



# COS 318: Operating Systems

## Snapshot and NFS

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(<http://www.cs.princeton.edu/courses/cos318/>)



# Topics

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- ◆ Revisit Transactions and Logging
- ◆ NetApp File System
- ◆ NFS



# Transactions

- ◆ Bundle many operations into a transaction
  - One of the first transaction systems is Sabre American Airline reservation system, made by IBM
- ◆ Primitives
  - BeginTransaction
    - Mark the beginning of the transaction
  - Commit (End transaction)
    - When transaction is done
  - Rollback (Abort transaction)
    - Undo all the actions since “Begin transaction.”
- ◆ Rules
  - Transactions can run concurrently
  - Rollback can execute anytime
  - Sophisticated transaction systems allow nested transactions



# Implementation

- ◆ BeginTransaction
  - Start using a “write-ahead” log on disk
  - Log all updates
- ◆ Commit
  - Write “commit” at the end of the log
  - Then “write-behind” to disk by writing updates to disk
  - Clear the log
- ◆ Rollback
  - Clear the log
- ◆ Crash recovery
  - If there is no “commit” in the log, do nothing
  - If there is “commit,” replay the log and clear the log
- ◆ Assumptions
  - Writing to disk is correct (recall the error detection and correction)
  - Disk is in a good state before we start



# Use Transactions in File Systems

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- ◆ Make a file operation a transaction
  - Create a file
  - Move a file
  - Write a chunk of data
  - ...
  - Would this eliminate any need to run fsck after a crash?
- ◆ Make arbitrary number of file operations a transaction
  - Just keep logging but make sure that things are idempotent: making a very long transaction
  - Recovery by replaying the log and correct the file system
  - This is called journaling file system
  - Almost all new file systems are journaling (Windows NTFS, Veritas file system, file systems for Linux)



# NetApp's NFS File Server

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- ◆ WAFL: Write Anywhere File Layout
  - The basic NetApp's file system
- ◆ Design goals
  - Fast services (fast means more operations/sec and higher bandwidth)
  - Support large file systems and allow growing smoothly
  - High-performance software RAID
  - Restart quickly after a crash
- ◆ Special features
  - Introduce snapshots
  - Use NVRAM to reduce latency and maintain consistency



