binary logarithm of a number N (written lg N)

Quick aside: binary logarithms

Q. How many recursive calls for convert(N)?

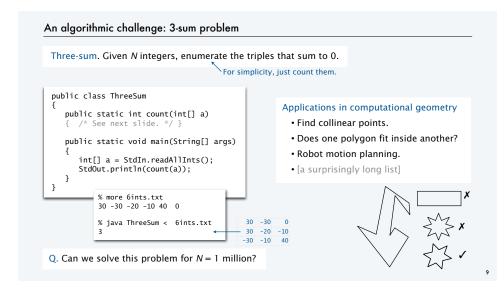
public static String convert(int N) {
 if (N == 1) return "1";
 return convert(N/2) + (N % 2);

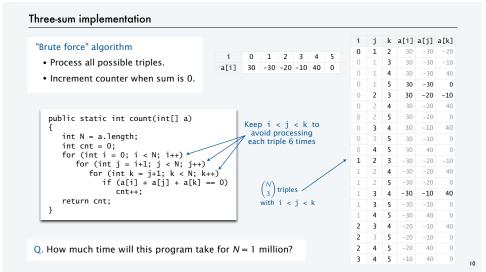


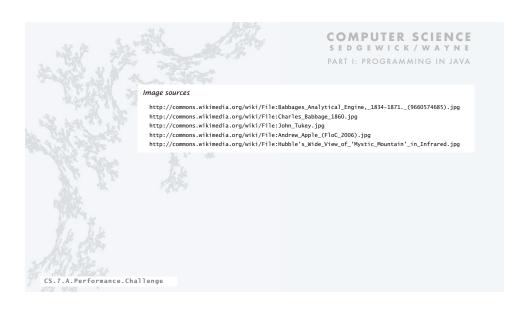
Frequently encountered values

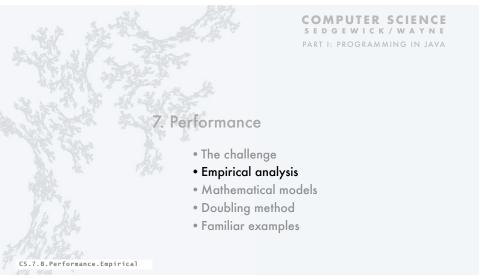
N	approximate value	lg <i>N</i>	log ₁₀ N
210	1 thousand	10	3.01
220	1 million	20	6.02
230	1 billion	30	9.03

- A. Largest integer less than or equal to $\lg N$ (written $\lfloor \lg N \rfloor$). \leftarrow Prove by induction. Details in "sorting a
- Fact. The number of bits in the binary representation of N is $1 + \lfloor \lg N \rfloor$.
- Fact. Binary logarithms arise in the study of algorithms based on recursively solving problems half the size (*divide-and-conquer algorithms*), like convert, FFT and Barnes-Hut.









A first step in analyzing running time Find representative inputs • Option 1: Collect actual input data. • Option 2: Write a program to generate representative inputs. Input generator for ThreeSum % java Generator 1000000 10 public class Generator -807569 % java Generator 10 10 -425582 { // Generate N integers in [-M, M) 594752 public static void main(String[] args) 600579 -483784 int M = Integer.parseInt(args[0]); -861312 -2 int N = Integer.parseInt(args[1]); -690436 -10 -732636 for (int i = 0; i < N; i++) 360294 StdOut.println(StdRandom.uniform(-M. M)): not much chance of a 3-sum good chance of a 3-sum 13

Empirical analysis

Run experiments

- Start with a moderate input size N.
- Measure and record running time.
- Double input size N.
- · Repeat.
- · Tabulate and plot results.

log problem size (lg N)

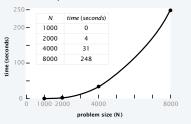
Run experiments

% java Generator 1000000 1000 | java ThreeSum
59 (0 seconds)
% java Generator 1000000 2000 | java ThreeSum
522 (4 seconds)
% java Generator 1000000 4000 | java ThreeSum

3992 (31 seconds) % java Generator 1000000 8000 | java ThreeSum 31903 (248 seconds) Replace println() in ThreeSum With this code.

double start = System.currentTimeMillis() / 1000.0; int cnt = count(a); double now = System.currentTimeMillis() / 1000.0; StdOut.printf("%d (%.0f seconds)\n", cnt, now - start);

Tabulate and plot results



Aside: experimentation in CS
is virtually free, particularly by comparison with other sciences.

