

# Tracking

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# Components of a Tracking System

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- Object model including position, and optionally size, appearance, velocity
- Predict locations of objects in new frame
- Search in new frame
- Data association
- Update model
  
- Also useful: background model

# Face Tracking

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# Tracking Challenges

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- Occlusion
- Similar foreground/background
- Camera motion
- Brightness changes:
  - Overall
  - Foreground object
- Update foreground model?
  - No: can't deal with brightness changes
  - Yes: "tracker drift"

# Object Model

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- Position
- Dynamic model: velocity, acceleration, etc.
- Appearance model:
  - None (object = anything that's not background)
  - Color / hue histogram
  - Template
- Shape model:
  - None (point): "feature tracking"
  - Ellipse / rectangle: "blob tracking"
  - Full outline: snakes, etc.

# Prediction

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- Simple approximations:
  - Window around last position
  - Window, updated by velocity on last frame
- Prediction uncertainty (together with object size) gives search window
- Kalman filter (later today)

# Search

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- Search strategy depends on appearance model
  - If we're using a template, look for it...
  - If using foreground color histograms, lookup pixels to evaluate probability
- If no appearance model: "background subtraction"
  - In simplest case, take  $| \text{frame} - \text{background} |$
  - More generally: estimate probability that a given pixel is foreground given **background model**
  - Compare to threshold

# Background Models

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- Major decision: adapt over time?
  - If no, does not respond to changing conditions, but appropriate for short videos
  - If yes, danger of still foreground objects being treated as background
- Non-adaptive methods:
  - Single frame obtained @ beginning (or mean/median/mode of  $n$  frames)

# Single-Frame Statistical Model

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- Alternative: mean + std. dev. per pixel
  - Gathered over many frames before tracking begins
  - Allows for noise, small motion, small changes in brightness, etc.
  - Permits estimation of probability:

$$p_{bg}(i) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(i-mean)^2}{2\sigma^2}}$$

# Gaussian Mixture Model

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- Background statistics often not unimodal
  - Trees in the wind, shadows, etc.
- Solution: mixture of Gaussians @ each pixel
  - Compute using expectation maximization
- Still allows computing  $p_{\text{background}}$
- Usual difficulties with determining # of clusters
  - In practice, 3-5 observed to be a good number

# Adaptive Background Models

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- Previous frame
- Mean/median of previous  $n$  frames
- Rolling average of previous frames
- For GMM, update *closest* Gaussian
- In all cases, must be careful not to update pixels determined to be foreground

# Dealing with Camera Motion

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- If camera is moving, can eliminate its effects: “image stabilization” or “dominant motion estimation”
- Image alignment using feature matching, optical flow, phase correlation, etc.
  - Must use robust methods to not get confused by motion of foreground object(s)
  - Often done using EM
  - Implicitly segments the image: layered motion

# Data Association

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- For tracking multiple objects, need to maintain identities across frames
- For feature tracking, simplest option is nearest neighbor (after prediction)
  - Can include threshold to permit occlusion
  - More robust: nearest neighbor in both directions
  - Can also include “soft”, probabilistic assignment

# EM for Blob Tracking

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- Represent each object as a “blob”:  
Gaussian with mean  $\mu$  and covariance  $\Sigma$ 
  - Often illustrated as ellipse or rectangle
- Dynamic model for mean position  
(and sometimes, but rarely, covariance)

# EM for Blob Tracking

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- At each frame, predict new positions of blobs
  - Implicitly limits region of interest (ROI) to a few standard deviations around predicted position
- Construct “strength image”
  - Thresholded result of background subtraction
  - (Output of feature tracker)
- Run EM on probability image to update positions

# EM Tracking Demo

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# Mean Shift Tracker

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- Applied when we have foreground color histogram
- EM-like loop, except “strength image” recomputed on each iteration
  - Let  $m$  = model’s normalized color histogram
  - Let  $d$  = data color histogram (i.e., histogram of ROI)
  - Set  $w_p = \sqrt{m_p / d_p}$
  - Compute *mean shift*:

$$\vec{\mu}_{j,new} - \vec{\mu}_j = \frac{\sum_p w_p G_j(\vec{x}_p - \vec{\mu}_j, \Sigma_j) (\vec{x}_p - \vec{\mu}_j)}{\sum_p w_p G_j(\vec{x}_p - \vec{\mu}_j, \Sigma_j)}$$