# Snapshots

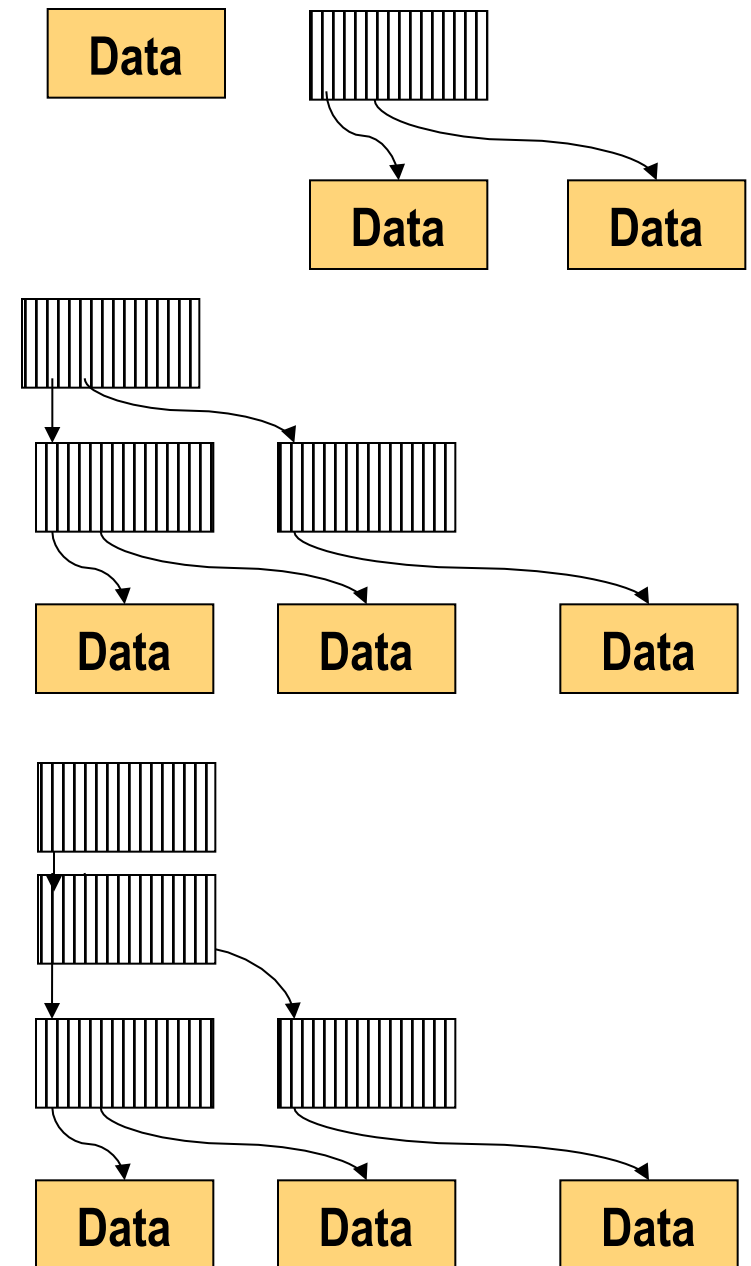
- ◆ A snapshot is a read-only copy of the file system
  - Introduced in 1993
  - It has become a **standard feature** of today's file server
- ◆ Use snapshots
  - System administrator configures the number and frequency of snapshots
  - An initial system can keep up to 20 snapshots
  - Use snapshots to recover individual files
- ◆ An example

```
arizona% cd .snapshot
arizona% ls
hourly.0 hourly.2 hourly.4 nightly.0 nightly.2 weekly.1
hourly.1 hourly.3 hourly.5 nightly.1 weekly.0
arizona%
```
- ◆ How much space does a snapshot consume?
  - 10-20% space per week



# i-node, Indirect and Data Blocks

- ◆ WAFL uses 4KB blocks
  - i-nodes (evolved from UNIX's)
  - Data blocks
- ◆ File size < 64 bytes
  - i-node stores data directly
- ◆ File size < 64K bytes
  - i-node stores 16 pointers to data
- ◆ File size < 64M bytes
  - i-node stores 16 pointers to indirect blocks
  - Each indirect pointer block stores 1K pointers to data
- ◆ File size > 64M bytes
  - i-node stores pointers to doubly indirect blocks



