COMPUTER SCIENCE S E D G E W I C K / W A Y N E

Computer Science

Including Programming in Java

An Interdisciplinary Approach

Robert Sedgewick • Kevin Wayne

12. von Neumann Machines

Sections 5.1-4

http://introcs.cs.princeton.edu

COMPUTER SCIENCE S E D G E W I C K / W A Y N E

12. von Neumann machines

• Perspective

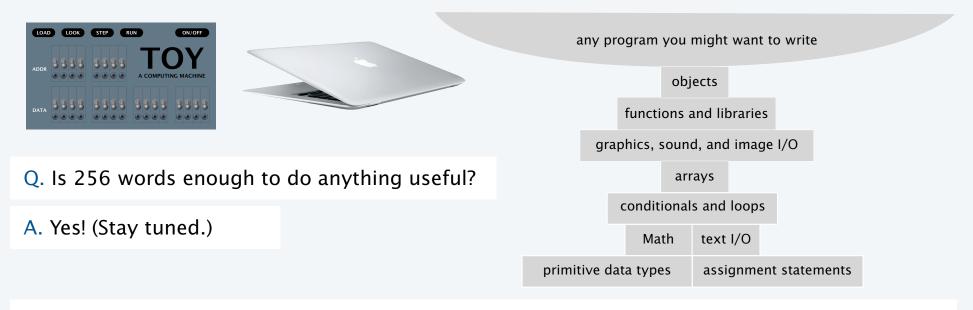
- A note of caution
- Practical implications
- Simulation

CS.12.A.MachineII.Perspective

TOY vs. your laptop

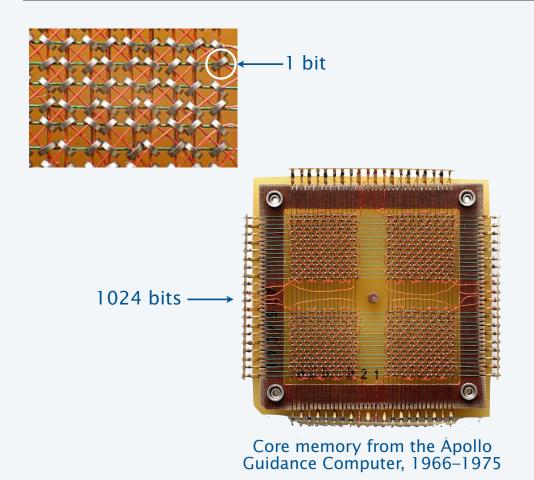
Two different computing machines

- Both implement basic data types, conditionals, loops, and other low-level constructs.
- Both can have arrays, functions, libraries, and other high-level constructs.
- Both have infinite input and output streams.



OK, we definitely want a faster version with more memory when we can afford it...

Is 4096 bits of memory enough to do anything useful?





Is thousands of bits of memory enough to do anything useful?

LINC computer, MIT 12×2048 = 24576 bits of memory Used for many biomedical and other experiments



Prof. Clark's father, 1963



Prof. Clark and his father, 2013

Is 4096 bits enough to do anything useful?

Contents of memory, registers, and PC at a particular time

- Provide a record of what a program has done.
- Completely determines what the machine will do.

Total number of bits in the state of the machine

- 256 × 16 (memory)
- 16×16 (registers)
- 8 (PC)

Total number of different states: **24360** (!!!)

Total number of different states that could be observed *if the universe were fully packed with laptops examining states for its entire lifetime*: << 2⁴⁰⁰.

Bottom line: We will never know what a 256-word machine can do.



Estimates	
Age of the universe:	2 ³⁴ years
Size of the universe:	2 ²⁶⁷ cubic meters
Laptops per cubic meter:	214
States per year:	2 ⁶⁰

An early computer

ENIAC. Electronic Numerical Integrator and Calculator

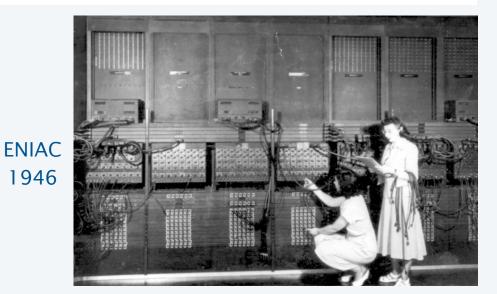
- First widely known general purpose electronic computer.
- Conditional jumps, programmable, but *no memory*.
- Programming: Change switches and cable connections.
- Data: Enter numbers using punch cards.





