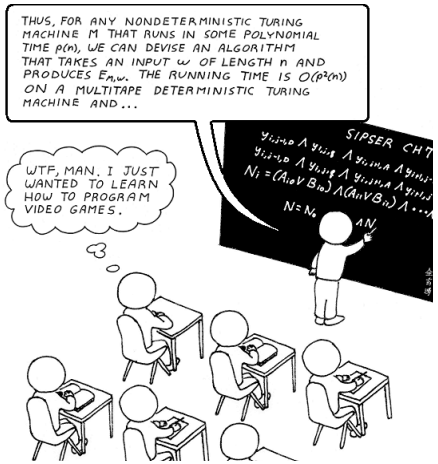
7. Introduction to Theoretical CS



Why Learn Theory?

In theory ...

- Deeper understanding of what is a computer and computing.
- Foundation of all modern computers.
- Pure science.
- Philosophical implications.

In practice ...

- Web search: theory of pattern matching.
- Sequential circuits: theory of finite state automata.
- Compilers: theory of context free grammars.
- Cryptography: theory of computational complexity.
- Data compression: theory of information.

> *" In theory there is no difference between theory and practice. In practice there is."* – *Yogi Berra*

Q. What can a computer do?
Q. What can a computer do with limited resources?

General approach.

e.g., Intel Core 2 Duo running Linux kernel 2.6

- Don't talk about specific machines or problems.
- Consider minimal abstract machines.
- Consider general classes of problems.

Pioneering work in the 1930s.

- Princeton == center of universe.
- Automata, languages, computability, universality, complexity, logic.


David Hilbert


Kurt Gödel


Alan Turing


Alonzo Church


John von Neumann

Regular Expressions

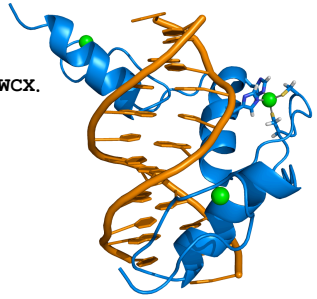
Describing a Pattern

PROSITE. Huge database of protein families and domains.

Q. How to describe a protein motif?

Ex. [signature of the C_2H_2-type zinc finger domain]

1. C
2. Between 2 and 4 amino acids.
3. C
4. 3 more amino acids.
5. One of the following amino acids: LIVMFYWCX.
6. 8 more amino acids.
7. H
8. Between 3 and 5 more amino acids.
9. H



CAASCGGPYACGGWAGYHAGWH

Pattern Matching Applications

Test if a string matches some pattern.

- Process natural language.
- Scan for virus signatures.
- Access information in digital libraries.
- Search-and-replace in a word processors.
- Filter text (spam, NetNanny, ads, Carnivore, malware).
- Validate data-entry fields (dates, email, URL, credit card).
- Search for markers in human genome using PROSITE patterns.

Parse text files.

- Compile a Java program.
- Crawl and index the Web.
- Read in data stored in TOY input file format.
- Automatically create Java documentation from Javadoc comments.

Regular Expressions: Basic Operations

Regular expression. Notation to specify a set of strings.

operation	regular expression	matches	does not match
concatenation	aabaab	aabaab	*every other string*
wildcard	.u.u.u.	cumulus jugulum	succubus tumultuous
union	aa | baab	aa baab	*every other string*
closure	ab*a	aa abbba	ab ababa
parentheses	a(a|b)aab	aaaab abaab	*every other string*
	(ab)*a	a abababababa	aa abbba

Regular Expressions: Examples

Regular expression. Notation is surprisingly expressive.

regular expression	matches	does not match
.*spb.* *contains the trigraph* spb	raspberry crispbread	subspace subspecies
a* | (a*ba*ba*ba*)* *multiple of three* b*'s*	bbb aaa bbbaababbaa	b bb baabbbaa
.*0.... *fifth to last digit is* 0	1000234 98701234	111111111 403982772
gcg(cgg|agg)*ctg *fragile X syndrome indicator*	gcgctg gcgcggctg gcgcggaggctg	gcgcgg cggcggcggctg gcgcaggctg

Generalized Regular Expressions

Regular expressions are a standard programmer's tool.