# Updating Model?

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- With histogram-based trackers, easy for part of background to get into foreground histogram
  - Leads to “tracker drift”: tracker locks onto different object (or, more typically, part of background)
  - Result: often better to stay with constant histogram, or adapt *very slowly* over time

# Updating Model?

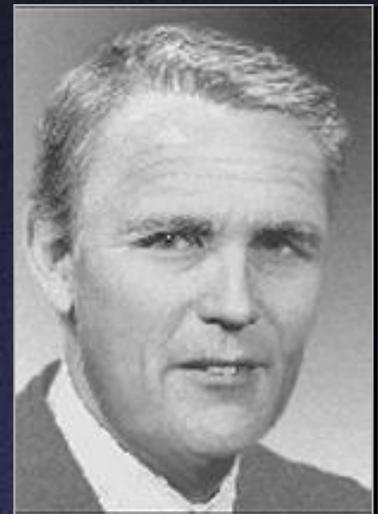
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- Biggest motivation for adaptation: lighting changes
  - But using only Hue is usually insensitive to those...
  - **BIG CAVEAT**: be sure to undo gamma when converting to HSV space
  - **ANOTHER BIG CAVEAT**: be sure to discard pixels with low S or V

# Kalman Filtering

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- Assume that results of experiment (i.e., tracking) are **noisy** measurements of system state
- Model of how system evolves
- Optimal combination of system model and observations
- Prediction / correction framework



Rudolf Emil Kalman

Acknowledgment: much of the following material is based on the SIGGRAPH 2001 course by Greg Welch and Gary Bishop (UNC)

# Simple Example

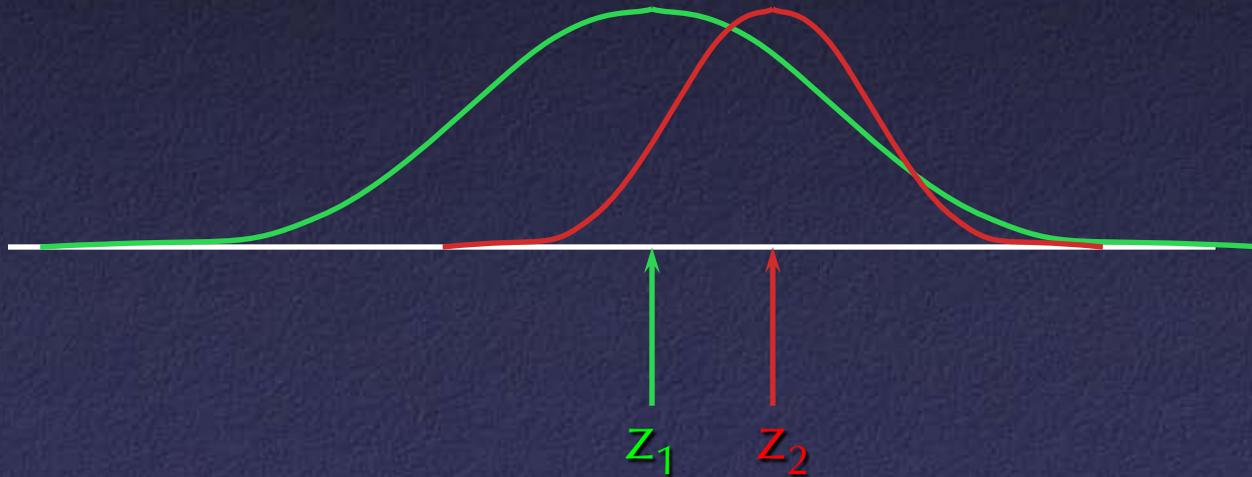
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- Measurement of a single point  $z_1$
- Variance  $\sigma_1^2$  (uncertainty  $\sigma_1$ )
  - Assuming Gaussian distribution
- Best estimate of true position  $\hat{x}_1 = z_1$
- Uncertainty in best estimate  $\hat{\sigma}_1^2 = \sigma_1^2$

# Simple Example

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- Second measurement  $z_2$ , variance  $\sigma_2^2$
- Best estimate of true position?