John W. Mauchly 1907-1980

J. Presper Eckert 1919–1995



Facts and figures 30 tons 30 x 50 x 8.5 ft 17,468 vacuum tubes 300 multiply/sec



A bit

A famous memo

First Draft of a report to the EDVAC, 1945

- Written by John von Neumann, Princeton mathematician
- EDVAC: second computer proposed by Eckert and Mauchly.
- Memo written on a train trip to Los Alamos.
- A brilliant summation of the *stored program* concept.
- Influenced by theories of Alan Turing.
- Has influenced the design of every computer since.



ohn von Neumann 1903–1957



Who invented the stored program computer?

- Fascinating controversy.
- Eckert-Mauchly discussed the idea before von Neumann arrived on the scene.
- Goldstine circulated von Neumann's first draft because of intense interest in the idea.
- Memo placed the idea in the public domain and prevented it from being patented.
- von Neumann never took credit for the idea, but never gave credit to others, either.

Another early computer

EDSAC. Electronic Delay Storage Automatic Calculator

- Second *stored program* computer (after EDVAC).
- Data and instructions encoded in binary.
- Could load programs, not just data, into memory.
- Could change program without rewiring.



Maurice Wilkes 1913–2010



Facts and figures 512 17-bit words (8074 bits) 2 registers 16 instructions input: paper tape output: teleprinter



Implications

Stored-program (von Neumann) architecture is the basis of nearly all computers since the 1950s.

Practical implications

- Can load programs, not just data, into memory (download apps).
- Can write programs that produce programs as *output* (compilers).
- Can write programs that take programs as *input* (simulators).

Profound implications (stay tuned for theory lectures)

- TOY can solve *any problem* that *any other* computer can solve (!)
- Some problems cannot be solved by any computer at all (!!)





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12. von Neumann machines

- Perspective
- A note of caution
- Practical implications
- Simulation

CS.12.B.MachineII.Caution

An instructive scenario

Alice, a scientist, develops a procedure for her experiments.

- Uses a scientific instrument connected to a paper tape punch.
- Takes the paper tape to a *computer* to process her data.
- Uses array code from last lecture to load her data.
- Writes array-processing code that analyzes her data.
- Punches out the results on paper tape to save them.





Arrays example: Read an array from standard input (continued from last lecture)

		Re	egis	ter t			Men													
		А	6	5	4	3	2	1	0		80	0	0	01						
		В	0	1	2	3	4	5	6					02	_					
		C		1	2	3	5	8	D					03	_					
PC $\rightarrow 10$	7101	R1 ← 1												05	_					
11	8 A F F	RA ← N	iı	nt a	= S [†]	tdIn	.rea	ad()	;					08	_					
12	7680	R6 ← 80	a	rr =	new	int	[];							0 D	_					
13	7 B O O	RB ← 0	i	nt b	= 0	;						•	•							
14	C A 1 B	if (RA == 0) PC \leftarrow 1E	3 wł	hile	(a	!= 0) {													
15	8 C F F	read RC from stdin		int	C =	Std	In.	read	();							S	TD.	ΙN		
16	156B	$R5 \leftarrow R6 + RB$															•	•		6
17	B C O 5	mem[R5] ← RC		arr[[b] =	= с;											•		•	1
18	1 B B 1	RB ← RB + 1		b++;	;												•			2 3
19	2 A A 1	RA ← RA - 1		a;	;												•			5
1A	C 0 1 4	PC ← 14	}																	8
1B		[begin array processing c	ode]															•	13
																	•			

An instructive scenario (continued)

Alice, a scientist, develops a procedure for her experiments.

- Uses a scientific instrument connected to a paper tape punch.
- Takes the paper tape to a *computer* to process her data.
- Uses array code from last lecture to load her data.
- Writes array-processing code that analyzes her data.

Eve, a fellow scientist, runs some experiments, too.





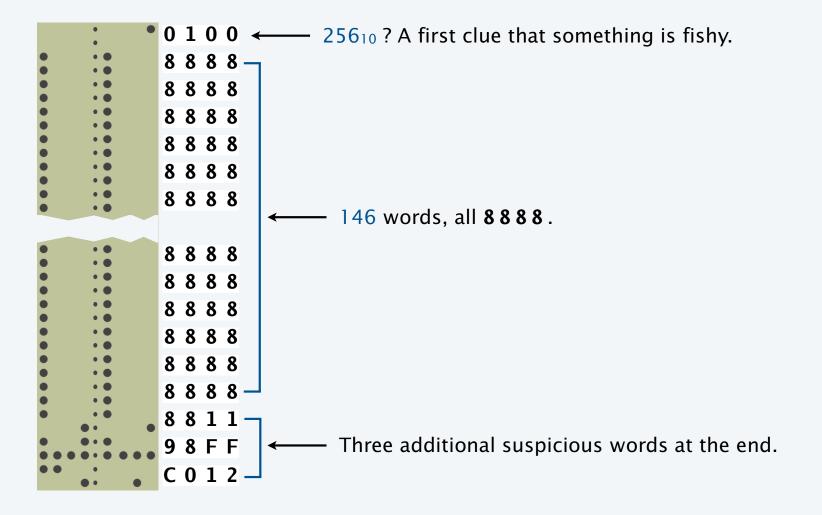
Eve





Eve's tape





What happens with Eve's tape

Not what Alice expects!