- Built in to Java, Perl, Unix, Python, ...
- Additional operations typically added for convenience.
  - Ex 1: `[a-e]+` is shorthand for `(a|b|c|d|e)(a|b|c|d|e)*`.
  - Ex 2: `\s` is shorthand for "any whitespace character" (space, tab, ...).

operation	regular expression	matches	does not match
one or more	`a(bc)+de`	`abcde` `abcbcde`	`ade` `bcde`
character class	`[A-Za-z][a-z]*`	`lowercase` `Capitalized`	`camelCase` `4illegal`
exactly k	`[0-9]{5}-[0-9]{4}`	`08540-1321` `19072-5541`	`111111111` `166-54-1111`
negation	`[^aeiou]{6}`	`rhythm`	`decade`

TEQ on REs 1

Q. Consider the RE

`a*bb(ab|ba)*`

Which of the following strings is in the set it describes?

a. **abb**
b. **abba**
c. **aaba**
d. **bbbaab**
e. **cbb**
f. **bbababbab**

TEQ on REs 2

Q. Give an RE that describes the following set of strings:

- characters are **A**, **C**, **T** or **G**
- starts with **ATG**
- length is a multiple of 3
- ends with **TAG**, **TAA**, or **TTG**

Describing a Pattern

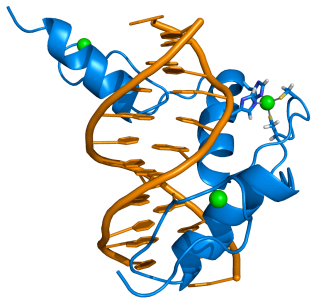
PROSITE. Huge database of protein families and domains.

Q. How to describe a protein motif?

Ex. [signature of the C_2H_2-type zinc finger domain]

1. C
2. Between 2 and 4 amino acids.
3. C
4. 3 more amino acids.
5. One of the following amino acids: LIVMF
6. 8 more amino acids.
7. H
8. Between 3 and 5 more amino acids.
9. H

A. `C.{2,4}C...[LIVMFYWC].{8}H.{3,5}H`



CAASCGGPYACGGWAGYHAGWH

REs in Java

`public class String` *(Java's String library)*

`boolean matches(String re)`	*does this string match the given regular expression?*
`String replaceAll(String re, String str)`	*replace all occurrences of regular expression with the replacement string*
`int indexOf(String r, int from)`	*return the index of the first occurrence of the string r after the index from*
`String[] split(String re)`	*split the string around matches of the given regular expression*

```
String re = C.{2,4}C...[LIVMFYWC].{8}H.{3,5}H;
String input = CAASCGGPYACGGAAGYHAGAH;
boolean test = input.matches(re);
```

is the input string in the set described by the RE?

REs in Java

Validity checking. Is `input` in the set described by the `re`?

```
public class Validate
{
   public static void main(String[] args) {
      String re    = args[0];
      String input = args[1];
      StdOut.println(input.matches(re));
   }
}
```

powerful string library method

```
% java Validate "C.{2,4}C...[LIVMFYWC].{8}H.{3,5}H" CAASCGGPYACGGAAGYHAGAH
true

% java Validate "[$_A-Za-z][$_A-Za-z0-9]*" ident123
true

% java Validate "[a-z]+@([a-z]+\.)+(edu|com)" wayne@cs.princeton.edu
true
```

C_2H_2 type zinc finger domain

legal Java identifier

valid email address (simplified)

need quotes to "escape" the shell

REs in Java

`public class String` *(Java's String library)*

`boolean matches(String re)`	*does this string match the given regular expression?*
`String replaceAll(String re, String str)`	*replace all occurrences of regular expression with the replacement string*
`int indexOf(String r, int from)`	*return the index of the first occurrence of the string r after the index from*
`String[] split(String re)`	*split the string around matches of the given regular expression*

RE that matches any sequence of whitespace characters (at least 1).

Extra \ distinguishes from the string \s+

```
String s = StdIn.readAll();
s = s.replaceAll("\\s+", " ");
```

replace each sequence of at least one whitespace character with a single space

REs in Java

`public class String` *(Java's String library)*

`boolean matches(String re)`	*does this string match the given regular expression?*
`String replaceAll(String re, String str)`	*replace all occurrences of regular expression with the replacement string*
`int indexOf(String r, int from)`	*return the index of the first occurrence of the string r after the index from*
`String[] split(String re)`	*split the string around matches of the given regular expression*

```
String s = StdIn.readAll();
String[] words = s.split("\\s+");
```

create an array of the words in StdIn

DFAs

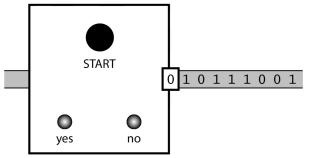
Solving the Pattern Match Problem

Regular expressions are a concise way to describe patterns.

- How would you implement the method `matches()` ?
- Hardware: build a deterministic finite state automaton (DFA).
- Software: simulate a DFA.

DFA: simple machine that solves a pattern match problem.