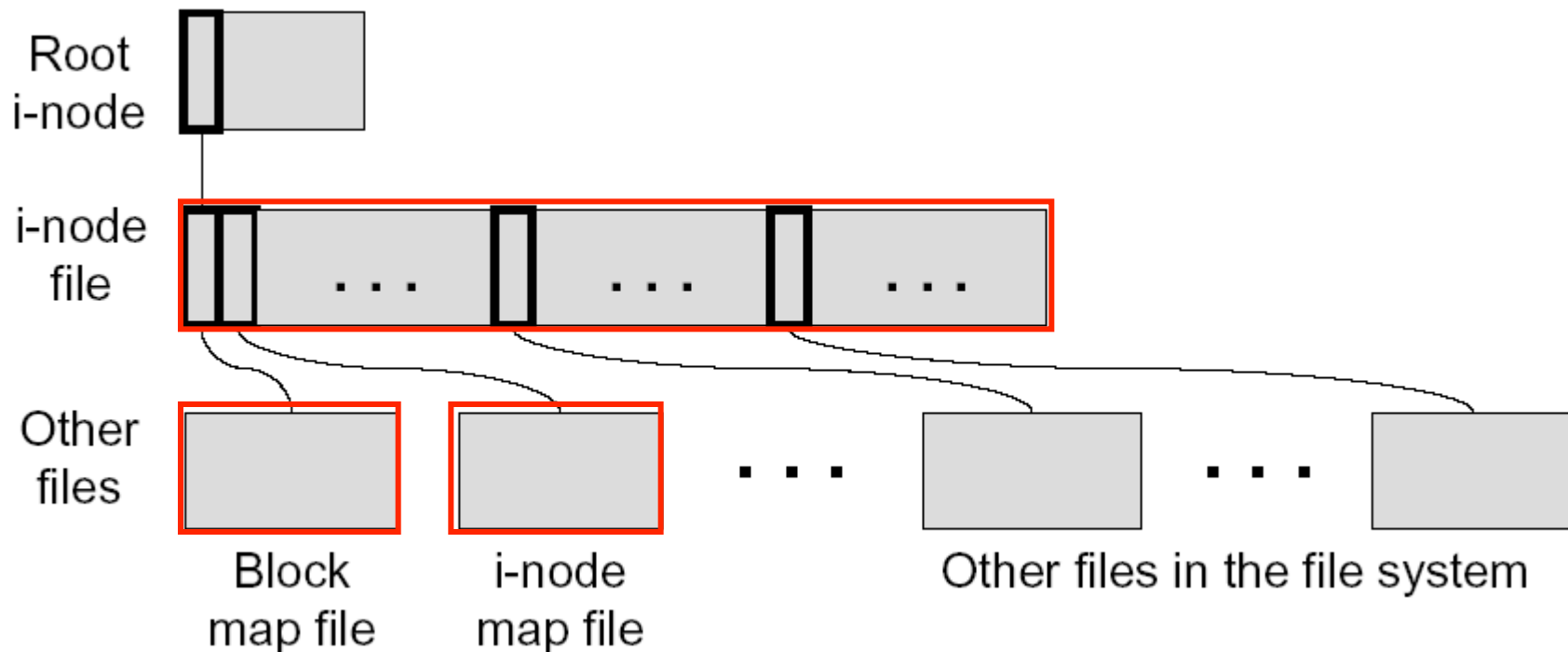


# WAFL Layout

## ◆ A WAFL file system has

- A root i-node: root of everything
- An i-node file: contains all i-nodes
- A block map file: indicates free blocks
- An i-node map file: indicates free i-nodes