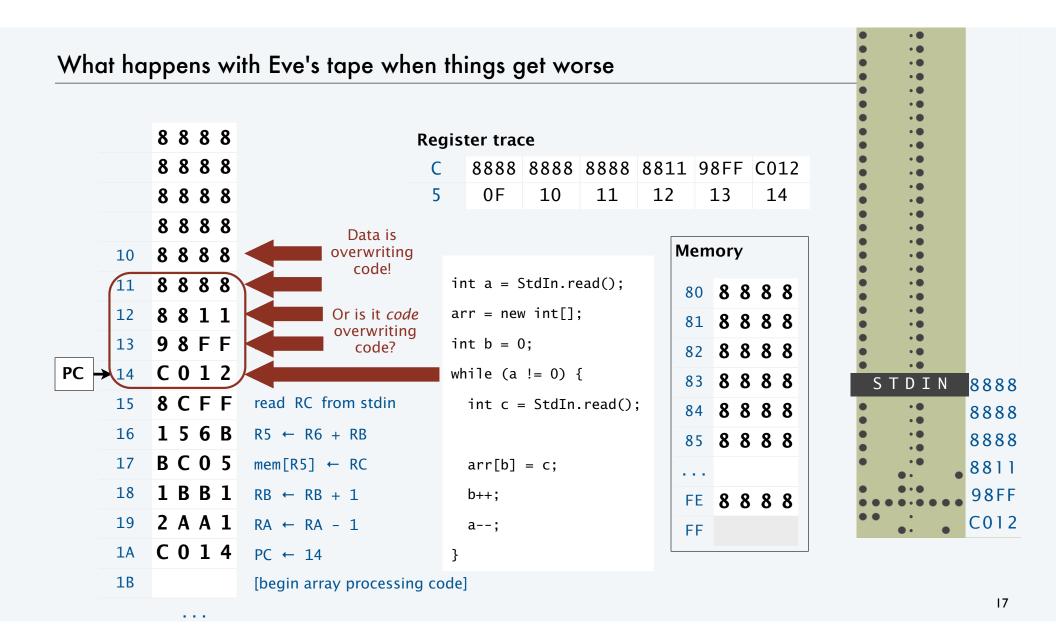
- Memory 80-FE fills with 8888.
- 8888 appears on output.
- Address overflow from FF to 00.
- Memory 00–0F is overwritten.