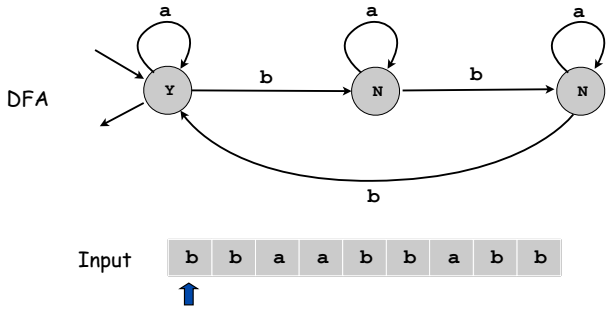
- Different machine for each pattern.
- Accepts or rejects string specified on input tape.
- Focus on `true` or `false` questions for simplicity.



Deterministic Finite State Automaton (DFA)

Simple machine with N states.

- Begin in start state.
- Read first input symbol.
- Move to new state, depending on current state and input symbol.
- Repeat until last input symbol read.
- Accept input string if last state is labeled Y.

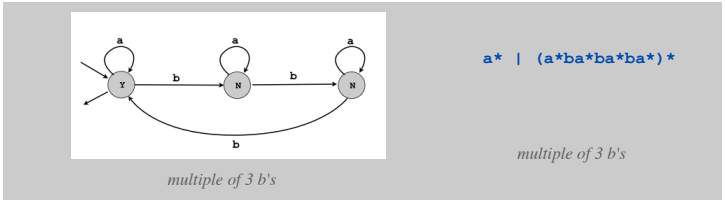


DFA and RE Duality

RE. Concise way to describe a set of strings.
DFA. Machine to recognize whether a given string is in a given set.

Duality.

- For any DFA, there exists a RE that describes the same set of strings.
- For any RE, there exists a DFA that recognizes the same set.

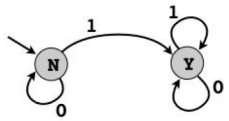


Practical consequence of duality proof: to match RE

- build DFA
- simulate DFA on input string.

TEQ on DFAs 1

Q. Consider this DFA:

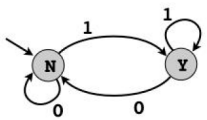


Which of the following sets of strings does it recognize?

a. Bitstrings with at least one 1
b. Bitstrings with an equal number of occurrences of 01 and 10
c. Bitstrings with more 1s than 0s
d. Bitstrings with an equal number of occurrences of 0 and 1
e. Bitstrings that end in 1

TEQ on DFAs 2

Q. Consider this DFA:



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e. Bitstrings that end in 1

Implementing a Pattern Matcher

Problem. Given a RE, create program that tests whether given input is in set of strings described.

Step 1. Build the DFA.
- A compiler!
- See COS 226 or COS 320.

Step 2. Simulate it with given input.

```
State state = start;
while (!StdIn.isEmpty())
{
   char c = StdIn.readChar();
   state = state.next(c);
}
StdOut.println(state.accept());
```


Application: Harvester

Harvest information from input stream.

- Harvest patterns from DNA.

```
% java Harvester "gcg(cgg|agg)*ctg" chromosomeX.txt
gcgcggcggcggcggctg
gcgctg
gcgctg
gcgcggcggcggaggcggaggcggctg
```

- Harvest email addresses from web for spam campaign.

```
% java Harvester "[a-z]+@([a-z]+\.)+(edu|com)" http://www.princeton.edu/~cos126
rs@cs.princeton.edu
maia@cs.princeton.edu
doug@cs.princeton.edu
wayne@cs.princeton.edu
```

Application: Harvester

Harvest information from input stream.

- Use `Pattern` data type to compile regular expression to NFA.
- Use `Matcher` data type to simulate NFA.

equivalent, but more efficient representation of a DFA

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;

public class Harvester
{
   public static void main(String[] args)
   {
      String re       = args[0];
      In in           = new In(args[1]);
      String input    = in.readAll();
      Pattern pattern = Pattern.compile(re);
      Matcher matcher = pattern.matcher(input);

      while (matcher.find())
         StdOut.println(matcher.group());
   }
}
```

create NFA from RE

create NFA simulator

look for next match

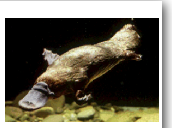
the match most recently found

Application: Parsing a Data File

Ex: parsing an NCBI genome data file.

header info

```
LOCUS AC146846 128142 bp DNA linear HTG 13-NOV-2003
DEFINITION Ornithorhynchus anatinus clone CLM1-393H9,
ACCESSION AC146846
VERSION AC146846.2 GI:38304214
KEYWORDS HTG; HTGS_PHASE2; HTGS_DRAFT.
SOURCE Ornithorhynchus anatinus (platypus)
ORIGIN
      1 tgtatttcat ttgaccgtgc tgtttttttcc cggtttttca gtacggtgtt agggagccac
     61 gtgattctgt ttgttttatg ctgccgaata gctgctcgat gaatctctgc atagacagct  // a comment
    121 gccgcaggga gaaatgacca gtttgtgatg acaaaatgta ggaaagctgt ttcttcataa
    ...
128101 ggaaatgcga cccccacgct aatgtacagc ttctttagat tg
//
```



line numbers

spaces

comments

Goal. Extract the data as a single `actg` string.

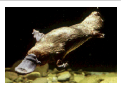
Application: Parsing a Data File