# Simple Example

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- Second measurement  $z_2$ , variance  $\sigma_2^2$
- Best estimate of true position: weighted average

$$\begin{aligned}\hat{x}_2 &= \frac{\frac{1}{\sigma_1^2} z_1 + \frac{1}{\sigma_2^2} z_2}{\frac{1}{\sigma_1^2} + \frac{1}{\sigma_2^2}} \\ &= \hat{x}_1 + \frac{\hat{\sigma}_1^2}{\hat{\sigma}_1^2 + \sigma_2^2} (z_2 - \hat{x}_1)\end{aligned}$$

- Uncertainty in best estimate  $\hat{\sigma}_2^2 = \frac{1}{\frac{1}{\hat{\sigma}_1^2} + \frac{1}{\sigma_2^2}}$

# Online Weighted Average

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- Combine successive measurements into constantly-improving estimate
- Uncertainty decreases over time
- Only need to keep current measurement, last estimate of state and uncertainty

# Terminology

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- In this example, position is *state* (in general, any vector)
- State can be assumed to evolve over time according to a *system model* or *process model* (in this example, “nothing changes”)
- Measurements (possibly incomplete, possibly noisy) according to a *measurement model*
- Best estimate of state  $\hat{x}$  with covariance  $P$

# Linear Models

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- For “standard” Kalman filtering, everything must be linear
- System model:

$$\mathbf{x}_k = \Phi_{k-1} \mathbf{x}_{k-1} + \xi_{k-1}$$

- The matrix  $\Phi_k$  is *state transition matrix*
- The vector  $\xi_k$  represents *additive noise*, assumed to have covariance  $Q$

# Linear Models

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- Measurement model:

$$z_k = H_k x_k + \mu_k$$

- Matrix  $H$  is *measurement matrix*
- The vector  $\mu$  is *measurement noise*, assumed to have covariance  $R$

# PV Model

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- Suppose we wish to incorporate velocity

$$\mathbf{x}_k = \begin{bmatrix} x \\ dx/dt \end{bmatrix}$$

$$\Phi_k = \begin{bmatrix} 1 & \Delta t_k \\ 0 & 1 \end{bmatrix}$$

$$H = \begin{bmatrix} 1 & 0 \end{bmatrix}$$

# Prediction/Correction

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- Predict new state

$$\mathbf{x}'_k = \Phi_{k-1} \hat{\mathbf{x}}_{k-1}$$

$$\mathbf{P}'_k = \Phi_{k-1} \mathbf{P}_{k-1} \Phi_{k-1}^T + \mathbf{Q}_{k-1}$$

- Correct to take new measurements into account

$$\hat{\mathbf{x}}_k = \mathbf{x}'_k + \mathbf{K}_k (\mathbf{z}_k - \mathbf{H}_k \mathbf{x}'_k)$$

$$\mathbf{P}_k = (\mathbf{I} - \mathbf{K}_k \mathbf{H}_k) \mathbf{P}'_k$$

- Important point: no need to invert measurement matrix  $\mathbf{H}$

# Kalman Gain

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- Weighting of process model vs. measurements