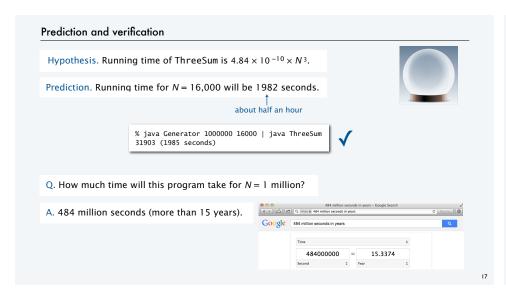
one million experiments

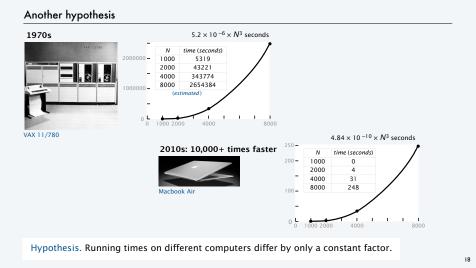
one million experiments

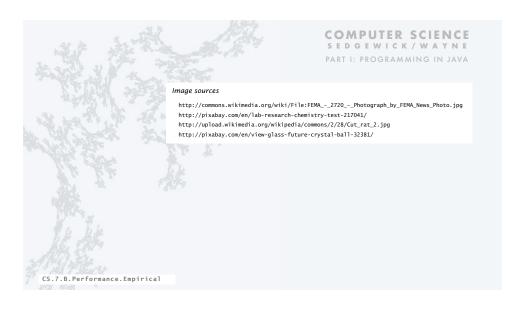
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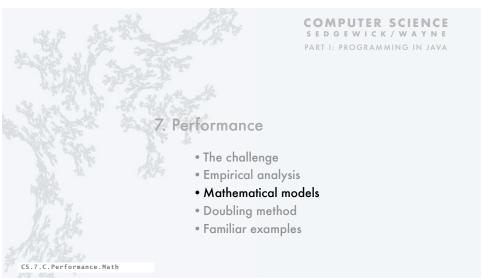
Data analysis Curve fitting $4.84 \times 10^{-10} \times N^3$ • Plot on log-log scale. 1000 0.5 • If points are on a straight line (often the case), a 2000 power law holds—a curve of the form aNb fits. 31 12 5 4000 31 • The exponent b is the slope of the line. 8000 248 13 248 • Solve for a with the data. Do the math log-log plot x-intercept (use lg in anticipation of next step) $\lg T_N = \lg \tilde{a} + 3 \lg N$ equation for straight line of slope 3 3 $T_N = aN^3$ raise 2 to a power of both sides time (lg straight line of slope 3 $248 = a \times 8000^3$ substitute values from experiment $a = 4.84 \times 10^{-10}$ solve for a $T_N = 4.84 \times 10^{-10} \times N^3$ substitute

a curve that fits the data?









Mathematical models for running time

- Q. Can we write down an accurate formula for the running time of a computer program?
- A. (Prevailing wisdom, 1960s) No, too complicated.
- A. (D. E. Knuth, 1968-present) Yes!
- Determine the set of operations.
- Find the *cost* of each operation (depends on computer and system software).
- Find the *frequency of execution* of each operation (depends on algorithm and inputs).
- Total running time: sum of cost × frequency for all operations.









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Warmup: 1-sum

```
public static int count(int[] a)
{
   int N = a.length;
   int cnt = 0;
   for (int i = 0; i < N; i++)
        if (a[i] == 0)
        cnt++;
   return cnt;
}</pre>
```

Note that frequency of increments depends on input.

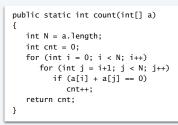
operation cost frequency function call/return 20 ns variable declaration 2 ns 2 assignment 1 *ns* 2 less than compare 1/2 ns N + 11/2 ns Ν equal to compare 1/2 ns array access increment 1/2 ns between N and 2N

> representative estimates (with some poetic license); knowing exact values may require study and experimentation.