Metadata  
in files



# Why Keeping Metadata in Files

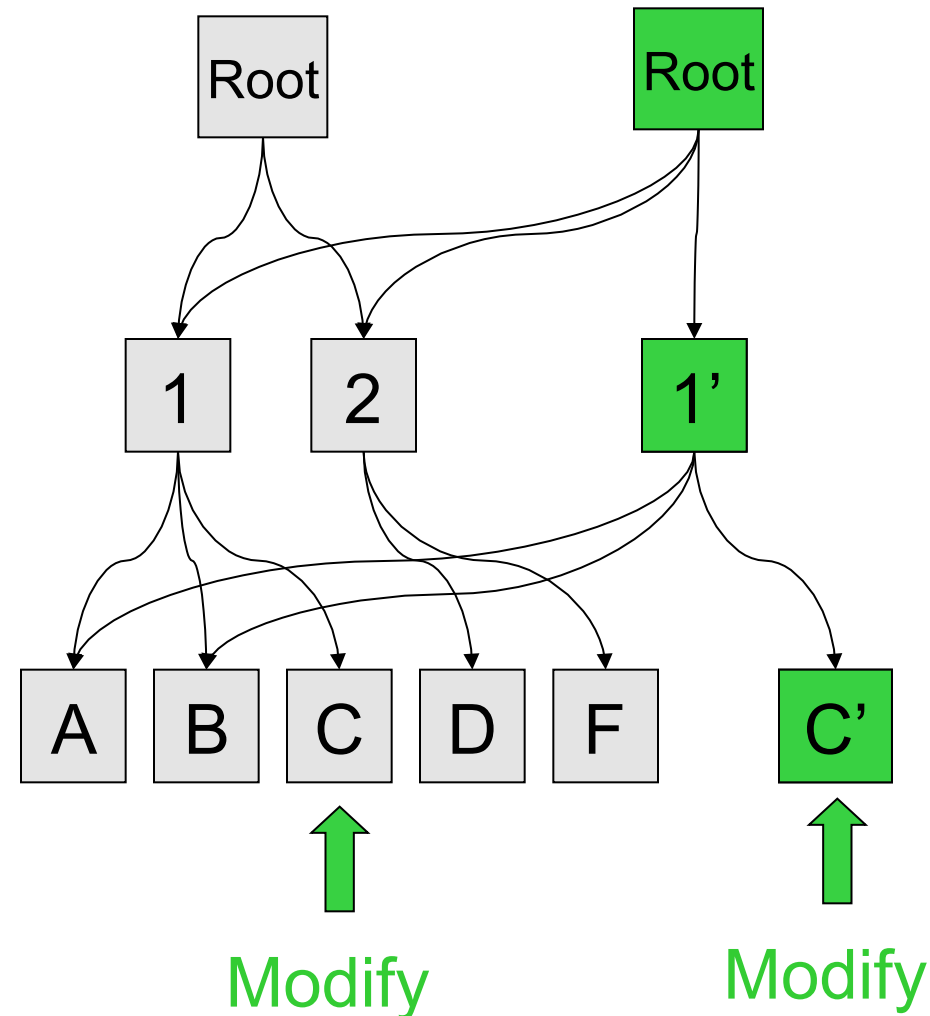
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- ◆ Allow meta-data blocks to be written anywhere on disk
  - This is the origin of “Write Anywhere File Layout”
  - Any performance advantage?
- ◆ Easy to increase the size of the file system dynamically
  - Add a disk can lead to adding i-nodes
  - Integrate volume manager with WAFL
- ◆ Enable copy-on-write to create snapshots
  - Copy-on-write new data and metadata on new disk locations
  - Fixed metadata locations are cumbersome



# Snapshot Implementation

- ◆ WAFL file system is a tree of blocks
- ◆ Snapshot step 1
  - Replicate the root i-node
  - New root i-node is the active file system
  - Old root i-node is the snapshot
- ◆ Snapshot step 2...n
  - Copy-on-write blocks to the root
  - Active root i-node points to the new blocks
  - Writes to the new block
  - Future writes into the new blocks will not trigger copy-on-write
- ◆ An “add-on” snapshot mechanism for a traditional file system?



# File System Consistency

- ◆ Create a snapshot
  - Create a consistency point or snapshot every 10 seconds
  - On a crash, revert the file system to this snapshot
  - Not visible by users
- ◆ Many requests between consistency points
  - Consistency point  $i$
  - Many writes
  - Consistency point  $i+1$  (advanced atomically)
  - Many writes
  - ...
- ◆ Question
  - Any relationships with transactions?



# Non-Volatile RAM

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- ◆ Non-Volatile RAM
  - Flash memory (slower)
  - Battery-backed DRAM (fast but battery lasts for only days)
- ◆ Use an NVRAM to buffer writes
  - Buffer all write requests since the last consistency point
  - A clean shutdown empties NVRAM, creates one more snapshot, and turns off NVRAM
  - A crash recovery needs to recover data from NVRAM to the most recent snapshot and turn on the system
- ◆ Use two logs
  - Buffer one while writing another
- ◆ Issues
  - What is the main disadvantage of NVRAM?
  - How large should the NVRAM be?