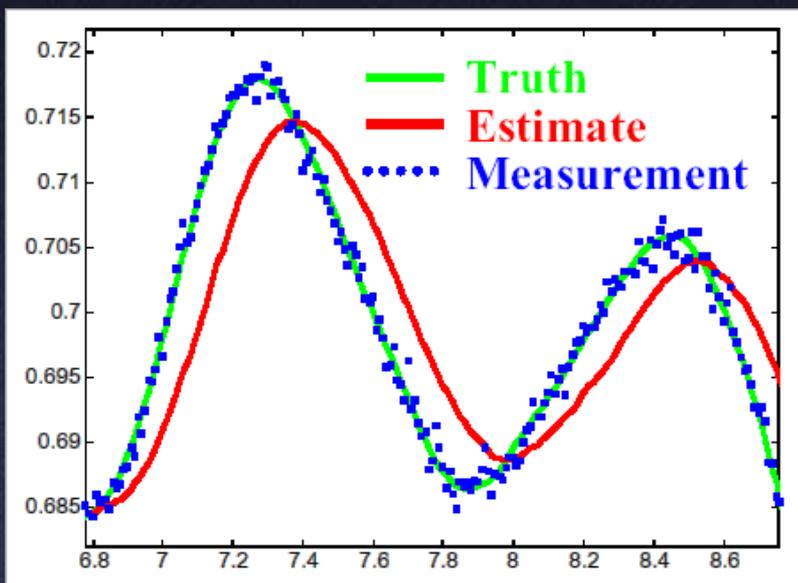
$$K_k = P'_k H_k^T \left( H_k P'_k H_k^T + R_k \right)^{-1}$$

- Compare to what we saw earlier:

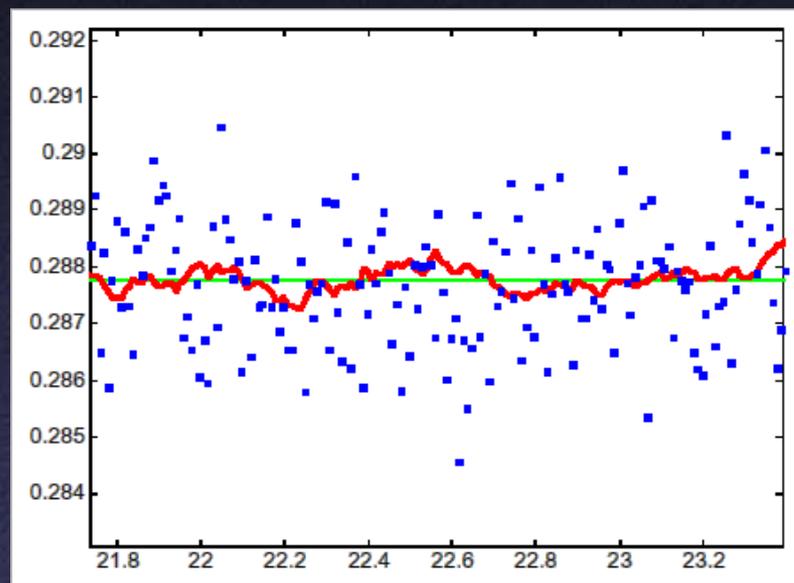
$$\frac{\sigma_1^2}{\sigma_1^2 + \sigma_2^2}$$

# Results: Position-Only Model

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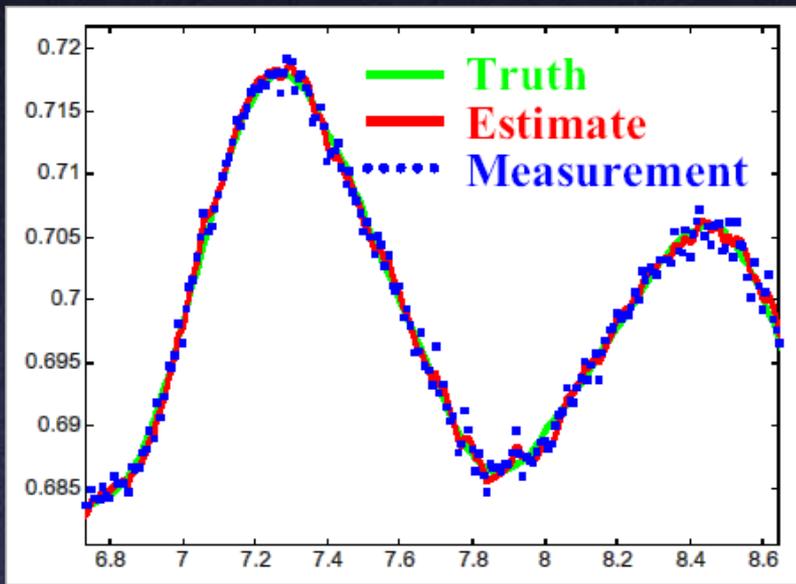
Moving