Q. Formula for total running time?

A. cN + 26.5 nanoseconds, where c is between 2 and 2.5, depending on input.

Warmup: 2-sum



operation	cost	frequency
function call/return	20 ns	1
variable declaration	2 ns	N + 2
assignment	1 <i>ns</i>	N + 2
less than compare	1/2 ns	(N+1)(N+2)/2
equal to compare	1/2 ns	N (N - 1)/2
array access	1/2 ns	N (N – 1)
increment	1/2 ns	between N (N + 1)/2 and N2

exact counts tedious to derive

i < j =
$$\binom{N}{2} = \frac{N(N-1)}{2}$$

Q. Formula for total running time?

A. $c_1N^2 + c_2N + c_3$ nanoseconds, where... [complicated definitions].

Simplifying the calculations

Tilde notation

- Use only the fastest-growing term.
- Ignore the slower-growing terms.

Rationale

- When N is large, ignored terms are negligible.
- When N is small, everything is negligible.

Def.
$$f(N) \sim g(N)$$
 means $f(N)/g(N) \rightarrow 1$ as $N \rightarrow \infty$
Ex. $5/4 N^2 + 13/4 N + 53/2 \sim 5/4 N^2$

$$1,250,000$$
for $N = 1,000$
within .3%

Q. Formula for 2-sum running time when count is not large (typical case)?

A. $\sim 5/4 N^2$ nanoseconds.

eliminate dependence on input

Mathematical model for 3-sum

```
public static int count(int[] a)
{
   int N = a.length;
   int cnt = 0;
   for (int i = 0; i < N; i++)
        for (int j = i+1; j < N; j++)
        for (int k = j+1; k < N; k++)
            if (a[i] + a[j] + a[k] == 0)
            cnt++;
   return cnt;
}</pre>
```

operation	cost	frequency
function call/return	20 ns	1
variable declaration	2 ns	~N
assignment	1 <i>ns</i>	~N
less than compare	1/2 ns	~N³/6
equal to compare	1/2 ns	~N³/6
array access	1/2 ns	~N³/2
increment	1/2 ns	~N³/6

i < j < k =
$$\binom{N}{3}$$
 = $\frac{N(N-1)(N-2)}{6}$ $\sim \frac{N^3}{6}$ assumes could is not large

Q. Formula for total running time when return value is not large (typical case)?

A. ~ $N^3/2$ nanoseconds.

✓ ← matches $4.84 \times 10^{-10} \times N^3$ empirical hypothesis

Context

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Scientific method

- · Observe some feature of the natural world.
- · Hypothesize a model consistent with observations.
- Predict events using the hypothesis.
- Verify the predictions by making further observations.
- Validate by refining until hypothesis and observations agree.







John Stuar

Empirical analysis of programs

- "Feature of natural world" is time taken by a program on a computer.
- Fit a curve to experimental data to get a formula for running time as a function of *N*.
- Useful for predicting, but not explaining.

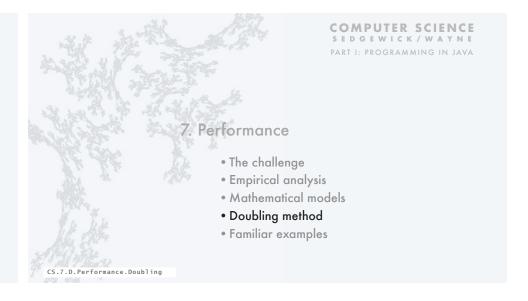
Mathematical analysis of algorithms

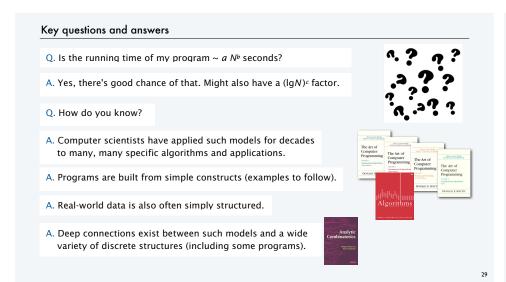
- Analyze *algorithm* to develop a formula for running time as a function of *N*.
- Useful for predicting and explaining.
- · Might involve advanced mathematics.
- · Applies to any computer.