# Write Allocation

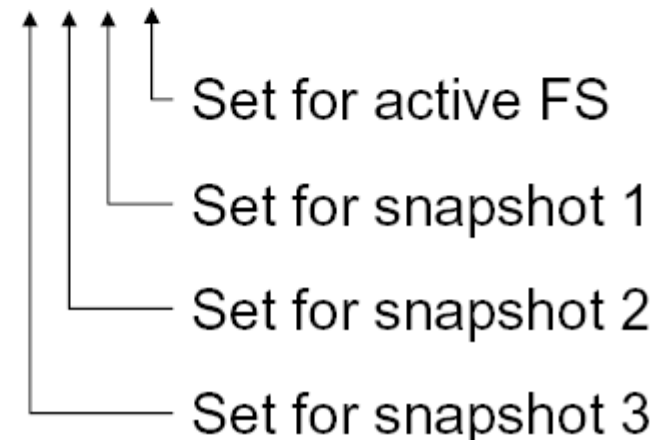
- ◆ WAFL can write to any blocks on disk
  - File metadata (i-node file, block map file and i-node map file) is in the file system
- ◆ WAFL can write blocks in any order
  - Rely on consistency points to enforce file consistency
  - NVRAM to buffer writes to implement ordering
- ◆ WAFL can allocate disk space for many NFS operations at once in a single write episode
  - Reduce the number of disk I/Os
  - Allocate space that is low latency
- ◆ Issue
  - What about read performance?



# Snapshot Data Structure

- ◆ WAFL uses 32-bit entries in the block map file
  - 32-bit for each 4KB disk block
  - 32-bit entry = 0: the block is free
- ◆ Bit 0 = 1:
  - active file system
  - references the block
- ◆ Bit 1 = 1:
  - the most recent snapshot
  - references the block

Time	Block map entry	Description
T1	0 0 0 0 0 0 0 0	Block is free
T2	0 0 0 0 0 0 0 1	Active FS uses it
T3	0 0 0 0 0 0 1 1	Create snapshot 1
T4	0 0 0 0 0 1 1 1	Create snapshot 2
T5	0 0 0 0 0 1 1 0	Active FS deletes it
T6	0 0 0 0 0 1 0 0	Delete snapshot 1
T7	0 0 0 0 0 0 0 0	Delete snapshot 2



# Snapshot Creation

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## ◆ Problem

- Many NFS requests may arrive while creating a snapshot
- File cache may need replacements
- Undesirable to suspend the NFS request stream

## ◆ WAFL solution

- Before a creation, mark dirty cache data “in-snapshot” and suspend NFS request stream
- Defer all modifications to “in-snapshot” data
- Modify cache data not marked “in-snapshot”
- Do not flush cache data not marked “in-snapshot”





# Algorithm

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## ◆ Steps

- Allocate disk space for “in-snapshot” cached i-nodes
  - Copy these i-nodes to disk buffer
  - Clear “in-snapshot” bit of all cached i-nodes
- Update the block-map file
  - For each entry, copy the bit for active FS to the new snapshot
- Flush
  - Write all “in-snapshot” disk buffers to their new disk locations
  - Restart NFS request stream
- Duplicate the root i-node