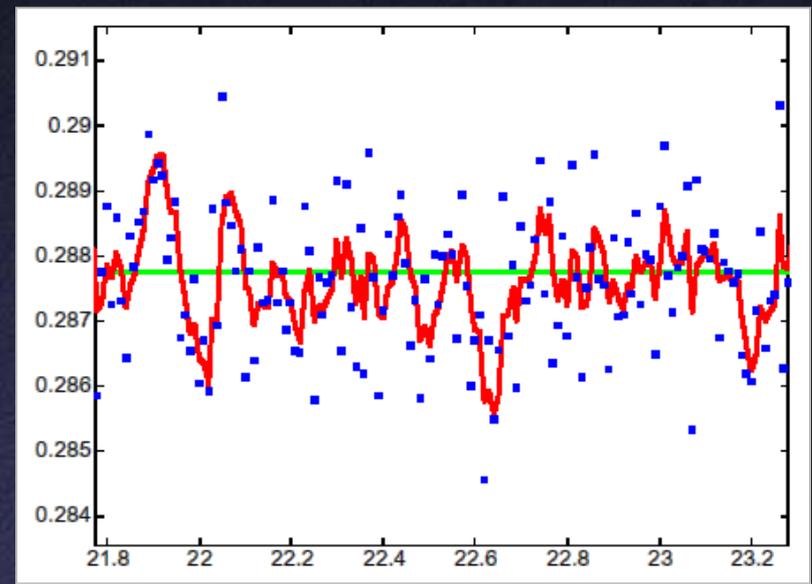
Still

# Results: Position-Velocity Model

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Moving



Still

# Extension: Multiple Models

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- Simultaneously run many KFs with different system models
- Estimate probability that each KF is correct
- Final estimate: weighted average

# Probability Estimation

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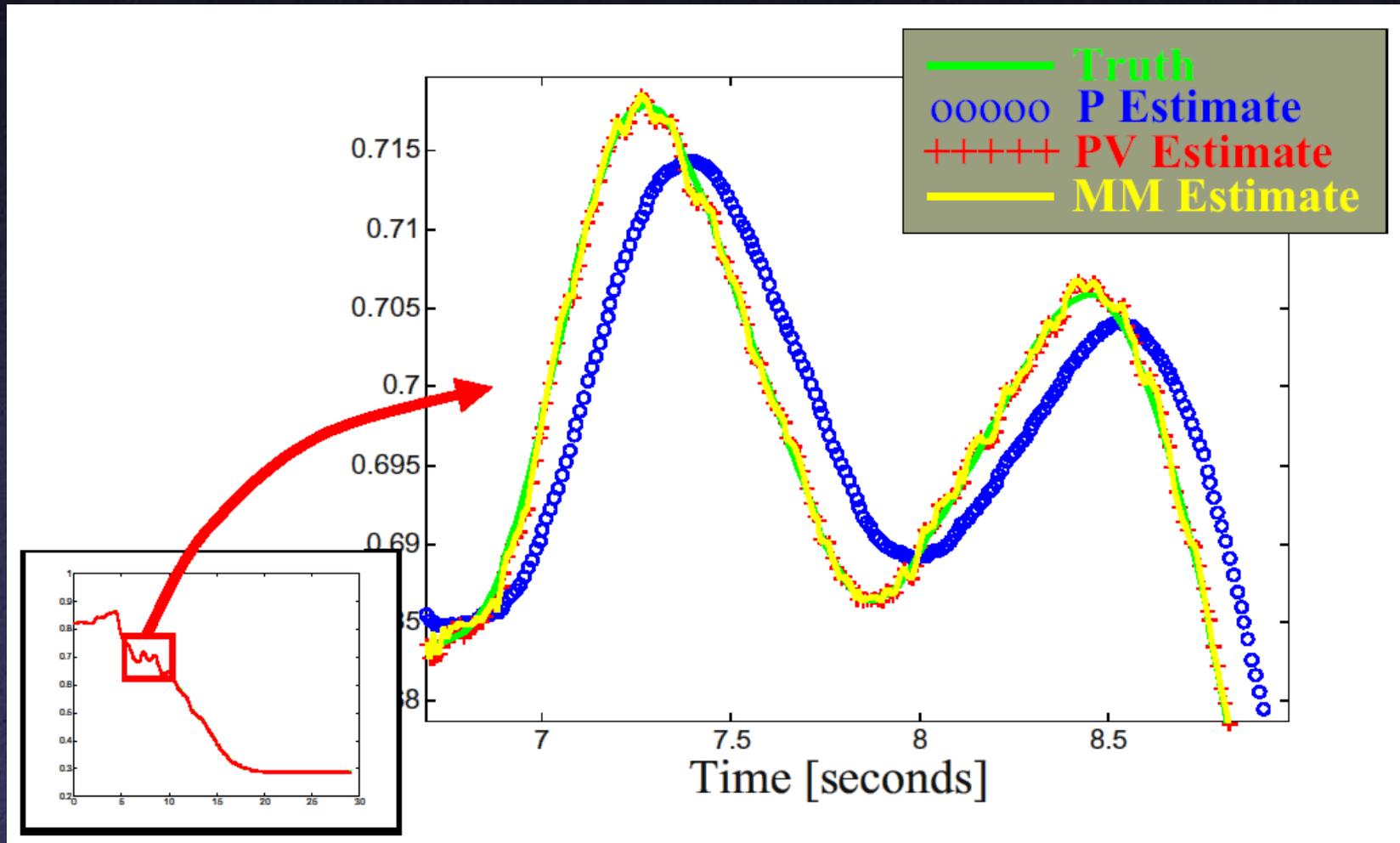
- Given some Kalman filter, the probability of a measurement  $z_k$  is just  $n$ -dimensional Gaussian

