Announcements

- Project 2 due Tomorrow Oct 19 at noon
  - Precept today is open questions

- Thur Oct 20: I’m out of town: Program Committee meeting for ASPLOS.
  - Translation: All-day meeting in which researchers who have peer-reviewed about 150 paper submissions discuss in person which ~30 should be accepted for publication at the 17th International Conference on Architectural Support for Programming Languages and Operating Systems
  - Prof. Vivek Pai will teach

- Midterm Thursday, Oct. 27 during normal class time
Today’s Topics

- Device controllers
- Device driver design
- Synchronous and asynchronous I/O

Speaking of I/O:
We’ve got no time to watch it all here, but check out the “Mother of All Demos”:
http://www.youtube.com/watch?v=JfIgzSoTMOs
(and others)
Douglas Engelbart
First demo of a mouse. December 9, 1968
Input and Output

- A computer’s job is to process data
  - Computation (CPU, cache, and memory)
  - **Move data into and out of a system** (between I/O devices and memory)

- Challenges with I/O devices
  - Different categories: storage, networking, displays, etc.
  - Large number of device drivers to support
  - Device drivers run in kernel mode and can crash systems

- Goals of the OS
  - Provide a generic, consistent, convenient and reliable way to access I/O devices
  - Achieve potential I/O performance in a system
Revisit Hardware

- **Compute hardware**
  - CPU and caches
  - Chipset
  - Memory

- **I/O Hardware**
  - I/O bus or interconnect
  - I/O controller or adaptor
  - I/O device

- **Two types of I/O devices**
  - Programmed I/O (PIO)
  - Direct Memory Access (DMA)
# I/O Devices

<table>
<thead>
<tr>
<th>Device</th>
<th>Data rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Keyboard</td>
<td>10 bytes/sec</td>
</tr>
<tr>
<td>Mouse</td>
<td>100 bytes/sec</td>
</tr>
<tr>
<td>56K modem</td>
<td>7 KB/sec</td>
</tr>
<tr>
<td>Scanner</td>
<td>400 KB/sec</td>
</tr>
<tr>
<td>Digital camcorder</td>
<td>3.5 MB/sec</td>
</tr>
<tr>
<td>802.11g Wireless</td>
<td>6.75 MB/sec</td>
</tr>
<tr>
<td>52x CD-ROM</td>
<td>7.8 MB/sec</td>
</tr>
<tr>
<td>Fast Ethernet</td>
<td>12.5 MB/sec</td>
</tr>
<tr>
<td>Compact flash card</td>
<td>40 MB/sec</td>
</tr>
<tr>
<td>FireWire (IEEE 1394)</td>
<td>50 MB/sec</td>
</tr>
<tr>
<td>USB 2.0</td>
<td>60 MB/sec</td>
</tr>
<tr>
<td>SONET OC-12 network</td>
<td>78 MB/sec</td>
</tr>
<tr>
<td>SCSI Ultra 2 disk</td>
<td>80 MB/sec</td>
</tr>
<tr>
<td>Gigabit Ethernet</td>
<td>125 MB/sec</td>
</tr>
<tr>
<td>SATA disk drive</td>
<td>300 MB/sec</td>
</tr>
<tr>
<td>Ultrimum tape</td>
<td>320 MB/sec</td>
</tr>
<tr>
<td>PCI bus</td>
<td>528 MB/sec</td>
</tr>
</tbody>
</table>
Memory-Mapped I/O (1)

- Figure 5-2. (a) Separate I/O and memory space. (b) Memory-mapped I/O. (c) Hybrid.
Definitions and General Method

- **Overhead**
  - CPU time to initiate an operation

- **Latency**
  - Time to transfer one byte
  - Overhead + 1 byte reaches destination

- **Bandwidth**
  - Rate of I/O transfer, once initiated
  - Mbytes/sec

- **General method**
  - Abstraction of byte transfers
  - Batch transfers into block I/O for efficiency to prorate overhead and latency over a large unit
Programmed Input Device