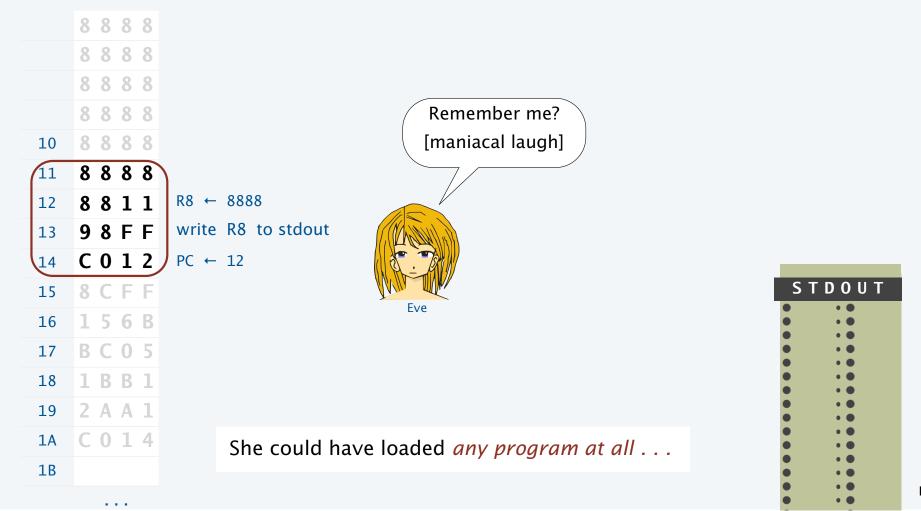
00	8	8	8	8	10)	7	1	0	1	80	8	8	8	8	F0	8	8	8	8
01	8	8	8	8	11	L	8	A	F	F	81	8	8	8	8	F1	8	8	8	8
02	8	8	8	8	12	2	7	6	8	0	82	8	8	8	8	F2	8	8	8	8
03	8	8	8	8	13	3	7	B	0	0	83	8	8	8	8	F3	8	8	8	8
04	8	8	8	8	14	1	С	A	1	B	84	8	8	8	8	F4	8	8	8	8
05	8	8	8	8	15	5	8	С	F	F	85	8	8	8	8	F 5	8	8	8	8
06	8	8	8	8	16	5	1	5	6	B	86	8	8	8	8	F6	8	8	8	8
07	8	8	8	8	17	7	В	С	0	5	 87	8	8	8	8	 . F7	8	8	8	8
80	8	8	8	8	18	3	1	В	В	1	88	8	8	8	8	F8	8	8	8	8
09	8	8	8	8	19)	2	A	A	1	89	8	8	8	8	F9	8	8	8	8
0A	8	8	8	8	1/	١	С	0	1	4	8A	8	8	8	8	FA	8	8	8	8
0B	8	8	8	8	16	3	0	0	1	0	8B	8	8	8	8	FB	8	8	8	8
0C	8	8	8	8	10	2	0	1	0	0	8C	8	8	8	8	FC	8	8	8	8
0D	8	8	8	8	1[)	1	0	0	0	8D	8	8	8	8	FD	8	8	8	8
0E	8	8	8	8	16	Ξ	0	1	0	0	8E	8	8	8	8	FE	8	8	8	8
0F	8	8	8	8	1	=	0	0	1	0	8F	8	8	8	8	FF	8	8	8	8

And then things get worse...





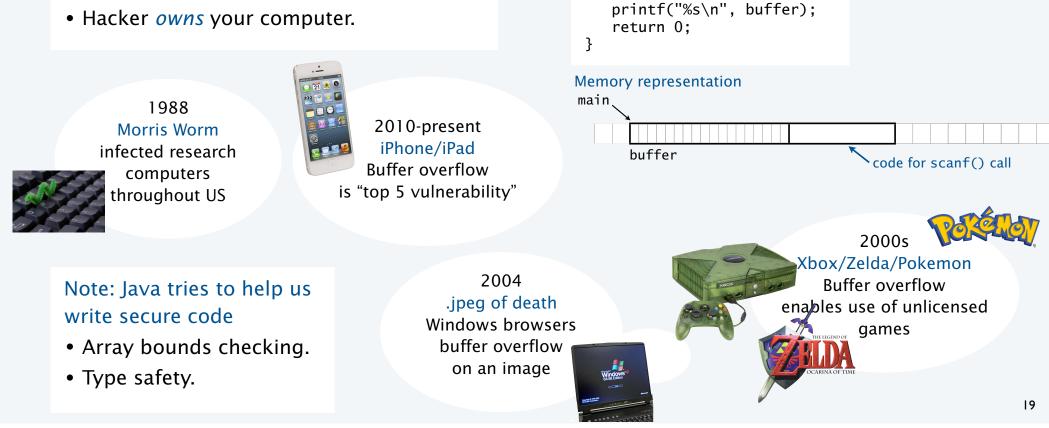
What happens when things get worse: Eve OWNS Alice's computer



Buffer overflow in the real world

C/C++/Objective C string/array overflow

- Program does not check for long string.
- Hacker puts code at end of long string.



#include <stdio.h>

char buffer[100];

scanf("%s", buffer);

- unsafe C code

int main(void)

COMPUTER SCIENCE S E D G E W I C K / W A Y N E

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CS.12.C.MachineII.Implications

Programs that process programs on TOY

von Neumann architecture

- No difference between data and instructions.
- Same word can be data one moment, an instruction the next.

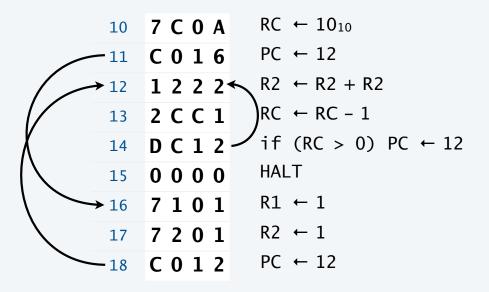
Early programmers immediately realized the advantages

- Can save programs on physical media (dump).
- Can load programs at another time (boot).
- Can develop higher-level languages (assembly language).