$$p = \frac{1}{(2\pi |C|)^{n/2}} e^{-\frac{1}{2}(z_k - H_k x'_k)^T C^{-1} (z_k - H_k x'_k)^T}$$

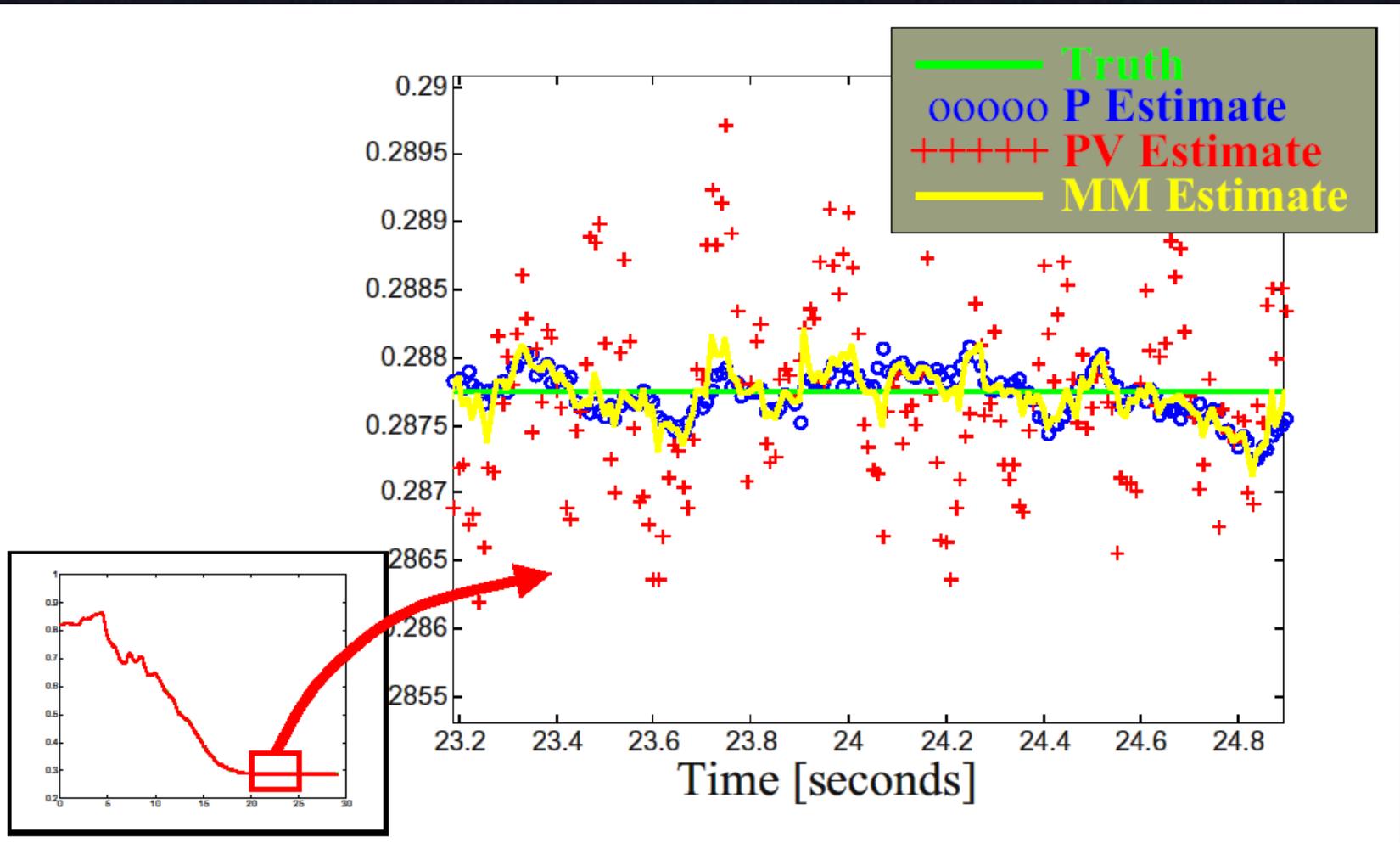
where

$$C = HPH^T + R$$

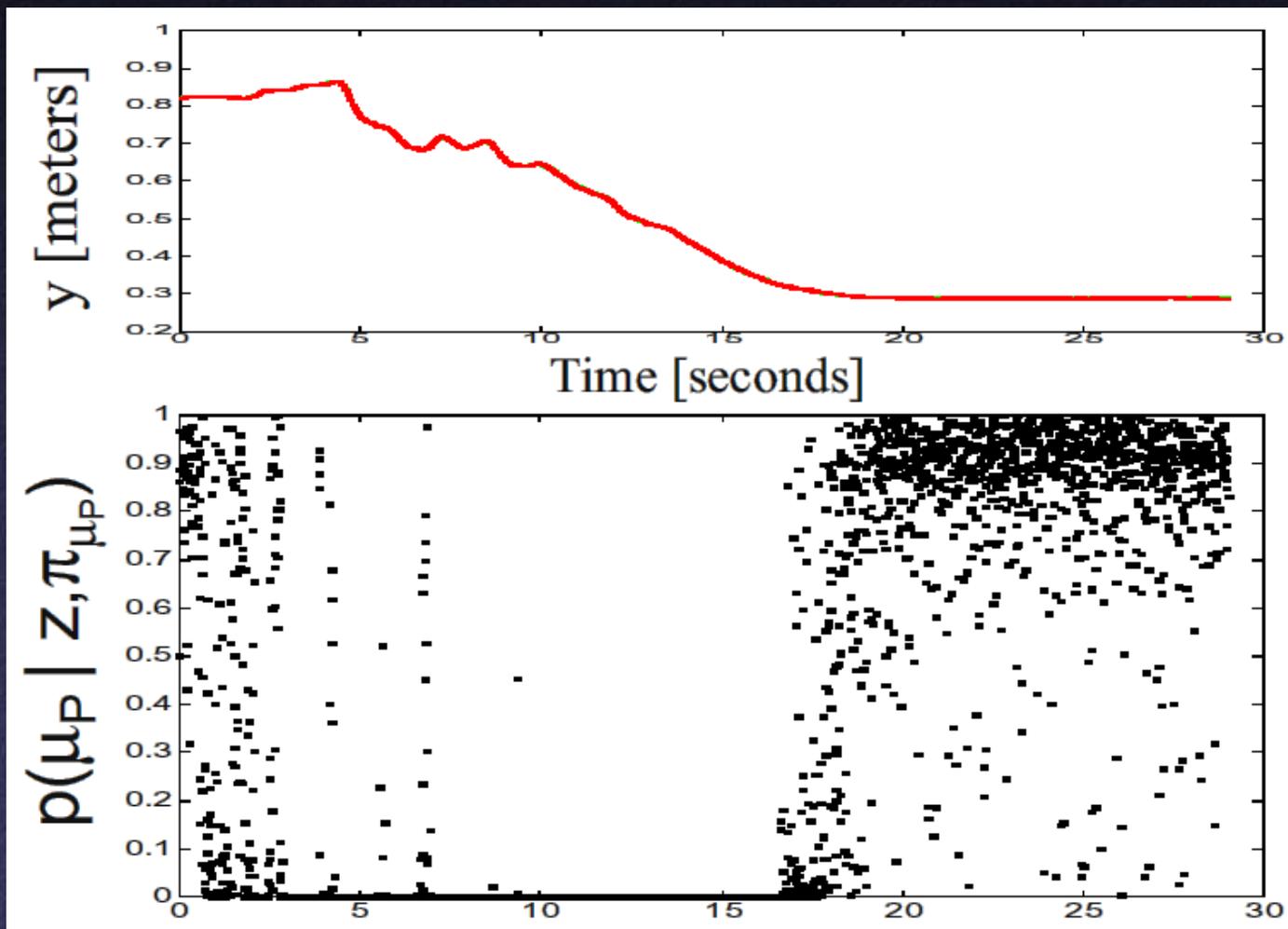
# Results: Multiple Models



# Results: Multiple Models



# Results: Multiple Models



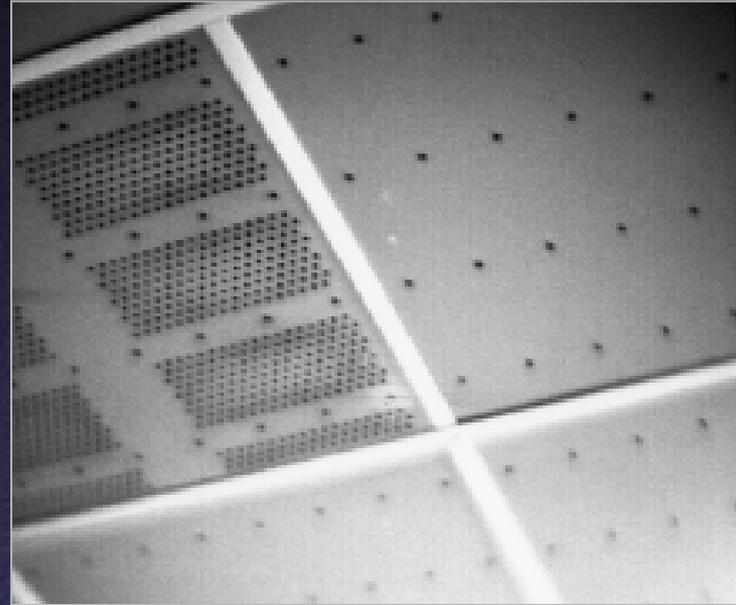
# Extension: SCAAT

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- $H$  can be different at different time steps
  - Different sensors, types of measurements
  - Sometimes measure only part of state
- Single Constraint At A Time (SCAAT)
  - Incorporate results from one sensor at once
  - Alternative: wait until you have measurements from enough sensors to know complete state (MCAAT)
  - MCAAT equations often more complex, but sometimes necessary for initialization

# UNC HiBall

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- 6 cameras, looking at LEDs on ceiling
- LEDs flash over time

# Extension: Nonlinearity (EKF)

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- HiBall state model has nonlinear degrees of freedom (rotations)
- Extended Kalman Filter allows nonlinearities by:
  - Using general functions instead of matrices
  - Linearizing functions to project forward
  - Like 1<sup>st</sup> order Taylor series expansion
  - Only have to evaluate Jacobians (partial derivatives), not invert process/measurement functions

# Other Extensions

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- On-line noise estimation
- Using known system input (e.g. actuators)
- Using information from both past and future
- Non-Gaussian noise and particle filtering