## ◆ Performance

- Typically it takes less than a second



# Snapshot Deletion

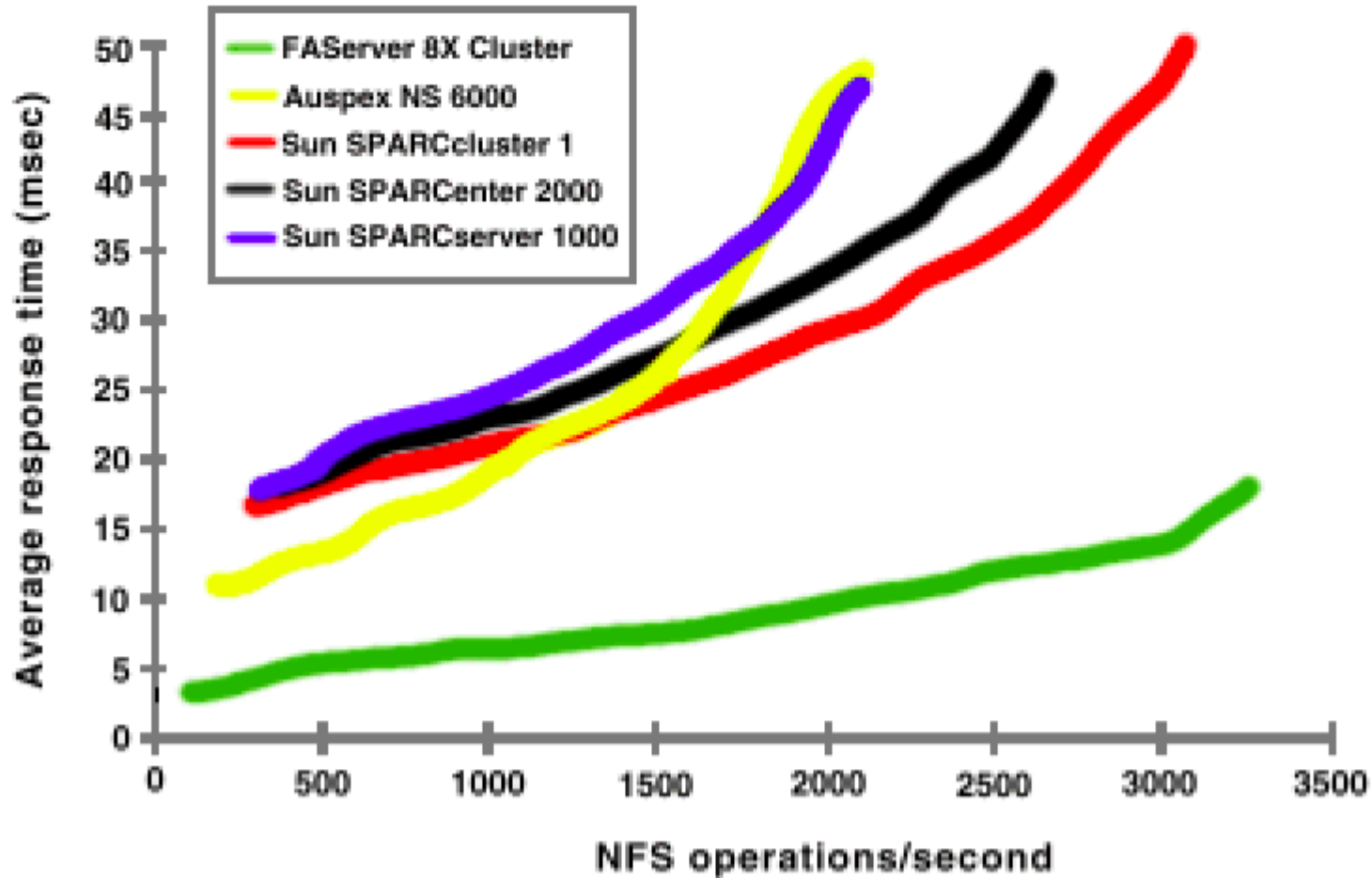
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- ◆ Delete a snapshot's root i-node
- ◆ Clear bits in block-map file
  - For each entry in block-map file, clear the bit representing the snapshot