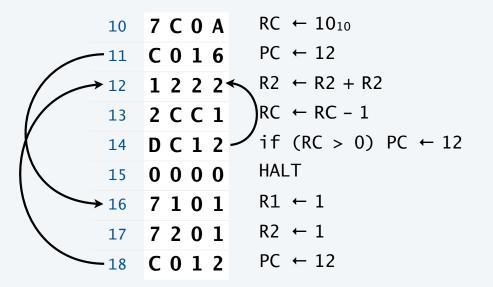
TEQ 3 on TOY

Q. What does the following program leave in R2?



TEQ 3 on TOY

Q. What does the following program leave in R2?



A. $2^{10} = 1024_{10} = 0400_{16}$. Same as TEQ 2.

Example of a patch—very common in early programming.

Dumping

- Q. How to save a program for another day?
 - Day's work represents patches and other code entered via switches.
 - Must power off (vacuum tubes can't take the heat).
- A. Write a short program to dump contents of memory to tape.
 - Key in program via switches in memory locations 00-08.

03

}

• Run it to save data/instructions in memory 10-FE.

```
Why not FF? It's StdIn/StdOut.
Why not 00-0F? Stay tuned.
```

DUMP code

00	7101	R1 ← 1
01	7210	R2 ← 10
02	73FF	R3 ← 00FF
03	A A O 2	$RA \leftarrow mem[R2]$
04	9 A F F	write RA to stdout
05	1221	R2 ← R2 + 1
06	2 4 3 2	R4 ← 00FF - R2
07	D403	if (R4 > 0) PC \leftarrow
08	0000	halt

```
hex literal
int i = 0x10;
do {
    a = mem[i];
    StdOut.print(a);
    i++;
} while (i < 255)</pre>
```

LOAD		C	.00	К	5	ΓEP		R	UN)				DN/	OF	3
ADDR	8	3	3	3	3	3	8	3	A	co	DMF					IE
DATA	3	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8

Booting

Q. How to load a program on another day?

- A. Reboot the computer.
 - Turn it on.
 - Key in *boot code* via switches in memory locations 00-08.

BOOT code

	00	7101	R1 ← 1	
	01	7210	R2 ← 10	int $i = 0x10;$
	02	73FF	R3 ← 00FF	do {
$\left(\right)$	03	8 A F F	read from stdin to RA	<pre>StdIn.read(a);</pre>
	04	B A O 2	mem[R2] ← RA	<pre>mem[i] = a;</pre>
	05	1221	R2 ← R2 + 1	i++;
	06	2 4 3 2	R4 ← 00FF - R2	
	07	D 4 0 3	if (R4 > 0) PC ← 03	} while (i < 255)
	08	0000	halt	}

LOAD		C	.00	К	S	ΓEP			UN)) NC	OF		BOOT	PUMP
														_		_	00:7101	
												1					01: 7210	
ADDR	8	8	8	8	3	8	8	3		L			J				02:73FF	
ADDR	0	0	0	\odot	0	0	0	\odot	А	СС	OMF	וודטי	NG M	AC	нім	NE	03: 8AFF	AA02
																	04: BA02 05: 1221	9AFF
																	06: 2432	
	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	07: P403	
DATA	0	0	0		0	0	0		0	0	0	0	0	0	0	0	08: 0000	

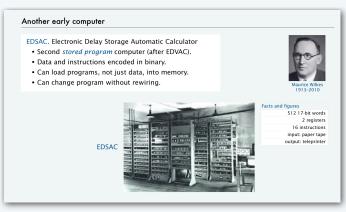
Early programmers would pride themselves in the speed they could enter such code

Assembly language

Assembly language

- Program in a higher-level language.
- Write a machine-language program to translate.
- Used widely from early days through the 1990s.
- Still used today.

TOY machine c	ode T	OY assembly	code
00 7 0 0 1		LA R1,01	
01 7 2 1 0		LA R2,10	
02 7 3 F F		LA R3,FF	
03 8 A F F	L	_OOP RD RA	
04 B A O 2		SI RA,R2	
05 1 2 2 1		A R2,R2	,R1
06 2432		S R4,R3	, R2
07 D 4 0 3		BP R4, LO	OOP
08 0 0 0 0		Н	





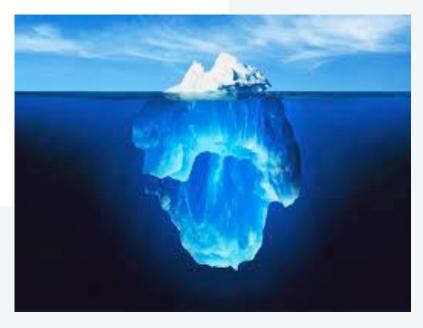
Advantages

- Mnenomics, not numbers, for opcodes.
- Symbols, not numbers, for addresses.
- Relocatable.