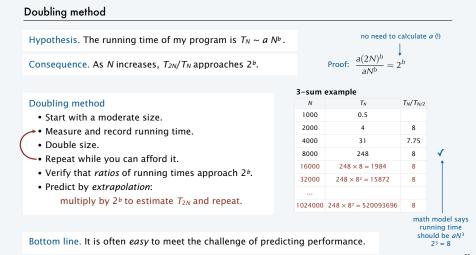
Good news. Mathematical models are easier to formulate in CS than in other sciences.

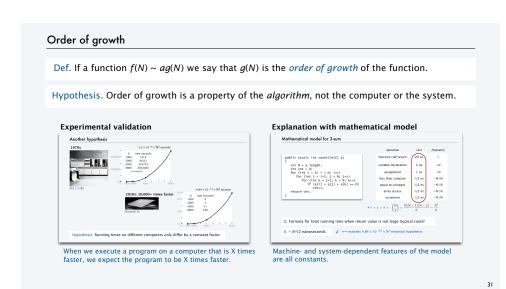
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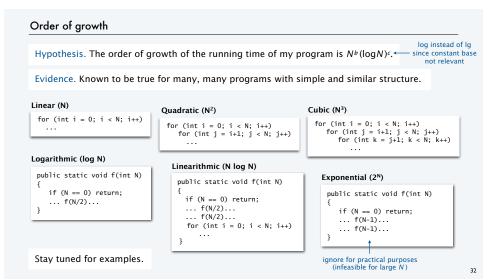
Image sources http://commons.wikimedia.org/wiki/File:KnuthAtOpenContentAlliance.jpg http://commons.wikimedia.org/wiki/File:Forns_Hals__Portret_van_René_Descartes.jpg http://commons.wikimedia.org/wiki/File:John_Stuart_Mill_by_London_Stereoscopic_Company,_c1870.jpg CS.7.C.Performance.Math





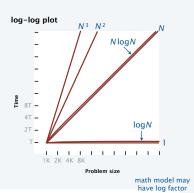






Order of growth classifications

order of growth		slope of line in	factor for doubling
description	function	log-log plot (b)	method (2 ^b)
constant	1	0	1
logarithmic	logN	0	1
linear	N	1	2
linearithmic	N logN	1	2
quadratic	N ²	2	4
cubic	N ³	3	8
			nput size doul



If math model gives order of growth, use doubling method to validate 2^b ratio.

If not, use doubling method and solve for $b = \lg(T_N/T_{N/2})$ to estimate order of growth to be N^b .

by this factor

An important implication

Moore's Law. Computer power increases by a roughly a factor of 2 every 2 years.

Q. My problem size also doubles every 2 years. How much do I need to spend to get my job done?

a very common situation: weather prediction, transaction processing, cryptography...

Do the math

$T_N = aN^3$	running time today
$T_{2N} = (a/2)(2N)^3$	running time in 2 years
$= 4aN^3$	
$=4T_N$	

	now	2 years from now	4 years from now	2M years from now
N	\$X	\$X	\$X	 \$X
N logN	\$X	\$X	\$X	 \$X
N ²	\$X	\$2X	\$4X	 \$2 ^M X
N ³	\$X	(\$4X)	\$16X	 \$4 ^M X

A. You can't afford to use a quadratic algorithm (or worse) to address increasing problem sizes.

Meeting the challenge



Mine, too. I'm going to run a doubling experiment.

The experiment showed my program to have a higher order of growth than I expected. I found and fixed the bug.

Time for some pizza!



Doubling experiments provide good insight on program performance

My program is taking too

- Best practice to plan realistic experiments for debugging, anyway.
- Having some idea about performance is better than having no idea.
- Performance matters in many, many situations.

Caveats

It is *sometimes* not so easy to meet the challenge of predicting performance.

running on my computer!

Your input model is too simple:
My real input data is
completely different.

There are many other apps

We need more terms in the math model: $N \lg N + 100N$?

What happens when the leading term oscillates?