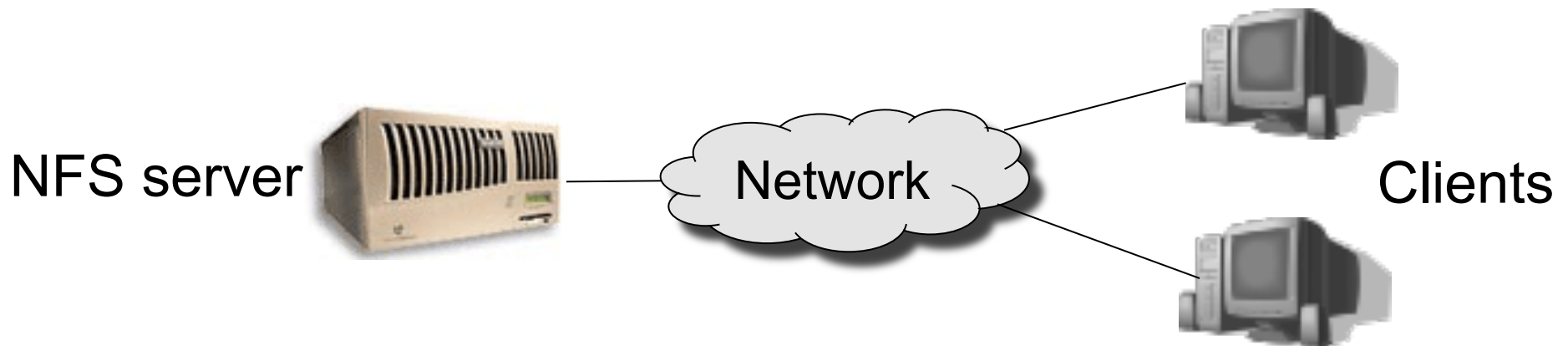
# Performance

- ◆ SPEC SFS benchmark shows 8X faster than others



# Network File System

- ◆ Sun introduced NFS v2 in early 80s
- ◆ NFS server exports directories to clients
- ◆ Clients mount NFS server's exported directories (auto-mount is possible)
- ◆ Multiple clients share a NFS server



# NFS Protocol (v3)

1. NULL: Do nothing
2. GETATTR: Get file attributes
3. SETATTR: Set file attributes
4. LOOKUP: Lookup filename
5. ACCESS: Check Access Permission
6. READLINK: Read from symbolic link
7. READ: Read From file
8. WRITE: Write to file
9. CREATE: Create a file
10. MKDIR: Create a directory
11. SYMLINK: Create a symbolic link
12. MKNOD: Create a special device
13. REMOVE: Remove a File
14. RMDIR: Remove a Directory
15. RENAME: Rename a File or Directory
16. LINK: Create Link to an object
17. REaddir: Read From Directory
18. REaddirplus: Extended read from directory
19. FSSTAT: Get dynamic file system information
20. FSINFO: Get static file system Information
21. PATHCONF: Retrieve POSIX information
22. COMMIT: Commit cached data on a server to stable storage