Tip of the iceberg

Practical implications of von Neumann architecture

- Installers that download applications.
- Compilers that translate Java into machine language.
- Simulators that make one machine behave like another (stay tuned).
- Cross-compilers that make code for one machine on another.
- Dumping and booting.
- Viruses.
- Virus detection.
- Virtual machines.
- Thousands of high-level languages.
- [an extremely long list]



COMPUTER SCIENCE S E D G E W I C K / W A Y N E

12. von Neumann machines

- Perspective
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CS.12.D.MachineII.Simulation

Is TOY real?

Q. How did we debug all our TOY programs?

A. We wrote a Java program to *simulate* TOY.

Comments

- YOU could write this program (stay tuned).
- We designed TOY by refining this code.
- All computers are designed in this way.

Provocative questions

- Is Android real?
- Is Java real?
- Suppose we run our TOY simulator on Android. Is TOY real?

Estimated number of TOY devices: 0



Estimated number of Android devices: 1 billion+



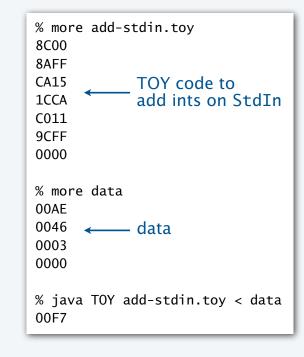
Estimated number of TOY devices: 1 billion+

Toy simulator in Java

A Java program that simulates the TOY machine.

- Take program from a file named in the command line.
- Take TOY StdIn/StdOut from Java StdIn/Stdout.

```
public class TOYlecture
              public static void main(String[] args)
                                             // program counter
                 int pc
                            = 0 \times 10;
                 int[] R = new int[16]; // registers
                 int[] mem = new int[256]; // main memorv
like StdIn but reads
from a file (see text)
                 *In in = new In(args[0]);
                                                                     base 16
                 for (int i = 0x10; i < 0xFF; i++)
                    if (!in.isEmpty())
                        mem[i] = Integer.parseInt(in.readString(), 16);
                 while (true)
                 {
                    int inst = mem[pc++]; // fetch and increment
                    // decode (next slide)
                    // execute (second slide following)
                 }
              }
          }
```



TOY simulator: decoding instructions

Bitwhacking is the same in Java as in TOY

- Extract fields for both instruction formats.
- Use shift and mask technique.

decode

int inst	<pre>mem[pc++]; // fetch and inc</pre>	rement
int op	(inst >> 12) & 15; // opcode (bit	s 12-15)
int d	(inst >> 8) & 15; // dest d (bit	s 08-11)
int s	(inst >> 4) & 15; // source s (bit	s 04-07)
int t	(inst >> 0) & 15; // source t (bit	s 00-03)
int addr	(inst >> 0) & 255; // addr (bit	s 00-07)

xan	np	le:	Ex	tra	ct	des	stir	nat	ion	d d	frc	m	↓ 10	AB	
ins	st														
	-	1		С					A	٩		В			
0	0	0	1	1	1	0	0	1	0	1	0	1	0	1	1
ins	st	>>	8												
0	0	0	0	0	0	0	0	0	0	0	1	1	1	0	0
15															
0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1
(in	st	>:	> 8	3)8	& 1	. 5									
0	0	0	0	0	0	0	0	0	0	0	0	1	1	0	0
								1					(2	
			r	esi	ılt	is () w	 of d he ere	re ı	ma	sk	is ("	

Use Java switch statement to implement the simple state changes for each instruction.

execute

```
if (op == 0) break;
                         // halt
switch (op)
{
   case 1: R[d] = R[s] + R[t];
                                      break;
   case 2: R[d] = R[s] - R[t];
                                      break;
   case 3: R[d] = R[s] \& R[t];
                                      break;
   case 4: R[d] = R[s] \land R[t];
                                      break;
   case 5: R[d] = R[s] << R[t];
                                      break;
   case 6: R[d] = R[s] >> R[t];
                                      break;
   case 7: R[d] = addr;
                                      break:
   case 8: R[d] = mem[addr];
                                      break;
   case 9: mem[addr] = R[d];
                                      break;
   case 10: R[d] = mem[R[t]];
                                      break;
   case 11: mem[R[t]] = R[d];
                                      break;
   case 12: if (R[d] == 0) pc = addr; break;
   case 13: if (R[d] > 0) pc = addr; break;
   case 14: pc = R[d];
                                      break;
   case 15: R[d] = pc; pc = addr;
                                      break;
```