Where's the log factor

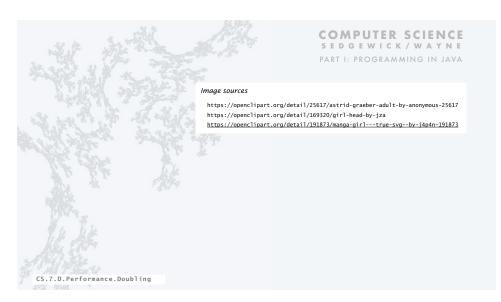


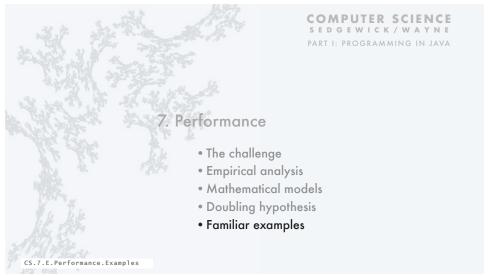
Your machine model is too simple: My computer has parallel processors and a cache.

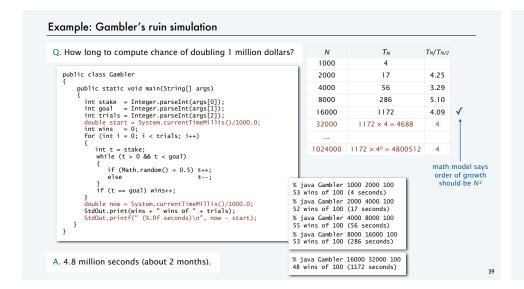
 $\frac{a(2N)^{b}(\lg(2N))^{c}}{aN^{b}(\lg N)^{c}} = 2^{b} \left(1 + \frac{1}{(\lg N)}\right)^{c}$



Good news. Doubling method is *robust* in the face of many of these challenges.







Pop quiz on performance

Q. Let T_N be the running time of program Mystery and consider these experiments:

N	T_N (in seconds)	$T_N/T_{N/2}$
1000	5	
2000	20	4
4000	80	4
8000	320	4

Q. Predict the running time for N = 64,000.

Q. Estimate the order of growth.

Pop quiz on performance

Q. Let T_N be the running time of program Mystery and consider these experiments.

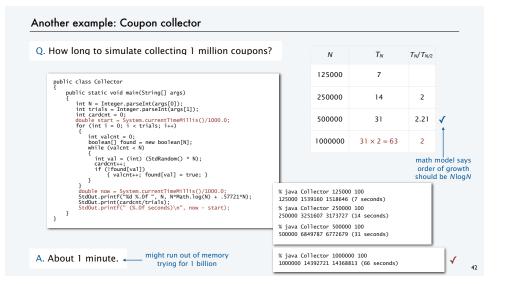
Q. Predict the running time for N = 64,000.

A. 20480 seconds.

Q. Estimate the order of growth.

A. N^2 , since $\lg 4 = 2$.

```
Ν
            T_N (in seconds)
                               T_N/T_{N/2}
1000
                   5
2000
                   20
4000
                  80
                                  4
8000
                  320
                                  4
16000
            320 \times 4 = 1280
32000
           1280 \times 4 = 5120
64000
           5120 \times 4 = 20480
```



Analyzing typical memory requirements

A bit is 0 or 1 and the basic unit of memory.

1 *megabyte* (MB) is about 1 million bytes. 1 *gigabyte* (GB) is about 1 billion bytes.

A byte is eight bits — the smallest addressable unit.

Primitive-type values

type	bytes	
boolean	1	□ ← Note: not 1 bit
char	2	
int	4	
float	4	
long	8	
double	8	

System-supported data structures (typical)

type	bytes
int[N]	4N + 16
double[N]	8 <i>N</i> + 16
int[N][N]	$4N^2 + 20N + 16 \sim 4N^2$
double[N][N]	$8N^2 + 20N + 16 \sim 8N^2$
String	2 <i>N</i> + 40

Example. 2000-by-2000 double array uses ~32MB.

Summary

Use computational experiments, mathematical analysis, and the *scientific method* to learn whether your program might be useful to solve a large problem.