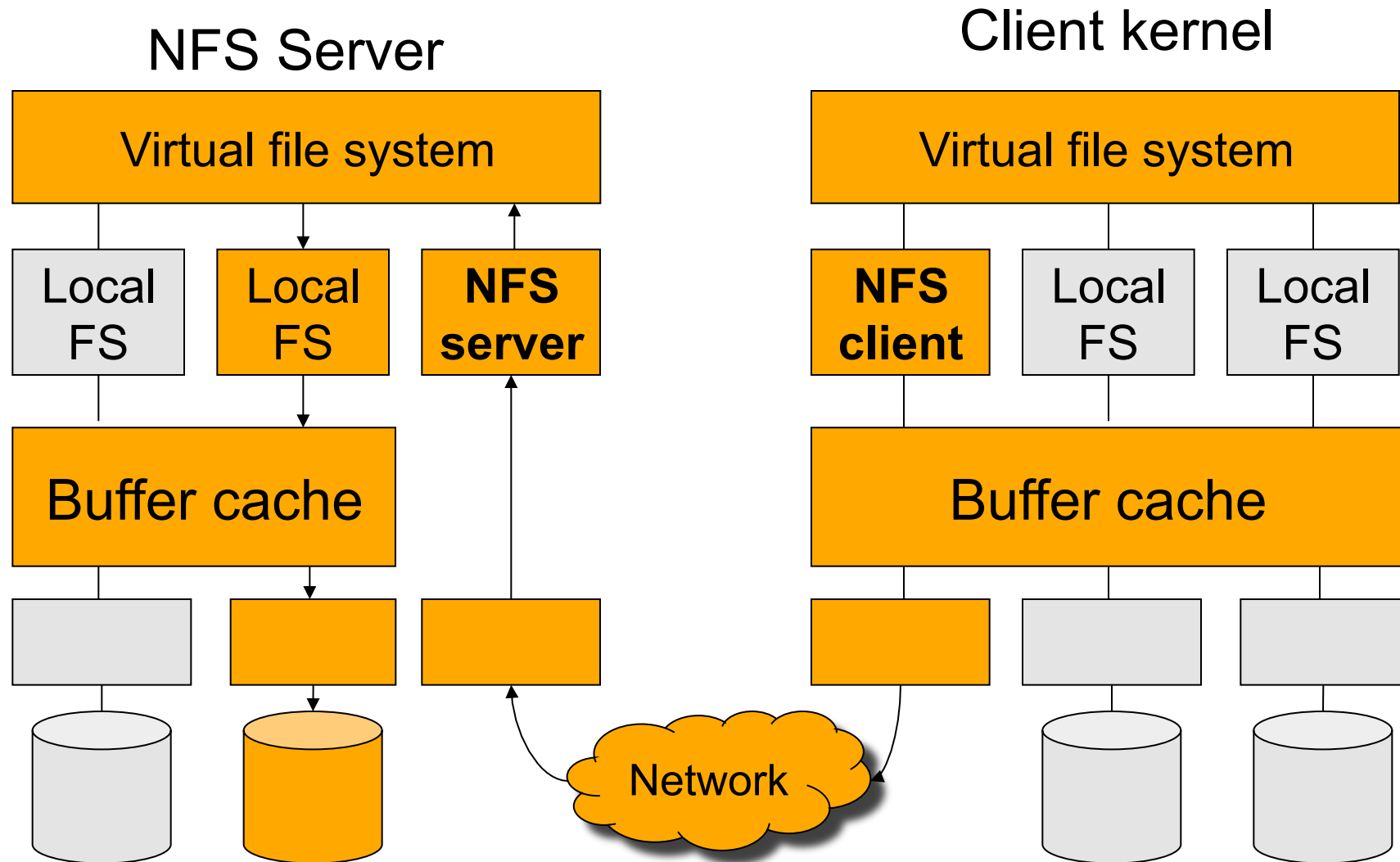
# NFS Protocol

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- ◆ No open and close
- ◆ Use a global handle in the protocol
  - Read some bytes
  - Write some bytes
- ◆ Questions
  - What is stateless?
  - Is NFS stateless?
  - What is the tradeoffs of stateless vs. stateful?



# NFS Implementation



# NFS Client Caching Issues

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## ◆ Client caching

- Read-only file and directory data (expire in 60 seconds)
- Data written by the client machine (write back in 30 seconds)

## ◆ Consistency issues

- Multiple client machines can perform writes to their caches
- Some cache file data only and disable client caching of a file if it is opened by multiple clients
- Some implement a network lock manager





# NFS Protocol Development

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- ◆ Version 2 issues
  - 18 operations
  - Size: limit to 4GB file size
  - Write performance: server writes data synchronously
  - Several other issues
- ◆ Version 3 changes (most products still use this one)
  - 22 operations
  - Size: increase to 64 bit
  - Write performance: WRITE and COMMIT
  - Fixed several other issues
  - Still stateless
- ◆ Version 4 changes
  - 42 operations
  - Solve the consistency issues
  - Security issues
  - **Stateful**



# Summary

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## ◆ Consistent updates

- Transactions use a write-ahead log and write-behind to update
- Journaling file systems use transactions

## ◆ WAFL

- Write anywhere layout
- Snapshots have become a standard feature

## ◆ NFS

- Stateless network file system protocol
- Client and server caching