}

Toy simulator in Java

	public class TOYlecture
	د public static void main(String[] args) f
	<pre>int pc = 0x10; // program counter int[] R = new int[16]; // registers int[] mem = new int[256]; // main memory</pre>
load	<pre>In in = new In(args[0]); for (int i = 0x10; i < 0xFF; i++) if (!in.isEmpty()) mem[i] = Integer.parseInt(in.readString(), 16);</pre>
	while (true)
fetch/	{ inc int inst = mem[pc++]; // fetch and increment
decod	<pre>e int op = (inst >> 12) & 15; // opcode (bits 12-15) int d = (inst >> 8) & 15; // dest d (bits 08-11) int s = (inst >> 4) & 15; // source s (bits 04-07) int t = (inst >> 0) & 15; // source t (bits 00-03) int addr = (inst >> 0) & 255; // addr (bits 00-07) if (op == 0) break; // halt</pre>
execut	
	<pre>{ case 1: R[d] = R[s] + R[t]; break; case 2: R[d] = R[s] - R[t]; break; case 3: R[d] = R[s] & R[t]; break; case 4: R[d] = R[s] ^ R[t]; break; case 5: R[d] = R[s] >> R[t]; break; case 6: R[d] = R[s] >> R[t]; break; case 7: R[d] = addr; break; case 8: R[d] = mem[addr]; break; case 9: mem[addr] = R[d]; break; case 10: R[d] = mem[R[t]]; break; case 11: mem[R[t]] = R[d]; break; case 13: if (R[d] == 0) pc = addr; break; case 14: pc = R[d]; break; case 15: R[d] = pc; pc = addr; break; } } </pre>

Important TOY design goal:

Simulator must fit on one slide for this lecture!

A few omitted details.

- R0 is always 0 (put R[0] = 0 before execute).
- StdIn/StdOut (add code to do it if addr is FF).
- Need casts and bitwhacking in a few places because TOY is 16-bit and Java is 32-bit.

See full implementation TOY. java on booksite (also supports a more flexible input format)

Toy simulator in Java

```
public class TOYlecture
   public static void main(String[] args)
      int pc
               = 0 \times 10;
                                // program counter
      int[] R = new int[16]; // registers
      int[] mem = new int[256]; // main memory
      In in = new In(args[0]);
      for (int i = 0x10; i < 0xFF; i++)
         if (!in.isEmpty())
             mem[i] = Integer.parseInt(in.readString(), 16);
      while (true)
      {
         int inst = mem[pc++]; // fetch and increment
         int op
                  = (inst >> 12) & 15; // opcode
                                                     (bits 12-15)
         int d
                  = (inst >> 8) & 15; // dest d
                                                     (bits 08-11)
         int s
                  = (inst >> 4) & 15; // source s (bits 04-07)
         int t = (inst >> 0) & 15; // source t (bits 00-03)
         int addr = (inst >> 0) & 255; // addr
                                                     (bits 00-07)
                                   // halt
         if (op == 0) break;
         switch (op)
         {
            case 1: R[d] = R[s] + R[t];
                                               break;
            case 2: R[d] = R[s] - R[t];
                                               break;
            case 3: R[d] = R[s] \& R[t];
                                               break;
            case 4: R[d] = R[s] \land R[t];
                                               break;
            case 5: R[d] = R[s] \iff R[t];
                                               break;
            case 6: R[d] = R[s] >> R[t];
                                               break;
            case 7: R[d] = addr;
                                               break;
            case 8: R[d] = mem[addr];
                                               break;
            case 9: mem[addr] = R[d];
                                               break;
            case 10: R[d] = mem[R[t]];
                                               break;
            case 11: mem[R[t]] = R[d];
                                               break;
            case 12: if (R[d] == 0) pc = addr; break;
            case 13: if (R[d] > 0) pc = addr; break;
            case 14: pc = R[d];
                                               break;
            case 15: R[d] = pc; pc = addr;
                                               break;
         }
      }
  }
}
```

Comments.

- Runs any TOY program!
- Easy to change design.
- Can develop TOY code on another machine.
- Could implement in TOY (!!).

% more 7100 8AFF 7680 	read-array.toy
% more 0100 8888 8888 	eves-tape
% java 8888 8888 8888 8888 8888	TOYlecture read-array.toy < eves-tape

Toy development environment

Another Java program that simulates the TOY machine

- Includes graphical simulator.
- Includes single stepping, full display of state of machine, and many other features.
- Includes many simple programs.
- Written by a COS 126 graduate.
- Available on the booksite.
- YOU can develop TOY software.

Same approach used for *all* new systems nowadays

- Build simulator and development environment.
- Develop and test software.
- Build and sell hardware.