- Device controller
  - Status register
    - ready: if the host is done
    - busy: if the controller is done
  - int: interrupt
    - Data registers

- A simple mouse design
  - Put (X, Y) in data registers on a move
  - Interrupt

- Input on an interrupt
  - Read values in X, Y registers
  - Set ready bit
  - Wake up a process/thread or execute a piece of code
Programmed Output Device

- **Device**
  - Status registers (ready, busy, … )
  - Data registers

- **Example**
  - A serial output device

- **Perform an output**
  - Wait until ready bit is clear
  - Poll the busy bit
  - Writes the data to register(s)
  - Set ready bit
  - Controller sets busy bit and transfers data
  - Controller clears the ready bit and busy bit
Direct Memory Access (DMA)

1. CPU programs the DMA controller
2. DMA requests transfer to memory
3. Data transferred
4. Ack

Figure 5-4. Operation of a DMA transfer.
**Direct Memory Access (DMA)**

- DMA controller or adaptor
  - Status register (ready, busy, interrupt, ...)
  - DMA command register
  - DMA register (address, size)
  - DMA buffer
- Host CPU initiates DMA
  - Device driver call (kernel mode)
  - Wait until DMA device is free
  - Initiate a DMA transaction (command, memory address, size)
  - Block
- Controller performs DMA
  - DMA data to device (size--; address++)
  - Interrupt on completion (size == 0)
- Interrupt handler (on completion)
  - Wakeup the blocked process
Today’s Topics

- Device controllers
- Device driver design
- Synchronous and asynchronous I/O
I/O Software Stack

User-Level I/O Software

Device-Independent
OS software

Device Drivers

Interrupt handlers

Hardware
Uniform Interfacing for Device Drivers

- Distinct devices may have classes of similar behavior
- (a) Without vs. (b) with a standard driver interface.
Recall Interrupt Handling

- Save context
- Mask interrupts if needed
- Set up a context for interrupt service
- Set up a stack for interrupt service
- Acknowledge the interrupt controller, enable it if needed
- Save entire context to PCB
- **Run the interrupt service**
- Unmask interrupts if needed
- Possibly change the priority of the process
- Run the scheduler
Device Drivers

Device → Device controller → Interrupt Handling → Device driver → Rest of the operating system

Device controller

Device controller

Device controller

I/O System

Device

Device

Device

Device
A Typical Device Driver Design

- Operating system and driver communication
  - Commands and data between OS and device drivers

- Driver and hardware communication
  - Commands and data between driver and hardware

- Driver operations
  - Initialize devices
  - Interpreting commands from OS
  - Schedule multiple outstanding requests
  - Manage data transfers
  - Accept and process interrupts
  - Maintain the integrity of driver and kernel data structures
Device Driver Interface

- **Open( deviceNumber )**
  - Initialization and allocate resources (buffers)
- **Close( deviceNumber )**
  - Cleanup, deallocate, and possibly turnoff
- **Device driver types**
  - Block: fixed sized block data transfer
  - Character: variable sized data transfer
  - Terminal: character driver with terminal control
  - Network: streams for networking
Character and Block Device Interfaces

- Character device interface
  - `read( deviceNumber, bufferAddr, size )`
    - Reads “size” bytes from a byte stream device to “bufferAddr”
  - `write( deviceNumber, bufferAddr, size )`
    - Write “size” bytes from “bufferAddr” to a byte stream device

- Block device interface
  - `read( deviceNumber, deviceAddr, bufferAddr )`
    - Transfer a block of data from “deviceAddr” to “bufferAddr”
  - `write( deviceNumber, deviceAddr, bufferAddr )`
    - Transfer a block of data from “bufferAddr” to “deviceAddr”
  - `seek( deviceNumber, deviceAddress )`
    - Move the head to the correct position
    - Usually not necessary
Unix Device Driver Interface Entry Points