```
import java.util.regex.Pattern;
import java.util.regex.Matcher;

public class ParseNCBI
{
   public static void main(String[] args)
   {
      String re = "[ ]*[0-9]+([actg ]*).*";
      Pattern pattern = Pattern.compile(re);
      In in = new In(args[0]);
      String data = "";
      while (!in.isEmpty())
      {
         String line = in.readLine();
         Matcher matcher = pattern.matcher(line);
         if (matcher.find())
            data += matcher.group(1).replaceAll(" ", "");
      }
      System.out.println(data);
   }
}
```

extract the part of match in ()

```
LOCUS AC146846 128142 bp DNA linear HTG 13-NOV-2003
DEFINITION Ornithorhynchus anatinus clone CLM1-393H9,
ACCESSION AC146846
VERSION AC146846.2 GI:38304214
KEYWORDS HTG; HTGS_PHASE2; HTGS_DRAFT.
SOURCE Ornithorhynchus anatinus (platypus)
ORIGIN
      1 tgtatttcat ttgaccgtgc tgtttttttcc cggtttttca gtacggtgtt agggagccac
     61 gtgattctgt ttgttttatg ctgccgaata gctgctcgat gaatctctgc atagacagct  // a comment
    121 gccgcaggga gaaatgacca gtttgtgatg acaaaatgta ggaaagctgt ttcttcataa
    ...
128101 ggaaatgcga cccccacgct aatgtacagc ttctttagat tg
//
```



Regular Expressions

http://xkcd.com/208/

Summary

Programmer.
- Regular expressions are a powerful pattern matching tool.
- Implement regular expressions with finite state machines.

Theoretician.
- RE is a compact description of a set of strings.
- DFA is an abstract machine that solves RE pattern match problem.

You. Practical application of core CS principles.

Fundamental Questions

Q. Are there patterns that cannot be described by any RE?

A. Yes.
- Bit strings with equal number of 0s and 1s.
- Strings that represent legal REs.
- Decimal strings that represent prime numbers.
- DNA strings that are Watson-Crick complemented palindromes.

Fundamental Questions

Q. Are there languages that cannot be recognized by any DFA?

A. Yes.
- Bit strings with equal number of 0s and 1s.
- Strings that represent legal REs.
- Decimal strings that represent prime numbers.
- DNA strings that are Watson-Crick complemented palindromes.

Fundamental Questions

Q. Are there languages that cannot be recognized by any DFA?

A. Yes: Bit strings with equal number of 0s and 1s.

Proof sketch.
- Suppose that you have such a DFA, with N states.
- Give it N+1 0s followed by N+1 1s.
- Some state is revisited.
- Delete substring between visits.
- DFA recognizes that string, too.
- It does not have equal number of 0s and 1s.
- Contradiction.
- No such DFA exists.

0	0	0	0	0	0	0	0	0	1	1	1	1	1	1	1	1	1
0	1	3	5	6	8	7	3	5	.	.	.						

0	0	0	0	1	1	1	1	1	1	1	1	1
0	1	3	5	.	.	.						

Fundamental Questions

Q. Are there languages that cannot be recognized by any DFA?

A. Yes.

- Bit strings with equal number of 0s and 1s.
- Strings that represent legal REs.
- Decimal strings that represent prime numbers.
- DNA strings that are Watson-Crick complemented palindromes.

Fundamental problem: DFA lacks memory.

Fundamental Questions

Q. Are there machines that are more powerful than a DFA?

A. Yes.

A 1-stack DFA can recognize

- Bit strings with equal number of 0s and 1s.
- Legal REs.
- Watson-Crick complemented palindromes.

Fundamental Questions

Q. Are there machines that are more powerful than a 1-stack DFA?

A. Yes.

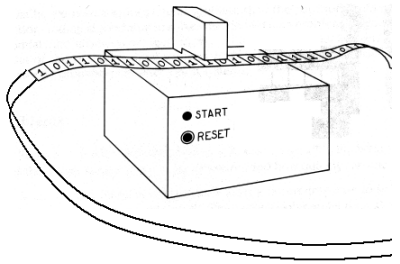
A 2-stack DFA can recognize

- Prime numbers.
- Legal Java Programs.

Fundamental Questions

Q. Are there machines that are more powerful than a 2-stack DFA?

A. No! Not even a supercomputer!



2-stack DFAs are equivalent to Turing machines [stay tuned].