								Memory Stdin Stdout Stdin' Stdout'			
Load	Look	Step	Run	Ente	r Stop		Reset	00:	0000	10:	7101
				0 I	NWAIT		READY	01:	0000	11:	
с				STDO	шт			02:	0000	12: [']	7в00
	0	00	00					03:	0000	13: 3	BCFF
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Backward compatibility

Q. Time to build a new computer. What to do about old software?

Approach 1: Rewrite it all

- Costly and time-consuming.
- Error-prone.
- Boring.

Approach 2: Simulate the old computer on the new one.

- Not very difficult.
- Still likely more efficient.
- Succeeds for *all* old software.

Result. Old software remains available.

Disturbing thought: Does anyone know how it works?



PacMac on a laptop 2000s



PacMac machine 1980s

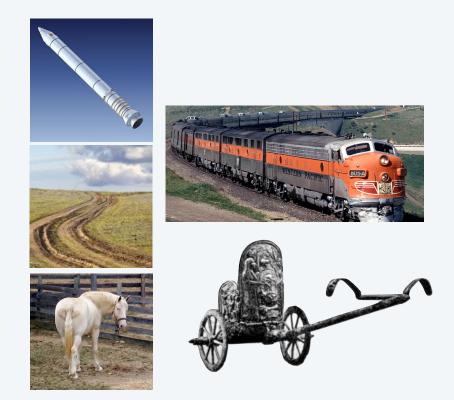


PacMac on a phone 2010s

Another note of caution

An urban legend about backward compatability.

- Space shuttle solid rocket booster needed to be transported by rail.
- US railroads were built by English expats, so the standard rail gauge is 4 feet 8.5 inches.
- English rail gauge was designed to match ruts on old country roads.
- Ruts on old country roads were first made by Roman war chariots.
- Wheel spacing on Roman war chariots was determined by the width of a horse's back end.



End result. Key space shuttle dimension determined by the width of a war horse's back end.

Worthwhile takeaway. Backwards compatability is Not Necessarily Always a Good Thing.

Backward compatibility is pervasive in today's world



Documents need backward compatibility with .doc format



Airline scheduling uses 1970s software



Broadcast TV needs backward compatibility with analog B&W



web pages need compatibility with new and old browsers



Business software is written in a dead language and run with many layers of emulation



iPhone software is written in an unsafe language

Much of our infrastructure was built in the 1970s on machines not so different from TOY.

Virtual machines

Building a new rocket? Simulate it to test it.

- Issue 1: Simulation may not reflect reality.
- Issue 2: Simulation may be too expensive.

Building a new *computer*? Simulate it to test it.

- Advantage 1: Simulation *is* reality (it defines the new machine).
- Advantage 2: Can develop software without having machine.
- Advantage 3: Can simulate machines that may never be built.





A machine that may never be built

Examples in today's world.

- Virtual memory.
- Java virtual machine.
- Amazon cloud.



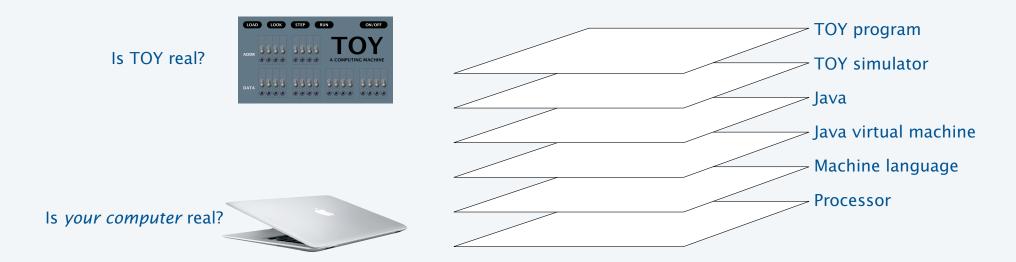
Virtual machines of many, many types (old and new) are available for use on the web.

Internet commerce is moving to such machines.

Forming a startup? Use a virtual machine. It is likely to perform *better* for you than whatever real machine you might be able to afford.

Layers of abstraction

Computer systems are built by accumulating layers of abstraction.



Approaching a new problem?

- Build an (abstract) language for expressing solutions.
- Design an (abstract) machine to run programs written in the language.
- Food for thought: Why build the machine? <---- Just simulate it instead!

COMPUTER SCIENCE S E D G E W I C K / W A Y N E

Computer Science

Including Programming in Java

An Interdisciplinary Approach

Robert Sedgewick • Kevin Wayne

12. von Neumann Machines

Sections 5.1-4

http://introcs.cs.princeton.edu