- init()
  - Initialize hardware
- start()
  - Boot time initialization (require system services)
- open(dev, flag, id) and close(dev, flag, id)
  - Initialization resources for read or write, and release afterwards
- halt()
  - Call before the system is shutdown
- intr(vector)
  - Called by the kernel on a hardware interrupt
- read(...) and write() calls
  - Data transfer
- poll(pri)
  - Called by the kernel 25 to 100 times a second
- ioctl(dev, cmd, arg, mode)
  - special request processing
Today’s Topics

- Device controllers
- Device driver design
- Synchronous and asynchronous I/O
Synchronous vs. Asynchronous I/O

- **Synchronous I/O**
  - read() or write() will block a user process until its completion
  - OS overlaps synchronous I/O with another process

- **Asynchronous I/O**
  - read() or write() will not block a user process
  - the user process can do other things before I/O completion
  - I/O completion will notify the user process
Detailed Steps of Blocked Read

- A process issues a read call which executes a system call
- System call code checks for correctness and buffer cache
- If it needs to perform I/O, it will issue a device driver call
- Device driver allocates a buffer for read and schedules I/O
- Controller performs DMA data transfer
- Block the current process and schedule a ready process
- Device generates an interrupt on completion
- Interrupt handler stores any data and notifies completion
- Move data from kernel buffer to user buffer
- Wakeup blocked process (make it ready)
- User process continues when it is scheduled to run
Asynchronous I/O

- **API**
  - Non-blocking read() and write()
  - Status checking call
  - Notification call
  - Different form the synchronous I/O API

- **Implementation**
  - On a write
    - Copy to a system buffer, initiate the write and return
    - Interrupt on completion or check status
  - On a read
    - Copy data from a system buffer if the data is there
    - Otherwise, return with a special status
Why Buffering?

- Speed mismatch between the producer and consumer
  - Character device and block device, for example
  - Adapt different data transfer sizes (packets vs. streams)

- Deal with address translation
  - I/O devices see physical memory
  - User programs use virtual memory

- Spooling
  - Avoid deadlock problems

- Caching
  - Avoid I/O operations
Think About Performance

- A terminal connects to computer via a serial line
  - Type character and get characters back to display
  - RS-232 is bit serial: start bit, character code, stop bit (9600 baud)
- Do we have any cycles left?
  - 10 users or 10 modems
  - 900 interrupts/sec per user
  - What should the overhead of an interrupt be
- Technique to minimize interrupt overhead
  - Interrupt coalescing
Other Design Issues

- Build device drivers
  - Statically
    - A new device driver requires reboot OS
  - Dynamically
    - Download a device driver without rebooting OS
    - Almost every modern OS has this capability

- How to download device driver dynamically?
  - Load drivers into kernel memory
  - Install entry points and maintain related data structures
  - Initialize the device drivers
Dynamic Binding: Indirection

Open(1, ...);

Indirect table

Driver for device 0
open(…) {
}
...
read(…) {
}

Driver for device 1
open(…) {
}
...
read(…) {
}

Interrupt handlers

Other Kernel services

Driver-kernel interface

Other Kernel services
Issues with Device Drivers

- Flexible for users, ISVs and IHVs
  - Users can download and install device drivers
  - Vendors can work with open hardware platforms

- Dangerous methods
  - Device drivers run in kernel mode
  - Bad device drivers can cause kernel crashes and introduce security holes

- Progress on making device driver more secure
  - Checking device driver codes
  - Build state machines for device drivers
Summary

- **Device controllers**
  - Programmed I/O is simple but inefficient
  - DMA is efficient (asynchronous) and complex

- **Device drivers**
  - Dominate the code size of OS
  - Dynamic binding is desirable for desktops or laptops
  - Device drivers can introduce security holes
  - Progress on secure code for device drivers but completely removing device driver security is still an open problem